

## FIFRA SCIENTIFIC ADVISORY PANEL (SAP)

OPEN MEETING

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VOLUME III

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Reported by: Frances M. Freeman

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1 DR. PORTIER: Good morning. I want to 2 welcome you to the FIFRA Science Advisory Panel 3 for I guess today is Thursday, August 29th, on Corn Rootworm Plant-Incorporated Protectant Non-4 Target Insect and Insect Resistant Management 5 б Issues. 7 Today we'll be finishing up our discussion on insect resistant management issues. 8 I'm Chris Portier, I'll be chairing the session 9 10 today. I want to begin today by reintroducing dhe11 panel members, have them state their name 12 affiliation and a brief background of what their 13 research is and this morning we'll start with Dr. 14 Hellmich. 15 DR. HELLMICH: I'm Rick Hellmich, I'm 16 from the USDA/ARS, Ames, Iowa. I'm a Insect 17 Ecologist specializing in insect resistant 18 management and non-target issue requests Bt corn. DR. FEDERICI: I'm Brian Frederici from 19 20 the University of California at Riverside, 21 Department of Entomology. I am an Insect 22 Pathologist; I work on the basic molecular biology

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4 and genetic engineering and bacterial 1 insecticides. 2 3 DR. GOULD: I'm Fred Gould, North Carolina State University, I work in ecological 4 genetics of insect adaptation to control measures 5 and have worked on resistance management with Bt б 7 crops for a number of years. DR. WEISS: I'm Mike Weiss, University 8 9 of Idaho, 10 Integrated Pest Management in corn systems. 11 DR. ANDOW: Dave Andow, University of 12 Minnesota, Department of Entomology; I'm an 13 Ecologist and I have focussed a lot on to the 14 ecology of insects and corn. I have been doing 15 work in insect resistance management. 16 DR. CAPRIO: My name is Mike Caprio. 17 I'm from the Department of Entomology at 18 Mississippi State University. I am a Population Geneticist. I have focussed on resistance 19 management to conventional and Bt products. 20 21 DR. HUBBARD: USDA/ARS in Columbia, 22 Missouri. I have been working with corn rootworm

since 1986. And my research projects in Columbia, 1 Missouri include native host plant resistance, 2 3 resistance management and collecting biological base or data on corn rootworm to fit the needs of 4 the models for resistance management. 5 6 DR. NEAL: I'm Jonathan Neal, I'm an 7 Insecticide Toxicologist at Purdue University. Ι do research on rotation resistant corn rootworm. 8 DR. WHALON: I'm Mark Whalon, Michigan 9 10 State University. I consider myself an Applied 11 Insect Pathologist. I have worked in insect 12 resistance management for my career. 13 DR. ROBERTS: I'm Steve Roberts, I'm a Professor and Toxicologist at the University of 14 Florida, and Director of the Center for 15 16 Environmental and Human Toxicology there. 17 DR. THRALL: Good morning, I'm Mary Anna I'm a Professor of Veterinary Pathology 18 Thrall. 19 at Colorado State University. 20 DR. PORTIER: I'm Chris Portier, I'm 21 Director of the Environmental Toxicology Program 22 at the National Institute of Environmental Health

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1 Sciences, and I manage the US National Toxicology Program and my area of expertise is in statistics 2 3 and risk assessment. I would like to welcome you all here 4 this morning. I know this takes a lot of time dut 5 of your busy lives to address these issues, but I 6 think they are very important issues. Before we 7 start with the panel discussion our Designated 8 Federal Official, Mr. Paul Lewis, has some 9 10 administrative details for us. 11 DR. LEWIS: Thank you Dr. Portier, again 12 I would like to thank Dr. Portier for serving as 13 our Chair in this meeting during the course of 14 this week and for the members of the panel that 15 have spent a considerable amount of time preparing 16 for discussion we had yesterday and that will be 17 occurring today. 18 Just as a manner of reminder again this 19 FIFRA Scientific Advisory Panel is a Federal 20 Advisory Committee such that we'll be following 21 requirement for the Federal Advisory Committee Act 22 we have a docket where all materials available for

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7 this meeting are available for public inspection. 1 This is an open meeting. 2 3 In addition, we'll be writing a report that serves as meeting minutes that summarizes the4 panel's recommendations and analysis for the 5 agency. The report should be available in about 6 four to six weeks. 7 In terms of today's agenda, if you 8 9 notice on the agenda, we were planning to end 10 around early afternoon. Again, the agenda times 11 are approximates. We have a whole day allocated 12 for discussion and we'll use the time accordingly 13 depending on what time we complete our discussions Thank you, Dr. Portier? 14 today. 15 DR. PORTIER: Thank you, Mr. Lewis. 16 Dr. Andersen, do you have any comments 17 and would you also introduce your panel this 18 morning? 19 DR. ANDERSEN: I would be glad to, thank 20 you. I think, unless there are any question that 21 are remaining from before issues, I think we have 22 resolved all of them. I don't think there are any

overnight question that the panel has posed to us 1 that we need to bring back. If I'm wrong let me 2 3 know. Again, we will thank you for spending, 4 for some of you, the third day and for others just 5 the second. We recognize that this is a lot of б work, not only in advance of the meeting, during 7 the meeting, but also after the meeting. We all 8 certainly looking forward to your report and what 9 10 you will be talking about today. 11 I'm delighted to introduce the people 12 who will be working today on the issue of insect 13 resistance management continuing on with the 14 discussion from yesterday. To my immediate left 15 is Robyn Rose, then Dr. Sharlene Matten, Allen 16 Reynolds, and then Phil Hutton, and these are all 17 members of the Biopesticides and Pollution Prevention Division. 18 19 And amongst us we will handle the 20 electronics for this morning, so the questions dan 21 be up on the screen. 22 Thank you.

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1 DR. PORTIER: Thank you Ms. Rose do you have any issues from yesterday's presentations dr 2 discussions? 3 MS. ROSE: No, I do not unless there is 4 any questions for our staff. 5 DR. PORTIER: б Barring that then, 7 yesterday we ended our discussion by finishing question two and we were getting ready to begin 8 question three which deals with models. 9 If we can 10 have the question read to the panel? 11 MS. ROSE: There are four parts to 12 question three on models. Part A, the panel is 13 asked to comments on the product duration or 14 longevity of corn rootworm susceptibility 15 considered in corn rootworm IRM models. 16 DR. PORTIER: Dr. Caprio, you are the 17 lead discussant on this issue, but overnight we 18 had a table prepared for us. I'm going to leave 19 it to your judgment whether we should look at the 20 table and the assumptions on the model or hold 21 that for later. 22 DR. CAPRIO: I would like to see the

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10 table, I guess. 1 2 Paul, is this the one I did? 3 DR. LEWIS: This is a table that is titled from Fred Gould. 4 DR. GOULD: I don't know if we need to 5 6 discuss that right away. 7 DR. CAPRIO: I don't know what to say DR. PORTIER: That's fine, then let's 8 gо 9 straight to this question. 10 DR. CAPRIO: -- to this question I think 11 there is a wide variety of assumptions in the 12 different models concerning dispersal rates and 13 when it occurs. But we're dealing -- when you 14 deal with a high dose, a lot of these assumptions are very critical. When we're dealing with 15 16 moderate dose perhaps there is a little leeway i f17 we think back to next doors's figures. As Fred pointed out, it is a relative flatness. 18 There is still a lot of difference depending on how much 19 20 refuge you put out there. 21 I'm not exactly sure what they want from 22 this question. I guess the simplest answer is to

say that most of the models, if you take away the 1 extreme assumptions, are in the range of 10 to 202 3 years. Is that what you are looking for with 4 this question, or do you want to clarify the 5 question a little more? б 7 DR. PORTIER: Ms. Rose. MS. ROSE: To some degree, we're asking 8 9 when developing a model, what is an acceptable 10 duration that we should also be looking at is 10 11 years enough, is 20 years enough? . 12 DR. CAPRIO: In a way, that's what I 13 look to you guys to tell us. That's really, from 14 my standpoint, a policy question rather than a 15 science question. DR. PORTIER: Dr. Andow: 16 17 DR. ANDOW: Do I guess in terms of 18 duration, here is what I would say, that all of 19 the models that we have in front of us, and 20 virtually any model they can think of, would give 21 a product duration of at least three years. 22 The only case in which I can imagine

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that would be faster than that is if you had a 1 very, very, high dose type event that was adopted 2 3 over 100 percent of the area, so that there was no refuge. And then you might get failure in less 4 than three years. 5 But if the bar is just getting over 6 7 three years, there is virtually very few scenarios in which you can imagine that it wouldn't last at 8 9 least three years. So that's one point. 10 The second point, then, would be if you 11 are looking, say, at 15 years with these low dose 12 products, then there are certainly cases where it 13 wouldn't go 15 years. And that's even some of 14 those, one of those cases is identified in the 15 interim IRM plan from the registrant. 16 But in many cases, it is going to hover 17 around 15 to 20, 25 years. 18 In order to get higher, substantially 19 higher, than that in the orders of 50 years there 20 are some models that predict that under some broad 21 conditions and other models predicted under 22 relatively narrow conditions.

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1B I think all of the models would suggest 1 that increasing the refuge size would give you 2 3 quite a bit more once you get -- if you are looking at refuge changes between 10 to 25 4 percent, you are not going to see much differende. 5 Once you get around up in the 50 percent range, 6 then you start to see substantial delays. 7 I think -- I'm pretty sure that all the 8 9 models are suggesting that. 10 MS. ROSE: Could I ask for one clarification? 11 12 When you mentioned three years was that 13 with a 20 percent refuge, or no refuge, or when you stated three years what was that based on? 14 15 DR. ANDOW: I was sort of saying, even 16 under the high dose case with no refuge, that's 17 about the only case in which are you going to find 18 it happening within three years under the models. 19 So I guess what I was saying is there is virtually -- that virtually any case will get you 20 21 three years. Doing nothing will get you three 22 So it's a fairly low bar to get over. years.

14 DR. PORTIER: Other comments by the 1 Dr. Whalon? 2 panel? 3 DR. WHALON: Thank you. I would suggest a couple things. First of all, I concur with the4 comments earlier about the issue of how long is 5 а policy issue and EPA should provide guidance 6 there. 7 I would, maybe, help EPA in the process 8 there by saying that there are several things that 9 10 are assumed in the process of developing an IRM 11 for a new transgenic corn plant and that is that 12 there is a precedent that exists for pest 13 resistance management plans for other registrants 14 in the past in transgenic plants. Also, 15 in this case, because we don't have a high dose 16 situation, we're introduced or faced with actually 17 a new, novel challenge. So I would back up and 18 ask a more fundamental question, and that is is а 19 refuge necessary? 20 I think the panel, I can't speak for the panel, but from my perspective, I believe it is. 21 22 We believe that there is selection, or there is

15 evidence of selection, on the first instar though 1 at probably low levels, low to moderate levels. 2 3 And given that, then, a refuge even given the current state of art of modeling and 4 what we don't know in the field seems to be the 5 6 prudent or the, following the principle of 7 conservation, the appropriate thing for the agency to do. 8 Once that is established, then the issue 9 10 of how long becomes -- comes into view and so far 11 we have heard a lot of talk about 7 to 15 year 12 horizons. 13 My question there is, why not a more 14 sustainable strategy for these technologies a 30 15 to 50 year horizon? Why are we dictated by 16 conventional insecticide patent horizon when these 17 technologies have grower license agreements that 18 would presumably perpetuate the technology further 19 than a patent horizon? 20 So that historical paradigm may not be 21 applicable here and that may be worth some 22 discussion on the part of the panel to the agency.

15 DR. PORTIER: Dr. Gould. 1 2 DR. GOULD: I would like to get back to 3 the models and the assumptions. I agree with what Mike and David said about most models having those 4 time horizons, but we are dealing with a new 5 situation with a beetle novel toxin. б I think, 7 Chris pointed out earlier, that we're always talking about in the models all the assumptions 8 are ten to the minus three or ten to the minus 9 10 four as initial gene frequencies. 11 Yesterday, Bruce brought up the point, 12 can we look at the survivors and see if we have 13 changed their resistance level? Quantitative 14 genetic variation can, as opposed to what was said 15 yesterday, be selected very rapidly if there is 16 enough additive genetic variance. 17 I want to at least say, if you are doing 18 a risk assessment, you have to recognize that all 19 the other work we have done is on this high dose 20 stuff where we had data on initial gene 21 frequencies at least in a couple of Lepidoptera. 22 Here we're starting with a new ball game.

If you are doing risk assessment, if you want to 1 look at potential worst case scenarios, we haven't 2 3 gotten science information to give us the information about that. All the models are making 4 a certain assumption. 5 6 Those are pretty conservative on the 7 side of seeing slower resistance development. I'm not saying that they are wrong; they are probably 8 right. But if you want guidance on 9 risk 10 assessment the models are making that assumption. 11 DR. PORTIER: Any other comments on this 12 question? Dr. Caprio. 13 DR. CAPRIO: Just to follow up on what 14 David said about the three year time horizon. 15 I don't think anybody considers 16 resistance a threat. The question is really how 17 much do you cost during that time frame in terms 18 of changing resistance allele frequencies or loss 19 of susceptibility and so on. 20 It is more a question of potential 21 damage that you do, rather than out right 22 resistance, during that time period. So I think

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1 it is wrong to suggest it is not a problem, because we don't see the potential for resistande 2 3 evolving in these three years. That's not the right question to be asking. 4 DR. PORTIER: Any other comments from 5 б the panel? 7 Dr. Andersen, you have a questioning I just have a clarification I would like look. 8 to make so that the panel is understood. 9 10 The agency has not set policy, I do 11 believe, it is policy, but the agency has not set 12 a policy of what actual absolute years to 13 resistance that we are looking at. We are 14 certainly doing it case by case considering all оf 15 the factors. We have not set the bright line that 16 we might say of some of the places where we have 17 with other types of risk assessments. 18 DR. PORTIER: Dr. Whalon. Personally, I think that's 19 DR. WHALON: a prudent view given the state of the science. 20 21 DR. PORTIER: Okay. 22 So if I understand what we have talked

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19 about here, that in terms of what would be 1 predicted for longevity and duration of product 2 3 utility, we're probably looking at something in the ten to even, maybe, 25 ranges. Our best guess 4 from the panel, although again, because of the 5 б nature of the assumptions, we're not absolutely 7 certain that this is more in the research realm currently rather, really in the more routine use 8 for regulatory work. And that's because of the 9 10 low dose effect. 11 We just haven't had enough experience 12 And in terms of the comment about what with that. 13 would be an acceptable number of years, I think 14 the panel is agreeing that for this type of 15 product, the more sustainable it is, the better it would be. 16 17 And I think that's uniform across the 18 panel. I don't see anyone disagreeing. 19 DR. PORTIER: Dr. Andow. 20 DR. ANDOW: Not with that last point, 21 but elaborate a little bit on your first point of 22 summary.

I think that the exception that we 1 identified were a case that doesn't hold here, the 2 3 high dose case. And then Dr. Gould identified the case where perhaps there is already substantial 4 resistance in natural populations. 5 6 The experiment that Bruce was talking 7 about earlier, that Lance Meinke was doing, could help resolve that even before a plan could be -- a 8 sound plan could be developed. 9 10 DR. PORTIER: Noted. Okay, should we go 11 onto question B? 12 Question B reads considering MS. ROSE: 13 EPA's evaluation of the three models addressed in 14 the Monsanto submission, discuss the applicability of each of the models for assessing the likelihood 15 16 of corn rootworm developing resistance to Cry 17 3Bb1. 18 DR. PORTIER: Dr. Andow, why don't you 19 go first this time. 20 DR. ANDOW: I just wanted to consult 21 with the lead discussant. 22 DR. PORTIER: Dr. Caprio can go first

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2 I'm just trying to be democratic. 1 DR. CAPRIO: It is hard to summarize all 2 3 these. I guess back to what I said yesterday, there is a wide variety of assumptions about 4 dispersal and when it occurs. 5 6 Various assumptions about dominance and 7 some of those play a large role, particularly in Dave Onstad's model and the Monsanto use of the 8 web-based model. 9 And I think they present a wide variety 10 11 of potential scenarios. The ultimate result is that, you know, we're still talking something in 12 13 this 10 to 20 year or 25 year time frame despite 14 all those different assumptions. 15 The only one comment that I would make 16 is about the Monsanto model, which assumed 17 complete dominance, so their heterozygotes 18 survived at a rate comparable to resistant, fully resistant individuals. And the result that that 19 20 gives you is that there is very little impact of 21 refuge. 22 And I would just say that that is not а

typical case that you would find from the other 1 models where dominance is not -- complete 2 3 dominance is not assumed. I would argue that even in this moderate dose, there is a strong case to 4 be made for a refuge, a sizeable refuge. 5 6 I think Dave you mentioned earlier that 7 the curve on this goes up as one -- goes beyond 20 percent refuge, as one approaches 50 percent or 8 greater. I'm not sure if that is an adequate 9 10 answer. DR. PORTIER: Dr. Andow. 11 12 DR. ANDOW: I quess maybe I could get 13 clarification from EPA. Are you want to go have а 14 very detailed discussion of the -- this model assumes this, this and this, and this models 15 assumes this, this, and this. 16 17 MS. ROSE: Yes. And are these 18 assumptions are appropriate for this product and 19 insect pest? 20 DR. PORTIER: And potentially taking it 21 to the more general case of: are these models, 22 would you argue these models are supported for the

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2B case of low dose products in general or is there 1 further development that needs to be made? 2 3 Since they were developed for high dose, do they really transfer to low dose case or is 4 there additional research to be done? 5 Would that 6 be part of it as well? 7 MS. ROSE: Yes. Point of clarification. DR. WHALON: 8 9 DR. PORTIER: Dr. Whalen. 10 DR. WHALON: I'm wondering in this 11 context, then, if there is a presupposition in an interim registration situation that there would 12 be 13 responsibility on the registrant to actually 14 develop the tools necessary to assess whether or 15 not refuge a refuge is working. 16 DR. ANDERSEN: Those are decisions that 17 get made partly based upon the advice we have. 18 Here, you might want to look at what we have done before as an idea of what we have at 19 20 least considered in the past models where models 21 became more and more important as one of the tools 22 we used in looking at resistance management plans

24 for the lepidopteran products for corn and cotton. 1 2 3 So we have asked actually of the Scientific Advisory Panel in the past how 4 important models are and they have told us these 5 are one of the important tools in looking at. б 7 And that's why we consider it important to say how robust are the models that we have at 8 9 hand? Are these ones that we think ought to 10 really be further developed? Should we start over, find another model or 11 12 is it is this really useful? Then, how the agency 13 goes about deciding what to we might ask the 14 companies to do, or other ways to get that 15 information we have to make those decisions. 16 But I think unless you disagreeing, and 17 I don't think you are, I say a few panels in the 18 past have told us models are good tools. Given that, what are the kinds of thinks things we 19 20 should have in this. 21 I might say I know we have broken this 22 into four sections but as you look at it, question

C actually does lay out some various parameters of 1 models that we thought were especially important. 2 3 They may not be the only ones, but maybe you can advise us. Again, almost answering the 4 two questions at once. 5 May I rejoin on that, just 6 DR. WHALON: 7 to say that I concur in this situation, I think, that given conflicting information from the field 8 and the state of the science that models are 9 10 essentially all we have. 11 DR. PORTIER: So, in order to sort of 12 keep us focused, might I suggest that we discuss 13 in part B, then, the actual mathematical 14 constructs themselves. The assumptions that are 15 made in developing the models and for C, we go 16 specifically to the parameters that are entry in 17 to the models and the quality of those parameters 18 in the given case. 19 Dr. Andow. 20 DR. ANDOW: To talk about all the 21 models, generally, all the models generally are 22 discreet timed models which seems appropriate in

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this case, meaning that each generation is handled 1 separately and then the models are updated. 2 3 In terms of another major distinction among models is stochasticity or deterministic 4 models. 5 The store model is a stochastic model 6 7 and the other ones, I believe, are not stochastic. Now, in terms of how stochasticity is 8 built into the store model, I have to say I don't 9 10 know. I'm not sure if this is the same model as the one that I have seen before. 11 12 But the other ones that Nick has done, 13 and maybe Nick can comment on this if I'm correct, 14 have introduced stochasticity primarily in the vital parameters of the vital demographic 15 16 parameters as in births and deaths. 17 Is that true in this case also? 18 DR. PORTIER: Dr. Storer. 19 DR. ANDOW: If it is a no, I would like 20 an elaboration as to where it is. 21 DR. STORER: Just for clarification --22 DR. PORTIER: Please, introduce

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27 yourself. 1 DR. STORER: 2 Nick Storer, I commented 3 yesterday. 4 DR. PORTIER: And you are from? DR. STORER: From Dow AgroSciences, I'm 5 б sorry. 7 DR. PORTIER: Thank you. DR. STORER: The model you have seen 8 previously has less stochasticity than is in this 9 10 rootworm model. Elementary stochasticium in 11 nearly all the processes affecting the genetics 12 and end population processes. 13 DR. ANDOW: In particular, in terms of 14 population variability, is this stochasticity 15 handled through the vital parameters and others 16 through the demographic parameters that are built 17 into the model? 18 DR. STORER: Correct. 19 DR. ANDOW: Then in terms of dispersal, 20 from place to place, is that handled stochastic? 21 DR. STORER: That is also stochastic, 22 yes.

2B DR. ANDOW: One last point, in terms of 1 your dispersal -- in terms of your dispersal 2 3 kernel, what is the shape of that kernel? That's a two dimensional DR. STORER: 4 normal distribution modified by tractiveness (ph) 5 of the various different fields. 6 7 DR. ANDOW: Thank you. So the only point I would make is that insect populations, 8 9 when you build stochasticity into the vital 10 parameters, you get a certain amount of variation 11 from year to year, but there is a component of environmental stochasticity that can be built into 12 13 models as well. 14 As far as I can tell none of the models we are looking at here have environmental 15 16 stochasticity built into them. One easy way to do 17 that is push the population size randomly every 18 year in one direction or another. 19 Because insect populations fluctuate, а 20 lot of it is because the environment is changing, 21 so one way to model is to say, this is a bad year 22 and so the population is cut back and this is a

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29 good year in the population. So that there is 1 sort of demographic stochasticity and then there 2 3 is environmental stochasticity. So far, most of our models have not 4 handled that part, and then the other part --5 DR. GOULD: Could I interject? б Indeed, 7 Nick has one part that is environmental stochasticity in terms of field assignments, which 8 is a major part of the environment is that field 9 10 assignments are stochastic in terms if you are 11 going to change a placement of the fields and how close they are, that's also very important 12 13 environmental. 14 So I would say that the DR. ANDOW: patch models assume a random assignment to fields. 15 16 So a particular form of particular realization of 17 a stochastic process. 18 So that to some extent having explicit 19 spatial model and randomly assigning fields is 20 going to be very similar to what you get with the 21 deterministic patch models. 22 But that's -- I guess I'll have to come

30 back to that. 1 So, to summarize so far, there is the 2 3 issue of discreetness versus continuousness. Т think models are appropriate there on 4 stochasticity, there is still issues of 5 stochasticity explore. б 7 This is the other point, the population dynamics models on all of the models are 8 relatively simple population dynamics models and 9 10 the exploration of other aspects of population 11 dynamics hat has not yet been done. Those are 12 sort of general limitations of all of the models. 13 Now, in the case of the low dose events, 14 there are certain elements of the population 15 dynamics that could be important, whereas that 16 very from the high dose events. 17 And for example, the actual population sizes coming out of the Bt field versus the non Bt 18 19 field can have fairly substantial impacts. So it 20 is probably worth exploring those things. 21 Then to get into the details and maybe 22 to go through it, and maybe combine question C аt

this point, and sort of go through the different 1 parameters and the assumptions that they are 2 3 making. Is that appropriate? DR. PORTIER: Let me ask a couple 4 questions, Dr. Andow and Dr. Caprio, and please 5 Dr. Gould, feel free to jump in. 6 7 I want to try to understand these models, because I don't think we have yet answered 8 the question that EPA has posed to us, which is 9 10 what would you say is a good model versus a better 11 model? Let's put it this way we won't call it a 12 bad model. 13 I understand the discreet time event 14 model, no problem as compared to continuous time 15 event model, stochasticity in any model can be 16 enter in any number of ways, so even discreet time 17 models have rate constancy. 18 In this case, are the rate constants 19 probabilities? That's what makes them stochastic? 20 Okay, so I understand that. Ιn 21 addition, you can put prior distributions on a 22 variety of parameters that are part of the model

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1 and when you were talking about field characteristics and the kernel for movement, that 2 3 is, in fact, a prior distribution for parameter that goes in a model? 4 Am I correct in an assuming that? 5 So б then I'll ask you the question, in general, the 7 more stochasticity that you put into a model, given equivalent models, one which is 8 deterministic and one which is stochastic, with 9 10 exactly the same basic format, would you agree that the stochastic model is the better choice? 11 12 DR. ANDOW: No, I would not. The 13 stochasticity -- it is important that 14 stochasticity is in the model where stochasticity is likely to have effects, not where it doesn't 15 have effects. 16 17 And so for example, if you look at the 18 populations size that we are tending to deal with 19 corn rootworm, even under -- in the Bt corn we're 20 still getting very large populations in the field 21 with the 20 to 30 percent survival say in a one 22 acre field you are still getting thousands of

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1 beetles. 2 Now when you get thousands of beetles ίt 3 means that, essentially, the population size is so large the demographic stochasticity is going to 4 have very little influence on any of the results 5 you can possibly imagine coming out of that model. 6 7 So it is really unnecessary to build in demographic stochasticity into many of these 8 What we do know is that, or what we 9 models. 10 believe, is that the movement may be important. 11 And some of those movement events may be rare 12 events, in which case then we would be concerned 13 about building stochasticity into the movement 14 process if, in fact, the rare event and one can 15 sort of look at these models and look at their 16 intermediate output and find out how many 17 individuals are actually moving to find out is 18 this rare enough that it is going to be 19 stochastically variable enough. 20 But anyway that would be the way to 21 I think there are some parts of the approach it. 22 model that it is unnecessary to have stochasticity

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34 and, in fact, make it more confusing in terms of 1 having to think about it as opposed to eliminating 2 3 that from the model. DR. PORTIER: Dr. Gould. 4 DR. GOULD: I agree with Dave completely 5 б that adding stochasticity where you have very large numbers and don't have anything is not a 7 useful scientific enterprise. 8 But I think if you look at stochastic 9 10 models that have been built especially for the 11 movement parameters and field placement and things 12 like that, you will see you get very different 13 answers than you get with a general model that 14 assumes two patches. 15 I think it is worth -- one of the 16 reasons I was trying to get Nick's paper to be 17 part of the record, if you look at his rootworm 18 model, you see that especially with small refuges 19 and other things, that the stochasticity enters in 20 dramatically, so I would not dismiss that. I would agree with Dave, when it is not 21 22 necessary a stochastic model is not any better

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1	than a deterministic model. But when it comes to
2	situation where there are some rare events and
3	possibilities, that's important.
4	We have to recognize that we're dealing
5	with an insect that has strong population
6	structure at least in some areas of the United
7	States, it seems like, where it moves less you
8	have to recognize that we have millions of acres
9	of corn with thousands of subpopulations and
10	that's kind of place where stochasticity could
11	matter. I'm not saying it does, but we in the
12	business here of risk assessment, not of coming up
13	with heuristic models in some cases here. I want
14	to move to
15	DR. PORTIER: Let me give you my comment
16	on this. I have some concern with your comments.
17	So most of what we have discussed is,
18	then expected time to failure, if you really want
19	to call it that. And yet we haven't discussed the
20	probability of failure in an expected time, which
21	is another characteristic that should be, I think,
22	used in the risk assessment process. Without
35 1 competence to stochasticity in the models, it is impossible to calculate that probability all you 2 3 can get is the expectation. DR. ANDOW: I would differ a little bit 4 on that point, because as you were pointing out 5 you could use priors. I don't consider building 6 in priors to be building in stochasticity the 7 model. 8 So one can use prior distributions and 9 10 the posterius result to get some idea of variation 11 based on what we know as opposed to stochasticity which we might just build in a certain amount of 12 13 noise that could generate additional. 14 In any event, I think when you have a 15 if you have something such as population size 16 which is so large, and you are looking at the 17 stochastic nature of births and deaths when have 18 you such a huge population, that population tracks 19 the expected value very closely. 20 And the reason why it doesn't sort of 21 track that is not because of the stochasticity of 22 the demography, but it is because of other things

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that aren't in that model. So I think will is 1 still a good argument to eliminate certain amount 2 3 of stochasticity. But before, I just wanted to signal that 4 other big issue we need to address here is the use 5 б of space versus non space. I think some of Fred's 7 comments were sort of mixing the two together, stochasticity versus space. 8 And I think we'll get to that in a 9 10 little bit after we finish this discussion. 11 DR. GOULD: I want to make this point. 12 I do think it is an important aspect of a 13 spatially explicit stochastic model, there are 14 these two things. 15 I think Dave is correct. We could mix 16 these a little bit too much, stochasticity in the 17 space, stochasticity in demographic parameters. I 18 guess what I wanted to get at in Nick's model in 19 terms of small numbers, and what happens. All of 20 our models are looking at single allele cases and typically going towards the end towards the high 21 dose and this is history precedence. 22

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3 B I think if you ask the question, and you are 1 asking how appropriate are the models, I think 2 3 we're -- we're looking at this fine tuning. We can get into our academic discussions and loose 4 track of the fact that all of these models are 5 single locus models and there is a good reason for б 7 them being single locus. Because when you are dealing with a high dose, the only kind of an 8 9 allele that can give you any survival, is an 10 allele that confers more that 25 fold resistance 11 to the heterozygotes. 12 That's very important to understand. 13 When people have looked at how common those kind 14 of alleles are, they are not very common. 15 So what I want to introduce is the fact 16 that when you are dealing with a moderate dose, 17 we're dealing potentially with having a lot more 18 alleles, that if you have 60 percent survival, any 19 allele that gives you 65 percent survival at that 20 dose, is selected for. 21 So you can have potentially a polygenic 22 kind of trait that you are dealing with, and it's

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39 a very different kind of situation. I want to add 1 to that we have one case of release of transgenic 2 3 plants where we have a moderate dose for helicoverpa zea. Studies that have been done by 4 J.R. Bradley, his students, I've collaborated with 5 Published information shows that there is б it. quantitative genetic variation for adaptation. 7 I think if we want to ask what is the 8 appropriate model we at least need to consider the 9 10 fact that we're over here on this one extreme 11 looking at single allele models when we have to 12 ask more about quantitative genetic variation, the13 populations and Bruce was getting at that. 14 Before we switch from DR. ANDOW: 15 stochasticity argument, we'll come back to the 16 discussion, yes, I would like to make sure that 17 the panel finishes off the stochasticity discussion. 18 19 DR. PORTIER: Dr. Caprio. 20 DR. CAPRIO: I think we're also 21 forgetting another form of stochasticity, or what 22 I have termed uncertainty, which is really

related to our uncertainty in those input 1 parameters and that's one of the things we have 2 3 been trying to look at, is finding ways to systematically or to formalize that un certainty 4 that we have on these parameters. 5 Ιt is sort of related to sensitivity analysis but it б 7 does as you mentioned come out with an answer of what is the probability of lasting for a certain 8 time frame. 9 10 It is related to stochasticity in the 11 model, but it is related to our uncertainty and 12 how certain we are in these various parameters. 13 If we are fairly certain in a parameter, we can 14 make a relatively narrow distribution for 15 variation in that input parameter. If we're less certain about it, we 16 17 increase the variance and then we -- the way we 18 have been doing it with it the corn rootworm model 19 I have been working on is just randomly -- each 20 run of the model we randomly assign parameter 21 models based on these distributions. 22 And then run thousands of simulations

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and look at how many of those meet a time frame or 1 the distribution of those results. And that adds 2 3 stochasticity into it, but it is different than what we have been talking about right now. 4 DR. PORTIER: Dr. Andow. 5 6 DR. ANDOW: Just to clarify. I think what we were referring to there Mike, was this 7 idea of using prior distributions and then looking 8 at the subsequent posterior distributions of the 9 10 output parameters. 11 So that would be a very important thing 12 to do. The only models -- there are no models in 13 this package that look at the problem that way. Α previous model that you have worked with is one 14 developed by Terry Hurley, and that was built up 15 16 in that way. 17 I think sometimes he referred to that аs 18 stochastic, but it is really not stochastic, it is 19 dealing with uncertainty. 20 DR. PORTIER: Can I ask a question on 21 that? Then I want to get back to another issue. 22 Do any of the modeling approaches use true basion

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prior techniques? 1 A resampling technique with a rerun of 2 3 the model is more of a marginal rather than a posterior distribution. 4 DR. ANDOW: I would say that Hurley 5 model is set up to do that, but the iteration б 7 process has not been done yet. DR. PORTIER: Dr. Caprio. 8 DR. CAPRIO: In the corn rootworm model 9 10 that we're working on, and this is not for Bt, 11 this is for methylparithion, we use that basion 12 paradigm to look at the likelihood of initial gene 13 frequencies, something that we can't go back and 14 measure. 15 They are set up to be able to do that. 16 DR. PORTIER: So you are using something 17 like a markup chain Monte Carlo to get the 18 posterior from the resampling. If this is technical, then I'm sorry. 19 20 DR. CAPRIO: No, we're not doing that. But I think that would be 21 DR. PORTIER: 22 useful. It is true in my field as well. We do а

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lot of modeling where we do resampling up front 1 and just present the results of the resampling as 2 3 the variation in the predicted term. And that's not exactly the same as 4 getting a posterior distribution which 5 statistically is a stronger finding. б 7 DR. CAPRIO: Right. DR. PORTIER: Now getting back to the 8 birth, death process and I'll pick on that one for 9 10 a minute, because it seems to me, again, I have to 11 go back to my experience which is in cancer 12 modeling. 13 In cancer modeling the selection that 14 goes on is for an extremely rare event. We're 15 look at 1 in 10 to the 8th cells that has to be 16 clonally expanded out to actually produce the 17 tumor. That is what most of the model looks like. 18 19 Even with that many cells around, all оf 20 them are pretty much normal except this one rare 21 event. And failure to consider that as a 22 stochastic process actually does have implications

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4 **h** on the probability of failure or probability of 1 getting cancer. 2 3 Is that not the case here for rare allelic resistance frequencies? Dr. Andow. 4 DR. ANDOW: It can be the case for the 5 б allele frequencies, but we tend to be handling 7 those as frequencies rather than as numbers and we're dealing with the population size as a 8 9 separate parameter. 10 And the population size numbers in the 11 minimally thousands and upwards up into the 12 hundreds of thousands. So, stochastic variation 13 in birth death process would be like trying to 14 model, of those eight million cells what is the 15 likelihood, if you know that the growth rate of sells is X, how much variation are you going to 16 17 see around that million rather than the rare 18 events. 19 So the rare event is important to model 20 stochastically, but the common events are less 21 important that way. 22 DR. PORTIER: So if I understand it,

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45 what are you doing is the stochastic nature of it 1 2 is whether or not the rare allelic frequency 3 mates. 4 But once have you done that pretty much the growth of the population becomes a 5 deterministic process which we have also worked б 7 on. Dr. Gould and then Dr. Caprio 8 We have had quite a bit of 9 DR. GOULD: 10 experience of high dose models using 11 stochasticity. 12 My sense is it is not just whether that 13 allele ever gets to mate the first time and if you 14 run models with very high doses where you have 15 very rare events, you often get extinction region wide of the resistance allele. Which is not 16 17 something you get when you do frequencies. 18 I have done a lot of very deterministic 19 models and you have a gene frequency hanging in 20 there below one individual population for very 21 long time. 22 I don't want -- this is all for very

45 high dose kinds of modeling when you have rare 1 I want to emphasize you are all correct events. 2 3 academically to have these concerns, but it changes a lot when you are dealing with 60 percent 4 mortality instead of 99.9 percent mortality of 5 б susceptibles. 7 DR. PORTIER: Dr. Caprio. DR. CAPRIO: I was going to comment or 8 correct Dave, in that I think the store model, I 9 10 certainly know the ones that Fred has worked with 11 are essentially individual based models, in other 12 words, there is either an allele out there or it 13 is not out there. 14 As Fred mentioned extinction gets to be, 15 when you deal with these high dose things, is a 16 big problem. 17 So there are go very different ways. 18 And that's one of the reasons why I got into the 19 stochastic modeling is looking at gene frequencies 20 10 to the minus 14th and saying that resistance is 21 going to evolve in that. It is incredibly rare 22 that that gene or that allele would survive in

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47 1 that case. So there are two very different ways to 2 3 handle it. One is frequency and population size. The other are people who are actually doing what 4 are individual --essentially individual based 5 models, and counts of individuals and genotypes 6 οf individuals. 7 I'm fairly sure from looking at Nick's 8 paper that he has actual counts of individuals. 9 10 DR. PORTIER: Anyone else? Dr. Hubbard. 11 DR. HUBBARD: I have one comment that I 12 planned to mention during the refuge section, but 13 it has to do with modeling. I think it follows up on Dr. Gould's comments fairly well. 14 15 Organophosphate soil insecticides applied in seven inch bands or in furrow or in 16 17 combination there have been used there for more 18 than 30 years without a structured refuge and 19 without the development of resistance. 20 The production of beetles from 21 traditional insecticides ranges from 27 percent оf 22 the untreated checked to numbers greater than the

untreated checked with the high production of beetles one could conclude that tradition soil insecticides are low dose, and have a built in refuge which produces susceptible adults. The question then is whether beetles produced from fields treated with soil insecticides experience a low dose of insecticide or no dose of insecticide. Although the dogma of beetles being produced from insecticide treated fields coming from roots outside the treated band may be familiar to many of us, I'm not aware of literature documented yet. Sutter, et al., 1991, the manuscript cited by Dr. Storer, in the document that was passed out yesterday did not include this conclusion in the abstract. I was not able to find the whole manuscript in the literature, but we do know that the normal behavior of older larvae is to migrate to new nodes of roots as they come out of the stock. That would bring them into the

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insecticide treated zone. Recent data my group 1 2 3 4 5 б 7 EPA ARCHIVE DOCUMEN 8 9 10 11 12 13 14 15 16 17 18 19 20 21 MON 863, also a low dose. 22

has collected seems to imply that western corn rootworm larvae may require these younger roots to complete development to adult stage. I believe that is likely that all beetles emerging from ground treated with soil insecticides have received a sublethal dose of insecticide. Translate that into our current dissections, a low dose. In any event, I believe that this system is important to understand because resistance has been delayed for more than 30 years. If modeling efforts could focus on simulating why the soil insecticides system has worked so well, perhaps, а better understanding of the adaptation of transgenic events could be garnered. As mentioned by Dr. Whalon yesterday, it is possible that selection place on larvae exposed to Cry 3Bb1 may be low. We do not know, but this scenario of delaying resistance to soil insecticides as a low dose may delay resistance to

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5 D 1 DR. PORTIER: Other comments? Dr. 2 Andow. 3 DR. ANDOW: I just wanted to see if there were any other comments directly on the 4 stochasticity problem because it's a new issue 5 б that is being brought up, and if not then we can qo, sort of, to the next issues. 7 DR. PORTIER: If we could just 8 summarize the stochasticity, I guess we would 9 summarize it to say, that some is good, don't get 10 11 carried away, and that given equivalent models dne 12 fully determine and one with well thought out 13 stochastic variability included into it, that the 14 stochastic would be preferable. Because it will 15 give you a broader range of prediction with a 16 probability included. 17 DR. ANDOW: Primarily because of the 18 variance that you get out. So you would want to 19 have the variances reported. DR. PORTIER: Correct. Are we agreeing 20 21 to that? 22 DR. GOULD: I would agree with that.

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5 DR. PORTIER: So now let's go to the 1 second half of this. Dr. Andow. 2 3 DR. ANDOW: There are a couple more issues even before we get to the pesticide one. 4 So Dr. Gould brought up the issue of 5 single alleles as being the basic underlying 6 7 assumption. He pointed out in some occasions it arises out of the high dose considerations, but 8 also out of the consideration of taking a worst 9 10 case scenario. 11 Because under the single allele cases 12 will always result in faster evolution than the 13 multiple allele cases or the quantitative cases. 14 DR. GOULD: I would like to take 15 exception with that when I can. Dr. Gould. 16 DR. PORTIER: 17 DR. GOULD: That's true if the allele 18 frequencies are the same, but the driving force 19 and quantitative genetic variation and response is 20 additive genetic variance. 21 When you have a high additive generic 22 variance of multiple alleles response is much

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52 quicker then when you have a very low gene 1 frequency of a single allele. 2 So I 3 would say it all depends on whether you are talking about something that is initially starting 4 with the same amount of additive genetic variande 5 б from a single allele versus a multiple alleles. 7 You might expect a more rapid adaptation with a single allele, because additive genetic 8 variance increases very rapidly as frequency 9 10 increases, and not with the additive case. 11 But that's not always true. I think 12 there is plenty of evidence in Indler's book on 13 natural selection in wild populations would show 14 that. 15 To respond, I think what I'm DR. ANDOW: 16 talking about is underlying the genetic lying 17 architecture of the resistance trait. 18 I think what you pointed out is that 19 under the quantitative case, the assumption is 20 that the gene frequencies are quite high. 21 If you are to put the single allele case 22 at the same gene frequencies to the same additive

genetic variance, you would find the 1 single allele case would give faster evolution. 2 3 DR. PORTIER: Dr. Caprio. You wanted to jump into this. 4 DR. CAPRIO: I will point out quite a 5 б while ago, I was using a two gene model and was 7 comparing the case of resistance with monogenic versus the two gene model. And in the absence of 8 a refuge, you got exactly what you would expect, 9 10 that resistancy evolved the same whether it was 11 two genes or one. But in the 12 presence of refuges the two gene model took much 13 longer to evolve. Apparently that movement of 14 susceptible broke up linkage among those genes. 15 So there is in this question, I think, some impact 16 of refuges that at least from my experience is 17 more negative for polygenic resistance than it is 18 for monogenic resistance. 19 DR. PORTIER: Dr. Gould. 20 DR. GOULD: We have worked a lot with two locust models and comparing them with single 21 22 locust models, and I agree we have those same

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results when what you wind up with is interactions 1 between the alleles. 2 When you 3 assume an additive model of interactions of the multiple alleles in the two locust model you get 4 the same result as you get with the one locust 5 6 model. It's a whole issue, I think Dave brought up too, if you keep the additive genetic variande 7 the same in the one locust model and the multiple 8 locust model, they evolve at exactly the same 9 10 rate. 11 The whole thing about the single locust 12 is that because single allele is having such a 13 major effect, you don't have a normal distribution of your variation you have bimodal, or whatever, 14 15 distribution which gives you more additive genetic 16 variance. 17 We have to be very careful when you make 18 the comparisons of a polygenic model to additive 19 model. I just want to finalize by saying we're 20 dealing with a moderate or low dose effect where 21 you're not expecting as much epistatic 22 interactions among the genes. I can't

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tell you what you are going to get. You have the 1 possibility of more alleles. I think we have to 2 3 be careful about that if we're doing risk 4 assessment. Let me jump in a little DR. PORTIER: 5 I don't think the issue we're discussing is, 6 bit. in fact, devoid of Dr. Hubbard's comment, in the 7 sense that the data that he cited, the suggestion 8 he has made concerning potential low dose effects 9 10 from chemical insecticides could well inform the 11 question we're asking on modeling. 12 So we haven't stepped totally away from 13 what he was saying. 14 One thing that bothers me in the entire 15 discussion we have had up to this point, we're 16 focussing on polygenetic versus single allele 17 effects, polygenic versus single allele effects, 18 and yet we haven't talked about the mechanism of 19 action of the agent that we're looking at. 20 What its targets are and some idea about 21 looking at those targets and potentially deciding 22 whether, in fact, we might have a polygenic form

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55 for the target, if it is a cellular receptor of 1 some sort or it is a specific cellular process 2 3 that is governed by a half dozen proteins. Snips in those proteins, potential other 4 more complicated polymorphisms, in those proteins 5 and the genes that make the proteins may well lead 6 to the resistant allele you are looking for. 7 I would think that one could also tardet 8 some mechanistic research in terms of the effects 9 10 in the insects themselves to try to decide what 11 potential mechanistic model might play a role in terms of the identification of the resistant gene 12 13 type. 14 Dr. Hellmich. 15 Most of us in this room DR. HELLMICH: 16 are used to the high dose model like Fred has 17 commented on. The entomologist in the group are 18 always trying to figure out, well, what are the 19 parameters that are most important and what is the 20 research that needs to be done that is driving 21 that. 22 In the past it has just been gene

1 frequency, heterozygosity, movement have been 2 important parameters. I'm getting the sense that 3 some of these, such as movements we discussed yesterday, is not as important as it is with this 4 low dose. 5 So my question is what are the important 6 7 biological parameters getting at some of what you are talking about that we get into when we get 8 into this polygenic, low dose situation. 9 10 Are there things that we should be 11 exploring that we aren't? 12 And I, so far, I haven't found anything 13 that is concrete that we need to do. We need to 14 get more information on this, this and this. 15 I'm coming away from here saying or 16 thinking that there is no research, biological 17 research, that needs to be done relative to this I can't believe that's true. 18 product. Dr. Andow. 19 DR. PORTIER: 20 DR. ANDOW: I think that we're supposed 21 to be addressing that specifically in part C here, 22 where we talk about specific things.

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5 B In terms of addressing the single allele 1 case, I guess I would like to try a summary -- not 2 3 a summary but point out that a lot of the work that has been done on the genetics of resistance 4 would suggest that it's possible that there is --5 that there would be a single allele in this case. б 7 It is also possible that there will be multiple alleles. 8 And in terms of which is more likely, 9 10 I'm not sure I would be willing to put my money 11 down, but I certainly wouldn't -- I certainly 12 wouldn't be willing to bet against either of them. 13 DR. PORTIER: Is that a consensus, that 14 at least addresses the likelihood question? Dr. 15 Caprio. I think that it's an old 16 DR. CAPRIO: 17 paper now, that Dave Heckle put out. He listed something like eleven different potential 18 mechanisms he's kind of thinking about it. 19 And a 20 lot of those come into play when you have a low 21 dose. 22 We are so used to thinking about these

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5 Đ receptors and so on with high dose products. 1 This low dose opens up all sorts of possibilities that 2 3 we would not normally consider. We have some of these colonies that have 4 50 fold resistance and broad based cross 5 resistance and so on, that we don't normally think б about with high dose products. 7 I think we have to remember, like Fred 8 has pointed out a number of times, when we are 9 10 dealing with a low dose product, it's a different 11 ball game. It is hard for us to really -- we 12 don't have the experience to know what is most 13 likely in this case. 14 So it makes your charge to think about 15 possible mechanisms difficult because there are so 16 many potential mechanisms and we don't have 17 experience with these to know which are most 18 likely. 19 DR. PORTIER: Any the other comments? 20 Dr. Gould. 21 DR. GOULD: I want to give some credence 22 in what Bruce was bringing up in a lot of detail.

I think that's a very good research idea. 1 We are paying more attention to Bts and resistance 2 3 management than we have to soil and insecticides. Maybe people wanted to get rid of soil 4 insecticides because they didn't like them, I 5 6 don't know. The thing is to go back to that 7 research question and ask, is there really a refuge or is that something we have dreamed up in 8 9 that case. 10 The same kind of question can then be 11 posed with these Bts again we haven't gotten the 12 I think the discussion yesterday about data. 13 knowing what the selective differential is, again, 14 to get back to your question what is the research 15 agenda we'll get to it later. I don't want to 16 diverge too far. But just, at least, to respond 17 to an important comment. I would also go back to Nick Storer's 18 19 model that is on the docket right now, what he did 20 to validate his model is gone back to the cases of 21 resistance developing to insecticides, looked at 22 what the selective pressures are, look at what his

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population structure is, and see if his model 1 predicted what happened with the insecticide 2 3 resistance and nicely, I guess I would say, it did so that's somewhat similar to what you are asking. 4 DR. HUBBARD: The case that he 5 documented were with high dose products though. б 7 DR. GOULD: I think you have to be very careful with what you call a high dose product. 8 Could you tell me what you meant by, 9 10 those are high dose products? 11 DR. HUBBARD: I would consider crop 12 rotation a high dose, because everything that is 13 laid in soybean fields dies unless there is a weed 14 or something there. Every beetle that tries to 15 grow on soybean roots dies, so I would consider 16 that a high dose. 17 DR. GOULD: Let me respond to that. I 18 think what we know is that there has always been 19 or data indicates there has always been like a low 20 proportion of two year diapause before selection. 21 Right? 22 DR. HUBBARD: For northern corn

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62 1 rootworm. 2 DR. GOULD: That's fine. 3 But when you say it is a high dose, it's a high dose in terms of surviving on soybean 4 It is not a high dose for the northern 5 roots. corn rootworm in terms of being able to die pause 6 for two years. 7 So the genetic mechanism around it, I 8 think we always have to get away from thinking of 9 10 direct adaption, there is indirect ways around 11 things and that is one of them is to wait two 12 years. 13 They didn't evolve to adapt to feed on 14 soybean roots they adapted to have a higher 15 proportion of the individuals diapausing for two 16 years. 17 Now with the western corn rootworm, the issue is the way that they deal with this is they 18 19 go into soybean fields and lay eggs. You 20 consider that a high dose, because if you don't go 21 into the soybean field, and then you have soybean 22 roots to feed on, again it is a high dose.

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6 B But do we know that no individuals were 1 going into soybeans and laying eggs before that 2 it 3 was indeed a high dose in that way? I'm not sure that the selection would indicate that. 4 But I think it is important to look at 5 б these things carefully. 7 DR. PORTIER: Dr. Hubbard. DR. HUBBARD: Just a quick reply. 8 I think that Dr. Chang, when he looked 9 10 at the amount of natural populations that 11 contained extended the diapause, it was in the 12 range of zero to two percent. 13 So that's in the range of a high dose Maybe not quite not the 99.99 whatever. 14 perhaps. 15 So there was some there. 16 You are right. We don't know the 17 proportion of western corn rootworm adults that 18 laid eggs in soybeans previously or outside of 19 corn previously. 20 DR. GOULD: I'm bringing this up but not 21 to completely criticize, I think there is a lot to 22 be learned from looking at these comparisons.

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64 We have an insect that seems to have 1 2 adapted a lot to insecticide. We know it is capable of this because of its potentially, its 3 population structure. Understanding that would 4 help us. I agree with you on that part. 5 DR. PORTIER: I think you are in б 7 agreement. I think are you both saying that there are other avenues of data we could look at to help 8 inform this modeling exercise. 9 10 DR. ANDOW: I guess I would like to move 11 to the space issue. Is that okay? 12 And I'm going to connect it a little bit 13 with the stochasticity issue because we have a 14 spatial stochastic model versus nonspatial 15 discreet models. 16 The first part about stochasticity in 17 space is the grid size is really important. So Dr. Gould's comment and Dr. Caprio's comment, that 18 in some of these stochastic models that allele 19 20 goes extinct. In large part that's because the 21 grid size is small. 22 Now, if you want to figure out how to

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1 calculate how rare an event a spatial stochastic model can actually model, if you think about 2 3 dispersal events, those are basically limited by the number of cells. 4 So if you have a rare event, and you 5 have a 30 by 30 grid, then you are talking about 6 7 90 cells. So you are talking about on average things that are rare on the order of 10 to the 8 9 minus 2. Things that are rare on the order of 1010 to the minus 3, you would have to see lots and 11 lots of runs of this to have the likelihood of 12 picking it up. It will appear as an aberrant 13 event. 14 So if you want to get rare and rarer 15 events picked up, you are going to have to do more 16 and more runs. But the problem with small grids 17 is that there is a wrap around effect. 18 And that that can make it so that the 19 rare events are less likely to appear than you 20 would expect just by replication. So that's 21 something that would need to be investigated if 22 you are concerned about rarer events then 10 to

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the minus 2 for a spacial grid associated with 1 dispersal. 2 3 Now, if you are interested in the issue of population size, then you multiply those grids 4 by the average population size and anything that 5 is on the order of rarer than that, for example, 6 7 if your average population size is one thousand, and have you 100 grid cells, then basically events 8 that are occurring on the order of rarer than 10 9 10 to the minus 4 are not going appear in those models. 11 12 Again, you have to worry about wrap 13 around effects in order to get rare events. Ιf you are talking about things that are occurring 14 10 to the minus 5, 10 to the minus 14, you are going 15 16 to have to be very concerned about the scale of 17 the model itself. Because there are some event 18 that just won't happen. The mathematicians deal with this by 19 20 treating the spacial grid as an infinite grid. Events as rare as you can possibly imagine can be 21 22 appearing in the mathematical results.

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67 1 DR. PORTIER: You lost me a little bit 2 in there since I would assume that as you increase 3 the grid size, you have to adjust the appropriate rates for dispersal to cover the fact that you are 4 looking at smaller discreet units, and that as you 5 go to an infinite grid size you are actually б going to partial differential equations. 7 That's where your discreet event time 8 9 model is going to take you. That would again take into account the issue, so I don't see why that 10 11 becomes a problem. 12 Actually they go to infinite DR. ANDOW: 13 lattices. They don't go to partial differential 14 equations, because partials are actually 15 approximations of infant lattices and they eliminate the effect of a lot of rare events. 16 The 17 thing is that the grid size does limit how rare an 18 event you can expect to be thinking about in that 19 particular stochastic model. 20 That's the fundamental point. 21 DR. PORTIER: Dr. Caprio. 22 DR. CAPRIO: I would like to clarify. Ι

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1	think what Dave was assuming was by changing that
2	grid size, you can either be simulating a finer
3	and finer spatial network or you can be looking at
4	a larger and larger area population.
5	Each patch remains the same size, but
6	instead of looking at 100 you are looking at
7	1,000. That's where you get more individuals than
8	10 times the individuals. And you have more
9	likelihood of picking up rare events.
10	And so there is a you can do it
11	either way, make it more fine scaled or make it
12	much larger scale.
13	DR. PORTIER: Dr. Gould.
14	DR. GOULD: I just want to agree with
15	David. There really is a limitation in stochastic
16	modeling at that level. Steve Peck's model, what
17	Nick Storer's model is based on when dealing with
18	very high doses can only start out at initial gene
19	frequency of 10 to the .03 or .01, or else you
20	always get extinction. This was in a lattice of
21	1200 or more fields.
22	So yes, when you are dealing with

regions of a million and asking what is going to 1 happen, it is important to look at that. However, 2 3 the stochasticity does, you need to take a look at that, because when you are dealing with those 4 low probability events and you are making them 5 deterministic you lose a lot as well in terms of б 7 that assessment. Because what was shown in those models 8 9 is that the patchiness over multiple regions and 10 the stochasticity in terms of spatially, where 11 those fields are don't call it stochastic, call it 12 random or whatever placement really has a big 13 impact. 14 We're in that same boat where you guys 15 were asking us about what is a high dose. Here 16 with are talking about deterministic stochastic 17 models that would be a great discussion for a 18 panel to dealing with something that was coming in 19 for a high dose. 20 I'm not sure it is as relevant in this 21 discussion, because of what we're talking about. 22 So I think there is a whole academic

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area that you could take a course in, in terms of 1 the argument between modelers who are doing these 2 3 empirical more stochastic and deterministic models. 4 I don't know how far we want to go with 5 I think maybe this is enough. б it. 7 Although, I think that the key point I was trying to make is even in these models, if you 8 build in stochasticity, and you are trying to make 9 10 conclusions associated with that stochasticity. You have to be concerned about how rare an event 11 12 you can actually be thinking about. 13 You can't be thinking, again, with a 14 small grid, you can't be thinking the about a very 15 rare event. So I think that's just the main point. 16 17 DR. PORTIER: Any other points on this 18 question? 19 DR. ANDOW: Then the more general 20 question about space versus nonspace, I think the 21 issue has to do with to what extent do we need 22 spatial models and what do they get.

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I think there are several things that if 1 you take average gene frequencies in a spatial 2 3 model, that they will tend to give similar results as the nonspatial model. 4 But what space allows to you do is it 5 allows you to investigate very specifically how б 7 the location of fields may be effected. So questions like, does the refuge stay in the same 8 9 place is a question you can answer with a spatial 10 model that you couldn't answer with a nonspatial model. 11 12 A question like how big of a column of 13 Bt fields becomes a focus for the essentially, the 14 evolution of resistance is a question you can ask with a spatial model that you couldn't ask with a 15 16 nonspatial model. 17 It is important to understand what are 18 the new questions you can ask and to make sure 19 that those are the question that you get 20 information on. Not just sort of redoing the same 21 old questions over and over again. 22 DR. PORTIER: Dr. Gould.

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1 DR. GOULD: I want to reiterate, I agree with Dave that some things, the spatial model is 2 3 not useful for, but for questions about farmer compliance, for questions about where those --4 whether some farmers are adopting and others are 5 not, the spatial model gives you different results б than the deterministic model. 7 So in some cases you get resistance 8 9 developing in the spacial model and you don't get 10 resistance developing in a deterministic model or 11 advice versa. So those are pretty important 12 differences between the two. 13 DR. ANDOW: On the compliance issue, we 14 see that there are issues of compliance. One is 15 are they actually planting the percentage that is 16 asking for? The second are they planting it in 17 the right place? 18 You simply can't answer the question 19 about what is the effect of planting it in the 20 wrong place with a nonspatial model. 21 That's where you get the differences 22 between the two models is primarily on that side

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7 B 1 of the question. 2 DR. PORTIER: Any additional comments on 3 part B? I'm not going to summarize the 4 stochastic nature discussion again. 5 But I will cover the last few. б I think 7 we noted that there is data out there that could be potentially useful in looking at these low dose 8 effect types of events in trying to create better 9 10 models. 11 We talked about the use of potential 12 mechanisms as a quiding tool actually biological 13 mechanisms that drive the toxicity in the 14 species. And then as a general rule, in terms of 15 deciding what level of complexity you want in a 16 model, define your questions and that helps to 17 define which model will actually proof to be 18 better, the spatial issue we were just discussion 19 is one that is clearly part of that entire 20 paradigm of modeling. 21 Shall we move onto part C? 22 Let's go ahead and do question C. Do we

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74 want to go down this list of parameters? 1 We have to have the question. 2 3 MS. ROSE: Please comment on the appropriateness of the following input parameters 4 of these simulation models for corn rootworm 5 protected field corn, resistant allele frequency, 6 7 dominance of the heterozygote, movement of the males and females mating and ovipositional 8 behavior and other genetic and behavioral 9 10 parameters. 11 DR. PORTIER: I will note that many of 12 these issues we have discussed in great detail in 13 parts of the other questions that could be pulled 14 into this question. 15 We don't necessarily have to get into 16 that same discussion all over again just because 17 it is at this question. It is just informative to 18 the panel. Ms. Rose. 19 MS. ROSE: As a point of clarification, 20 specifically on the models we have currently, 21 because that's all we do have to work with and a 22 little bit more of the appropriateness of what is

currently available for decision making. 1 2 DR. PORTIER: With regard to these 3 parameters. 4 MS. ROSE: With regard to these parameters and what appropriateness of the outputs 5 of these and the agency's review of them, based on б 7 -- there are some big differences in some of the input parameters, as far as resistant allele 8 frequency, dispersal, and because of some of these 9 10 differences of what we have to work with now 11 because it will take time to refine the models, 12 what is the appropriateness -- what came -- the 13 outputs of these based on the inputs, if that 14 coming out correctly. 15 DR. PORTIER: Dr. Caprio. 16 DR. CAPRIO: My impression is as I have 17 stated before, I think the models despite all 18 these differences and dispersal parameters, wide 19 variety of parameters that are employed, give the 20 same sort of general frame work in terms of 21 resistance. I think there is surprising agreement 22 among the models.

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75 DR. PORTIER: Dr. Andow. 1 Mike, did you do some work DR. ANDOW: 2 3 and inbreeding and those models were also similarly robust with respect to these parameters 4 we're talking about, with these results, these 5 6 outputs? 7 DR. CAPRIO: Some place they were going to make a copy. I did an inbreeding coefficient 8 of zero and an inbreeding coefficient of .1 and 9 10 approximately has it on to resistance. I don't 11 think we know what sort of inbreeding there is in this particular insect. 12 13 DR. ANDOW: The reason I bring that up is because one of the issues that is not extremely 14 well addressed in any of the models is this idea 15 16 of the 10 day delay in emergence. And that would 17 an appear, I think, the easiest way to model that 18 is within inbreeding coefficients. 19 That's why I thought Mike's point was 20 Mike's results would be particularly relevant. 21 Everybody was given a copy of the table 22 that Fred passed out to try to compare the

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1 different models. I guess, if we are to look at sort of the starting resistance frequency, we have 2 3 already gone over that as could be quite important if, in fact, resistance is quite common now and 4 that would sort of change the whole discussion and 5 texture of the discussion. 6 7 DR. PORTIER: To ask a pointed question. So let's stick to that parameter for a minute to 8 9 ask appointed question, the two different values 10 used were one in 1,000 versus 1 in 10,000. 11 DR. ANDOW: They are all rare. 12 DR. PORTIER: Do we have any information that would advise the agency as to which one of 13 these is more likely to be correct or we just 14 15 don't know. Dr. Andow. 16 DR. ANDOW: I would say at this point it 17 could be even more common. And I wouldn't know 18 for sure, but if some of the work that has been talked about earlier, specifically Lance Meinke's 19 20 work, may shed a lot of light on that as to 21 whether or not we're even in the right ball park 22 here.

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7B DR. PORTIER: So would be fair then for 1 us to advise the agency that for this parameter a 2 3 sensitivity analysis would be very informative on both models, and that sensitivity analysis might 4 be weighted towards greater resistance allele 5 6 frequencies than one in 1,000? 7 Is that a general consensus, I see some nods. Dr. Gould. 8 I think the 10 to the minus 9 DR. GOULD: 10 3 or 10 to the minus 4 is based on some data for 11 lepidopteras pests where the assessments have been made, in terms of what allele frequencies are. 12 13 But, I guess again, the issue of low dose and the ability -- there might be lots of 14 15 different alleles at single low size, many low 16 size is very important to consider in reevaluating 17 it. 18 If we look at a lepidopteras pest, the 19 diamondback moth, which has a different biology, 20 there seems to be more of this polymorphism you 21 were mentioning in India where there is a study 22 published in the Journal of Economic Entomology.

Before any selection pressure with Bt, there were 1 certain isolated populations that were over 100 2 3 fold resistant. So it was just a fluctuation in а polymorphism in that case. 4 But I think having a little bit of data, 5 it wouldn't take too much of a study to show that 6 the initial gene frequency was at least less than 7 10 to the minus two, it wouldn't take very much 8 9 work to do that and that would be very helpful. 10 DR. PORTIER: As a flip side to just I don't 11 doing -- I'm trying to address the issue. 12 know if that was what you were looking for Ms. 13 Rose, in terms of some guidance for this 14 parameter. 15 Being that we don't know the MS. ROSE: 16 initial resistance allele frequency, I appreciate 17 the recommendation that the research be conducted 18 to identify that, but in the mean time is the 10 to the minus 3 or 10 to the minus 4 a conservative 19 20 enough, appropriate enough parameter to be working 21 with until we get that information. 22 DR. GOULD: For risk assessment?

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8 D MS. ROSE: For looking at the models 1 used thus far. 2 3 DR. PORTIER: As it pertains to IRM. DR. GOULD: For risk assessment, I would 4 say I like Mike Caprio's approach of asking what 5 our knowledge base is for assuming that. And then 6 using a model that uses that as your distribution 7 for asking where the risk is. 8 That can be done, so you develop a model 9 10 that looks at what the potential is for it being 11 higher, using that as your mean and then having a variance around that, you could do something likes 12 13 that but not to just assume that's it. 14 If I could, Dr. Gould try DR. PORTIER: 15 to get you to answer the question in the condition 16 that they will use one of these two models. 17 DR. GOULD: In the condition that you 18 will use one of the --19 DR. PORTIER: What are the three models 20 you are considering? 21 MS. ROSE: Andow and Onstad, the ones 22 that I summarized yesterday. They are in the

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8 Monsanto submission, the modified Caprio model, 1 the Andow and Onstad model, and then Onstad's 2 3 model are the ones thus far that have been developed for the corn rootworm. 4 Pretty much they are using .0001. 5 From б what you're saying is that none of them are appropriate if we need to go above and beyond 7 that. So, should we not be considering any of 8 these models at this time? 9 10 DR. GOULD: That doesn't mean that you 11 shouldn't be considering the model. I think Nick made a strong point of the idea of looking at 12 13 relative effect as opposed to years to resistande. 14 I think if you look at relative effect of these techniques, especially with again the 15 16 moderate dose, you are going to see that by 17 lowering the gene frequency all the models are 18 going to tell you it takes a little bit longer to 19 get resistance, but they are not going to differ 20 that much. 21 If you try these models at different 22 gene frequencies you would get a difference in how

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82 many years it takes for resistance to development, 1 not that relative amount. 2 3 But if what you are concerned with is how many years, yes, then it certainly is 4 important to consider others. But in terms of 5 throwing out the models is different than throwing 6 7 out the actual runs that have been done, and ask those people who have the models to do more runs 8 9 for you with a different frequency. 10 That's not the same as throwing out the 11 models. It is just throwing out that parameter. 12 And I just hope that you will consider the Storer 13 model since it is another contribution here. Ι 14 hate to harp on this it is just that it is more 15 detailed and as much as we have heard that 16 sometimes stochasticity and spatial parameters 17 aren't important, sometimes they are. In this 18 pest it might be. 19 I would like to make a comment about 20 this table. The reason I put some effort into starting this, at least, is so that we can make 21 22 some head on head comparisons between the models

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and that has been avoided for quite a while. 1 This is not a complete chart. 2 It is 3 based on what I could do. What I would appreciate is input from the panel on where I'm wrong. 4 Ι also did not have access to the Monsanto 5 6 parameters, unfortunately, before I came here, so 7 those could be added here, and just take a look and see what parameters you actually have in those 8 models. 9 10 Also, I didn't put in what all the 11 results were and compare the results, but I think 12 at least having this in the report will give 13 people a sense we have done our task on that. 14 Dr. Andow. DR. PORTIER: 15 DR. ANDOW: The question on resistance 16 allele frequency is it is probably useful for the 17 risk assessment process to look at higher initial 18 gene frequencies. In terms of dominance of the 19 heterozygote, I think that the range that has been 20 looked at is either quite recessive to sort of 21 intermediate or additive in the Onstad model. 22 In the model that I worked on with Don

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Onstad, the values tend to be on the order of not 1 that recessive .05 to close to additive, but 2 3 doesn't get up to additive. So they are the on the recessive side. 4 On the modified, the Monsanto 5 б modification of Caprio's model it looks like it 7 goes all the way to dominance. I'm not sure how low they went on that case. So now what is the 8 appropriate thing to do? Well I guess everybody 9 10 knows that if the resistance is more dominant,  $\mathbf{i}$ t 11 will evolve faster. 12 So if you are interested in worst case 13 scenarios, then the more dominant cases would be 14 worst case scenarios in terms of what is likely, 15 that is another question that I'm not sure where 16 to come out on this point, except that the 17 literature seems to indicate that when it --18 resistance requires less of a resistance ratio, 19 then there is a greater range of dominance values 20 that you see. 21 So as you get higher and higher levels 22 of resistance, you tend to see lower, more and

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1 more recessivity. So that it is appropriate then to 2 3 explore a wider range of dominance values for this particular case. 4 DR. PORTIER: Is this a case where Dr. 5 Caprio's point about the 11 mechanisms may play a б 7 role in helping to guide you as to what might be an appropriate dominance? 8 DR. CAPRIO: I would have said the 9 10 empirical evidence that Dave mentioned, in terms of what we have seen in selected colonies and so 11 12 on that as the overall resistance ratio decreases, 13 at least the genetic dominance is much more 14 variable. 15 So we can expect if we were going to set 16 probability ranges around this dominance value, I 17 would make it much broader for this low dose 18 event. 19 DR. PORTIER: I'm thinking about for 20 this particular low dose event. What type of data 21 could guide us into choosing a better dominance 22 value? Dr. Hubbard, you had some comments on

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1 this yesterday. No? 2 DR. HUBBARD: I --3 DR. PORTIER: I wasn't going to let you get away, right away, because I know you had some 4 data yesterday in terms of selection pressures. 5 I'm wondering if any of that would be useful in б helping decide, potentially, for what degree of 7 dominance there might be. 8 DR. HUBBARD: I'm not a geneticist, and 9 10 I can't comment on that. 11 DR. PORTIER: Dr. Caprio. 12 I guess the point we're DR. CAPRIO: 13 trying to make is that because of the dose, there 14 is a much -- this is an unknowable parameter until 15 resistance evolves. 16 Asking what value should we plug in here 17 is the wrong question. I think we should talk 18 about the variance, or the expected variance, or 19 the uncertainty of that parameter. 20 I think that's what we're trying to say is the uncertainty is much greater because it's a 21 22 low dose event that the question you are asking,

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what value should we put in, I think is one we're 1 2 trying to avoid because inherently we're more uncertain about this. 3 DR. PORTIER: So, I quess the 4 recommendation to the agency on this particular 5 parameter is that it could range from completely б 7 recessive to completely dominant, and we just 8 don't know. Again, conditional on this model. 9 10 Because if it is polygenic we are maybe not even 11 talking about the right thing. Is that what we're 12 saying? Dr. Andow. 13 DR. ANDOW: I would say there is a bit 14 of a central tendency so it's not like we are 15 looking at a uniform distribution. If that's what 16 you're getting at. 17 DR. PORTIER: Your prior would be to put 18 some additional weight towards the .5? 19 DR. ANDOW: Yes. 20 DR. PORTIER: Okay. 21 DR. HUBBARD: My only additional comment 22 is that there may be -- it may be more likely that

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8 B there is multiple genes for -- in host plant with 1 corn rootworms and European corn bores 2 3 quantitative traits with multiple genes are often see in host plant resistance and those are low 4 dose events generally and may be similar to what 5 6 we're seeing here. 7 DR. PORTIER: Is this being helpful? Do we want to continue moving through the individual 8 parameters here, movement of males and females? 9 10 Anyone on the panel want to take on that 11 parameter? Dr. Gould. 12 DR. GOULD: I was looking at that when I 13 was going through the models for these questions. 14 15 I guess the differences -- the important 16 differences, if you were dealing with a high dose, 17 would be the movement of males before females are 18 mated with those. 19 And the models range from having almost 20 no movement of those males to having random 21 movement from what I gather from the Monsanto 22 model.

1 Again, I have not seen that so I didn't put those parameters down. With a high dose 2 3 model, that can make a major difference. I think it can even make somewhat of a difference at a 4 moderate dose, but not as much. 5 And then if you look at the Storer and 6 the Onstad model, I guess, I may have put 7 something in here for the premating dispersal that 8 is incorrect. This is just a preliminary, but in 9 10 the post mating dispersal, they are not too 11 different in terms of what their assumptions are. 12 They base those assumptions on data from 13 some empirical studies. But I don't know what 14 part of the country those studies were done. 15 Looking at the Spencer paper last night, that looks like it is a completely different kind of 16 17 thing. 18 So I would say these models have data based on very few studies, if only maybe one. I'm 19 20 not sure. So you might want more information on 21 that.

In terms -- to add to that

DR. ANDOW:

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list, the model, the Andow/Onstad model is 1 essentially assuming that there is no premating 2 3 dispersal of the females and there is random postmating dispersal of the females. 4 And the males sort of disperse as they will without 5 б distinguishing between the first versus the second 7 mating. I would also like to add that 8 preliminary work that I have done on varying this 9 10 does bear out some of the comments that Fred and 11 Mike were talking about yesterday, in terms of how 12 sensitive is the model to this. 13 It's a little bit, but you don't get 14 huge differences really varying this too much. 15 Part of the reason that you get it is that 16 basically, if you were to look at the population 17 sizes in the two patches that -- because there ishigh survival in the Bt patch, there is an a lot 18 19 of beetles already there. Generally, the gene frequencies are a 20 21 little bit higher there, because the selection 22 intensity is not a lot higher, so you don't get

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huge differences in gene frequencies. 1 The effect of dispersal really is to carry some of the genes 2 3 from one place to the other. 4 But because you already have a lot of individuals in both fields, and the gene frequency 5 differences aren't hugely different, the effect б οf 7 that movement is less, because it's essentially you know you have to see movement of genes so that 8 9 after the movement you get different gene 10 frequencies for the movement to have a big effect 11 on the evolutionary process. 12 When you have lots of individuals in 13 both fields and you don't have huge differences оf 14 gene frequencies in those two fields it is not 15 going to be -- the movement parameters have got to 16 change a lot in order to get really different gene 17 frequencies after movement. That's just a general 18 property of these types of models. 19 DR. PORTIER: Any other comments on 20 this? 21 DR. HUBBARD: Just to follow up on Dr. 22 Gould's comment, just that it may be appropriate

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to have different input values for the eastern 1 2 corn belt than the western corn belt. 3 DR. PORTIER: I was going to point out that we covered much of that earlier in our 4 previous discussion, that the lack of knowledge 5 оf what is going on in some of the other corn б 7 rootworms is something that plays a role here. Mating and Ovipositional behavior. Any 8 9 panel members want to comment on these? Dr. 10 Caprio. 11 DR. CAPRIO: I'll just mention again 12 from our empirical work with heliothines that 13 ovipositional behavior can impact the source sync 14 dynamics and in doing so impact population 15 dynamics and can under some circumstances, 16 particularly if you start talking about infield 17 refuges, be important to know something about 18 ovipositional behavior. 19 How far these females are moving and 20 where they are putting their reproductive output, 21 whether it is transgenic versus 22 nontransgenic fields.

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DR. PORTIER: But in terms of these 1 2 specific models is there anything we can say about 3 the parameters that have been used and the way in which they have been used, that can guide the 4 agency as to what might be the most appropriate 5 for these -- conditional on these three models. б 7 DR. ANDOW: My understanding, again, not knowing exactly what is in the Monsanto 8 modification model, but an assuming it is very 9 10 similar to what Mike had before, is that the 11 models are assuming local random mating. 12 As I was pointing out before, this issue 13 of local inbreeding may be fairly important as 14 Mike just pointed out with an inbreeding 15 coefficient of .1 you get 50 percent change in the So that could be considered substantial. 16 rates. 17 So yes, I think that that would be an issue that 18 would be wise to look into. DR. PORTIER: Do all the models allow 19 20 you to do that? 21 DR. ANDOW: Not by simple parameter 22 changes, but they can be done. I don't see

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94 anything that says that it wouldn't be simple for 1 -- maybe not simple, but there wouldn't be a 2 3 relatively straight forward way of doing it in any of these models. 4 DR. PORTIER: But that would require a 5 б change in the model? 7 DR. ANDOW: Yes. DR. PORTIER: Again, I'm trying to stay 8 to conditional one, assuming you are giving this 9 10 model to your sister who might not be a computer 11 expert and mathematician and say -- and they want 12 to run it. 13 Again, what would you tell them about the 14 parameters on these issues? I'm trying to make it 15 -- trying to really focus you narrowly into this question for these models. 16 17 DR. ANDOW: On these models I would say 18 you couldn't run it. DR. PORTIER: Dr. Gould. 19 20 DR. GOULD: Again, in the Storer model, what you have is that delay in emergence. 21 And as 22 an interesting finding there that indicates,

95 probably, a lack of inbreeding because of that 1 2 delay. 3 The insects are protanderous, so the males come out early. So the males are coming out 4 in the refuges earlier than the males are coming 5 6 out in the Bt plots. And those males at least in this model therefore have movement and are moving 7 into those plots and they are not relatives. 8 So, it goes in two directions in terms 9 10 of this inbreeding when you have delayed 11 development in a protanderous (ph) insect. 12 I would say that actually the Storer 13 model addresses this. It does allow for random 14 movement within a field. I think there is a movement within the field, but since the males are 15 coming in from outside the field, I'm not sure 16 17 when there is that developmental delay if there is a problem with inbreeding. 18 19 DR. PORTIER: Dr. Caprio. 20 DR. CAPRIO: I'll just point out that there is two different levels of inbreeding that 21 22 we might be talking about, which is within the

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individual, which is sort of what we're talking 1 about with specific developmental delays. 2 3 But there is also a broader issue of inbreeding and what might be viewed as genetic 4 variation between populations. That somehow we 5 look at an overall gene frequency. In fact, one 6 would expect in these populations that are not 7 highly mobile that there would be considerable 8 variation and by chance some of those populations 9 10 will have much higher frequencies. 11 DR. GOULD: I would say the Storer model 12 does address that by having this unit. I agree 13 with Dave, if you extended that to have a million 14 fields instead of 2000 fields you would have more 15 variation. The Peck model addresses that too. 16 17 Actually it was surprising, the Peck model even 18 with holding back movement that by allowing the 19 initial gene frequency to vary before you put out 20 the resistant plants. 21 It didn't vary that much. It wasn't 22 dramatic. I was somewhat surprised if we knew

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97 more about this beetles' movement in the western 1 states maybe we would expect more. 2 3 I would agree with you it needs to be done. But we do have the models to start doing 4 It is not as if the current models can't 5 that. do б that. 7 DR. PORTIER: Any other comments on this parameter? 8 Ms. Rose, do you have other genetic and 9 10 behavioral parameters? Are there any specific you 11 want to get into? Have we addressed these 12 important issues for you? 13 I guess I would characterize our 14 discussion up to this point with regard to these 15 parameters to say that each of the models have 16 different aspects that are good and bad to them. 17 The only way you are going to get a 18 really good feel of what this might mean in terms 19 of insect resistant management, conditional on 20 using these models is to try some of the variations we have talked about where you can in 21 22 each of the models, and use some judgment from

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what comes out of the models; would the panel 1 disagree with that conditional on using these 2 3 models? Any disagreement with that sort of broad, very broad summarization? Dr. Gould. 4 DR. GOULD: I would just add to that. 5 Going back to the fact once you're dealing with б 7 moderate dose these models do not, even with all these little things, the models don't differ that 8 much because they are not sensitive to much in 9 10 terms of a moderate dose. 11 We can work all we want on all this fine 12 tuning, but if you don't have a high dose event, Ι 13 don't know why we're wasting our time on that 14 somehow. 15 Maybe I'm wrong, I don't want to 16 exaggerate it. You could have density dependent 17 stuff going on we don't know enough about density 18 dependent mortality in these models, for the larvae and all that. 19 20 Somehow, I think we're playing a game, 21 like we're dealing with a high dose thing and 22 worrying about these things.

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99 I do have a couple points of 1 MS. ROSE: clarification, but just on your last comment, 2 3 Fred, are you saying you don't think the models have much utility at all for a moderate dose? 4 Am I hearing that? 5 6 DR. GOULD: I guess what I'm saying is, 7 I could build you a model on the back of a napkin that would give you pretty much the same results a 8 lot of these models would in terms of a moderate 9 10 dose. 11 That the answer is pretty 12 straightforward typically. So, I know -- I think 13 they do have relevance. I think they are they are 14 basically telling you that because of our 15 uncertainty and the lack of data to go into them, 16 we have a lot of uncertainty risk assessment. 17 It is not saying anything about the models being bad. We're talking about trying to 18 19 worry about parameters in terms of varying them, 20 where maybe it is not as important at these 21 moderate doses. 22 I think I could show you this by showing

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one of Nick's overheads from yesterday. 1 DR. ANDOW: I would prefer not frankly, 2 3 because it is on a log scale it doesn't reveal that fine scale. 4 DR. GOULD: I think I could deal with 5 that Dave, because if you wouldn't mind. б 7 DR. ANDOW: Go ahead. DR. GOULD: I don't think it will 8 disturb us too much. I think it will show you the 9 10 answer to what you are talking about a little bit. 11 DR. PORTIER: You had some other 12 questions? 13 I'm not sure if the other MS. ROSE: 14 points of clarification are as relevant after 15 Fred's last comment. But there are some other 16 aspects. First of all if the panel recognizes any 17 worthy of discussion. But also 18 parameters such as refuge being fixed, or random 19 placement of refuge, and also the -- I don't know 20 that only one of if three models considered in 21 infield refuge, the necessity of looking at 22 infield versus external and some of those

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parameters if the panel could discuss some of 1 those as additional. 2 3 DR. ANDOW: Aren't those in the next question? 4 MS. ROSE: The next one is just 5 insecticide. б 7 DR. ANDOW: The next part of the question is on insecticide, but the fourth 8 question is about refuge and refuge placement. 9 10 MS. ROSE: If you feel it would be more 11 appropriate we can discuss some of those things. 12 But we were thinking in terms of the input into 13 the models themselves and the importance of the 14 consideration of these parameters for one thing in 15 these models. DR. PORTIER: Dr. Andow. 16 17 DR. ANDOW: In terms of how the models 18 deal with fixed versus random or placement of 19 refuge, any of the patch models treat refuge 20 placement as random. 21 You can only go to the fixed models if 22 you have some sort of spacial structure in the

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The same with the placement of the refuge, 1 model. you need spatial structure in the model to get 2 3 there. So, if you have any questions associated 4 with those, only models that deal with spatial 5 structure explicitly can handle those questions. 6 7 DR. PORTIER: Ms. Rose, let me get back to the original point. I think Dr. Gould's 8 response is not going differ from much of the rest 9 10 of the panel on this regard, in the sense that 11 I get the feeling you are trying to seek from us some feeling about in what situation what is the 12 13 best model to use. 14 I think what you are getting back from 15 the panel is the concept that we are not going to 16 support any of these models per se, because they 17 all have flaws from the basic point of this is a 18 low dose event versus a high dose event and they 19 are developed for high dose events. I don't think we're saying they are not 20 21 useful. I think we're saying there is a lot of 22 aspects to all the models that you can't just

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choose one and say this is clearly the best. 1 And I think that's the problem. 2 So our 3 answer to the question about random field placement is going to be that there is only one 4 model that allows you to do random field placement 5 б and you are going to have to rely upon the 7 predictions of that model. Because the others can't help you with 8 that prediction. And we don't know how important 9 10 it's in this case because we're not confident with 11 any of the models with regard to this particular 12 issue. Is that sort of the general concept? 13 DR. GOULD: We have to say we're 14 confident about the models. What we're not 15 confident about is the parameters which you are 16 putting into the models. 17 If you knew what the parameters you 18 could put them into these models and they would be 19 very good. But we keep saying we don't know what 20 the parameters are to put int. 21 DR. PORTIER: That's a slightly 22 different point than I was making. On the

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previous discussion when we talked about the 1 actual functional forms of the models, you had 2 3 considerable concern about some of the aspects, the basic assumptions, that go into these models. 4 And you are presuming that a new model 5 б which uses assumptions that might be more 7 appropriate to this case is not going to be fundamentally different. 8 9 I don't know that we can presume that. 10 DR. GOULD: That's what I'm saying, 11 before you know the parameters, I wouldn't say 12 that the models are -- I agree with you on that in 13 that way it depends on how you phrase it. 14 All I'm saying we know so little about 15 the parameters that are important here, you can't 16 expect the model to give you a good answer if you 17 don't put in good data. I wouldn't be as critical. I think the models for what they are 18 19 made out to be we would rely on them. It's a 20 different perspective. I'm stuck. 21 DR. PORTIER: Dr. Andow. 22 DR. ANDOW: I guess I would say the

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general point is that there are a lot of 1 structural differences in the models in terms of 2 3 what they include, what they don't include. 4 We think that changing that will have some effect on the output. But how big of an 5 effect it would be is -- you would have to see б some very big changes in some of these models in 7 terms of their parameter values to get those big 8 9 changes. 10 And that in general, some critical 11 issues they are all communicating about the same thing, that if you are talking about resistance 12 13 occurring starting from initial frequencies. 14 That's probably the key one. If you are 15 starting from the low initial frequencies you are 16 talking about on the order of 15, 25 years for a 17 lot of parameter values. 18 That's sort of a key point. So if it is 19 more common, then of course it is going to be 20 lower, if resistance is more common, the time to resistance will be faster. 21 22 DR. PORTIER: Dr. Hellmich.

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I'm trying to get somebody else into this 1 discussion. 2 3 DR. HELLMICH: I understand that these one locust, two allele models are like that 4 because computationally if you get into multiple 5 alleles or the low side it is very difficult. 6 Ιt seems like we need some polygenic models here. 7 I know animal breeders have been using 8 quantitative genetic models for years. Are there 9 other models that we could fall back on that would 10 11 be more appropriate for these -- for this event? 12 DR. GOULD: I would imagine that 13 quantitative genetic models would be fine for this 14 kind of thing. We're not talking about something sophisticated here, when you ask what are the 15 research questions, they are not that 16 17 sophisticated. 18 We just need to get the data on what the 19 additive genetic variances in the populations and 20 then plug them into a quantitative genetic model 21 -- it is not even a computer simulation model. 22 Just to get a feeling what response to selection

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107 might look like. It is not the biggest deal. 1 Can I introduce at this 2 DR. WHALON: 3 point another point that is germane, I think. DR. PORTIER: Dr. Whalon. 4 DR. WHALON: Just a caveat, I would 5 reference the discussion we had yesterday on б 7 mortality events and the behavior along the root grazing et cetera. Some input that we had from 8 some of the documents that were provided regarding 9 10 root exudates and hypothesized high dose in some 11 areas, et cetera. 12 As we talk about these potential 13 multigene quantitative genetic effects we're 14 really talking about trying to understand what the 15 multiple mechanisms for mortality are among first instar larvae affected by these plants. 16 17 I see that as an area that could be fruitful in terms of additional research and just 18 wanted to insert that in this discussion as 19 20 something that could be done. 21 DR. PORTIER: Dr. Andersen. You have 22 been trying to get into this.

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108 DR. ANDERSEN: It's all right. I think 1 that what the panel has come to has given us some 2 3 pretty good guidance about what we can do with these models and some of the limitations of them, 4 in the sense that these are models at high dose 5 and we're probably looking for what we need to 6 7 have a model that more realistically mimics this situation where we have a low dose, or a moderate 8 dose, at least not a high dose. 9 10 And that has been useful to really 11 clarify for us. It also -- I'm summarizing for 12 you, but I do think you have given us some advide 13 how we can use the models we do have. 14 Either now or in the future I think 15 we're going to be looking at an appropriate model for this situation. 16 17 DR. PORTIER: Good. I'm trying to move 18 us forward because we're going to be bogged down 19 on this question for another hour if we don't. Ιt 20 sounds to me like we have given you the general 21 advice you are looking for. 22 Any final comments for the panel on this

109 1 question? Dr. Gould. 2 DR. GOULD: I want to make something 3 clear. If you are thinking these are not relevant, please don't take as a take home 4 5 message. The thing is some of the extreme 6 7 parameters in all this debate, that's why I was worried about getting into this debate, it is 8 academic. 9 10 All of those models are pretty relevant 11 in the range of .6 mortality and all give you the 12 same answers that's not to say that the initial 13 frequencies are wrong, but most models are pretty 14 relevant in that regard. 15 You don't need a whole bunch of different stuff. We're talking about it is easier 16 17 now for any model to give you an answer at those 18 frequencies, at those mortality frequencies. 19 These models, don't throw them out they will give 20 you quite the answer you want. You could make 21 them simpler and they would give you the answers. 22 DR. ANDERSEN: I think you have given us

good advice, and we wouldn't throw them out. 1 Ι think what we're try to go get to is that we may 2 3 be somewhat beating a dead horse to actually try to make these particular models a whole lot 4 better. 5 We may really need to look at б 7 substantially different mechanisms, something like the quantitative genetic models that you are 8 talking about. 9 10 DR. GOULD: They won't give you that 11 much of a different answer. Where you need the 12 information is on the parameters, all those models 13 are going to give you pretty similar answers even 14 the quantitative genetic models at those levels. 15 Maybe people want to disagree with us, but I don't think so. 16 17 What you need are the parameter 18 estimates. You put those parameter estimates in 19 those models and then you have a -- don't put all 20 your work into coming up with any new models, that will take us two weeks and we'll have it for you. 21 22 What you need are parameter estimates

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which will take you years to get. 1 2 DR. PORTIER: Any other comments from 3 the panel? Dr. Andow, any last comment before we move on? 4 DR. ANDOW: I was going to point out 5 б that the key parameters involved in a lot of these 7 models including the high dose models, is essentially, one could characterize it as the 8 fitness differential and we were talking about 9 10 this last time. 11 And the difference with high dose models 12 is that there are parameters that modify that 13 fitness differential that are involved in the 14 details of the ecology. And as you get to the 15 lower and lower dose models, what happens is that 16 the prominence of those modifications of selective 17 differential decline in importance, and the 18 prominence of the selective differential rises in 19 importance. 20 Which is why all these other parameters 21 have less influence. That's why all the different 22 models are giving essentially similar results

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despite the variation is because the key thing is 1 to look at the relative fitness between the RR's 2 and the SS's with a little bit of modification for 3 the RS's. 4 DR. PORTIER: I'm going press on and 5 we're going to finish this question before we take б 7 a break. That will hopefully make you be very articulate. 8 9 If we could go to question, part D on 10 question three, please. How does insecticide use in 11 MS. ROSE: 12 the refuge and or Bt fields affect the predictions 13 of time to resistance. 14 Dr. Caprio. DR. PORTIER: 15 Paul, did that table ever DR. CAPRIO: 16 get copied? 17 DR. LEWIS: Yes, it was distributed this 18 morning. I think everybody should have a table 19 with a title page from Dr. Caprio. I'm not sure where it ended 20 DR. CAPRIO: 21 But in any case, basically -up. 22 DR. PORTIER: Do you want to just go

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113 ahead? 1 2 DR. CAPRIO: I'll just explain what it 3 said. I looked at different refuge sizes and various amounts of insecticidal use in those 4 refuges, and the default assumption has always 5 been you know, if you take away 50 percent of a б 20 7 percent refuge, it is going to act essentially like a 10 percent refuge there is a little bit 8 difference because there is a little more Bt 9 10 product out there. I would just say in 11 that table if you looked at a 20 percent refuge 12 with 50 percent mortality due to a spray, you have 13 essentially the same number as if you had a 10 percent refuge if you had a 50 percent refuge and 14 15 got 20 percent survivorship after the insecticide. 16 17 You came reasonably close for a 10 18 percent, the same values you got for a 10 percent. 19 This is more impact because there is more Bt crop. 20 It seems -- if you pull out those 21 individuals out of that refuge, you are decreasing 22 the relative size of that refuge compared to your

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transgenic crop. And it is going to have an 1 impact, it will hasten the evolution of 2 3 resistance. DR. PORTIER: Dr. Andow. 4 DR. ANDOW: I will not disagree with 5 that assessment for these low dose event. б 7 DR. PORTIER: Dr. Whalon. DR. WHALON: I have a question relevant 8 9 to that assessment. Do we need a larger refuge 10 given the principal of conservation? 11 DR. CAPRIO: I think that will come up 12 in another question when we discuss refuge, but I 13 think it is relevant given this -- I think we need 14 to remember this discussion when we get there. 15 DR. PORTIER: So what stands if you are 16 going to spray the refuge, you are going to 17 decrease the time to resistance. 18 DR. CAPRIO: Correct. 19 DR. PORTIER: Dr. Gould. 20 DR. GOULD: I just think we need to 21 address the other part of the question of or Bt 22 fields if you are spraying in the Bt fields, what

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115 is the effect of that? 1 2 Is that addressed already? 3 DR. WHALON: Could I introduce a thought that is relative to that? 4 It strikes me that you have two 5 different situations here. One situation is a 6 recommendation or at least in the materials that 7 we have got from the understanding of EPA to 8 Monsanto's proposal for an IRM, that they would 9 10 allow seed treatment in the refugesea. And that other insecticide treatments 11 12 based on economic injury level and IPM monitoring, 13 et cetera, would be applied uniformly to both the 14 MON 863 and the refugesea. 15 And at least that's my understanding in 16 this context. Is that what you are addressing? 17 DR. GOULD: I guess there is a lot of 18 biology here that is important. You have to ask what the interaction is 19 20 between the Bt and that insecticide use. 21 If we're talking about the refuge having 22 very high population densities and having density

dependent mortality, adding a density independent 1 factor if that is how the insecticide works might 2 3 not lower the population that much. I'm not sure what it would do. 4 But in the case where Bt is acting first 5 or after the insecticide you would have a very 6 different interaction effect. If you are starting 7 with a low population that already does not have 8 density dependent acting, then you might have a 9 10 different effect. 11 Again, I would say we don't have an 12 estimate of those parameters and that would be 13 useful research to do. 14 I think we could more easily answer the question what would be the effect of just spraying 15 16 the refuge or just treating the refuge answering 17 the question of treating both and then a question 18 of just treating the Bt ones and not the refuge. I think that we need more research on 19 20 that. 21 DR. PORTIER: If I could ask a simple 22 question. Aren't most of your concerns that you

117 have just discussed dealing with the magnitude of 1 the effect, but wouldn't 1 argue that in most 2 3 cases, in most scenarios you could think of, if you treat the Bt fields you are likely to increase 4 the time to resistance, you are not likely to 5 decrease it? б 7 DR. GOULD: I think in a risk assessment perspective, I think I would say that the 8 likelihood is on that side, I agree. 9 10 We might be surprised by the biological 11 data and therefore it is not so hard to collect 12 that data. We ought to know that, but agree with 13 you, yes. 14 Dr. Caprio. DR. PORTIER: 15 The real relevant question DR. CAPRIO: 16 though was pointed out is that they are talking 17 about you have to treat both refuges and Bt And if that -- the default assumption in 18 fields. 19 doing that is that that insecticide has the same 20 impact in both those patch types. 21 If the impact of the insecticide is 22 dependent, is very different in the Bt field than

the refuge, then that can be an extremely relevant 1 question and it may not go the way you would think 2 3 it would. DR. PORTIER: Under what condition would 4 it not go. So that if someone were looking to 5 design an experiment to address that question, 6 what condition can you think of where it would, in 7 fact, not go in that direction? 8 9 DR. CAPRIO: When you get into these 10 questions of density dependence and you are seeing 11 either more mortality in the refuges. I think dhecase from cotton is that, in fact, you are seeing 12 13 more mortality in the Bt fields because they are 14 more stressed. 15 I think it is just something that we need to do research on and find out some of these 16 17 potential interactions. There is another scenario 18 DR. WHALON: 19 may be that we haven't -- not to muddy the waters 20 still further, but what we have essentially in 21 this MON 863 event is a differential success 22 generating mechanism among species of corn

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1 rootworm as well. So where you have overlapping species, 2 3 you may favor one species over another. Hence, actually exacerbate a change in management 4 strategy in the time frame we're talking about. 5 DR. ANDOW: I would like to address the 6 7 question of how insecticides might get different results depending on -- I have been thinking about 8 this in the context of the corn bore issue. 9 But Ι think it translates into the corn rootworm issue. 10 11 If we think specifically about 12 adulticide applications of corn rootworms and 13 or if we think about insecticide applications 14 while the adults are out there and those insecticides may have adulticide effects even 15 16 though they weren't aimed at the adults. 17 So you might spray something that --18 against the corn bores, for example, that also has 19 adulticidal activity to the rootworms or you might 20 spray something against spider mites that also has 21 adulticidal activities. 22 And if you spray say something that has

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120 -- adulticidal activities that is sprayed early in 1 the emergence period of the rootworms, it is going 2 3 to be selective on the rootworms. So the later emerging rootworms are the ones that are more 4 likely to be resistant at this point in time. 5 If you kill the early emerging ones, 6 7 then you are essentially giving the resistant types an advantage. If you spray something late 8 in the emergence period, you may be differentially 9 10 killing the resistant types in which case you may 11 be delaying resistance further. 12 I think that the timing issue could 13 interact with the genotypes, resistant and 14 susceptible genotypes, in such a way as to either 15 accelerate or delay resistance. 16 DR. PORTIER: Any other comments from 17 the panel on this question? Is that clear? 18 DR. ANDERSEN: Yes, thank you. 19 DR. PORTIER: Okay. Let's go ahead and 20 take a 15 minute break and come back and start 21 where we were supposed to start this morning with 22 question four.

121 (Thereupon, a brief recess was taken.) 1 DR. PORTIER: Welcome back to the SAP 2 3 meeting. If we could have the first of our remaining polyploried (ph) questions read to us, 4 starting with four A. 5 б MS. ROSE: There are actually six 7 subsections to the refuge questions. EPA has concluded that a 20 percent refuge is adequate to 8 9 delay resistance during a three year period. Part 10 A, please comment on whether this refuge strategy 11 is adequate to delay resistance. 12 DR. PORTIER: Dr. Hubbard. 13 DR. HUBBARD: I'm going to repeat a 14 little bit, and still try to be brief. MON 863 15 produces a number of survivors. 16 The root tissues express the endotoxin 17 at levels below the LC 50 for newly hatched 18 nondiapausing corn rootworm larvae, and a little above the LC 50 of an average of 10 field 19 20 collected populations. 21 Changes in larval feeding behavior on 22 MON 863, ie the grazing on the exterior of the

roots versus tunneling inside may enhance 1 survivorship on MON 863. The exterior of the 2 3 roots may contain lower dose of Cry 3Bb endotoxin, but this has not been documented. 4 As evidenced by the large number of adults produced, 5 the low level of endotoxins in the roots relative б to the LC 50 and perhaps facilitated by an altered 7 feeding behavior, susceptible survivors are likely 8 produced from MON 863. 9 10 Corn rootworm management tools which may 11 be classified high dose such as, crop rotation, 12 broadcast use of cyclodiene insecticides sprayed 13 for adult control, all have resulted in the 14 development of resistance after ten, 15, 20 years. 15 But none of these were tactics that were employed 16 with an internal or an external refuge. 17 Organophosphate soil insecticides have 18 been used for corn rootworm for more than 30 years 19 without an outside structured refuge aimed without 20 the development of resistance. 21 With the high production of beetles one 22 could conclude that tradition soil insecticides

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are low dose and have a built-in refuge which 1 produce susceptible adults. 2 The 3 scenario delaying resistance to soil insecticides as a low dose may delay resistance to MON 863, 4 which is also a low dose. 5 Additional factors favoring the б 7 likelihood of delayed resistance include the delayed emergence of beetles from MON 863, 8 increasing the likelihood that susceptible males 9 10 immigrate and will compete favorably with resistant males for resistant females. 11 12 Problems exist with the plant. It may 13 be tempting for growers to plant a refuge on 14 fields previously planted to soybeans because of 15 reduced corn rootworm control costs. 16 A mechanism should be in place to 17 document prior crop history so that the refuge 18 indeed produces adult beetles. The management 19 plan put forth by Monsanto states that the refuge 20 should have the same management options or 21 practices and cropping history but did not put 22 forward a mechanism to document this.

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1 In summary I an agree with the conclusion of the rest of the NCR 46 committee, 2 3 that the probability of rootworms developing resistance to Cry 3Bb1 during the interim 4 registration period appears to be low. 5 DR. PORTIER: Dr. Whalon. 6 7 DR. WHALON: I don't know how this is а question of procedure -- how best to include a lot 8 of the discussions that have gone on before. 9 And 10 maybe I just ought to preface some of the things 11 that have been said in the other areas by saying 12 ibis, then I am in a sense, covered and introduce 13 a couple other points. Is that, okay. 14 DR. PORTIER: Yes. 15 I think that the goal of DR. WHALON: 16 the refuge is pretty obvious it is to ensure 17 adequate production of susceptible beetles and 18 encourage their moving into the transgenic corn 19 produced beetles such that there is intermating. 20 I think that the panel has low to 21 moderate assurance that the 20 percent refuge will 22 accomplish this given all the parameters

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associated with it. I, some what tongue and 1 cheek, it's not a high confidence, in the sense 2 οf 3 a high dose confidence. Generally from my perspective, the 4 suggestion that one can see resistance early 5 enough, given the kind of scouting tools that are 6 out there right now, I think is not an appropriate 7 conclusion. 8 And in fact you need better tools or 9 10 maybe alternate ways of thinking about it. And Ι 11 think some of our discussions yesterday are 12 relevant to that arena, especially sentinel crops. 13 I think that the agency's documents 14 appropriately have identified other tactics, and these have been mentioned by Bruce and also in 15 16 previous discussions. They include crop rotation 17 and other strategies for insecticide management, 18 and those are key components of this strategy. 19 A second key issue in any IRM strategy 20 is the effective estimation of the selection 21 intensity in that, and that discussion was held 22 already and should be abridged in here where

I do think that there are some critical 2 3 research needs and those also have been mentioned variously, but especially in the area of 4 monitoring and detection and development of 5 putative resistant strains and I will come back 6 7 with further comments under the other sections. Thanks. 8 DR. PORTIER: Dr. Hellmich. 9 10 DR. HELLMICH: I don't have a lot I want 11 to add to that, but given that the presentation that Nick gave yesterday showing that there is a 12 13 pretty low response curve with refuge, that you don't really get that much of a gain going from 14 20 15 to 30, 40 percent. I think that the in this case, the 16 17 refuge, 20 percent refuge recommendation we have 18 with corn bore is compatible with this. And if 19 we're looking for simplicity and the potential of 20 stacks in the future, I think that it is good to 21 get a refuge out there that won't be changing. 22 In the past, when the problems we had

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with the European corn bore refuge, is that there 1 were mixed messages of how much corn growers 2 3 should plant. I think that establishing a 20 percent now is good, because we're looking to dhe4 future. Especially given that 5 changing it from to 50 percent, like I said б 7 before, wouldn't really give you that much of an advantage because of the low dose. 8 I guess there could be some question in 9 10 the future maybe whether or not even a refuge is 11 required in this case. 12 But we may not want to discuss that 13 right now. 14 DR. PORTIER: Dr. Caprio. 15 DR. CAPRIO: I guess the simple answer 16 is to take the question at face value and say will 17 it delay resistance. I think a 20 percent refuge 18 will. 19 I think you know as I look at the 20 handout that I gave you, if you compare the 21 difference between 10 and 20 percent versus 20 and 22 50 percent, there is much more to be gained going

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128 from 20 to 50 percent. 1 2 Certainly if you look at the right hand column 3 where you have zero percent survivorship in refuges there is clearly those numbers should be 4 all identical for the different refuge 5 sizes, because there is no survivorship in the б 7 refuges. There are an example of what Rick 8 mentioned with no refuges, and it clear that 9 10 refuges do have a large impact. 11 So again, the question comes down to 12 will it delay resistance enough. Will it delay 13 resistance for 3 years? Yes. Will it delay 14 resistance for 15 or 20 years? That's more questionable. 15 16 I'm not sure that it is an overly 17 conservative plan in that vein. 18 DR. PORTIER: Any other comments on this 19 question? Dr. Neal. 20 DR. NEAL: I guess I would like to point out that this is not a high dose strategy, so that 21 22 the numbers of beetles being produced in

transgenic fields are going to be relatively high. 1 2 3 So in a high dose strategy, we're comfortable with 20 percent refuge because the 4 numbers of beetles being produced, compared to 5 those being produced in the transgenic field, are 6 very high. So that the likelihood of intermating 7 between resistant beetles is very low. 8 In this particular case, you have got 9 а 10 situation where there is not a lot of selection 11 pressure coming out of the low dose treatment. So that you are going to -- if you did have an event 12 13 take place where you had beetles that were highly resistant, they would also have a chance of 14 15 intermating with nonresistant beetles coming from that same field. 16 17 But you also have a situation where in 18 these transgenic fields you are going to be selecting for low levels of resistance. 19 So 3 to 20 10 fold resistance most likely over time. 21 So in order -- if you are interested in 22 preventing that low level resistance, then you are

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1 going to need more immigration which would suggest larger refuge just from the fact that you have 2 3 only got about -- you have got such a high survival in those fields. 4 So you have a lot more beetles. So you 5 б just need more beetles to compete with them. 7 DR. PORTIER: Dr. Andow. DR. ANDOW: I would like to make a 8 couple of points and the first point is that I 9 10 agree with some of the previous comments, that 11 this is not a conservative plan, scientifically 12 speaking. 13 If I look at the 11 points that Monsanto 14 made as to why it is conservative in their interim 15 proposed plan I can identify the placement 16 position that it should be placed next to the Bt 17 fields, as a conservative piece to that plan. 18 Because of uncertainty we don't really know how far it should be, and that's a 19 conservative decision, but of the other 11, I 20 21 can't identify them as being conservative. 22 So for example, adoption while it is probably

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true that adoption country wide will be relatively 1 slow in the first year, the second year, at least 2 3 based on the experience with the other Bt corn, it jumped up very quickly over a very short period 4 οf time. 5 Especially when you look at localized 6 7 areas and similarly it could happen here. So that wasn't a conservative argument they were making. 8 9 In terms of grower adoption, what we heard 10 yesterday is that all of the growers would 11 individually choose to plant only a small portion, 12 maybe one of their fields to the Bt corn during 13 the first year, and depending on what they found, 14 they might increase it a little bit each year. 15 So that in terms of adoption, the long 16 term piece seems to be that they want it 17 consistent with the corn bore refuge -- but the 18 short term piece seems to be that they are only 19 going to be take it on individually a little bit 20 at a time. 21 So that that piece really isn't a 22 conservative piece either, and then the

insecticide piece I think has created -- Bruce's 1 analysis of the insecticide piece suggests that it 2 3 is uncertain to what extent the insecticides act as good models for either the fast or the slow 4 evolution of resistance and it will take more 5 research on the insecticide side to be able to 6 demonstrate that. 7 So that using that using an uncertain 8 9 argument to argue conservatively is -- it just 10 doesn't hold. 11 So then the second point has to do with the interim nature of the plan and whether or not 12 13 we're really dealing with an interim plan, I think 14 is something that we should consider. Yes we are, in fact, thinking about it as a three year plan. 15 16 But we also know from our previous experiences on 17 these plans is that it is very difficult to change 18 them once they get started. 19 So that it might be useful for the panel 20 to be thinking about in not just for the three year period, but sort of if it were to stay this 21 22 way for the whole time, is this a good way to take

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1 the first step. Now if it was going to change, we know 2 3 that it would be very difficult to make it in any way more difficult for growers. So we couldn't go 4 from like a 20 percent refuge to a 50 percent 5 6 refuge very easily. It may be easier to change 7 from a 50 or a higher percent refuge the a lower percent refuge. And, in fact, growers may applaud 8 that as being quite -- a positive move by the 9 10 government. 11 But in any event, we should note that 12 change is not uniformly easy in both directions. 13 So if we're thinking about this in terms 14 of its interim nature and what kind of changes are 15 possible, we should be thinking about that and 16 then the final piece on this interim nature in 17 terms of my questions yesterday, to Dr. Vaughn, it 18 was pretty clear that the approach is in the 19 current proposed plan is to not stress through 20 communication to growers that this is subject to 21 change in three years. 22 I guess I'm a little worried about

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184 putting all the chips on a particular plan that is 1 not conservative at this time. And just simply 2 3 but that's not to say that there aren't other approaches that could allow a temporary 4 registration to go forward. 5 б DR. PORTIER: Any the other comments 7 from the panel? Dr. Gould. DR. GOULD: I'm almost afraid to 8 introduce this, but I'm having a pretty hard time 9 10 with this whole business. I quess it comes to 11 this point of is this adequate. That's what you 12 said, adequate. 13 I feel we're being forced into making 14 policy without the science, and I don't like this. 15 I think the whole idea was that this was supposed 16 to be science based policy. 17 What we have been discussing in the last 18 day and a half is the fact that we don't have the 19 science. We're lacking the parameter estimates, 20 everything is based on some kind of an idea of 21 what do we have out there. 22 When you asked is it adequate to delay

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1	resistance, it is pretty vague question.
2	Certainly most of the models will tell you any
3	amount of refuge will make it delay it, but you
4	don't need a refuge to not have onset of
5	resistance in that three year period.
6	I think what Dave is saying is very
7	important. You are setting a president.
8	Unfortunately, I have been through this twice
9	already with the bowl worm situation where
10	somewhere we're forced in to come out without the
11	science, come up with some estimate somebody has
12	thrown out, and decide that that's okay.
13	Then when we try to move from a 4
14	percent refuge to 5 percent refuge in cotton,
15	because as the data is coming up, we can't do it,
16	because it won't happen. I think this president
17	with the farmers is very important. For
18	us to start without science and come up with some
19	number like 20 percent seems to me if you want a
20	policy, that's fine. But if you want a science
21	based policy, we can't give you that.
22	I guess my feeling is we're not ready

186 yet. I say that it's not adequate. I think we 1 should go back and get the science first, if you 2 3 want a science based policy. If this is a question of just you want to decrease the use 4 of organophosphate pesticides and therefore you 5 want it out that's fine to make that decision. б 7 But if you want a resistance management plan, we don't have one. 8 All the data given here is sort of 9 10 taking a high dose strategy and trying to throw 11 some numbers in there and assume that you have it 12 when you don't. 13 I don't think the emperor has any 14 clothes here. I'm not willing to go on with this 15 thing. So, that's the comment. 16 DR. PORTIER: Agreements or 17 disagreements? Dr. Andow. 18 DR. ANDOW: I'm sorry. Why don't you 19 get agreements and disagreements --20 DR. PORTIER: Do you have a different 21 issue? 22 DR. ANDOW: A slightly different issue.

1B7DR. PORTIER: I think Dr. Whalon said to 1 some degree the same thing you said, in terms of 2 3 level of comfort with this issue being low to moderate at this point because of lack of science. 4 DR. WHALON: Well, first off, 5 6 anecdotally, if that is a shy comment that Fred just made, I would hate to see a forceful one. 7 As I have come into this and listened to 8 9 the process I'm inclined to agree in this context, 10 that I think that we have to err on the side of 11 conservation and the principal of conservation should rule here in this situation. 12 13 If the agency were to move ahead it is their decision whether to move ahead or not. 14 Аs а 15 science advisor in the process, I would say there 16 are a lot of parameters that we don't have, a lot 17 of uncertainty. 18 There are other areas that the agency 19 makes decisions in that are not unlike this, so 20 what I would say is if you look at the benefit 21 side of this risk decision, there are significant 22 benefits associated with this technology and

188 moving this technology out. 1 There are also significance science advantages in moving 2 3 it out in the sense that you can actually do monitoring and do things in the field, and I say 4 that all with the caveat that if anything, we 5 ought to err on the side of conservation if this б 7 moves forward. DR. PORTIER: Dr. Hubbard. 8 9 DR. HUBBARD: The last point, especially, I very much agree with. Some of the 10 11 science that is necessary to do depends upon large 12 scale field studies being -- large scale field 13 plots being available, and they are not 14 necessarily going to be there unless the 15 registration moves forward. 16 You need to have the quantities of seed 17 available to do some of the studies that should be 18 done. 19 DR. PORTIER: I just want to reiterate 20 the point that we're not making a registration 21 decision here. 22 DR. HUBBARD: I apologize.

189 DR. PORTIER: And that this is -- the 1 2 question before the panel should not hinge upon 3 the need for a commercially viable product in order for us to do large scale field studies. 4 think that's not that's not an issue for this 5 panel to consider. б 7 What we're here to consider is the scientific issue. And I think Dr. Gould has 8 thrown a gauntlet in front of this panel saying 9 10 there is not sufficient science to support the 11 adequacy of this decision. 12 I think it is up to this panel to either 13 counter Dr. Gould's points or agree with him and 14 give EPA some sound scientific advice on this 15 issue. Dr. Caprio. I would like to echo Fred's 16 DR. CAPRIO: 17 comments, in that, I think given the adoption 18 rates we're talking about, we have to remember 19 that if we accept this interim plan, we are in 20 essence, Dave's point is absolutely correct, we 21 are in essence accepting that the maximum amount 22 of refuge we can ever have for corn rootworm is 20

140 percent. 1 I think it is far too early in the 2 3 process to make that decision. Given the low rates of adoption that one would expect, I don't 4 think it is a decision that needs to be made right 5 at this point. 6 7 I think we're much better off accepting a more conservative approach, and letting this 8 ultimate decision take place after we have gotten 9 10 more of the information that we need. I don't 11 think it is a decision that we ought to be making 12 right at this time. 13 DR. PORTIER: So I'm going to flip this 14 over again and point out that Dr. Gould's comments 15 about difficulties in changing the sizes of these 16 plots again should not be something that enters 17 into our debate on the scientific integrity of a 18 management tool. I think we need to consider to some 19 20 degree the practical aspects, but again, I want to keep us on the scientific issues. 21 try to 22 If we were forced today to look at this

question of refuge strategy, would you say that, 1 no, the science is really not here and you should 2 3 not make a decision? Or would you say that there is 4 sufficient science here to make a rough interim 5 decision and here is what would be our best bet? б 7 Or do we just say this decision is adequate? I'm trying to keep it into a simpler 8 range here. Dr. Hellmich. 9 10 DR. HELLMICH: I think everybody agreed 11 that more science in this case would be good. But 12 in the discussions that we have had here, it is 13 not clear to me what field studies we need to dd, what exactly we need to identify. 14 15 In some cases, you can study this for 16 100 years and still not have enough science, and 17 we have to be practical and say well is it good 18 enough as a preliminary. It is frustrating, because I just 19 20 haven't had the experiments that we need to do in 21 order to get this product out. It is not clear to 22 me what they should be.

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Granted, we need to find out what the gene 1 frequencies are, whether or not there is any kind 2 3 of heterozygosity. Those are still the questions we have with the cotton products. They are still 4 the questions we have with the corn bore products. 5 6 7 I think that if we wait until all the science is necessary to make these decisions, 8 we'll be here -- we won't be here. It will be dur 9 10 qrandchildren that will be here, and there has to be some sort of balance. 11 12 I don't know how we get that. 13 DR. PORTIER: Dr. Hubbard, then Dr. 14 Andow. 15 DR. HUBBARD: I agree with that. I wish 16 to remind the panel that the western corn rootworm 17 can arguably be considered the most economic pests in all the United States. There is more acres 18 treated with insecticides for root worms than for 19 20 anything else in the U.S.. 21 If there is not an economic incentive to 22 gather basic biological data on this insect, I

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143 don't know that we're ever going to get this data 1 because it is very difficult to do. 2 3 There has been a great deal of work that has been done on this insect. It is just -- Dr. 4 Gould, is right in that there is much data that is 5 missing, is because it is very difficult to б 7 collect. Mandating that impossible data be 8 collected; I don't think is something that should 9 10 be done by this panel. 11 DR. PORTIER: Dr. Andow. 12 DR. ANDOW: You sort of gave three 13 options: go with what is there, stop, or 14 something else. 15 I would like to propose something else. This comes out of -- when for another crop in 16 17 another country, when faced with very similar issues of a lot of uncertainty in the scientific 18 19 information, grower acceptance being that they would start slow and build up depending on what 20 21 they found, sort of a lot of grower input, and an 22 expression system that wasn't high dose.
They decided and this is Bt cotton in 1 Australia, they decided that we would limit in the 2 3 first year plantings to 15 percent done each farm, and increase that to 5 percent every year, and 4 then evaluate what to do. 5 For them, they stopped at 30 percent 6 7 because the farmers felt that that was all that was reasonably supportable. But if you think 8 about 15 percent of a farm when you are talking 9 10 2,000 acres, that's still a lot of land. So there is a lot of -- and what they 11 12 wanted to do then is to do the experiments and 13 make the observations in that interim period in which they could then establish where they should 14 15 end up. 16 I'm just going to low that one out use а 17 different alternative to what we have been looking 18 at. 19 DR. PORTIER: Dr. Gould. 20 DR. GOULD: Just want to address Bruce's 21 issue, I guess about these experiments that are 22 impossible to do.

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145 I don't think they are. 1 To get to Rick's thing, I think two 2 3 major ones are: what is the initial gene frequency, is there a polymorphism, what is the 4 selection intensity. You brought all of those up, 5 those haven't been done -- movement -- those can б 7 be done. They actually can be done without massive releases. 8 Those aren't the kind of questions the 9 10 kind of questions where you need massive releases 11 are the one that address the high dose question. 12 To need 80 percent Bt corn to answer that those 13 questions, I don't think is necessary. 14 What I want to remind you when there is 15 pressure to do those experiments they can be done. There was a beautiful case with the Monarch 16 17 situation. Everybody waived their hands around for 18 a long time and then all of a sudden it was 19 something needed to be done. 20 Within two years, there was good, solid, 21 scientific data that nobody ever expected to see, 22 and the results were surprising in many cases. So

146 I think that if somebody said we have for do this 1 work before we release it, or they say if you 2 3 don't get the data on Monarch butterflies, you can't plant that corn, then it will get done. 4 If we start saying, oh well, we don't 5 have the data but we'll let this one slide, we 6 have done that before, and whenever that is done 7 we don't get the scientific information. I think 8 it is time to say we need the science based risk 9 10 assessment and we don't have it yet. 11 Let's get the science scientific risk assessment first then do the release. 12 13 DR. PORTIER: I think we're not going to 14 reach consensus on this issue. I think it is 15 quite clear you have a range of scientific opinion 16 from -- this is as adequate as it is going to get 17 because of the difficulties involved in studying science and getting the information you need to 18 19 know you really have to get this. 20 It is time to draw a line, we really 21 need to get this information before you make a 22 decision.

147 1 Does that pretty much cover the range from the panel? 2 3 DR. HELLMICH: I want to make one point. I think that the opinion of the NCR 46 Committee, 4 which was the rootworm experts, should weigh 5 б heavily here. 7 They are familiar with the issues. They know what the science that needs to be done and 8 they have outlined what that science is. 9 10 I think that they are aware of, in most 11 cases, the limitations of what the information is. 12 They, as a committee, I think John said there was 13 14 members and several associate members, they 14 think for an interim plan 20 percent is sufficient. Bruce, you are on that committee maybe 15 you could comment a little bit more. 16 17 DR. PORTIER: Dr. Hubbard. 18 DR. HUBBARD: In the May 30th, 2001, letter to Dr. Matten, the NCR 46 did outline a 19 20 series of bullet points of research that should be 21 conducted. I think -- I'm not aware that -- I 22 think the majority of these bullet points -- the

148 research is currently being conducted. 1 And I think probably that maybe there is 2 3 -- we didn't have Dr. Gould sit in on that discussion, and maybe there is a few more bullet 4 point that should be added. But I think that most 5 of the really important data that we have 6 identified we're going after. 7 DR. PORTIER: I'll speak for Dr. Gould. 8 I think his point is that that's great. 9 10 Now, wait for that data before making 11 your decision. Dr. Gould, would that be? 12 DR. GOULD: That would be pretty much 13 what I would say, but also to get to Rick's point 14 that we should rely on the people at that meeting. 15 If we have a letter from them that says 20 percent seems adequate to us, if we had documentation 16 17 about why, then we could judge whether they were 18 the experts or not, or knew -- what was that based 19 on. 20 It just seems like it's in the consensus 21 that was made. I don't know how that judgment was 22 made. Why should I rely on that? I'm not sure.

149 DR. HELLMICH: If we had members here we 1 could ask them. 2 3 DR. GOULD: That would be fine. I agree with that. 4 Dr. Andow. DR. PORTIER: 5 DR. ANDOW: I would like to make sure 6 7 that we know that Jon Tollefson, when he came to speak, said he was not going to presume to speak 8 on behalf of all of NCR 46 and yet here we are 9 10 trying to say this is what NCR 46 is saying and 11 the reason he said that is because they agreed not try to speak on behalf of them all, because they 12 13 all had different opinions. 14 So let's not try to force something on their joint opinion here and let Bruce sort of 15 16 speak as much as about as he feels is appropriate. 17 DR. PORTIER: We can debate the issue, 18 but again, I will point out to the panel that we don't seek consensus in this debate here. 19 20 Each of you are speaking for yourself 21 and the opinion you put fourth is your opinion if 22 there is consensus, I'm going to note it for the

150 EPA. Clearly here, we're not reaching consensus. 1 I think they are getting a very good 2 3 feel for the fact that this is still controversial and that the decisions, the management decisions 4 are not going to be easy ones because the sciende 5 is not so clear to all the scientists involved, 6 that the decision is an easy one. Dr. Caprio. 7 DR. CAPRIO: I'll reiterate that given 8 the adoption rates that are projected by Monsanto, 9 10 I don't think anyone is saying that we have to 11 shut the whole process down. I don't 12 think there is any reason that we need to make 13 this drastic decision of 20 percent refuge at this 14 time. I think there is plenty of room to do 15 something like Dave says and do a graduated 16 introduction of the product, get a look at it, see 17 how it is doing on these farms and essentially put that final decision off until we have some more 18 19 knowledge. 20 I just can't help but say that there is 21 not enough knowledge now, so let's defer. 22 DR. PORTIER: I don't want to reiterate

arguments. Unless we're going to introduce a new 1 argument here, a new point, I think it is quite 2 3 clear that there is no agreement on the panel. Our write up will clearly indicate all the 4 different opinions and different points that have 5 б been expressed. 7 So if there is a new point to be expressed, then let's go at it. Dr. Hellmich. 8 I just want to say in the 9 DR. HELLMICH: 10 past, when the academics couldn't agree on a 11 refuge amount it seems like the default was no 12 refuge. 13 And if we set that as a president, I 14 think that would be dangerous because it could be 15 interpreted like that. 16 I think 20 percent, because it is 17 compatible with the corn bore refuge amount is a 18 practical amount to have as a refuge. 19 DR. PORTIER: Dr. Andow. 20 DR. ANDOW: I was going to ask if any оf 21 the people that haven't expressed an opinion on 22 this could, for the record, express an opinion if

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152 they are prepared to. 1 I'm sure none of them are DR. PORTIER: 2 3 shy that they wouldn't express their opinion. Everyone has been quite vocal. 4 Dr. Hellmich raised a point I want to 5 6 follow up on. 7 It was something several of you said earlier about clearly zero is not a good idea for 8 a refuge. 9 10 Does the panel agree with that concept 11 at this time given the science that is out there? 12 DR. PORTIER: I'm seeing a lot of nods. 13 I see one no, Dr. Gould. 14 Zero percent no refuge. DR. PORTIER: If they made a decision today and put no refuge 15 out there, would you agree that scientifically --16 17 that's a bad decision because of all the modeling exercises, because of what we have learned in 18 19 other situations even though they are high risk? 20 Is the panel saying that zero would be 21 a bad idea? 22 DR. PORTIER: I see a lot of the panel

153 1 members saying, yes. Dr. Gould. DR. GOULD: I guess the reason why I say 2 3 no, is Bruce just brought up the point that there may be no selection. Right? If there is no 4 selection, you don't need a refuge. We don't know 5 that so I don't know that it's a bad idea. б 7 What I'm say is we don't have the science to -- if you want us to just come up with 8 opinions, that's one thing. If you want us to 9 10 come up with a scientific opinion, I don't think 11 we have a basis to say that zero is a bad idea. 12 DR. PORTIER: Dr. Hubbard. 13 DR. HUBBARD: To quickly just agree with 14 Dr. Whalon in that it is not a conservative approach to say that if they went forward at this 15 But it is not a science so --16 time. 17 DR. GOULD: I would I agree it is not а 18 conservative approach. DR. HUBBARD: One other quick point to 19 If you wish to assess the reasons for 20 address. NCR 46 statements, it is part of the public record 21 22 for this meeting.

154 1 DR. PORTIER: Dr. Neal. 2 DR. NEAL: I was just going to reiterate 3 the point that Bruce made about the conservative decision here. The larger the refuge the more 4 conservative. 5 б DR. PORTIER: And the panel does agree with that to some degree. I think 100 percent 7 refuge would be extremely conservative. 8 Dr. 9 Caprio. 10 DR. CAPRIO: If I could just bring up 11 one point that Rick made. It is also in the NCR 12 46 is compatibility issue with the other Bt 13 products. Again, the stark product isn't out 14 there. 15 I don't think it is consideration we 16 should be making at this point. It is very 17 prominent in the NCR 46. We don't even have the 18 stark product yet. That shouldn't be a consideration at this point, that can be when you 19 20 make a final decision down the road, but it is not 21 a decision that needs to be made now. 22 DR. PORTIER: Dr. Andersen, I hope we

155 1 have given you some guidance here. I think the strongest statement we have made is that zero 2 3 percent refuge is not conservative. Scientifically, that would be supported whether 4 we should choose zero is a different issue. 5 Then you have a broad range of opinions б 7 on everything else. DR. ANDERSEN: Yes, I think that 8 summarizes what you have provided us. 9 Yes. 10 DR. PORTIER: Shall we move on to part В 11 of this question? 12 Part B of the refuge guestion MS. ROSE: 13 states: because the current plan being evaluated 14 is based on limited data and is an interim plan, 15 limitations to the total number of acres MON 863 might be considered. 16 17 If so, should the limitations be on 18 acres planted per state, or per county, or on 19 another basis during the time an interim IRM plan 20 is in place. 21 DR. PORTIER: Dr. Whalon, I'll let you 22 have a first stab on this one.

156 DR. WHALON: I would reiterate that the 1 goal of the refuge area, even in the discussion 2 3 that we have had today is the same, it doesn't change. That is to ensure adequate production of 4 susceptible beetles in case resistance develops, 5 encourage their movement into transgenic corn, 6 7 swamp out any heterozygotes and hopefully homozygotes resistance that may develop. 8 So the key to the IRM is preventing 9 10 excessive repetitive use of the MON 863 technology 11 on a local scale and if the IRM is going to be 12 successful, I think that's the focus. 13 One could presume two general 14 conditions. One, the -- notwithstanding the 15 discussion we just had, that an interim plan would be adjustable. Hence, I would argue that a 16 17 conservative to a more specified approach would be 18 the way to go as more information is available. 19 If it were to be registered, or conditionally 20 registered. 21 I also think that the issue of local 22 scale, the issue of scale is one that we haven't

addressed and would be worth further discussion, 1 perhaps at the end of this refugesea discussion. 2 3 And I have a couple other comments but Ι want to reserve those for a moment. 4 DR. PORTIER: Dr. Hellmich. 5 6 DR. HELLMICH: Putting caps on states, 7 or counties, or whatever, that suggests there is going to be pretty heavy regulation in there. 8 Α lot of times in the NC 205 committee meetings and 9 10 we talk about trying to get more -- or a handle on 11 what is going on -- I appreciate Dave's comment 12 that what the Australians are doing in cotton. 13 But in that case, you have a few hundred growers, 14 where it is not that difficult to keep tabs on 15 what is going on. 16 But in the case of corn growers, we're 17 talking about at least 10,000 growers that could 18 potentially be involved with this. 19 I think that trying to keep track of something 20 like that would be very, very difficult. Plus, 21 who is going to be out there policing it? That's 22 the other question.

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I would like to think that we have had 1 the growers here and invite them as partners and 2 3 at least for an interim trust them. That they aren't going to be planting more than 80 percent 4 of this product, because we're recommending 20 5 6 percent refuge. 7 I think that if you get too heavy handed at the very beginning, you lose the trust of the 8 growers. If you don't have the growers on board, 9 10 you might as well -- you have lost the whole gain 11 of this -- you've lost the war. 12 And I think that bringing them on board 13 as partners, as stewards of this product and 14 educating them would be a better approach than 15 trying to get heavy handed and say that -- put 16 seed caps on this. 17 I think that that invites them to go 18 across the county and buy seed some place else, go across state borders, you know there are ways of 19 20 getting around this. I don't think we want to get 21 into that. 22 So I would suggest at least on an

159 interim basis that we follow the stewardship and 1 trust and see how far that gets us and then we dan 2 3 see. We're going to be keeping our eyes on you, а let's get this right. 4 Rather than trying to follow the Australian model, 5 which I don't think would be practical in this б 7 case. DR. PORTIER: In terms of this question, 8 which is conditional on being limited, you are 9 10 saying limit it to national scale with a 11 particular percentage not cropped by this crop. 12 That's effectively what you have said. 13 Dr. Hubbard. 14 This question may come DR. HUBBARD: 15 from the portion of NCR 46 most recent letter that 16 states "resistance evolves at the local level so 17 the key to IRM during an interim registration 18 period is to prevent excessive repetitive use of 19 the technology at the individual farm level." 20 Monsanto's response to this question was 21 that limitations are not justified given that a 20 22 percent refuge will be placed on every farm.

Because of NCR 46 comments and 1 the comments of Dr. Whalon, et cetera, I would state 2 3 that if additional restrictions were placed, it would be at the farm level and not at the county 4 level. 5 It is that local farm -- and so it would б 7 just -- it wouldn't be a county thing, it wouldn't be a state thing, it wouldn't be a region 8 thing. It would be how much can that individual 9 10 farmer plant on his own farm. 11 My own personal opinion on this is not 12 really science based. I think it is more agreeing 13 with Rick, on more a practical base in that, I 14 think in that beyond the 20 percent is not justified at this time given the science that is 15 16 available. But that's not really science based. 17 DR. PORTIER: Dr. Caprio. 18 DR. CAPRIO: I agree that given John 19 Tollefson's comments yesterday about dispersal and 20 local use patterns that per farm basis is the most appropriate way to go. 21 22 DR. PORTER: Dr. Andow.

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I'm going let you jump in this since 1 actually brought it up in the last question, and 2 3 see if you have a different opinion. Thank you, I quess from the DR. ANDOW: 4 science perspective, there is a lot of stuff we 5 However we do know where the б don't know. 7 insecticide resistances evolved along the Platte River, in these localized areas along the Platte 8 9 River, and that to a large extent that diffused 10 out from there. 11 I had the opportunity to fly over the Platte recently, just seeing the landscape was 12 13 just eye opening. And that basically, you have а strip along the Platte a few miles wide and it 14 15 sort of budges in and out depending on where the 16 irrigation zones are. 17 There are these little patches of crops. 18 It is in these little patches where these 19 resistance -- is where the resistance is evolving. 20 If we're going to think about local levels, we 21 could kind of specify at that kind of a level that 22 it is more likely at that scale than any other

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152 scale because that's where it happened in the 1 2 past. 3 From a science perspective, that would be where I would -- that would be the leading 4 hypothesis in my mind. Now on the implementation 5 side, I quess I would favor -- I know that it's 6 7 possible to monitor county level use because that's what EPA does for the other crops. 8 And it would also be possible to 9 10 implement things at the farmer level. It would be 11 harder to implement things at smaller than county 12 level but larger than farmer level, so there are 13 some constraints to how we think about that. 14 It would seem that the leading 15 hypothesis would be a several mile by several mile area would be, if we're defining local that, would 16 17 seem to be what we have in the rootworm case. 18 DR. PORTIER: Any other opinions on this 19 particular issue? Dr. Gould. 20 DR. GOULD: Since we're not really 21 talking about science but rather how we are 22 dealing with growers and interactions I think the

153 Australian example is a very useful one. 1 I think this idea we have to allow 80 percent, 2 tο 3 give the growers the idea that we are with them, may be a little misquided. 4 I think it was nice to have growers come in here 5 and give us their opinions, but again, we're б 7 assuming this is economics by having the growers come in. You talk to the growers about what's 8 going on, they can't individually once a product 9 10 like that is out, not use it if the other farmers 11 are. 12 But I think you have to look to 13 economics to find out what benefit they are really getting from those products and if you are really 14 15 with them. 16 Are you really with them by having them 17 use 80 percent? Maybe you are, maybe it is going 18 to decrease pesticide use and increase their 19 health. I would like to know more about what is 20 going on to make that kind of comment that the 21 Australian example won't work. 22 Maybe you are more with the farmers by

154 saying, look we have something, we want it to last 1 a long time. Work with us. We'll start out with 2 3 a low amount. We will really test it well so you will have something sustainable that will be 4 affordable over a long period of time -- because 5 of the cost of pesticide things and new products 6 goes down over time if they don't have to be 7 reinvented. 8 Maybe that's when you are with the 9 10 farmer. 11 DR. PORTIER: Any other comments on this 12 issue? 13 You have got, sort of, two basic points I must admit I'm more in agreement with 14 of view. Dr. Gould, and to some degree Dr. Andow's point 15 οf 16 view, that potentially a phase in period would not 17 be a bad idea, given the uncertainty in the 18 science we're talking about here. 19 And while I'm not sure about the level 20 issue, clearly that's a difficult thing for me to 21 think about, but the phase in if I had to look at 22 it -- certainly some of our previous comments

165 about geographical locations and potential 1 2 differences in geographical locations in terms of 3 the types of pest that are there, et cetera, should be taken into account in deciding where you 4 place your scale experiments, your scale up of 5 б planting. 7 DR. PORTIER: Any other comment? Ι think --8 9 DR. WHALON: I have a comment. I'm not 10 sure that I heard the response in the way that y ou have summarized it. 11 12 DR. PORTIER: I'm say there is two basic 13 responses here. 14 I would say that there is DR. WHALON: 15 at least three. The first being no refugee 16 because you don't implement the technology until 17 you have the science. 18 The second being that you go with a 20 19 percent. And the third being that you use some 20 sort of graded conservative mode of implementation 21 22 greater than 20 percent less than 100.

156 1 DR. PORTIER: You are correct. I'm reading the question literally in the sense that 2 3 the question is conditional on us doing this. But that is correct. The previous 4 comments from the previous question about not 5 б moving forward until you get better science still obviously holds. 7 Any other comments? 8 9 Dr.Weiss. 10 DR. WEISS: Well, assuming that a refuge 11 does go forward, if I look at this question, I 12 think the question is how many acres should we 13 limit that to. If we assume the 20 percent, and 14 then the question becomes in my mind where does 15 that happen, does that happen on a state, or regional, state, county or farm level. 16 17 I think based on what we understand 18 about where resistance to the cyclodiene's 19 emerged, that population spread through the corn 20 belt, I think Dave is correct that it seem today 21 start in a fairly localized area. So 22 if, in fact, and there is a lot of if's in this,

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1	but if, in fact, resistance to this product occurs
2	in the same scenario, then I would think that if
3	we do go forward with refugee that we need to have
4	that on a farm basis, on a more local basis, than
5	on a state level, certainly on a county level,
б	perhaps. My preference would be to go probably on
7	a farm basis.
8	DR. PORTIER: Dr. Neal.
9	DR. NEAL: I would also like to add that
10	with our experience with rotation resistance in
11	the western corn rootworm that that also started
12	as a local phenomena in areas where crop rotation
13	was greater than 80 percent of the control applied
14	to western corn rootworm.
15	And that that resistance is then spread
16	out of that area, so it is another exam will where
17	the local level is extremely important.
18	DR. ANDOW: Do you have a sense as to
19	how big local is in the case that you are
20	referring to?
21	DR. NEAL: I really can't address how
22	big the local is.

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I guess I would refer you to Dave 1 Onstad's model, for development of rotation 2 3 resistance that is published in 2001. Just a comment. 4 DR. WHALON: DR. PORTIER: Dr. Whalon. 5 DR. WHALON: For the record I think we б 7 ought to refer to the discussion that went on before and the lack of assurance essentially a 8 9 baseline assurance that we knew what was happening 10 in that rotation resistance phenomena before it 11 was observed. 12 Whether a certain portion of the 13 population was actually doing that all along is 14 assertion now that it happens broadly. 15 DR. PORTIER: Dr. Hellmich. 16 DR. HELLMICH: With the refuge plan as 17 it is right now, the refuge would be either contiguous with the edge of the field or inside? 18 19 That's going to be the next question I know is 20 going to be or within the field. I think the 21 assumption is that refuge will be on an on farm 22 basis.

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What we're thinking about here having 1 state caps or county caps, I think there may be 2 3 some concern that growers won't be implementing refuge? 4 So I'm a little bit confused here, 5 б because the refuge as it is stated is on an on 7 farm basis just like everybody suggested that it should be. 8 The question here is should there be 9 10 caps, because I think that there is a little bit 11 of mistrust that the growers will not follow the 12 recommendations. 13 I just want to make that point clear. 14 Any other comments on this DR. PORTIER: 15 question? New points. 16 DR. NEAL: I guess I would like to 17 disagree with Rick on that particular point. Ιt 18 is not a question of trust of growers or lack of 19 trust of growers. It is a question of if you create the 20 21 resistant monster, how does the percentage of 22 acreage affect its spread, the percentage of acres

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that is treated. 1 And if you have a situation where 2 3 resistance would happen to develop or the individuals with that trait developed within an 4 area, then if there is a lot of acreage in that 5 area that is planted to the transgenic crop, then б 7 the resistance will be established more widely within that local area. 8 Whereas if you had less selection by 9 10 having lower amounts within a particular area, 11 then it would not establish as rapidly. 12 DR. PORTIER: So -- tough one to 13 summarize, I guess, because I think there is a 14 kernel in there that basically said again, 15 conditioning on doing this. 16 There are parts of the panel that don't 17 think you should -- that the science isn't there 18 to do this. Conditioning on doing this, I think everyone concluded that if resistance is going to 19 20 merge, it is going to happen at the local level, 21 at the farm level. 22 And that if you are going put acreage

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restrictions, there is -- there is a group of the 1 panel that just doesn't think acreage restrictions 2 3 are needed beyond the refuge restrictions. But I think what people are telling you 4 scientifically is that if you are going to puts 5 6 acreage restrictions, then put them in the smallest, most practical sense, counties, or 7 whatever, because there is no reason to go bigger 8 than that scientifically, because the resistance 9 10 is going to occur at the local farm level itself. 11 Is that sort of catching the point, if 12 you are going to go with an interim plan? 13 DR. PORTIER: Any other comments? It will be much more detailed obviously in the report. 14 15 Okay, if we could move to question C 16 please. 17 MS. ROSE: C states the panel is asked to comment on the adequacy of infield row strips 18 19 and or immediately adjacent blocks to delay resistance during a three year period and whether 20 21 one method or another is preferred. 22 DR. PORTIER: Dr. Hubbard.

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172 DR. HUBBARD: Although 15 percent or 1 approximately of the post mated preovipositional 2 3 females do migrate some distance, we don't know how far, the majority of adult movement takes 4 place within a cornfield and it has been 5 categorized as trivial movement. б These data have led some to believe that 7 strips may serve as a better refuge than blocks. 8 New data from Nebraska from 2002, notes 9 10 that there is very little, if any, movement of 11 females before mating. 12 Now the Onstad manuscript that came out 13 this past year indicates that blocks may serve as 14 better refuge than strips. 15 However, I think as we pointed out, bad data in, results in bad data out. I don't think 16 17 that we have enough data. I don't think that that 18 model has been validated, and I don't think we 19 have enough data to favor strips or blocks at this 20 time. 21 DR. PORTIER: Any other members of the 22 panel who -- whether to asked to comment on this

173 or not have a different opinion? 1 Dr. Gould. DR. GOULD: I would agree with you we don't have enough data. But to add to that, it should be noted that in the Onstad model, the infield strips are moved within the farm each year whereas the blocks are maintained fixed. So if you want to understand that comparison on a science based, you have to recognize if he had moved those fields around so the farmer doesn't plant the refuge in the same place each year, he might have had a different result in that model. Dr. Caprio. DR. PORTIER: DR. CAPRIO: I'll also point out that there is a significant impact on the width of these infield strips that determined amount of isolation and impact of source sync dynamics and one of the things we have learned with cotton, the smaller, the more narrow the strips are, and that's pretty much what the Onstad models assumes, is they become much less effective.

And that can be -- there just isn't the 1 data yet to know what would be an appropriate 2 3 width. 4 DR. PORTIER: Any other comments? Dr. Whalon. 5 б DR. WHALON: Just a comment regarding the temporal 7 delay or the phenological delay in the development of corn rootworm out of MON 863 versus the same 8 hybrid without expressing the protein. 9 10 That is that I think this is an 11 understudied and not well understood phenomena and 12 that it could contribute significantly to 13 intermating in the outcome of a refugesea 14 strategy. And in that context, then, I think that 15 the current resistance management plan as it is articulated is too restrictive. It may be 16 17 actually advantageous to have a different hybrid. 18 Say, if you knew that the delay was 10 19 days, you planted 110 day corn with the MON 863 20 protein in it, you could plant 110 corn in the 21 refugee and synchronize the beetles. 22 DR. PORTIER: Dr. Andow, and then Dr.

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Gould. 1 2 DR. ANDOW: So the new point would be to 3 invert the question a little bit and ask, is there any reason to exclude one or the other as being 4 adequate even if we can't distinguish them at this 5 6 point. 7 My perspective on that would be there is no reason to exclude one or the other. 8 I would agree. In fact, 9 DR. PORTIER: Ι 10 was going to make that point, Dr. Andow, and 11 follow up by suggesting that because there is no 12 reason to exclude, the interim management plan, to 13 be conservative, should include aspects of both so 14 that it can be evaluated and we can get the 15 scientific information that helps us to decide at a later point which was more effective, if either 16 are effective or needed, if you actually would 17 move forward with this. 18 Dr. Gould and then Dr. Hubbard. 19 20 DR. GOULD: Dr. Onstad couldn't be here with 21 us today. He sent me an e-mail, just to make sure 22 that something about his model was understood. Ι

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176 just want to get that on the record. 1 Onstad, et al., he says 2001 studies 2 3 studied strips that were 6 to 12 rows wide. Rows more than .5 meters apart, the strips are not 9 4 tο 18 meters from the center of the cornfield as the 5 EPA question Number four indicates. 6 This is the distance from each Bt corn strip to refuge rows. 7 DR. PORTIER: Dr. Hubbard. 8 My point is just to 9 DR. HUBBARD: 10 clarify the biology of the insect for the panel sо 11 they are not confused by Dr. Whalon's comment. 12 I think the delay in emergence of the 13 females from the refuge or from the MON 863 corn 14 is actually something that is going to favor the delay in resistance, because it gives time for the 15 16 males from the refuge to move in to the MON 863 to 17 mate and compete with resistant males. 18 DR. WHALON: My only comment relative to 19 that is, I think that is a spatial question and а 20 movement question. It relates to understanding 21 what the distance per day of males are. And in 22 essence you could move them out of the immediate

area beyond the MON corn if you waited too long. 1 So, I'm talking about trying to focus 2 3 synchrony, understanding movement well enough to do that. 4 Dr. Caprio. DR. PORTIER: 5 DR. CAPRIO: I think there is an 6 7 important point with this asynchrony. If you did this sort of thing where you were planting refuges 8 so they would come out synchronous with what are 9 10 essentially susceptible individuals, coming out the refuge. 11 12 What happens if you have a resistant 13 individual that has normal development time? 14 has then emerged far ahead of that. 15 And again, I think we need to remember 16 that we are not so much concerned with delay of 17 susceptibles. We want to know what is happening with those resistant individuals, and we want to 18 19 have our refuges prepared so that those 20 individuals are emerging synchronous with 21 resistant individuals or heterozygotes. 22 I think we need to bear that in mind and

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178 not place too much emphasis on developmental 1 2 delays of susceptible insects. DR. 3 PORTIER: So if I can ask the panel again, getting back to the original question on C, is there any 4 disagreement from the panel that there is not 5 enough research in hand, right now, to make a б 7 decision between these two choices? Does anyone disagree with that overall 8 We had a lot of discussion about what 9 evaluation? 10 issues if we saw them, might change our mind on 11 that, but currently I think that's the answer to 12 the question. 13 Any new comments on C? 14 The only thing that I DR. HELLMICH: 15 would want to say is giving a grower the option оf 16 doing one or the other may make it a more 17 practical for them because then they could make a 18 decision based on the equipment and their farming 19 practices, and I think that's important. 20 DR. PORTIER: Yes, but also I don't want 21 to lose my comment. And that is that it is 22 important that the agency monitor this to some

degree to make sure that they get both aspects. 1 Because we won't get the data we might need if we 2 3 don't consider the comparison groups. If we can move to question letter D. 4 MS. ROSE: The panel is requested to 5 comment on the width of the in field strips as an б 7 example the agency is aware that at least 6 to 1/2consecutive rows have been discussed in the 8 9 Onstad, et al., paper. 10 DR. PORTIER: Before I go to the panel 11 for comment on this question, we'll note the 12 previous comment about the Onstad, et al., paper. 13 I will note that the panel has to some 14 degree commented on this issue in the previous 15 question. So now we will go and look at the 16 comments on this question part D. 17 Dr. Caprio, why don't we begin with you, 18 since you were giving us considerable detail on 19 the strips a minute ago. DR. CAPRIO: I guess, if I recall, the 20 21 figure was -- female movement was approximately 10 22 meters per day.
180 1 DR. GOULD: Seventeen. DR. CAPRIO: Under those conditions, any 2 3 females that emerge out of a refuge this narrow will lay the majority of their eggs in transgenic 4 5 corn. 6 I haven't run the data, I haven't run 7 the numbers, but my gut feeling is that this is quite a narrow refuge and would be on the edge 8 where you would speed up the rate of resistance 9 10 evolution. Particularly compared to these out of 11 field refuges. 12 DR. PORTIER: For clarify you are saying 13 6 to 12 consecutive rows is somewhat narrow --14 DR. CAPRIO: Is on the narrow end of 15 what I would consider just ad hoc, acceptable. 16 DR. PORTIER: Other lead presenters on this, Dr. Hubbard. 17 DR. HUBBARD: I don't believe that we 18 have data to -- well, to verify a row width that 19 20 is best that we should endorse. 21 One point of clarity in my own personal 22 research is that, people have stated that my data

1**B**1 has indicated little, if any, movement across the 1 row for larval movement. 2 3 That is the case for normal width rows, 30 inches or more, but we did have across the row 4 movement narrow row corn which does exist in sugar 5 If we don't 6 beet areas in Minnesota. want larval movement across strips, you probably 7 don't want it every other row, row for instance, 8 9 especially in narrow row corn. 10 DR. PORTIER: Dr. Whalon. 11 DR. WHALON: Just two comments that I 12 think might add to it, and that is that within, 13 whatever the minimum is, whatever the minimum that one would prescribe, if we knew what that was,  $\mathbf{i}$ 14 we had the information to make that decision 15 16 scientifically, I would say then that the second 17 focus ought to be flexibility such that growers 18 with different kinds of planting schemes pivots, 19 as opposed to rectangular fields, as opposed to 20 contour, et cetera would have the flexibility to 21 fit that into their production system. 22 DR. PORTIER: Dr. Hellmich.

182 If you 1 DR. HELLMICH: I have a comment. have a 12 row planter or you have three boxes on 2 3 the outside that you are putting your refuge in, what you are going to have there is going to be 4 6 row strips alternating with 18 row strips. 5 Mike, my question for you is if you have 6 7 these strips out there, some of those beetles are going to be ovipositing in those 6 row strips not 8 just in the Bt strips. 9 10 And I don't know if your model takes 11 that into consideration or not, that's one point. 12 The other point I have made this point in a 13 previous science advisory panel, is that we should 14 be careful because we may be setting guidelines 15 that would exclude some growers from using the 16 technology. 17 For example, if you had a small -- a 18 grower who has maybe only had a 6 row planter, he 19 would only be able to do two boxes on the outside, 20 and in that case, he would have four row strips. 21 And I think in some cases where 22 equipment limits the -- there are equipment

183 limitations that smaller strips may be acceptable. 1 Otherwise, we get to the point where we 2 3 are excluding some people from the technology and not others. And I don't think we want to make 4 those recommendations. 5 That's 6 it. 7 DR. PORTIER: Dr. Gould. DR. GOULD: I want to comment on this 8 9 row, the thickness of whatever the strips. Ι 10 don't think I agree. We don't have the science to know. 11 12 Since we're not dealing with a high dose 13 that movement of larva in whatever -- narrow row corn probably doesn't manner so much. 14 15 The other thing we ought to consider in 16 terms of plot sizes, is one seed that we should be 17 dealing with. Actually, mixtures of seed in the 18 seed bags there is no reason not to use seed mixes 19 which might be an easier way to implement 20 resistance management at this stage. 21 The science would indicate that that 22 might be even better than anything else we're

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dose. I would like to say that the size could be anywhere from one seed to a field and that gives the farmer a lot of flexibility. DR. PORTIER: Other comments? Dr. DR. CAPRIO: My concern just from the Onstad model, when he shows the difference between the out of field and in field strips, is one of the things you are doing is you are more approximating random oviposition across the field as you get these narrow in field strips, and you get source sync dynamics. That evolves resistance more rapidly and the ultimate direction you would head for would be a seed mixture in that case. That would be perhaps the most rapid rate of resistance evolution if one can carry that comparison. Ι And may be carrying the Onstad model a little bit further than I should. That's the direction I worry that a seed

talking about since we're talking about a moderate

185 mixture would go in. 1 DR. PORTIER: The other comments Dr. 2 3 Gould. DR. GOULD: I think that's very 4 possible. I guess the reason I keep pushing these 5 things is we don't have the science to know. 6 7 It could turn out is that a seed mixture would alleviate all of the selection pressure that 8 we are worried about, because the beetles would 9 10 indeed accumulate on the non Bt stuff. I think 11 Bruce has some information on that, but we could certainly use a lot more. That might be a very 12 13 effective strategy for delaying resistance. 14 DR. PORTIER: Have we covered the issue? 15 MS. ROSE: I appreciate the comments on 16 seed mixtures, but the question wasn't about seed 17 mixtures it was about the number of rows. Ι 18 believe what I have heard is that 6 to 12 rows may be, from a science basis, too narrow. However, I 19 haven't heard any information on what wouldn't be 20 21 too narrow. 22 DR. ANDOW: I also heard very strongly

186 that there isn't enough information to really make 1 that determination. 2 3 That from a theoretical perspective on - and based on other in the check cotton system it 4 might be. But there isn't sufficient information 5 to make that point for this particular. 6 7 MS. ROSE: If that's the case then I guess I go back a question to the appropriateness 8 of in field strips. 9 10 If we don't know how many strips, I'm not 11 sure that we can recommend that as an option. 12 DR. PORTIER: I think on the previous 13 question, the panel basically said we don't have enough information to tell you which of those two 14 options to choose, in field strips or external 15 16 plots. 17 Now we're telling you -- you are forcing 18 us on the infield strips, and we're telling you we 19 don't have enough information to tell you how wide 20 those strips should be. 21 If you had asked the question how big 22 should the external plot would be we probably

would have answered that question by saying, we 1 don't have enough information to be able to answer 2 3 that question for you. 4 Am I getting the census of the panel across here? 5 The argument that Dr. Gould was bringing б 7 in was that when you think about this, don't just think rows. Since we really don't have enough 8 information, also consider seed mixtures if you 9 10 are going to look at this. Which is the ultimate 11 in terms of narrow necessary of rows, one seed 12 apart check. 13 DR. PORTIER: Dr. Hubbard and Dr. 14 Andersen. 15 DR. HUBBARD: A quick point, I also 16 think Dr. Gould's comment is that a single row is 17 adequate, single row strips, because larval 18 movement doesn't matter. DR. PORTIER: I don't think he said 19 20 adequate I think he said that not enough science 21 to justify the difference between one row and five 22 He is not saying it is adequate. rows. Dr.

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Andersen. 1 DR. ANDERSEN: Only if everyone is done. 2 3 I just want to say that with apologies for the mistake we made, we believe we can correct 4 the comment from the e-mail from Onstad, that if 5 the last word of that introductory paragraph was 6 the word strips instead of field we think we were 7 correct, so we apologize for the mistake. 8 DR. PORTIER: Ms. Rose, this is not the 9 10 answer you wanted. Is it clear, are there some 11 other issues? 12 DR. ANDERSEN: I think we're ready to go 13 on. 14 DR. PORTIER: Let's move onto letter E. 15 MS. ROSE: Part E states please comment 16 on EPA's conclusion that alternate hosts should 17 not be considered and refuges should only consist 18 of non Bt corn that are similar hybrids to the Bt 19 corn. 20 DR. PORTIER: Dr. Hellmich. 21 DR. HELLMICH: I agree with that 22 statement.

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189 1 DR. PORTIER: Dr. Caprio. 2 DR. CAPRIO: I agree with that 3 statement. DR. PORTIER: Dr. Hubbard. 4 DR. HUBBARD: I agree with the 5 statement. I also have more information that is 6 applicable, I believe, as well. 7 Especially when you are getting towards 8 stacked events with Round Up ready or herbicide 9 10 resistance there is a number of alternate hosts that are out in the cornfield that larvae can 11 12 develop to second or third instar on, and then 13 move to the Round Up ready corn with the Bt gene. 14 In the low dose event maybe it doesn't matter. 15 But anyway, the larvae, if you spray 16 that herbicide resistance later on, after the 17 larvae have already hatched, you are going to have 18 a lot more adults coming out of that field than 19 you may expect. We have data that we're currently 20 working onto document that. 21 DR. PORTIER: Dr. Whalon. 22 DR. WHALON: I think I would just take

190 an attempt to summarize by saying that I believe 1 2 that unlike the European corn bore, corn rootworms 3 have limited alternative hosts, however alterations in the corn herbicide incorporation 4 practices in particular, RoundUp Ready or 5 something like that could change this whole б 7 perspective and it needs to be reviewed when that happens. 8 9 DR. PORTIER: Any other comments from 10 the panel? Dr. Weiss. 11 DR. WEISS: As I read this question or 12 this statement, I quess, I do not believe that 13 alternative hosts need to be considered. 14 I believe that the refuge should 15 consists of non Bt corn, but help me understand 16 why the refuge has to be a similar hybrid to the 17 Bt corn if the goal of the refuge is to produce 18 susceptible males to mate. Why does it 19 necessarily have to be a similar hybrid? 20 DR. PORTIER: Any comments from the 21 panel? Dr. Whalon. 22 DR. WHALON: Actually, that's my point

I think that by mixing days, you could cover the 1 perspective that Dr. Caprio introduced and that 2 we 3 talked about somewhat yesterday, in that you could vary your strip or your block with different 4 phenologically maturing corn, hopefully 5 6 influencing the larvae. 7 Hence, you would have a longer emergence period and be able to cover a resistant and/or 8 resistance on either end of the scale if there is 9 10 an asynchrony that occurs. 11 DR. PORTIER: Dr. Weiss. 12 DR. WEISS: I agree with that Mark. Ιf 13 I look at the goal or the purpose of the refuge, 14 it is to attract females, also that susceptible 15 females to lay eggs for the next season to produce 16 susceptible males to mate with the females. So to me, the refuge really needs to 17 18 serve a dual purpose. In one year, it needs to be 19 an area where susceptible females can deposit 20 eggs, and in the succeeding year then, it has to 21 be a place where susceptible males are produced. 22 You may not want -- I guess where I'm

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getting at -- you may not want the similar hybrid 1 2 if you are trying to attract susceptible females 3 to deposit eggs in that particular year. You follow me, Mark, is that -- I think we are on the 4 5 same page. I'm just saying there is б DR. WHALON: а 7 lot more flexibility here. Why limit the 8 flexibility when you may be able to address 9 resistant episodes that you can't anticipate a 10 priority, so why not take the shotgun approach as 11 opposed to narrowing your response in trying to 12 promote the refugesea in potential mating that dan 13 occur from. 14 DR. PORTIER: Any other comments, Dr. 15 Andow? 16 DR. ANDOW: Would one way to address 17 that is that it is similar hybrids or similar 18 agronomic practices or planted late. If the trouble with changing this kind of recommendation 19 20 that it's similar, one has to also give some recommendations of how it could be dissimilar so 21 22 that it is something that people can understand.

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193 DR. PORTIER: Dr. Weiss. 1 2 DR. WEISS: Thank you, Mr. Chairman. 3 Dave, I haven't -- I guess I need to think it out. 4 When I'm looking at the refugee, I see 5 it having to do that dual purpose. It has to 6 attract susceptible females to deposit those eggs 7 and then it has to be a place which are going to 8 9 produce males the next year. And we do know that if we have a field 10 11 that tends to be later in phenology, it tends to attract, maybe not attract, but hold females for 12 13 oviposition. We have used this strategy for many years to produce situations where we have high 14 15 rootworm pressure the succeeding year. 16 So that's the other part. Then the 17 other part, it has to be planted, it seems to me early enough because we know if we delay planting 18 19 we tend to select for more females, but what we 20 want to produce the next year is a lot of males. 21 We want to make sure we're planting it 22 early to make sure we're producing enough males.

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194 One year it has to be late to get the females to 1 lay the eggs; the next year has to be planted 2 3 early to make sure produce enough males. If that's the goal, I don't see how it 4 necessarily has to be of similar hybrid and 5 б similar agronomic to the Bt corn. 7 I don't know if my logic makes sense, but to me it does. 8 DR. PORTIER: If I can understand in my 9 10 layman's terms here, what you are saying is it 11 doesn't matter about alternate hosts or other corn 12 whatsoever, as long as it satisfies the two 13 necessities of a refuge as you have stated them it 14 should be sufficient. 15 And do we have enough science behind us 16 to say that there are no alternate hosts that 17 would satisfy those two criteria? DR. WEISS: I think for the western corn 18 19 rootworm alternative hosts don't exist from a 20 biological, practical standpoint. 21 Northerns, I think, Bruce, correct me if I'm 22 wrong, I think northerns have a little bit

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195 broader, but again, I would think from a 1 biological standpoint it would be relatively 2 3 minimal. I can't comment on the Mexican and we stated yesterday that the southern has a huge host 4 5 range. DR. HUBBARD: Some northern corn б rootworm adults will be coming off grassy areas 7 8 around corn and that sort of thing. I have collected in adult corn rootworm off of --9 trypscombactoloides (ph) that I did not infest 10 11 this past summer. 12 DR. PORTIER: Dr. Andow. 13 DR. ANDOW: I believe Dr. Weiss' 14 comments were -- pertained more to the second 15 clause of this question. 16 DR. PORTIER: I brought it back to the 17 first clause on purpose because his logic held for 18 the first part as well. 19 DR. ANDOW: Thank you. 20 DR. PORTIER: That's why I challenged the question about are there alternative hosts. 21 Ι 22 think we have said that's less of a likelihood

that there are alternate hosts so that statement 1 is probably stronger support from the Science 2 3 Advisory Panel than the statement about it being а similar Bt hybrid. Dr. Caprio. 4 DR. CAPRIO: I would just say we talk 5 about simplicity and lack of knowledge and so on. 6 In similar hybrids, you at least know 7 what you are getting. If you take that wording 8 out, there is all sorts of ways of growers that 9 10 might be able to plant hybrid that are no ideal. 11 We might be able to think of more ideal ways to do 12 it. 13 But similar hybrid is a very easy way. It is easy for growers to understand. 14 It works 15 well. 16 I would just say in terms of simplicity, 17 I think you get very complex if you start altering 18 that similar hybrid question. DR. PORTIER: Again, bringing it back 19 to 20 the science, are you saying there is a greater 21 likelihood that you are going satisfy Dr. Weiss 22 two main criteria by using a similar Bt hybrid

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than you would by using some other hybrid. 1 I don't know if the panel disagrees or 2 3 agrees with that as a general rule. 4 I don't see any disagreements but at least that's we have got that out as part of the 5 discussion. б 7 DR. PORTIER: Any other comments on this question? No, shall we move onto letter F? 8 The panel is requested to 9 MS. ROSE: 10 comment on whether and if so under what conditions insecticides could about used in the refuge. 11 12 DR. PORTIER: Dr. Hubbard. 13 DR. HUBBARD: As stated previously, 14 insecticides should not be used for adult beetle 15 control whether intentional or fortuitous unless 16 it is applied to both the refuge and transgenic 17 areas equally. Because of density dependent mortality 18 beetle production for plants treated with 19 20 tradition soil insecticides is sometimes higher 21 than beetle production from untreated plants 22 depending on the environmental conditions, the

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product being used in their interactions, the 1 adult emergence from soil insecticides targeting 2 3 corn rootworm control ranges from 27 percent to as I stated more than one hundred percent of the 4 nontreated chick. 5 Recent data from Nebraska, looking at 6 7 some of the more recent seed treatments, indicate no differences in adult emergence between the 8 untreated control and the seed treatments. 9 10 Fecundity is also an important issue, 11 and variable data has been produced from traditional soil insecticides in the past. 12 The 13 data that is currently being collected for several 14 modern seed -- data for this is currently being 15 conducted from seed treatments that are under consideration for registration. 16 17 In areas where transgenic technology is 18 most likely to be adapted, there is also likely to 19 be a history of insecticide use for corn rootworm 20 control. Depending on the environmental 21 22 conditions, yield loss from corn rootworm can be

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extreme and growers will expect the option of 1 applying insecticides. 2 I believe that soil insecticides and/dr 3 seed treatments labeled for and targeted toward 4 corn rootworm control should be allowed. 5 DR. PORTIER: Dr. Whalon. 6 7 DR. WHALON: I think that there are several comments that have been made previously 8 that are germane to this. I would just summarize 9 10 my comments by saying that there is, I think, a 11 tacit assumption particularly among the NCR 46 12 submission that we had in the public record, that 13 seed and soil banning insecticide treatments will be necessary in the majority of the corn rootworm 14 15 refuge acreage. I don't think that I disagree with that. 16 17 I can't speak for the panel yet, but I think 18 generally that's probably -- general conclusion. 19 Since these practices prevent economic injury in 20 the refuge areas and yet produce up to 30 percent 21 of population of corn rootworms seems logical and 22 reasonable that that be allowed.

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I think the greater question is what 1 happens to other insecticides that are applied  $t_0$ 2 3 either or both, because of economic injuries from other pests. 4 Dr. Hellmich. DR. PORTIER: 5 6 DR. HELLMICH: I agree with Dr. Hubbard 7 in the NCR 46 panel that soil insecticides and seed treatments should be allowed. I agree with 8 Mark, also that there may be some question about 9 10 what some of the other aerial sprays are doing. 11 I'm sitting here trying to figure out 12 what the strategy of the growers is going to be. 13 In some areas in Nebraska as we well know, they 14 spray for adults. If they have the Bt option, I just 15 16 wondered if they will abandon that altogether. 17 Because if they have to spray both Bt and non Bt 18 if they are going to be doing that, they might as19 well just spray and forget about using the Bts. 20 I'm just curious how the growers will 21 respond to this, but I agree with all the 22 statements that have been said, is the bottom

201 line. 1 2 DR. PORTIER: Dr. Caprio. 3 DR. CAPRIO: I'll just reiterate what I have said before. 4 Those sprays would reduce the effective 5 size of that refuge that just needs to be kept in 6 7 mind. It seems like growers would need to put on those insecticides. 8 DR. HELLMICH: Talking about soil 9 10 insecticides, right? 11 DR. PORTIER: Do you agree that --12 DR. CAPRIO: I agree --13 DR. PORTIER: -- you spray both the 14 refuge and the Bt crop. Do you agree with Dr. 15 Hubbard's comment that if you are going to spray, 16 you spray both? 17 DR. CAPRIO: If you are going --18 DR. PORTIER: If you are going to use an insecticide. 19 20 DR. HELLMICH: Aerial? 21 DR. CAPRIO: I don't know -- as far as 22 soil insecticide, I would suggest that that only

be used on the refuge, but that then you -- that 1 goes back to the comments about refuge size, and 2 3 we didn't agree on that. SPEAKER: We agree on that. I think he 4 was talking, though, about subsequent treatments 5 6 for other pests. 7 DR. WEISS: Mr. Chairman, I think I need a little clarification here. I think you and the 8 panel have lost me. 9 10 Bruce, did you say that growers should 11 be able to use an insecticide at planting in the 12 refuge? 13 DR. HUBBARD: Yes. 14 DR. WEISS: But you did not say they 15 could use an aerial application for adult control. 16 DR. HUBBARD: If they use anything that 17 is going to be kill adults it should be treated 18 equally to the refuge and the MON 863. 19 But Dr. Andow had a very important point 20 on this in terming of the timing of that, could affect refuge. I had not thought of that before 21 22 he mentioned it.

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DR. WEISS: I just want to make sure I 1 was on the same page. If I look at this and allow 2 3 me to kind of explain what I'm what I'm thinking, if we look at this in a growing season, to me a 4 producer if we went with an on farm refuge, we 5 would actually have two refuges perhaps. б 7 We would have the attractant refuge planted to attract females and hold females for 8 oviposition in the late summer and we would have 9 10 other refuge being used to produce males that 11 growing season. 12 If the production refuge, if -- and I 13 guess this is more of a question, in the 14 production refuge component that was being used tο 15 produce planted early produce a high population оf 16 males, if that was treated with an insecticide, do 17 we know enough of how that would reduce male 18 population compared to female population. 19 Has that study been done? 20 DR. PORTIER: Dr. Hubbard. 21 Actually, yes, the 1991 DR. HUBBARD: the document. 22

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DR. WEISS: I'm trying to -- I thought 1 that work had been done but I couldn't recall how 2 3 they had done it. My point is I think producers should not 4 have to suffer an economic loss. So in the 5 production refuge that is going to be used to 6 produce males, if that was scouted, and above the 7 treatment threshold then growers should be able to 8 use a soil insecticide to protect that block or 9 10 that refuge. My question is more biological, then 11 if they do that, will that impact the ability of 12 that refuge to produce males, and that's the 13 question. 14 Dr. Hubbard. DR. PORTIER: 15 DR. HUBBARD: According to Sutter and 16 Hale 1991, Journal of Economic, volume 84 1905 to 17 1912 the mate survivors of females ranged from 2218 to 46 percent of the adults that were produced. 19 In other words, more males were produced from the 20 insecticide than females. 21 DR. WEISS: With that, then, I think it 22 would work out fairly well?

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Now the other component problem or the 1 other side of the question is should growers be 2 3 able to use aerial application in the refuge that they are using to attract and hold females to lay 4 eggs for the succeeding males the next year. 5 6 And again, if they were using that based 7 on a threshold, occasionally growers will have to treat for silk clipping by adult rootworms but if 8 they are going after another insect perhaps corn 9 10 bores an arthropod, spider mites, then I think 11 they should have to spray the refuge and the Bt 12 treated corn. 13 DR. WHALON: Just to comment on that. Ι 14 wonder is -- this is a question to the panel really. As I think about this, this subsequent 15 16 spray targeting alternate pests, if you are going 17 to -- the question of recruiting females for eggs 18 into the subsequent refuge is an important one --19 but if you are going to take advantage of 20 redundant mortality, it might, this is an 21 alternative tactic, it might be wise to actually 22 treat the MON corn and not the refugee I can't.

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DR. PORTIER: Do we have disagreement or 1 2 uncertainty? Dr. Andow. 3 DR. ANDOW: I'm not sure. I think there is general agreement about the use of the soil 4 insecticides. But I wanted to -- currently, the 5 soil insecticides are -- have the survival rates 6 7 that as Dr. Hubbard suggested. But if a new one comes along that has a 8 high efficacy, I think this issue would need to be 9 10 revisited again. And that's the only supplement that I 11 12 wanted to make to the soil insecticide side of the13 question. 14 DR. PORTIER: Okay. Any other points on 15 this question, Dr. Gould. 16 DR. GOULD: Are you asking for points on 17 the question, not F? Before we leave this entire question I would like to make a comment. 18 DR. PORTIER: I want to finish up F 19 before we -- are we finished with F? I'm not 20 21 going to try to summarize what Dr. Weiss said. Ιt 22 is beyond my ability here. Dr. Hubbard.

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207 DR. HUBBARD: I think he is just stating 1 2 some of the way corn rootworm 3 entomologists do their research by recruiting adults to lay eggs in certain areas. He is 4 implying that the refuge should be done that way. 5 Unfortunately, I think it is more б 7 complicated than would be acceptable to the growers of the or the EPA. I think it should be 8 just a straight refuge for this years's crop and 9 10 not worry about next years's crop yet. 11 But that there is going to be some egg 12 laying everywhere just not as much as there would 13 be if you delayed your planting. 14 DR. WHALON: Consider this idea of 15 redundant killing. If you are producing resistant 16 females in the MON 863 Bt plants, and you are 17 going to, because of an economic injury being 18 breached by another pest, would it not be an 19 advantage to get redundant killing on the putative resistant individuals generated by the transgenic 20 21 plant and not the refuge. 22 DR. PORTIER: Can this be handled in dur

report as additional comments above and beyond the 1 general comment about ground based insecticides 2 3 and some other potential things to consider in looking at how to manage the refuge? 4 Do we have general agreement on that? 5 6 We don't have to all agree on these individual points. 7 No disagreement with that? Any 8 9 additional comments on part F? 10 DR. PORTIER: Before we leave the 11 question, Dr. Gould had a general comment he 12 wanted to make. 13 DR. GOULD: I have been thinking about 14 this science based policy. And I just want to 15 make a comment to EPA. You are looking for our 16 advice our advice goes beyond helping the farmers 17 in a small way and in the United States. 18 The policy decisions that you make, I 19 have had a lot of experience with this, go beyond 20 the borders of the United States. Some countries 21 too small and too poor to make their own 22 scientific risk assessments just accept everything

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209 you say and 20 percent whatever it is, is what 1 they are going to use and they are going to assume 2 3 that you do the Science. Other country that have enough money or 4 power to look into this, look to the United States 5 and say we're just trying to export this б 7 technology. Our science is shoddy and they don't want to accept our grain. 8 So I just would reiterate that you 9 10 really need to have science based policy. And  ${
m I}$ 11 think the EPA has done a great job compared to a 12 lot of other agencies in doing this. I hope you 13 will continue in your path. 14 DR. PORTIER: I'm going to show pity on 15 the audience and the panel. I don't believe we 16 can finish these questions before we're going 17 start passing out from hypoglycemia. 18 I believe we're going to have to take а 19 break at this point and go to lunch. Before we do 20 that, I regret that I will not be back after 21 lunch. I have a 3:30 flight that I must catch. 22 So I make my closing comments now and

say that as always, this has been an exhilarating 1 meeting for me. I have learned a lot of new 2 3 things, and had some very useful discussion that I'll take back into my own work. 4 I think we have answered some serious 5 questions for EPA. I want to thank their staff 6 for being so patient with me and the panel for 7 being so patient with my ignorance on this issue. 8 I'm going to go beyond my earlier point 9 10 about the openness of this process, because I 11 think there is another point to be made here, and 12 that is I have seen tremendous cooperation between 13 the academic community, the corporate community, and the EPA on this issue. 14 15 To bring the important issues to the forefront for discussion. 16 17 Again, that's a very refreshing exciting 18 way in which to manage this type of issue for both 19 the agency and the government as a whole. 20 I'm quite pleased to have seen that. 21 After lunch, I believe Dr. Roberts will take over 22 as Chairing the session. Again, I want to thank

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211 1 you. Dr. Lewis, do you have any comments? 2 First 3 DR. LEWIS: Two brief remarks. оf all, for the panel members, during lunch I would 4 appreciate if you could bring lap tops to the 5 break room. 6 7 Your laps will be configured for the upcoming work group, report writing process, so 8 we have some we have contractors 9 in the work room 10 that would help to configure your lap tops, leave 11 them there, they will work on them while you are 12 having lunch, they will be secure. Just meet us 13 there in the next few minutes. 14 To Dr. Porter, again, thank you also for 15 serving as our Chair for the past three days. We 16 really appreciate your insight, and working with 17 the panel in terms of directing them to respond tο 18 the questions and for the work in that area. 19 DR. PORTIER: Thank you, very much. Dr. 20 Andersen. 21 DR. ANDERSEN: We want to give our 22 thanks too. You have done an excellent job of

moving us along. We really appreciate it, for 1 your insight that you have given it is always nice 2 3 to have lots of perspectives and you have really contributed. 4 You will be missed this afternoon, but 5 we also do recognize that Dr. Roberts will 6 undoubtedly do a good job for us with this. 7 DR. PORTIER: I'm sure the entire panel 8 will breathe a sigh of relief. Dr. Roberts knows 9 10 a little bit more of this than I do. 11 Thank you all very much. We'll see you after lunch, in one hour. The time now is 1 12 13 o'clock, so I guess 2 o'clock. 14 (Thereupon, a luncheon recess was taken.) 15 MS. ROSE: There is actually only one 16 question for monitoring and we ask: please 17 comment on the agencies conclusions regarding 18 refinements to Monsanto's Resistance Monitoring 19 Program. 20 In your response, please consider the 21 following factors. How should corn rootworm 22 resistance be monitored, the value of developing

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resistant colonies of corn rootworm to determine 1 the mechanism and genetics of resistance, insect 2 3 rearing for corn rootworm species, and whether dne 4 colony in more than one laboratory should be established. 5 б DR. ROBERTS: Dr. Hubbard, could you 7 lead off our discussion on this subject? DR. HUBBARD: Monitoring a baseline 8 susceptibility over time is important. 9 10 Unfortunately, this is not an easy task 11 complicating factors with such basis elements as 12 even obtaining a lethal dose to a product that is 13 not that highly toxic make this matter not an easy 14 thing to do. 15 Other complicating factors including 16 rearing the insects that require diapause. Some populations of the northern corn rootworm may 17 18 require two diapause periods. 19 Artificial diets are poor and difficult 20 to control, mold from soil insect -- and there are many other complicating factors I'm not an expert 21 22 in doing these sort of tests.

It is possible, I understand, to measure 1 susceptibility to neonate corn root larvae to Cry 2 3 3Bbl using the dose a response curve. This is likely the only method that will be available to 4 document whether susceptibility is changing over 5 6 time. 7 Other possible triggers for suspected resistance, I'm not sure whether these are good 8 triggers or not, but these are ones that have been 9 10 mentioned in this panel, could be tunneling of a 11 certain damage for instance, Dr. Andow mentioned 12 tunneling as a possible trigger, Mike Weiss 13 mentioned percentage of males that come off of 14 these as another possible trigger. I'm not sure 15 whether any of these would work, but after some 16 sort of a trigger, a dose response curve is likely 17 going to be needed to be done. 18 One other alternative to a dose response 19 curve might be possible if researchers had access

to other events that had different concentrations 21 of Cry 3Bb1. For instance, MON 862 likely 22 produced the endotoxin in higher levels. MON 853

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215 produced the toxin in lower levels, I believe. MON 1 854, I'm not sure what level that produced, but it 2 3 did protect the plant in -- at levels similar to soil insecticides in my study. 4 An interesting note if we turn to page 5 б 108 of the Monsanto's IRM plant, we see some data 7 that I generated documenting the production of more than three times as many adults from MON 854 8 as the infested check without insecticides. 9 MON 10 854, produced an average of 46 beetles per plot or 11 per emergence cage, whereas the infested check was 12 something of 13 or so. 13 So there are events with differing 14 levels of Cry 3Bb1, and I'm not sure if 15 researchers would be able to get access to those, 16 but I understand that that might be another way, 17 other than a dose response curve. And I defer to 18 the panel because I'm not really an expert on 19 these sorts of measurements. 20 Sensitivity is another key issue. Νo 21 method is likely to be sensitive enough to be 22 useful in finding resistance early on.
This is partly because it is low dose event and 1 partly because damage by this insect is 2 3 underground, partly because environmental factors play such a huge role in the damage done by these 4 particular insects, and partly because above 5 ground symptoms of damage such as lodging are б 7 often caused by events other than corn rootworm feeding. 8 If you have you heavy winds and lots of 9 10 moisture you are going to have lost corn whether 11 you had rootworm or not. 12 Developing a resistant colony does have value, and 13 is worthwhile to pursue, but has not been pursued 14 to date in the public sector. Wade French, from 15 Brooking, South Dakota, plans on doing so. 16 The nondiapausing colony of the western 17 corn rootworm that is available has been a lab rat 18 for more than 200 generations. These insects do 19 cause field damage but they are poor fliers and 20 likely represent only a small fraction of the 21 genes in the wild western corn rootworm 22 populations.

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However, developing a resistant colony 1 within a reasonable time frame, is likely only to 2 3 be successful with a nondiapausing strain. And so it would be good to intergress wild genes from 4 differing populations into a nondiapausing colony 5 before attempting to go develop a resistant -б 7 colony resistant to Cry 3Bb1. Currently, I'm a ware of one colony of the 8 northern corn rootworm. That is in Brooking, 9 10 South Dakota, I'm not sure if Wade French has a 11 colony or not. This is a diapausing colony. I'm 12 not aware of colonies of Mexican corn rootworm. 13 And so, developing resistant colonies 14 from these species is likely to be impossible. 15 That's all I have. 16 DR. ROBERTS: Thank you, and just for 17 the sake of clarity, when you mean developing a 18 dust response curve, you mean direct treatment 19 under laboratory conditions that sort of thing. 20 DR. HUBBARD: Yes. Dr. Andow, what are your 21 DR. PORTIER: 22 thoughts about approaches to monitoring.

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218 DR. ANDOW: On the several questions 1 2 here. 3 I think one approach to monitoring first of all, it is clear with this particular species 4 and these particular species this particular event 5 б that monitoring is a challenge. 7 So handling individuals is probably not going to be a very effective way of monitoring. 8 However, monitoring doesn't necessarily have to 9 10 get tied to -- monitoring just has to be -- to 11 give you sufficient information to take some 12 actions. 13 And so, that sort of rather than 14 focusing on whether it gets you just a piece of 15 information you feel you might need in order to 16 determine if you can get information that is 17 useful to take actions, I think that's important 18 to focus on that side. And for this particular species, it 19 20 would be very useful, I think, to think of a 21 tiered the approach to monitoring. Where you 22 would be doing something that has that is

relatively on the spectrum of things, it is 1 relatively easier to do, but has a slightly I 2 3 higher error rate in terms of giving you the information. 4 So that if you get a positive response, 5 then you would follow it up with something else, б rather than thinking that that was all you do. 7 And so some of these suggestion that we 8 were developing earlier, this idea of doing root 9 10 ratings -- looking not at root ratings but looking 11 for root tunneling could be in that category, 12 because we already know that there are certain 13 things that could complicate it. 14 At the same time, we could be taking 15 information and whether or not those complicating factors are involved. 16 17 So for example if you are just looking 18 for root tip damage, you might instead of doing 19 the normal root ratings at the time of anthesis or 20 later, you might go in during the late oral stage 21 and look at roots at that point, after the first 22 instars have done their damage rather than waiting

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for the second and third instar damage to 1 accumulate. There are ways to sort of approach 2 3 this in another way. 4 Now, another possible approach, and this would be a more intensive approach, would be to 5 б try to develop a survival test. And since we know 7 that corn rootworm survival is quite variable from place to place and soil to soil, maybe it would be 8 useful to standardize the soils and standardize 9 10 the location. Like green house studies with a 11 12 particular type standard soil and see just how 13 variable is corn rootworm survival, susceptible 14 population of survival, under fairly constant 15 controlled conditions. If it turns out that it is not that variable, then it may be possible to 16 17 collect adults from the field, get them to lay 18 eggs out on charcoal and test those eggs on a 19 standardized plant assay in the green house. So all of these are research areas. 20 But 21 I think that one needs to develop something that 22 is a lot easier than dose response assays in the

field or even discriminating dose assays. 1 Those assays at the individual level you 2 3 can't get the numbers up high enough to expect that you are going to be able to monitor over any 4 5 extensive areas or ranges. б Even the ones I'm talking about are still not going to be as extensive as one might 7 want. However, I think that there are -- and I 8 would also guess that if the NCR 46 community were 9 10 to sort of decide, instead of thinking just about 11 toxicity, what kind of evidence would give us some 12 indication that resistance was developing and sort 13 of go in those sorts of things rather than try to 14 sort of, say at the beginning what is the 15 definitive piece that would prove that we have 16 resistance. 17 You can always go in afterwards and do 18 that, but to sort of look at a tiered approach to 19 try to develop some earlier tools, I think that would be very helpful. 20 21 So that's on the monitoring question. 22 Should the value of developing resisting

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222 colonies? I think that would be very valuable. 1 Work that has been done on or helicoverpa armigera 2 3 of the Bt cotton is a low dose type event, has proven that mass selection in the laboratory has 4 developed -- has given some very useful results. 5 6 And so that should be something that is 7 pursued it may be that that is going to be the fastest way we end up with a resistant individual 8 9 that we can start working with. I would be 10 strongly encouraging that. 11 The insect rearing, this is a much 12 longer term project. It certainly is a useful 13 thing to be thinking about because of the problems in rearing northerns compared to westerns. 14 15 In terms of how high a priority, I would 16 probably be pushing more towards thinking okay, if 17 northerns were getting resistant, what types of 18 things would I expect to see that would give a 19 little signal of that. And sort of, more push it into what are the characteristics we can monitor 20 21 rather than necessarily try to go all out with a 22 rearing effort in the case of the northerns.

But in the -- where you have it in 1 Brookings, you may as well try to do some 2 3 selections on it if you can. Then the last point about more than one 4 colony, I think that there is some limitations as 5 to what we can really expect is feasible with б 7 northerns. But certainly with westerns since the are multiple colonies already, there is no reason 8 to say that it shouldn't happen in more than one 9 10 colony. Is that it? 11 DR. ROBERTS: 12 DR. ANDOW: Yes. 13 DR. PORTIER: Dr. Caprio, what are your 14 thoughts about monitoring strategies and 15 approaches. DR. CAPRIO: First, I would like to 16 17 agree that the Monsanto plan needs considerable refinement. 18 19 I agree pretty much with what David 20 said, that we need to find some easier method to monitor, and I'll just throw it out as part of a 21 22 brain storm as I sat here thinking, that one of

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the other things you might expect with some forms 1 of resistance would be an alteration of this delay 2 3 period. If you could look for earlier emerging adults, that might be a sign that there is some 4 sort of resistance. 5 I think there is value in developing б 7 resistant colonies. We also have to be careful that -- that is no guaranty that that is the only 8 9 mechanism that is out there, or that that is what 10 will evolve in the field if there is multiple 11 mechanisms and certainly multiple attempts to select for resistance might give us idea. 12 That's 13 pretty much my comments. 14 DR. ROBERTS: Are there other panel 15 member that would like to offer thoughts on 16 monitoring strategies? Is there anything with 17 which other panel members disagree? 18 Is the silence because everyone agrees with what 19 was said, or maybe they just don't have an 20 opinion. Is there any disagreement with the 21 comments that were made, or voiced during the 22 discussion? DR. ROBERTS: Dr.

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225 1 Neal. DR. NEAL: I would like to add that 2 3 monitoring for resistance with this pest is going to be extremely difficult and is going to be very 4 difficult to determine that resistance is 5 developing before it actually shows itself full б 7 blown. I think Dr. Hubbard made DR. ROBERTS: 8 that point as well in his comments, that it is 9 10 going to be tough to see this early. 11 Dr. Hellmich. 12 Just one comment. DR. HELLMICH: 13 Bruce's suggestion of using other events somehow 14 to be incorporated into the monitoring, that's something we actually considered early on with the 15 16 corn bore. 17 I understand at that time there was a 18 problem because that event would also have to be registered in order for it to be used in that 19 20 capacity. 21 That limits that option, I think. Couldn't it be done under 22 DR. ANDOW:

226 the EUP type approach, because we wouldn't be 1 talking about huge acreages. 2 3 DR. HELLMICH: Sharlene, Do you remember this conversation we had about five or six years 4 ago where we considered doing something like that? 5 6 7 DR. ANDERSEN: I might be brain dead on that one, but you can depending on the acreage, 8 9 you can do things and certain other aspects about You can do things less than 10 acres, as long 10 it. 11 as the protein is not going into the food supply, unless you have a temporary tolerance or permanent 12 13 tolerance. So there are some things that way. 14 Larger -- in experimental use permits, 15 it is the same aspect. You have to make sure it 16 is not going into the food or feed supplies in 17 unapproved variety. With that caveat, you could 18 do it. I guess I thought maybe Bruce was talking 19 more about greenhouse studies. And I thought that 20 was -- so it was different and I thought a very 21 interesting idea. 22 DR. HUBBARD: I was referring to

greenhouse studies, that's how I would conduct 1 2 those. 3 DR. PORTIER: Dose response things that you talked? 4 She is referring to the DR. HUBBARD: 5 varying levels of Cry 3Bb expression found in б 7 different events that have been tested over time. And I'm aware of at least one event that 8 has higher expression and there may be other event 9 10 that have even much higher expression. I'm not sure if that's Cry 3Bb or what. 11 12 DR. PORTIER: Any other comments on this 13 response to this question? 14 Ms. Rose, is the panel's response 15 reasonably clear. 16 MS. ROSE: Yes. 17 DR. PORTIER: Let's go ahead and take the last question, which is on mitigation 18 remediation action -- remedial action. 19 20 MS. ROSE: Part A states, the panel is requested to discuss an appropriate method of 21 22 determining suspected and confirmed resistance for

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corn rootworm, including recommendations as to how 1 suspected resistance or unexpected damage may be 2 identified. 3 4 DR. ROBERTS: Dr. Hellmich, you are the lead discussant on both A and B. Could you start 5 us off on A. 6 7 DR. HELLMICH: I have a number of things here. Some of it overlaps with what some previous 8 9 speakers have said. 10 Certainly with suspected damage or 11 suspected resistance, the first sign for the 12 grower is going to be plant lodging. 13 I think that some of the steps that 14 should be taken, then, is first of all you have to 15 confirm whether or not the grower did indeed plant 16 MON 863 seed and you have to rule out that there 17 wasn't other insects responsible for the damage. 18 In the same vein, weather conditions that may 19 cause lodging, and to rule all those out. 20 Then you have to confirm that indeed the 21 plants are expressed in the protein. One other 22 thing I want to add some of the things that Brude

229 suggested, is that I could imagine a situation if 1 there was excessive weediness and then the 2 3 herbicides were an applied you could have had first instars maybe get started on some of the fox 4 tails or whatever that is out there, and then go 5 6 over to the -- move over to the plants. In some cases you may get unexpected levels of 7 damage. So that would be something that they 8 9 would want to at least consider as a possibility. 10 Of course, after all those things are 11 ruled out, there is protein expression, then you have to take it to the next step. How do you 12 13 confirm resistance. 14 Unfortunately, Blair Sigfried was in the audience, but he has left now I talked to him a 15 16 little bit about this. The first step right now 17 as I would see it would be to conduct a standard 18 diet bioassay, try to do a diagnostic dose. But Blair, who is the authority on this, 19 20 admits it is going to be very, very difficult to 21 come up with a test for this. Because the events 22 aren't very effective, so trying to see if they re

becoming a little bit more -- a little less 1 effective with this population is going to be very 2 3 difficult normally, at least with the high dose, we would try to do a standard diet bioassay. 4 Wе look at the 95 percent confidence intervals. 5 If it was outside of that, then you would indeed б think that may have resistance. 7 And then we come back to maybe some of 8 the ideas similar to what Dave and Bruce were 9 10 saying about using plants maybe in greenhouses to 11 see if you get more node feeding, especially for 12 the early instars, that may be some way to confirm 13 resistance. 14 When you have this unexpected lodging 15 more than likely when they go out there to look at 16 this, you're going to be looking at adults, the 17 third instars, the earlier instars won't be there 18 anymore. 19 I was thinking that at the time if there 20 were third instars, you could possibly test those. 21 But to do that you would have to have LC 50's 22 determined for the later instars. That hasn't

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2B1 been done, but more than likely, you are going to 1 be encountering an adults emerging from those. 2 3 You have to test -- test their children. 4 When it comes to unexpected damage, as Ι was saying before, I think you have to look at 5 this sort of two different levels, and one is the б 7 practical level, the grower level, and as I mentioned the excessive lodging would be what they 8 would be looking for. 9 10 Certainly, they would be calling 11 Monsanto in these cases to see what was -- what 12 the problem was. 13 From a lab perspective, again, we --14 again, we keep coming back to this idea of first 15 instar tunneling whether or not that can be 16 detected, and if that could be sort of a sign that 17 there is a problem. 18 I think that should be pursued, but with 19 understanding that it may be very difficult, 20 because the second and third larval damage more or less covers that up. And it may be difficult to 21 22 interpret looking at stage in this or looking at

the sampling at a time when -- before you get 1 second instars as Dave was mentioning before, may 2 3 be a pretty good idea. Mike mention the idea looking at the sex 4 I think that a lot of discussion we had ratios. 5 6 - we suggest that this would not be very accurate. It would meet so many environmental conditions, 7 planting times, whatnot. But that would probably 8 not be a very reliable method to use. 9 10 Then there is always the problem with, 11 and this is the problem we always have, with 12 monitoring and unexpected damage is that 13 presumably, before you get to the field failure, there could be some signs of resistance developing 14 15 that can't be detected. 16 Unfortunately, unless they use some of the monitoring, I think they are going to have to 17 18 fall back to the monitoring, and see if they can't 19 fine tune those, so that they can detect it before 20 you get to the field level. 21 Unfortunately, I feel that in most 22 cases, it is going to be the growers coming across

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the unexpected lodging. It is probably going to 1 be the first thing that is going to be visible and 2 3 that the earlier detections with the monitoring, in many cases is not going to be extensive enough, 4 or practical enough, or sensitive enough to detect 5 resistance before it is problematic. That's all 6 I have to say for A. 7 DR. ROBERTS: Thank you, let's go ahead 8 complete our comments on A and then move to B. 9 10 Dr. Whalon: I think that Monsanto's interim IRM resistance detection as it is 11 12 described is inadequate for full registration. 13 I think -- I think that because the 14 appropriate methods for determining suspected resistance aren't there, and the ability to 15 confirm resistance is very difficult, the current 16 17 plan would only detect field failure or nearly so. 18 And in that sense, would be too late. 19 The IRM plan would be defeated in a 20 sense. It depends on how wide spread it is. So 21 this is a situation I don't see as being 22 Monsanto's situation. I think it is a situation

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associated with where we are at on the science, 1 and where we are at on understanding the biology 2 3 and the toxicology of this event in corn rootworm. I think in response to that, there needs 4 to be a concerted, mounted effort on the part of 5 6 the public sector corn growers and the registrant to get this information. So that this product 7 will live for a while in the field. 8 I think it's necessary to develop those 9 10 protocols and identify the means whereby such 11 detections could be made and all the comments in the previous section on monitoring apply here. 12 13 I recognize that this is a considerable technical challenge that presents and that there 14 are a lot of significant, very significant aspects 15 16 to overcome. Particularly, with conventional bio 17 assays. 18 The comments regarding the development 19 of a resistance resistant strain, I agree with, I 20 think that you could get a resistant strain with one approach or another. May not be the one that 21 22 occurs in the field. This is always a risky

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285 approach but something is better than nothing, and 1 will inform at least for that mechanism. 2 3 I broke out the second part in terms of recommendations on how to -- suspected resistance 4 or unexpected damage may be identified. 5 I think б that the current rating systems both one to six 7 and the zero to three are probably not systems that will effectively identify early stages of 8 resistance. 9 10 And that presents --11 DR. ROBERTS: Maybe we ought to go ahead 12 and finish one and come back around to do two. 13 This is a response to B? 14 It is the latter part of A DR. WHALON: 15 where it says including recommendations as to how 16 to -- suspected resistance or unexpected damage 17 may be identified. DR. ROBERTS: 18 I'm sorry, continue 19 please. 20 DR. WHALON: One of the things that 21 would be really good, ideally, is that if you 22 could identify these events before adult emergence

such that you could initiate a medication 1 2 strategy. 3 So, that kind of thinking that way might dictate how a detection system were developed if 4 it could be developed. 5 In essence, what the registrant has б 7 proposed is to move ahead operationally with a system that practically probably wouldn't be able 8 to detect. 9 10 I think there is also some adoption 11 problems as we talked to growers the other day. Some of these strategies are done by other 12 13 competing hybrid seed companies. How interested 14 would they be in following through on monitoring 15 for Monsanto or this events. 16 I think there is some practical issues 17 too, to deal with. 18 DR. ROBERTS: Dr. Hubbard, do you have 19 anything to add? 20 DR. HUBBARD: I agree with most of the 21 comments. 22 I agree with Dr. Hellmich, in that it is

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1 likely to be the growers that see something and unfortunately when they see something, it is 2 3 probably already crop failure. But if they do see some unexpected 4 lodging with low levels of damage from MON 863 ilt 5 is possible they could see this relatively early 6 7 on under certain weather conditions, if there is moisture and high winds, you will get more lodging 8 and you will get more lodging in feeding corn --9 10 in corn that has rootworm feeding than that which 11 has not. 12 A Mosanto representative could then 13 watch those roots, evaluate for feeding damage, 14 and I think under the concurrent -- they could 15 take a look at that whole field. I think that 16 they actually might be able to, if there is a lot17 of damage, in more than a node, or half a node of 18 damage right there and you have some nonBt plants 19 of the area and they have a similar amount of 20 damage, then I would say that's -- you could use 21 root ratings to detect this fairly early on. 22 So I disagree slightly with Dr. Whalon on

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288 that point. If you have the refuge available that 1 is damaged similarly, because usually even 2 3 insecticide treated refuge the MON 863 has less damage than the insecticide. 4 One other point is you probably should distinguish that 5 б these larvae actually, western corn rootworm or 7 northern corn rootworm, versus southern corn rootworm. 8 Because it may be that southern corn rootworm is 9 10 not on the label and so the actual larvae causing 11 the damage should be collected. 12 That is all I have. 13 DR. ROBERTS: Other panel members, would any of you like to comment on A? 14 15 Dr. Caprio. 16 DR. CAPRIO: Just one thought as you 17 were talking, if you want to compare with a non Bt 18 strain probably we ought to think about that same 19 hybrid type situation that that might play into 20 that. 21 In a broader issue, not really to harp 22 on this, but we're talking about an awful lot of

problems with both monitoring and resistance 1 detection and so on. 2 3 And one of the reasons people were willing to accept smaller refuges was that we 4 could with corn bore, people could do a relatively 5 б good job monitoring. And one can see a lot of 7 these problems that -- maybe that ought to be a consideration until being more conservative in 8 terms of how much refuge we might recommend. 9 10 DR. ROBERTS: Dr. Andow. 11 DR. ANDOW: I'm -- I support that, but 12 the points that I would like to raise is in terms 13 of the proposed definition for confirmed 14 resistance, which I don't know if this has been 15 modified very much at this point, but on the 16 Monsanto interim plan on page 16 I'm going to be 17 referring to that section, and so basically, there are two different branches. 18 19 One, is you either use a discriminating 20 dose assay or this series of test that they list. As we heard from Rick earlier, the discriminating 21 22 dose assay may take a long time to development, sо

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what we're really looking at is the other list 1 is the functional definition of resistance for a 2 3 long time. And that functional definition of 4 resistance has two components of which both have 5 6 to be met. 7 And I'm go to go review this a little bit because I'm going to propose how they might be 8 9 different. One is that the resistant population 10 or the putative resistant population that you have 11 has to have an LC 50 that exceeds the upper 95 percent confidence interval of the mean historidal 12 13 LC 50 for the susceptible population. 14 And then the second point is that in addition, over half of the plants that are exposed 15 16 to this population in a laboratory condition have 17 to have one or more root nodes destroyed. That's 18 sorts of the present definition. 19 Now it seems to me that the first piece actually -- it actually seems to me that it's not 20 21 clear why you need both. 22 Because if you have a populations that

1 is destroying one or more root nodes that is pretty resistant and you really need to have the 2 3 other test to confirm that, so that's one point that I would be raising. 4 The second point is the LC 50 test it 5 б sort of depends on the slope of those LC 50 lines 7 as to whether or not you may be missing actual resistance or not. Because if the 95 percent 8 confidence interval is going to be large which 9 10 given the difficulty in doing these tests it is qo 11 to go be large, then to have something way above 12 the LC 50, if the slopes are relatively steep, you 13 may end up with concentrations, populations that 14 wouldn't pass the LC 50 test, but would still be 15 able to damage the corn. 16 It seems like that first condition may 17 be too strict. 18 It seems to me that something based on 19 the damage to the root nodes maybe sufficient or 20 even if one were to develop an survival assay, it 21 is pretty clear that survival of courtrooms on a 22 susceptible variety without insecticides is higher

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than the survival of corn rootworms on the Bt 1 variety. 2 3 You get variance associated with each οf But then if the test population tests these. 4 within the confidence interval of the susceptible 5 population on the susceptible variety then one 6 7 should consider that to be resistant also. Ιt seems that would be an alternative way of 8 confirming resistance. 9 10 In other words it is not that it is 11 different from the Bt control but it is not 12 statistically different from the control line on 13 the control plan. 14 And if it's not statistically different 15 from the control line on the control plant, I 16 don't see how you can say that that is not 17 resistant. It seems there are other approaches 18 toward defining this that are sort of or type 19 definitions rather than and type definitions. 20 That is one point that I wanted to make. 21 DR. ROBERTS: I was going to ask if 22 panel members had questions for you on that point,

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243 or wanted to comment on that. 1 DR. WHALON: Just a general observation. 2 3 I think in the LC 50 concentration test because of the noise of the study and the low efficacy of 4 this compound on the target insect, that the 5 likelihood of being able to detect three or for 6 fold level that could be related to resistance is 7 fairly low. 8 Anybody else? 9 DR. ROBERTS: 10 DR. HUBBARD: I agree with both 11 comments. 12 DR. ROBERTS: Dr. Andow did you have 13 another comment you wanted to make as well? 14 Sort of a general comment DR. ANDOW: in terms of how to frame how to look for things here. 15 It seems like I said under the monitoring dealing 16 17 with these -- for this event dealing with the 18 beetles individually, is just not going to cut it. So I think we need to be thinking about 19 20 all of this as population issues. Sampling 21 populations, we're testing populations so all of 22 the frameworks could be built at the population

244 1 level. And then moving into the question of 2 3 there was a question raised in the corn bore issue as to how to sample and where to sample. 4 That wasn't really brought up here. But in terms of 5 how to do a suspected and confirmed resistance, 6 I'm going to bring it up in that context. 7 In previous discussions, I have proposed 8 that there is sort of two extreme ways that 9 10 resistance may arise. The frequency may creep up 11 gradually over a large region, or it may occur at 12 spikes in a particular area, and how you target 13 monitoring in those different approaches would be 14 quite different. 15 In this case, it seems to me that the 16 local source -- you know we've been saying 17 resistance should arise locally, 18 that the spiking of resistance is probably going 19 to be the thing we have to be looking at more 20 closely. 21 Now when you have that kind of a situation, there is no way you are going to be 22

able to monitor everywhere for resistance because 1 it is too big of an area, and there is to many 2 3 people. So you do have to rely to some extent on the growers there. 4 And so I don't disagree with Rick's 5 assessment that the idea that you look for lodging б 7 is a very come important component for the resistance. But the other way 8 to do it, another supplement to that would be to 9 10 identify those regions with high adoption. That's 11 sort of at the county level rather than again, the local level and maybe just take the top 10 or 20 12 13 of them and suggest that you try too do a little bit more intensive monitoring associated with 14 15 those. 16 Because -- in this case because of the 17 nature of the event, it is likely that you will 18 see the responses more associated with those high 19 concentration areas. 20 So that would be another thing to look 21 And then it might be possible to get a couple at. 22 years head start on field failures if the

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246 monitoring program is developed well. 1 2 DR. ROBERTS: Thank you, Dr. Andow. Are 3 there other comments in response to this question. Yes, Dr. Neal. 4 DR. NEAL: I would like to point out 5 that a lot of this discussion of MON 863 is б 7 specific to MON 863 and would not necessarily apply to a high dose plant transgenic directed at 8 9 corn rootworm. 10 If it were a high dose, then that would 11 make the monitoring for resistance much easier, 12 because then you could look at numbers of beetles 13 emerging from fields. 14 The other point that I would like to 15 make with regards to monitoring is that polygenic 16 resistance should be expected to appear in that 17 some of the previous suggestions we took up 18 another question in other questions directed 19 toward measurements of growth, development, and 20 fecundity of naive corn rootworms and corn 21 rootworms selected by being reared on the MON 863 22 that doing those kinds of comparisons should give

a good indication of the polygenic resistant 1 2 component. 3 DR. ROBERTS: Other comments in 4 response to this question. Is there any disagreement among panel members on any of the 5 comments that have been made so far, or are we б 7 pretty much in agreement? 8 Silence is assent? 9 DR. ROBERTS: Ms. Rose, was that 10 response reasonably clear do you have a follow up? 11 MS. ROSE: I was hoping the panel could 12 elaborate a little bit on what you mean by 13 unexpected lodging. I'm not sure if you would 14 expect some level of lodging since we're not 15 dealing with a high dose. 16 How would a farmer or grower be able to 17 go out and say, I wouldn't expect this level of 18 lodging. Dr. Hubbard, do you want 19 DR. ROBERTS: 20 to tackle that one? 21 DR. HUBBARD: I think they should expect 22 no lodging unless the whole region has lodging due

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to a high moisture, high wind event. 1 2 DR. ROBERTS: Anybody else? Dr. 3 Hellmich. DR. HELLMICH: That kind of means that 4 low levels, low amount of lodging that is not due 5 to weather, which a grower -- of course it could 6 be confused with corn bore lodging too. Could it? 7 No? Okay. 8 DR. ROBERTS: Let the record indicate 9 10 Dr. Hubbard made a gesture that indicated he 11 wasn't sure whether that was true. 12 DR. HUBBARD: I think that you can 13 discriminate. I think entomologists can 14 discriminate between rootworm and European corn 15 bore lodging. Farmers probably should be able to. 16 DR. ANDOW: Is it possible that wireworms would cause lodging. 17 18 DR. PORTIER: Question from Dr. Andow, 19 or Dr. Hubbard I guess. 20 DR. ANDOW: In terms of what to expect 21 and what not to expect? 22 DR. ROBERTS: Do you want to respond,

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249 Dr. Hubbard? 1 DR. HUBBARD: No, I'll defer to Dr. 2 3 Weiss. DR. ROBERTS: Dr. Weiss, respond. 4 DR. WEISS: Thank you Dr. Hubbard. 5 Ι would say that wireworms usually do not cause б 7 lodging. Injury is early in the season, you will get stunting of plants and mortality, but not 8 lodging. 9 10 DR. ROBERTS: Have we clarified that for 11 you? 12 MS. ROSE: Yes. 13 DR. ROBERTS: Anything else on this one 14 before we get to the last question? I think we're 15 there. Last question. MS. ROSE: Please discuss whether root 16 17 ratings are an appropriate indicator of suspected 18 resistance. If so, how could a typical farmer use root ratings to identify suspected resistance. 19 20 DR. ROBERTS: Dr. Hellmich. 21 DR. HELLMICH: Maybe. 22 DR. ROBERTS: Okay, anybody else?

250 DR. HELLMICH: I think root ratings for 1 most your growers won't be very practical. 2 Ι 3 think that again, this gets into the discussion we were having before, about whether or not a trained 4 scientist could tell whether or not there was 5 unexpected damage with the nodes being eaten. 6 7 And I think that there may be some sort of education of growers so that the more dedicated 8 growers who are really interested in this, could 9 10 indeed find it. But I would think that would be 11 the rare grower. Most of them won't be out there 12 digging roots trying to find out what is going on. 13 But if I do think it is important that the crop consultants and those people who normally 14 would be digging roots, that they are trained to 15 16 assess if there is any kinds of unexpected root 17 pruning that --DR. ROBERTS: Dr. 18 Whalebone, what is your position on root ratings? 19 DR. WHALEBONE: The root ratings I think 20 are an inappropriate indicator of suspected 21 resistance. 22

However, I believe that root ratings may

be able to be adapted or refined in such a way 1 that they could be. I think, in terms of adoption 2 3 I an agree with the previous comments. It is unlikely that growers are going to do it however 4 consultants may be able to. 5 6 These people that are out looking at 7 cornfields all the time, like a couple of the scientists on this panel, may be able to use that. 8 The real challenge really is to get an uniform 9 10 modified system into the hands of the growers in 11 an appropriate way or in the hands of people who 12 are looking at corn in an appropriate way to be 13 able to detect such a low level effect. 14 DR. ROBERTS: Dr. Hubbard. 15 DR. HUBBARD: I agree with the comments 16 of the previous two speakers, that he typical 17 grower is not going to use root ratings. 18 Lodging is more appropriate. I think 19 root ratings are one indicator for resistance that 20 can be used by those educated to do so, such as 21 crop consultants. 22 DR. ROBERTS: Other panel members? Dr
252 Hellmich, did you want to make a follow up point. 1 DR. HELLMICH: I would like to put a 2 3 plug in for the Iowa State, no injury scale as probably being a little bit better to detecting 4 differences, than the others ones. 5 6 think that there may, like some of the scales we 7 used to use for corn bore leave ratings, there may be modifications of that that could make even a 8 little bit better. I don't know you would have to 9 10 talk with John Tollefson and see if there would be 11 something. 12 I personally believe that the zero to 13 three scale is -- would be more logical and would be a better root rating scale than the one to six 14 15 scale. 16 DR. ROBERTS: Are there other opinions? 17 Dr. Hubbard. 18 DR. HUBBARD: I strongly concur. 19 DR. ROBERTS: Anyone else on the panel have 20 an opinion on root ratings? Dr. Neal. 21 DR. NEAL: I would like to post a 22 question to the panel that we have a situation

253 where we have a low dose plant. 1 So that if highly resistant individuals 2 3 did develop within that field, then those individuals might be a relatively small proportion 4 of that population. And would the average root 5 rating mask the heterogeneity of the population б or 7 the fact that he had a mixed population. DR. ROBERTS: Dr. Hubbard. 8 DR. HUBBARD: Absolutely. One insect 9 is 10 not going to cause much damage. Dr. Andow's 11 suggestion -- no Dr. Caprio's suggestion that 12 adult emergence that is not delayed is probably 13 the best -- the best indicator that I have heard 14 from this whole panel. 15 But there aren't that many adult 16 emergence traps out in farmers's fields. 17 DR. ROBERTS: Dr. Andow. 18 DR. ANDOW: I guess it seems like this is oriented at local field failure in which case 19 then hopefully there would be mitigation that 20 21 could be locally applied. 22 But in terms of -- because this is

254 1 pretty severe damage that we would be talking about, and the only way a farmer is going to be 2 3 involved is if there is lodging through an extensive area of their farm or their field and i f 4 they notice that, then they are probably going  $t_0$ 5 call and get some people in, and to investigate 6 7 why it is lodged in which case then there will be several people available to pull up roots. 8 And if, in fact, this new Iowa root 9 10 rating scale it is a two or a three, it is kinds 11 of a no brainer; there is so much damage to that root that you know it is root worms. 12 13 DR. ROBERTS: Any other comments on root 14 ratings? 15 Okay. Let me then give the panel the opportunity to make some comments if there have 16 17 been some issues or matters related to insect 18 resistance management, some points that you think 19 need to be made but weren't necessarily covered in 20 the questions that were posed to the panel yet are 21 nonetheless are important and valuable for the 22 panel to convey to the agency, this is the

opportunity to do that. 1 We have gone through all the questions 2 3 posed to the panel by the agency. I wanted to give the panel members the opportunity to make 4 some follow up comments. Dr. Hellmich. 5 DR. HELLMICH: I think we have all 6 7 learned a lot from this panel. I think one of themain points is that we all came in here sort of 8 thinking in this high dose refuge paradigm. 9 10 We're finding out that what we have here 11 is much different, that sometimes the questions 12 are much different there has been a shift in 13 paradigm and that we really are in sort of unknown 14 territory. That's one comment. 15 Another comment is, I think it is 16 unfortunate that some experts weren't able to be 17 here to -- because it would have provided a lot оf 18 valuable input. 19 The other comment is that obviously, 20 there is a lot of science that -- there are a lot 21 of gaps in the information. In that and that we 22 should have a mechanism for figuring out how to

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1 fill those gaps. We talked a little bit at lunch. 2 There 3 is a history of NC 205 working with industry, working with the EPA and then the 46 has also has 4 done that. 5 I would like to think that -- I'm going 6 7 to challenge the panel to identify some of the research, some of the test that need to be done so 8 that we could in some capacity perhaps, Bruce, 9 10 since he is a member of 46 go back to 46 and say 11 these are the questions we need to address, so 12 that the research can be focused. 13 I know from experience that there is a 14 lot of research that gets done and not all the 15 researchers are privy to the conversations that 16 are going on, and consequently, maybe the data, 17 not all the data is collected just right. 18 Maybe some experiments are being done 19 that don't need to be done. So this dialog needs 20 to be ongoing. 21 I think Fred may be interested in 22 participating in this. I would like to thank the

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257 EPA for giving us the opportunity for doing this. 1 And I -- one other thing I was thing I 2 3 was going to say is that I think some panel I think some panel members have the idea that 4 sometimes the technology is ahead of the science, 5 6 and that we're having a panel now and that with a little bit of forethought, that perhaps the 7 discussions that we're having right here now, they 8 should have happened three or four years ago. 9 10 I don't know if there is a mechanism to -- for that to occur. But if there would be a way 11 to have the appropriate discussions in science 12 13 discussed in a more timely way so that the experiments can be done in a more timely way, that 14 15 would be useful. 16 DR. ROBERTS: Dr. Gould did you want to 17 make a comment? 18 DR. GOULD: Yes, I would. I would like 19 to respond to some of the things that Rick brought up. I also would like to thank the EPA for having 20 this open forum, again, it is not done in all 21 22 agencies. I think it is a healthy way of doing

258 1 risk work. I did push for science based risk 2 3 assessment before commercialization, and I guess one of the things that came back at lunch and also 4 from other people was, okay Gould, what do we need 5 6 before we go out there. 7 So to be more specific. So I did come up with a list here. I can read it to you or --8 DR. ROBERTS: Please do so, so it will 9 10 be in the record. DR. GOULD: What is the scientific 11 information we need before commercialization, 12 13 really. What is the selection intensity on -- so we can have a real resistance management program. 14 What is the selection intensity on corn rootworm 15 larvae for MON 863 in different regions, soils, 16 17 moisture, and at different densities. Two, what is the selection intensity on 18 19 corn rootworm males and on females separately from 20 MON 863. 21 Three, what is the selection on progeny 22 through maternal effects if there is a carry over

that you wouldn't see in the first place. 1 2 Four, what is the impact of using whole 3 fields versus rows within fields as refuges and what is that effect on population dynamics on the 4 percent refuge beetles mating with resistant 5 beetles from the Bt fields. б 7 Five, how would use of a seed mix impact selection intensity. 8 Six, are some of the surviving larvae 9 on 10 MON 863 more genetically tolerant of the Bt toxin 11 than the general population. 12 Seven, what could we learn from a 13 quantitative genetic model. 14 And finally eight, is male female 15 movement different in different regions. I'm sure that there is more in that than 16 17 list, but these are the things we ought to know 18 before we go out there to commercialize. 19 DR. ROBERTS: Let me follow up and ask 20 you to what extent are these general applied 21 beyond this particular MON 863, but are things 22 that you would look for, for other potential

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products? 1 DR. GOULD: I think we would need this 2 3 for any product. But these tests many of them have to be done with 863 for the MON 863 4 registration. And we have to ask about selection 5 intensity on the other events. But understanding б 7 the movement and things like that --8 DR. ROBERTS: The extent to which these could be generalized and become, sort of, 9 10 principals of the application. I guess that was 11 what I was looking for. 12 DR. GOULD: For a high dose situation 13 these would not be as applicable. 14 DR. ROBERTS: We might -- I'm just 15 wondering if you want to frame this as these are important for moderate dose corn rootworm 16 17 resistant products. 18 Dr. GOULD: Yes. To make it clear this 19 DR. ROBERTS: 20 doesn't apply only for this particular situation. 21 DR. GOULD: Yes. 22 But it applies to moderate dose.

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251 DR. ROBERTS: Yes, Dr. Whalebone. 1 2 DR. WHALEBONE: A couple of 3 observations, one is that the benefit side of this technology is strong. I'm sure the agency is 4 going to consider the benefit risks in terms of 5 resistance. It is also worth noting that б 7 transgenics are in a fish bowl. This same standard that we're applying to transgenic 8 9 products are not applied to other conventional 10 chemistries. 11 From a public policy perspective, this 12 is probably wise given what the situation is and 13 focus on GMO's in general. 14 Finally, this paradigm shift that Rick 15 was addressing earlier, and that we have talked 16 about for a couple days now, is not just 17 associated with the area of GMO's and transgenics 18 it is also part of what we're facing in some of 19 the pesticide alternatives that come to us through 20 biopesticides. 21 These paradigm shifts come and science 22 has to adapt so does the grower community. Ноw we

move ahead to facilitate that adaptation is 1 challenging. So, in this instance, classical 2 3 approaches may not apply. Ι particularly like some of Dave Andow's comments 4 and other comments that related to that. That we 5 б need, as scientists, to adapt as well. We may 7 have to develop techniques and strategies that are out of the box. 8 DR. ROBERTS: Yes, Dr. Andow. 9 10 DR. ANDOW: I quess, in terms of what 11 research is really important, I might prioritize the list a little differently and pick out two of12 13 the ones that Fred said that I would particularly 14 highlight. 15 This is the selection intensity. He had a bunch of them associated with fitness 16 17 differentials, associated with -- and those are 18 really critical. I think the occurrence, first 19 present occurrence of resistance, if it is there or not, is really critical. 20 21 If it is there a lot of what we're 22 talking about is just irrelevant, and so it is

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1 really important. I also think that if it is not there at 2 3 high levels, then the monitoring issues really need to get tackled that we need to have 4 monitoring methods worked out, and we also need a 5 б clear mitigation strategy. Right now 7 it's not so clear what the mitigation strategy is. To have a full plan, there has to be an initial 8 plan to move in. There needs to be a monitoring 9 10 strategy and a mitigation strategy. 11 And I have to say that -- to that's what 12 is needed. That's going to be very important to 13 see that. So, I would put those as priorities and 14 deemphasize some of the other things. But that's 15 just my opinion. 16 DR. ROBERTS: Thank you, any other 17 comments. Dr. Hubbard. 18 DR. HUBBARD: I would like to just relay 19 a few bullet points from the NCR 46, May 30, 2001, 20 in research needs. 21 One is to quantify the relative fitness 22 of rootworm individuals that survive on transgenic

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254 1 corn versus nontransgenic corn. 2 Evaluate IRM options other than a refuge 3 especially if an event is not classified as high dose. 4 5 Examine the impacts of refuge configuration including seed mixtures on б 7 development of resistance and likely hood of 8 farmer adoption. I think those are some of the points 9 10 that NCR 46 brought forward. They brought forward 11 other components, but those three seem germane to 12 our discussions. 13 DR. ROBERTS: Dr. Gould. 14 Just to finish up with my DR. GOULD: comments on these research initiatives. 15 16 It was said that maybe this would take 17 100 years to get done, and I don't think so. Ι 18 think that all the things on the list if you 19 prioritize them the way Dave did some of the NC 20 recommendations could be done in two years if the 21 funds were made available. 22 DR. ROBERTS: Last call for comments

265 1 among panel members. 2 Ms. Rose, did you have any follow-up 3 questions that you wanted to pose to the panel before we close this session. 4 The only follow up question MS. ROSE: 5 Ι 6 would have thought of is research we need, so very qood. 7 DR. ROBERTS: We have anticipated that 8 and have some suggestions for you, terrific. 9 10 Dr. Andow. DR. ANDOW: I would like to acknowledge 11 12 Monaco in that they spent a lot of effort putting 13 together this interim plan and they circulated i|t14 quite widely. I think without to the focus of 15 some of the EPA's questions today, a lot of these issues didn't -- and didn't occur to me and didn't 16 17 occur to a lot of people to bring up earlier. 18 So I think that the whole process has 19 been very valuable. But I did want to acknowledge 20 that they had been circulating this to many 21 people, and getting input. 22 DR. ROBERTS: Dr. Gould.

256 1 DR. GOULD: I do want to ask a question to EPA because I have been told as time has gone 2 3 on here that we haven't seen the research that has been done. There is more research done than we 4 were given. 5 I'm assuming that we're up-to-date. 6 7 If that is not the case, it makes our process more difficult. 8 9 DR. ANDERSEN: I think you have 10 everything we have. 11 What we are anticipating from what we 12 have heard from Monaco is that they are just 13 finishing up some reports from some of these places where we have provided you with preliminary 14 15 data and that's what we have looked at. 16 So we will be getting that data, and 17 obviously looking at it in light of the comments 18 we have heard today and what we will see in the 19 report. 20 DR. GOULD: Finally, I would like to say 21 this is a list, but I hope it will be okay with 22 the people here to embellish these with a little

bit more detail in the report. 1 Detail sounds good to us. DR. ANDERSEN: 2 3 DR. ROBERTS: That will be fine Dr. Gould. 4 Anything else, before we close the 5 6 session? 7 If not, I would like to thank all of the panel members for their hard work in taking a look 8 at these questions and issues posed by the agendy. 9 10 11 This is very important stuff and we 12 really appreciate the comments and valuable 13 discussion we have had during this meeting. 14 The panel will prepare a report from this meeting, which is the minutes from the meeting. 15 Ι 16 would like to ask the panel members to -- in order 17 to plan for the preparation of those minutes to meet in the break room immediately following the 18 close of this section so we can discuss how we're 19 20 going to organize our write up. 21 I would also like to thank the public 22 commentors for their input into this session. Ιt

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is always very important for the panel to receive 1 different views and different perspectives from 2 3 these issues. Of course, I would like to thank Dr. 4 Porter, who isn't here who did the bulk of the 5 work in Chairing this sessions and made it very 6 easy for me to step in at the last second and 7 finish it off. 8 Lastly, I would like to thank the SAP 9 10 staff for putting the meeting together. There is 11 a lot of hard work that goes into putting a panel 12 together, getting them here, and supporting all оf 13 that. They do an excellent job. I would like for 14 thank them for that. 15 Let me give our Designated Federal 16 Official, Mr. Paul Lewis, to make any comments, if 17 he would like, before we close the session. 18 DR. LEWIS: Thank you Dr. Roberts, for 19 filling in for Dr. Porter serving as our Chair 20 this afternoon. 21 Again, express my appreciation to SAP 22 members for all your hard work the past few days

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and the upcoming work we have together writing a 1 report that serves as our minutes based on 2 3 discussions we had the past few days. As Dr. Roberts mentioned, if we can meet 4 afterwards, we can go over this afternoon our 5 structure follow developing work, in terms of б writing our report. 7 And also, finally, for the public for 8 staying involved, in the course of this week, the 9 10 people that are here thanks for participating and 11 playing an active role in our scientific peer 12 review process. 13 Thank you. 14 Dr. Andersen. DR. ROBERTS 15 DR. ANDERSEN: Again, thank you. You 16 have heard lots of thanks, ours too from the 17 agency's perspective, it does have the SAP group. 18 So in case you don't exactly understand, 19 they are a bit you autonomous from the Office of 20 Pesticide Program. On behalf of the office, we 21 really appreciate your time and effort. There 22 have been some excellent suggestions that will

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270 apply to us, I think, on the overall about PIPs, 1 as well as these specific products so we really 2 appreciate some of the thoughtful ideas we have 3 heard last few days. 4 DR. ROBERTS: Thank you Dr. Andersen, i f 5 there is no other business, this session of the 6 7 FIFRA Scientific Advisory Panel is closed. (Thereupon, the meeting adjourned at 8 9 3:20 p.m.)

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