

US EPA ARCHIVE DOCUMENT

# **NexGen Risk Assessment**

**Daniel Krewski, PhD, MHA**  
Professor and Director  
McLaughlin Centre for  
Population Health Risk Assessment  
&  
Risk Sciences International

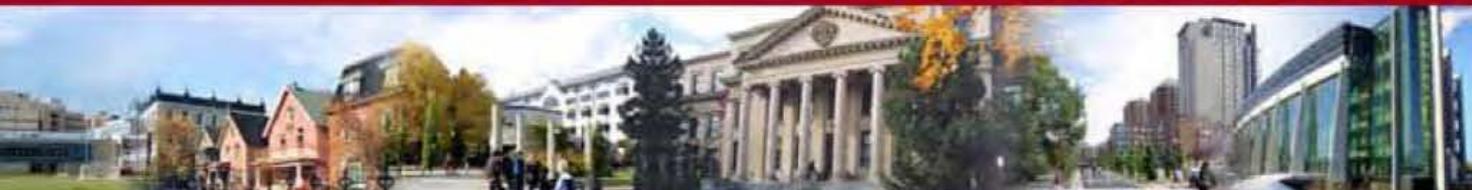
**February 15, 2011**

**Université d'Ottawa | University of Ottawa**



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### Three Building Blocks for a NexGen Risk Assessment Framework

**I) Toxicity Testing in the 21<sup>st</sup> Century (NRC, 2007)** provides a vision for the future of toxicity testing based on the identification and prevention of perturbations of toxicity pathways.

**II) McLaughlin Centre Framework for Population Health Risk Assessment (Krewski et al., 2007)** integrates the fields of risk science and population health.

**III) Science and Decisions: Advancing Risk Assessment (NRC, 2009)** describes new methods and approaches for human health risk assessment.

*Cornerstone #1:  
Toxicity Testing in the 21<sup>st</sup> Century*



**BEST**

Board on Environmental Studies and Toxicology

# **Toxicity Testing in the 21<sup>st</sup> Century: A Vision and A Strategy**

Committee on Toxicity Testing and Assessment of  
Environmental Agents

Board on Environmental Studies and Toxicology

Institute for Laboratory Animal Research

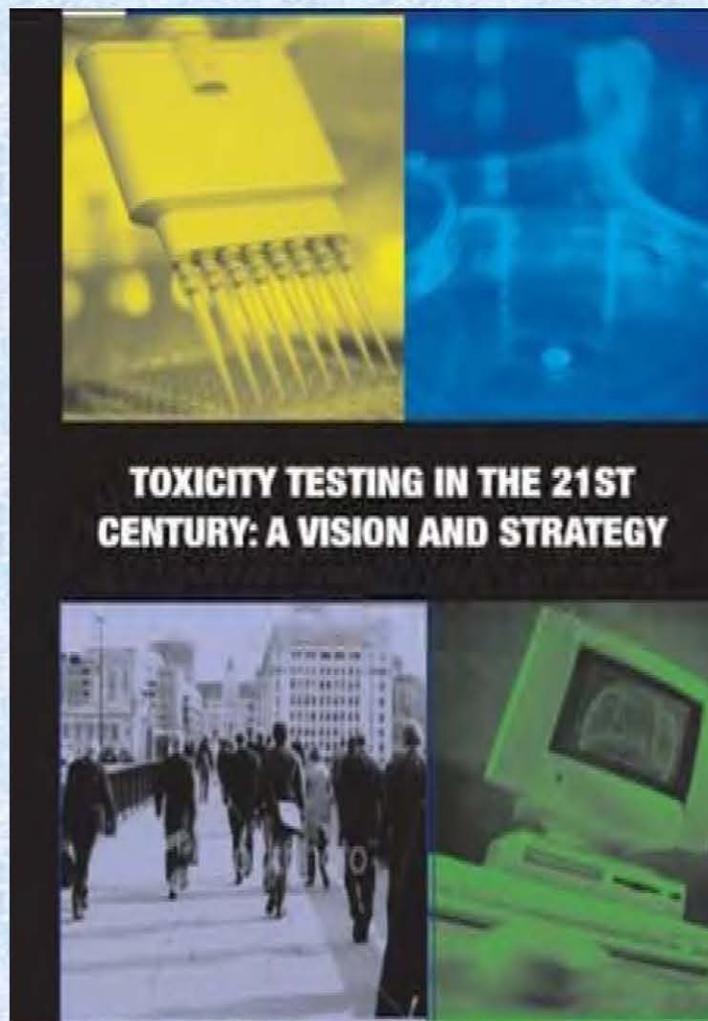
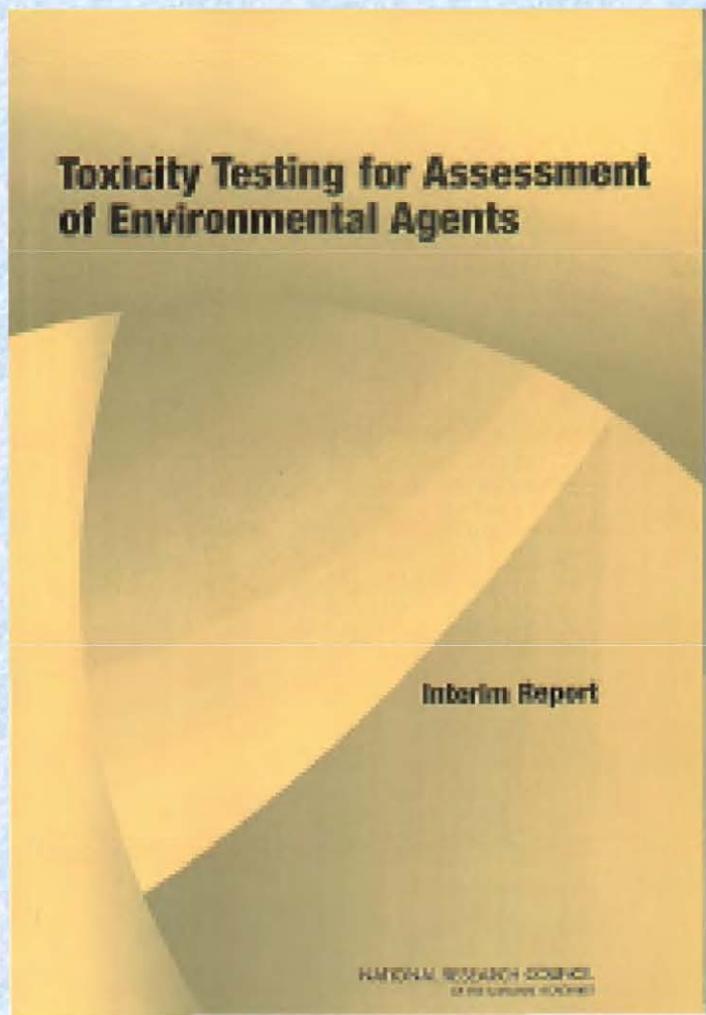
Division on Earth and Life Studies

National Research Council

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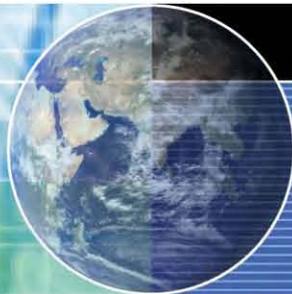


# Interim and Final Reports

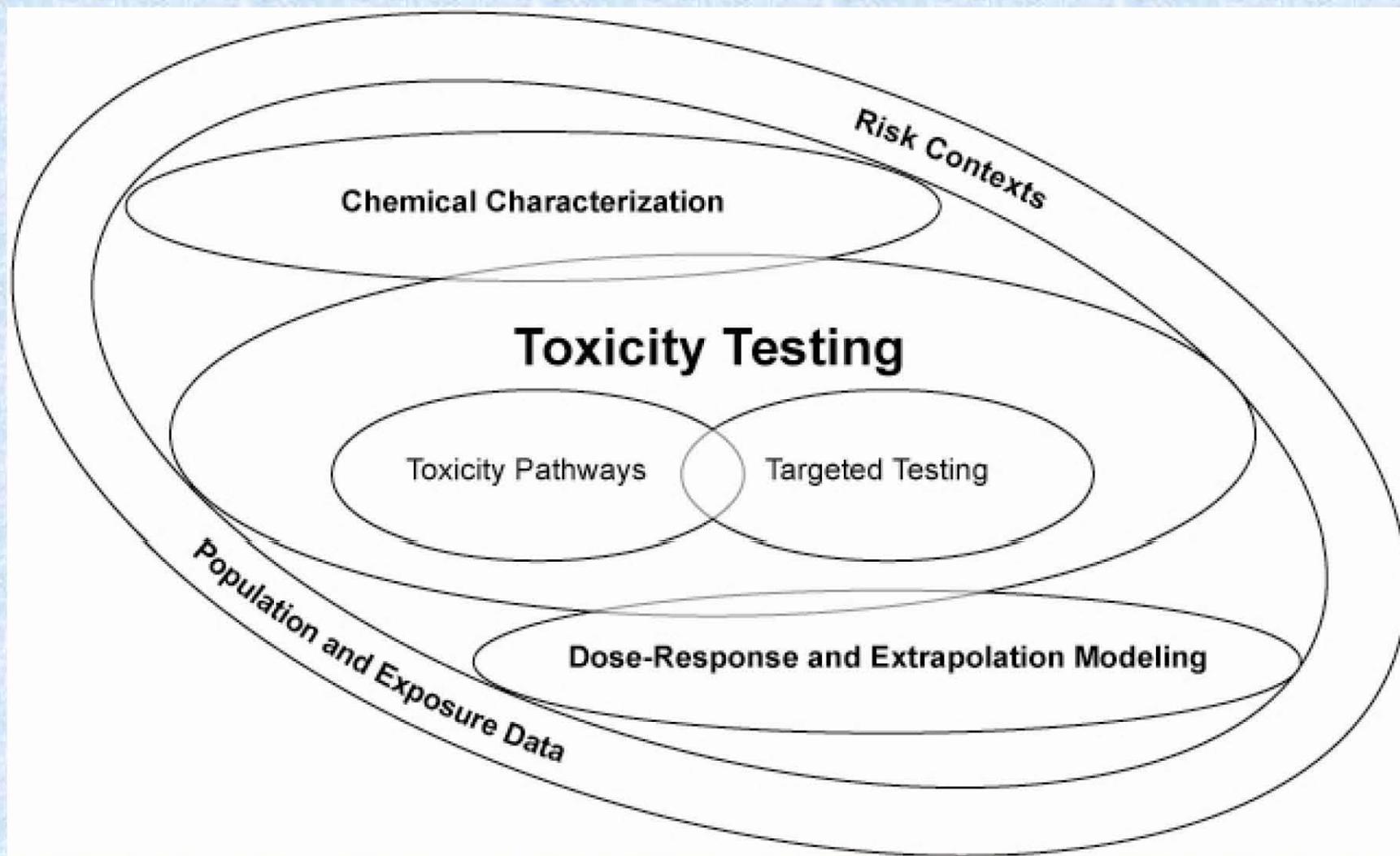


[www.nas.edu](http://www.nas.edu)

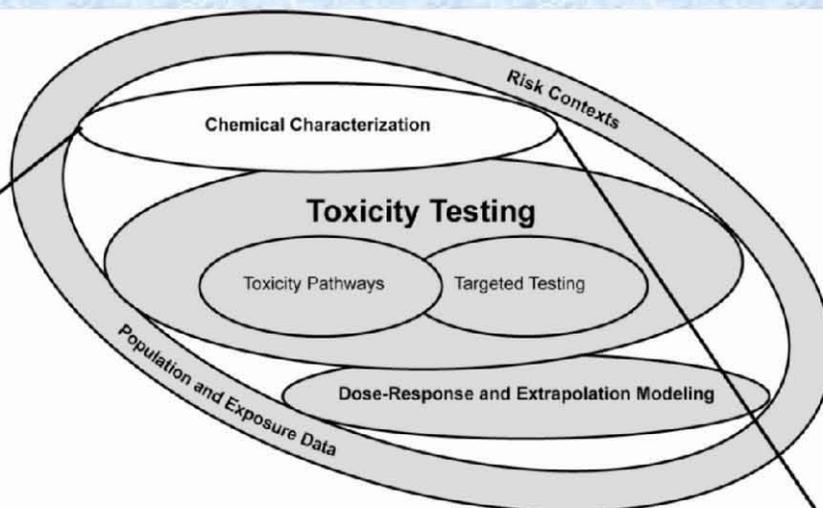
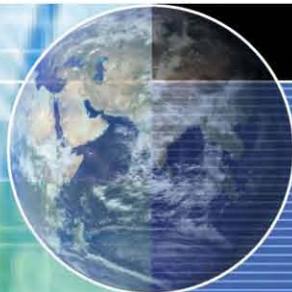
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# Components of the Vision



# Chemical Characterization



## Chemical Characterization

- Compile data on physical and chemical properties, use characteristics, environmental concentrations, possible metabolites and breakdown products, and possible toxic properties.
- **Predict properties and characteristics, where possible and appropriate, by using computational tools.**
- Answer key questions concerning compound's stability, potential for human exposure and bioaccumulation, and toxicity of chemical and possible metabolites.

# Toxicity Testing

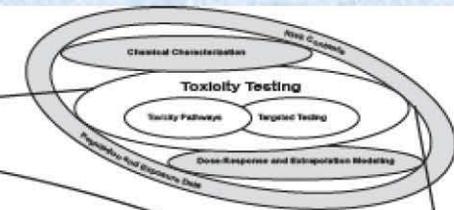


## Toxicity Pathways

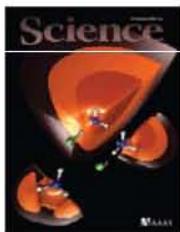
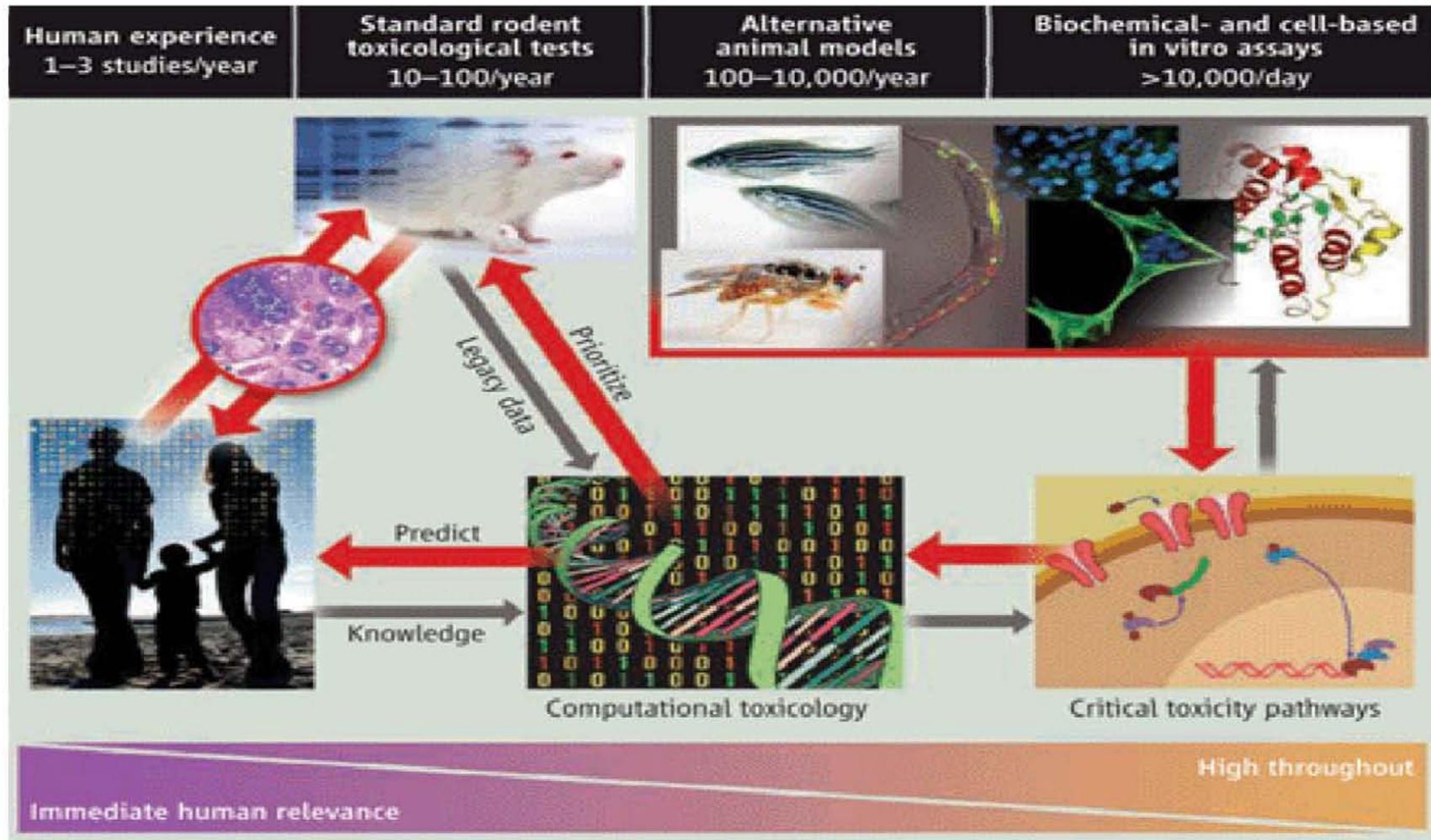
- Evaluation of perturbations in toxicity pathways rather than apical end points.
- Emphasis on high-throughput approaches using cells or cell lines, preferably of human origin.
- Use of medium-throughput assays of more integrated cellular responses.

## Targeted Testing

- Testing conducted to evaluate metabolites, assess target tissues, and develop understanding of affected cellular processes at genomics level.
- Limited types and duration of in vivo studies, focusing on up to 14-day exposures.
- More extensive testing for representative compounds in novel chemical classes.



# Endorsement by the Scientific Community



Collins, F.S., Gray, G.M. & Bucher, J.R. (2008),  
Science (Policy Forum). Vol. 319. pp. 906 - 907

# Reaction from Experts in Risk Assessment

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*Risk Analysis, Vol. 29, No. 4, 2009*

DOI: 10.1111/j.1539-6924.2008.01150.x

*Perspective*

## **Toxicity Testing in the 21st Century: Implications for Human Health Risk Assessment**

**Daniel Krewski,<sup>1\*</sup> Melvin E. Andersen,<sup>2</sup> Ellen Mantus,<sup>3</sup> and Lauren Zeise<sup>4</sup>**

"Suresh Moolgavkar, our Area Editor for Health Risk Assessment, asked six experts with different perspectives to comment on the paper. Each praises the vision and offers suggestions for making it more useful."

Michael Greenberg & Karen Lowrie, Editors

# Reaction from the Legal Community

## Toxicity Testing in the 21st Century: Better Results, Less Use of Animals

**Legal Obstacles  
Are Bumps, Not  
Roadblocks**



**Bret C. Cohen**  
*Senior Associate*  
WILLKIE FARR & GALLAGHER LLP

*"Agency rulemaking  
provides the legal  
flexibility to  
implement a new  
toxicity testing  
program using  
existing laws."*



THE **Environmental** *Forum*<sup>®</sup>  
Volume 25, Number 2 • March/April 2008  
Advancing Environmental  
Protection Through  
Analysis • Opinion • Debate



# Reaction from the Animal Law Community

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## *International Symposia on Challenges and Opportunities in Implementation*



uOttawa

June 29-30, 2009



CENTER FOR  
ANIMAL LAW  
STUDIES  
AT LEWIS & CLARK

September 12, 2009



November 5, 2009



ENVIRONMENTAL  
LAW • INSTITUTE®

June 21-23, 2010

"There is widespread support for the NAS vision. There are also real but surmountable challenges in moving the vision into routine regulatory practice. Progress is being made in producing the necessary science and knowledge base — we need to redouble our efforts to see that these insights carry over into the worlds of law and policy."

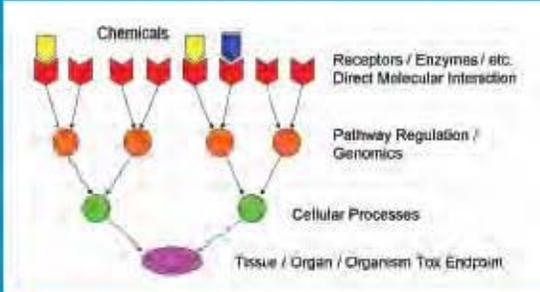
Paul Locke, Johns Hopkins University  
Center for Alternatives to Animal Testing

# US Environmental Protection Agency Strategic Plan and Strategic Goals

 EPA  
United States  
Environmental Protection  
Agency

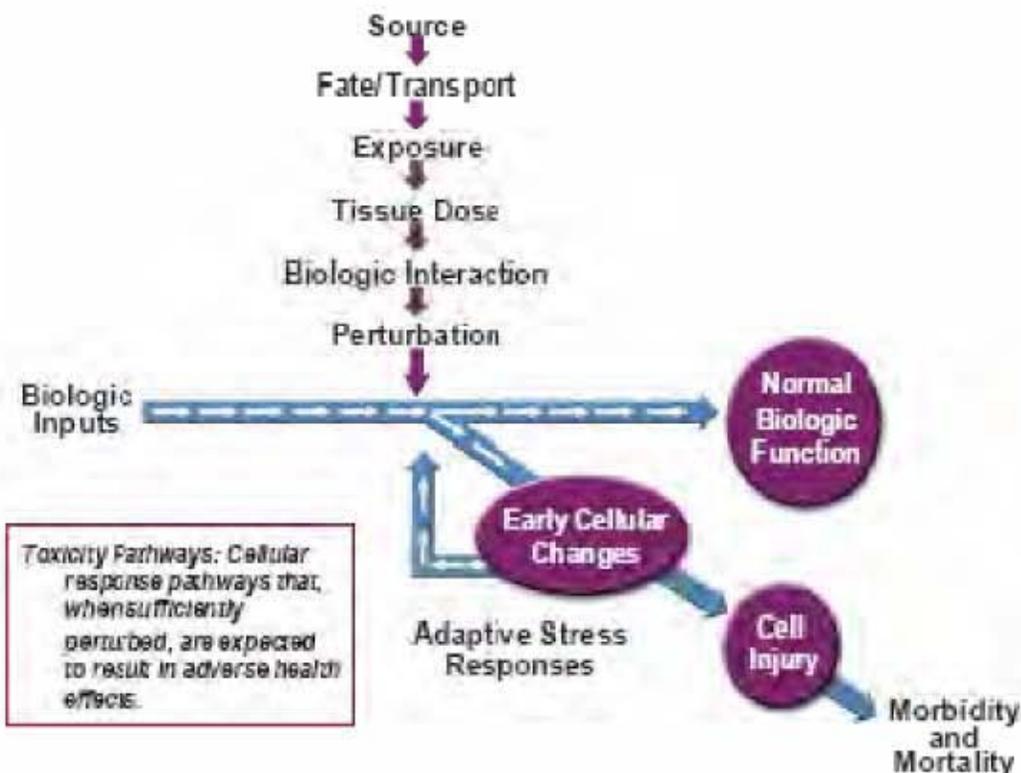
EPA/100/K-09/001 | March 2009  
www.epa.gov/osa

## The U.S. Environmental Protection Agency's Strategic Plan for Evaluating the Toxicity of Chemicals



The diagram illustrates the pathway from chemicals to toxicity endpoints. It starts with 'Chemicals' (represented by colored blocks) interacting with 'Receptors / Enzymes / etc. Direct Molecular Interaction' (red blocks). This leads to 'Pathway Regulation / Genomics' (orange blocks), then to 'Cellular Processes' (green blocks), and finally to 'Tissue / Organ / Organism Tox Endpoint' (purple block).

Office of the Science Advisor  
Science Policy Council





# TOX21

## New Dimensions of Toxicity Testing

On the ground floor of the National Institutes of Health Chemical Genomics Center (NCGC) in Rockville, Maryland, a \$10-million automated laboratory spends all day and night screening chemicals at speeds no team of human researchers could ever match. In a week, depending on the nature of the assay, it can yield up to 2.2 million molecular data points derived from thousands of chemicals tested at 15 concentrations each.

I've spent nearly forty years as a toxicologist trying to relate high-dose animal studies to low-dose human risk. I now believe that's impossible to do.

—Melvin E. Anderson  
The Hamner Institutes for Health Sciences

Right now we're prioritizing chemicals on the basis of other criteria, such as production volume, the likelihood for human exposure, or their structural similarity to other chemicals with known liabilities. By incorporating more biology into prioritization, we think we can do a better job selecting the right chemicals for animal testing.

—Robert Kavlock  
National Center for Computational Toxicology

Schmidt CW (2009) TOX 21: new dimensions of toxicity testing. *Environ Health Perspect* 117: A348-A353

# Human Toxicology Project Consortium



## About the Consortium

### What is the Human Toxicology Project Consortium?

The Consortium is a group of stakeholders currently drawn from the corporate and public interest communities that share the objective of accelerating implementation of the vision in the National Research Council's 2007 report on "Toxicity Testing in the 21<sup>st</sup> Century." The Consortium believes that the NRC vision is best implemented through a large-scale, international, coordinated effort analogous to the Human Genome Project of the 1990s. We call this needed effort the Human Toxicology Project.

### Mission

Serve as a catalyst for the prompt, global, and coordinated implementation of "21<sup>st</sup> Century" toxicology, which will better safeguard human health and hasten the replacement of animal use in toxicology.

### Vision

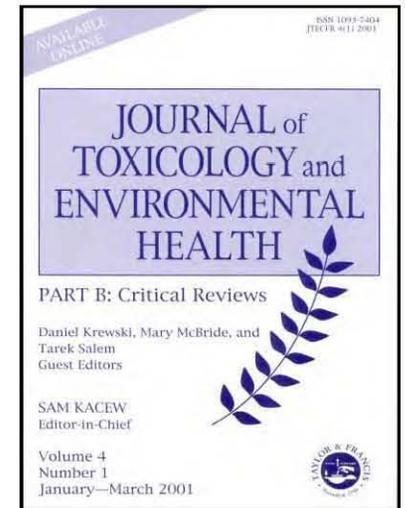
A global paradigm shift to an in vitro approach to the risk assessment of chemicals and drugs that is based on a modern understanding of human biology and disease pathways, yielding results more rapidly and more predictive of human health effects than current approaches.



# JTEH Special Issue on Future Directions in Toxicity Testing

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- **Part A:** NRC Report on Toxicity Testing in the 21<sup>st</sup> Century (reprint with permission)
- **Part B:** U.S. EPA Strategic Plan for Toxicity Testing (reprint)
- **Part C:** Individual contributions on future directions in toxicity testing



# Building the Scientific Toolbox

*(Andersen et al., 2010)*

Tool	Application
High throughput screens	Efficiently identify critical toxicity pathway perturbations across a range of doses and molecular and cellular targets
Stem cell biology	Develop in vitro toxicity pathway assays using human cells produced from directed stem cell differentiation
Functional genomics	Identify the structure of cellular circuits involved in toxicity pathway responses to assist computational dose response modeling
Bioinformatics	Interpret complex multivariable data from HTS and genomic assays in relation to target identification and effects of sustained perturbations on organs and tissues
Systems biology	Organize information from multiple cellular response pathways to understand integrated cellular and tissue responses
Computational systems biology	Describe dose-response relationships based on perturbations of cell circuitry underlying toxicity pathway responses giving rise to thresholds, dose-dependent transitions, and other dose-related biological behaviors
Physiologically-based pharmacokinetic models	Identify human exposure situations likely to provide tissue concentrations equivalent to in vitro activation of toxicity pathways
Structure-activity relationships	Predict toxicological responses and metabolic pathways based on the chemical properties of environmental agents and comparison to other active structures
Biomarkers	Establish biomarkers of biological change representing critical toxicity pathway perturbations

# Case Study Prototypes

Tool	Lung Injury and Ozone	Developmental Impairment and Thyroid Hormone Disruptors	Cancer and Polycyclic Aromatic Hydrocarbons	Cancer and Benzene
High throughput screens		✓	✓	
Stem cell biology				
Functional genomics	✓	✓	✓	✓
Bioinformatics	✓	✓	✓	
Systems biology	✓	✓	✓	✓
Computational systems biology	✓	✓		
Physiologically-based pharmacokinetic models and Dosimetry	✓			
Structure-activity relationships	✓	✓	✓	
Biomarkers		✓	✓	✓
Molecular and genetic epidemiology	✓			✓
Exposure assessment			✓	

*Cornerstone #2:  
Population Health  
Risk Assessment*

Human and Ecological Risk Assessment, 13: 1288–1312, 2007

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DOI: 10.1080/10807030701655798

## An Integrated Framework for Risk Management and Population Health

Daniel Krewski,<sup>1,2</sup> Victoria Hogan,<sup>1</sup> Michelle C. Turner,<sup>1</sup> Patricia L. Zeman,<sup>1</sup>  
Ian McDowell,<sup>2,3</sup> Nancy Edwards,<sup>2,3,4</sup> and Joseph Losos<sup>3</sup>

<sup>1</sup>McLaughlin Centre for Population Health Risk Assessment, Institute of Population Health, University of Ottawa, Ottawa, ON, Canada; <sup>2</sup>Department of Epidemiology and Community Medicine, Faculty of Medicine, University of Ottawa, Ottawa, ON, Canada; <sup>3</sup>Institute of Population Health, University of Ottawa, Ottawa, ON, Canada; <sup>4</sup>School of Nursing, Faculty of Health Sciences, University of Ottawa, Ottawa, ON, Canada

## What is “Population Health Risk Assessment”?

***Risk assessment*** is a process to *characterize risk* using scientific methods.

***Population health risk assessment*** is the comprehensive assessment of health risks in the general population based on *genetic, environmental, social & behavioural* determinants of health.

This forms the basis for evidence-based ***population health risk policy analysis***, and, ultimately, cost-effective ***population health risk management decisions***.

# Population Health

Regulatory

Economic

Advisory

Community

Technological

Multiple Interventions

## Health Risk Policy Analysis

Evidence Based Policy

## Health Risk Science

Determinants and Interactions

Biology  
and  
Genetics

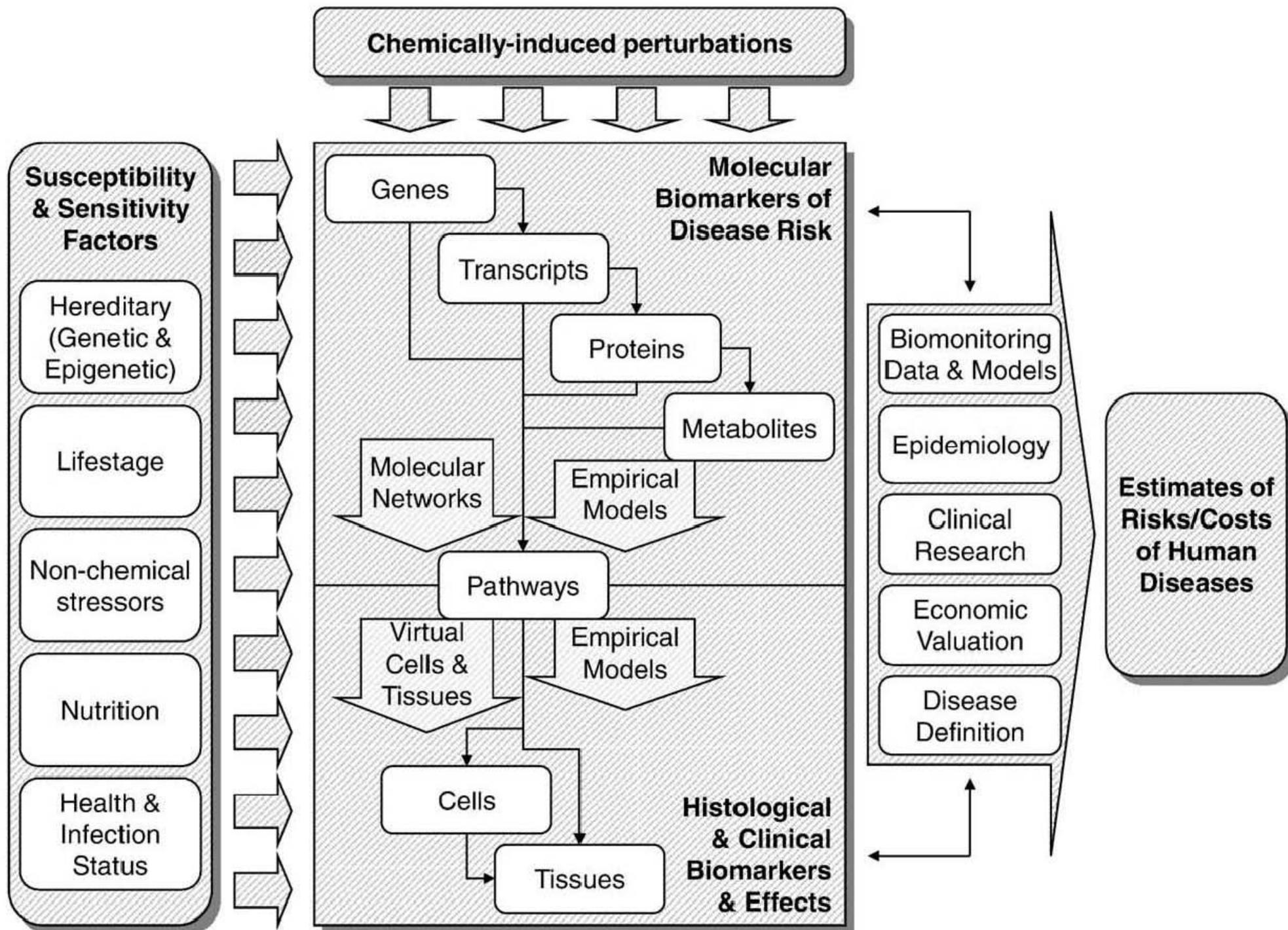
Environment  
and  
Occupation

Social  
and  
Behavioural

Biology-environment  
interactions

Environment-social  
interactions

Biology-social interactions



Chiu, W.A., et al., Approaches to advancing quantitative human health risk assessment of environmental chemicals in the post-genomic era, *Toxicol. Appl. Pharmacol.* (2010), doi:10.1016/j.taap.2010.03.019

*Cornerstone #3:  
Science and Decisions:  
Advancing Risk Assessment*

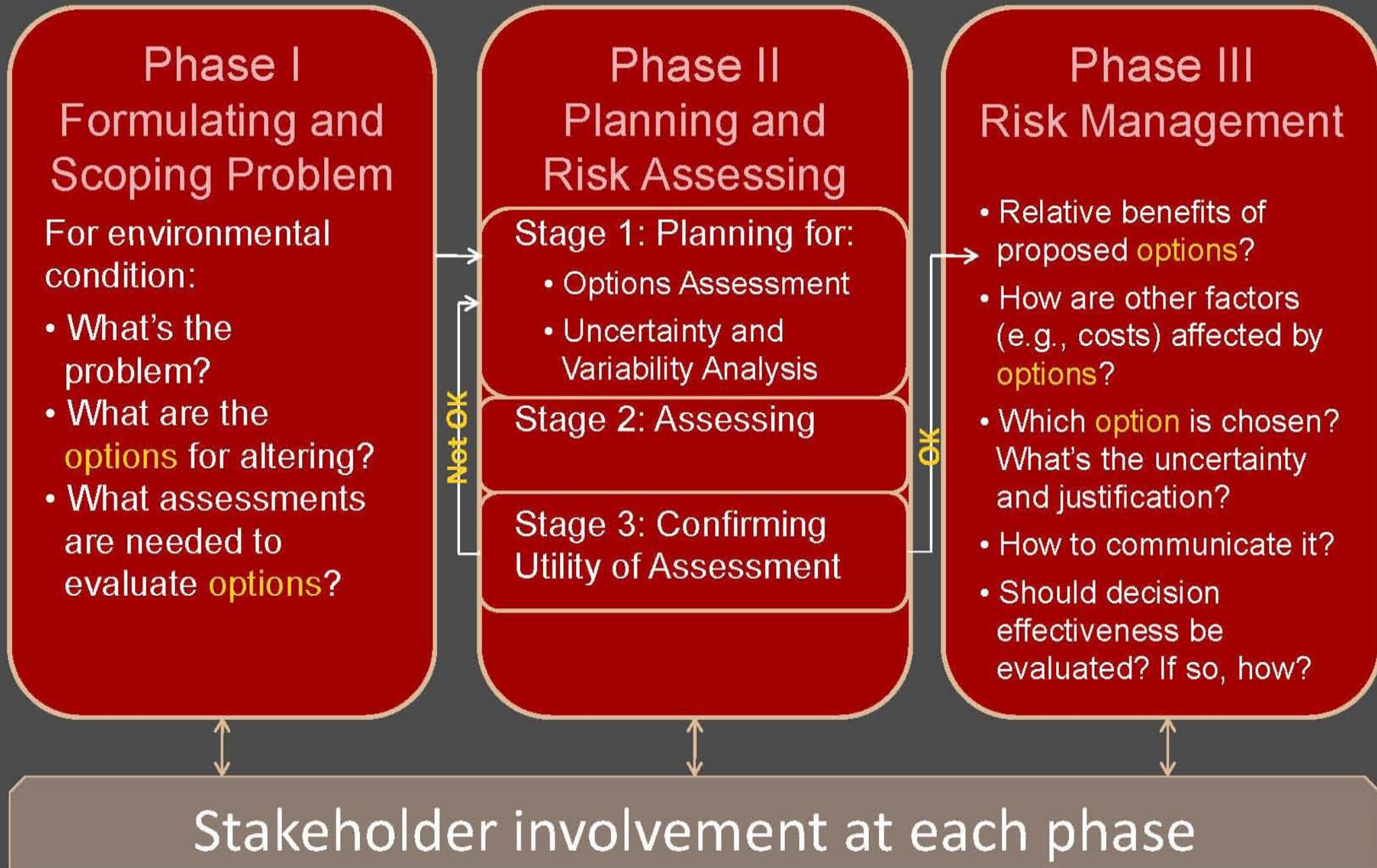


## KEY MESSAGES



- Enhanced framework
- Formative focus
- Four steps still core
- Matching analysis to decisions
- Clearer estimates of population risk
- Advancing cumulative assessments
- People and capacity building

# “Risk-Based Decision-Making” Framework



TOXICOLOGICAL SCIENCES **107**(2), 324–330 (2009)

doi:10.1093/toxsci/kfn255

Advance Access publication December 12, 2008

## FORUM SERIES, PART I

# Toxicity Testing in the 21st Century: Bringing the Vision to Life

Melvin E. Andersen<sup>\*,1</sup> and Daniel Krewski<sup>†</sup>

*\*Hamner Institutes for Health Sciences, Research Triangle Park, North Carolina 27709; and †University of Ottawa, Ottawa, Ontario, Canada K1N 6N5*

Received July 3, 2008; accepted November 6, 2008

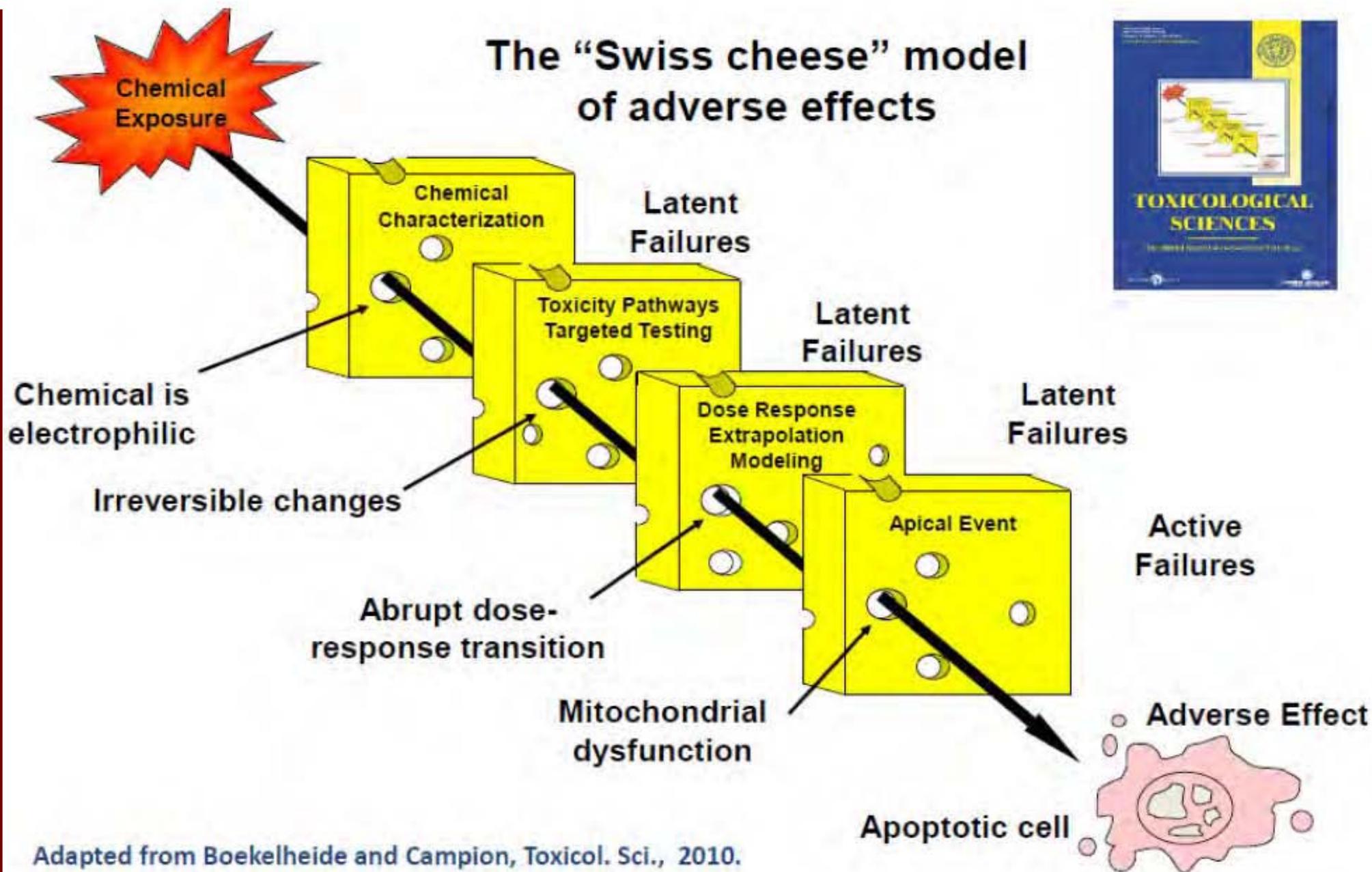
*8 +1 Invited Commentaries 2009-2010*

## Recurring Themes in the Commentaries

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- Definition of adversity
- Predicting *in vivo* results from *in vitro* toxicity pathway assay results
- Setting standards from results of *in vitro* assays
- How can the change from current practices to a new paradigm occur?

# The "Swiss cheese" model of adverse effects



TOXICOLOGICAL SCIENCES 117(2), 348–358 (2010)

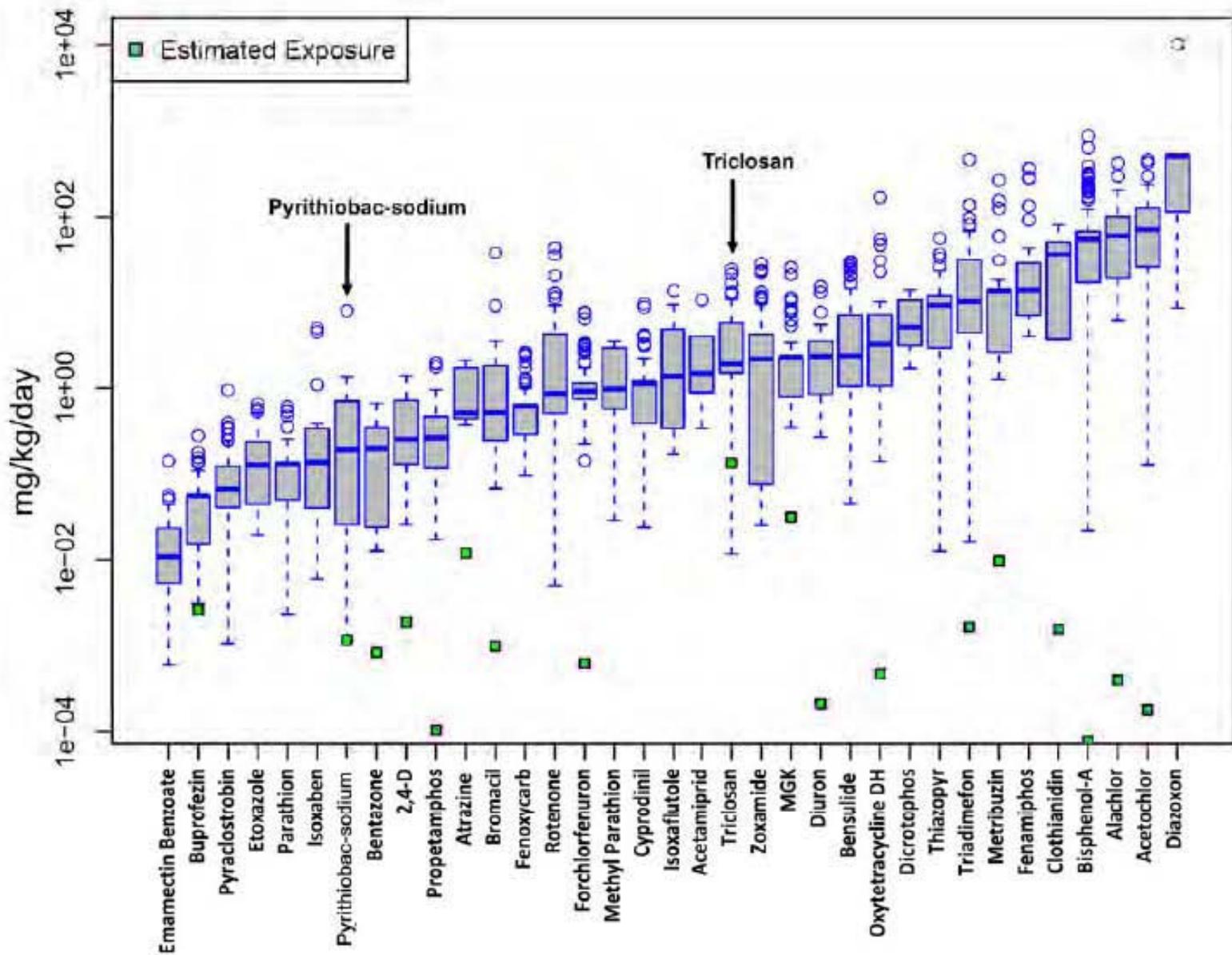
doi:10.1093/toxsci/kfq220

Advance Access publication July 16, 2010

# Incorporating Human Dosimetry and Exposure into High-Throughput *In Vitro* Toxicity Screening

Daniel M. Rotroff,<sup>\*†</sup> Barbara A. Wetmore,<sup>‡</sup> David J. Dix,<sup>\*</sup> Stephen S. Ferguson,<sup>§</sup> Harvey J. Clewell,<sup>‡</sup> Keith A. Houck,<sup>\*</sup> Edward L. LeCluyse,<sup>§</sup> Melvin E. Andersen,<sup>‡</sup> Richard S. Judson,<sup>\*</sup> Cornelia M. Smith,<sup>§</sup> Mark A. Sochaski,<sup>‡</sup> Robert J. Kavlock,<sup>\*</sup> Frank Boellmann,<sup>‡</sup> Matthew T. Martin,<sup>\*</sup> David M. Reif,<sup>\*</sup> John F. Wambaugh,<sup>\*</sup> and Russell S. Thomas<sup>‡,1</sup>

*\*National Center for Computational Toxicology, Office of Research and Development, United States Environmental Protection Agency, Research Triangle Park, North Carolina 27711; †Department of Environmental Sciences and Engineering, University of North Carolina, Chapel Hill, North Carolina 27514; ‡The Hamner Institutes for Health Sciences, Research Triangle Park, North Carolina 27709; and §CellzDirect/Invitrogen Corporation (a part of Life Technologies), Durham, North Carolina 27703*



Rotroff DM, Wetmore BA, Dix DJ, Ferguson SS, Clewell HJ, Houck KA, Lecluyse EL, Andersen ME, Judson RS, Smith CM, Sochaski MA, Kavlock RJ, Boellmann F, Martin MT, Reif DM, Wambaugh JF, Thomas RS (2010) Incorporating human dosimetry and exposure into high-throughput in vitro toxicity screening. *Toxicol Sci* 117: 348-358

**Table 4. Potential Modifications of Risk Assessment Approaches in a NexGen Context**

Risk Issue	Current Approach	NexGen Approach
<b>Defining Adversity</b>	Adversity is presently defined in terms of observation of apical endpoints in mammalian systems.	Adversity will be defined in terms of critical perturbations of toxicity pathways, ultimately in the absence of information on apical outcomes. Defining adversity will require knowledge of dose response for various pathway assays and in vitro models that assess conditions leading to excessive pathway perturbations in relevant assays.

**Table 4. Potential Modifications of Risk Assessment Approaches in a NexGen Context**

Risk Issue	Current Approach	NexGen Approach
<b>Default assumptions</b>	Current default assumptions used in risk assessment (such as a 10-fold variation in sensitivity within the human population) are usually based on limited empirical evidence.	Understanding toxicity pathways in more depth will permit a move away from default assumptions, towards a more mechanistic approach guided by scientific evidence and knowledge of the behaviour of the toxicity pathway in shifting from basal levels of activity to enhanced function with excessive perturbation. (It will likely be possible to characterize phenotypic variation with some precision using suites of human cell lines representing inherent differences in sensitivity and differences among life stages and through knowledge of pathway components and polymorphisms in these components that affect function).

# Selected Risk Issues to be Addressed

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- Chemical mixtures
- Joint effects of multiple stressors
- Assessment of delayed effects
- Reversible or transient effects
- Analysis of various life stages
- Analysis of multiple exposure doses
- Assessment of exposures of different durations (e.g., acute, chronic, and intermittent exposures)

# Towards a Framework for Next Generation Health Risk Assessment



# New Directions in Toxicity Testing

Daniel Krewski,<sup>1</sup> Margit Westphal,<sup>1</sup>  
Mustafa Al-Zoughool,<sup>1</sup> Maxine C. Croteau,<sup>1</sup>  
and Melvin E. Andersen<sup>2</sup>

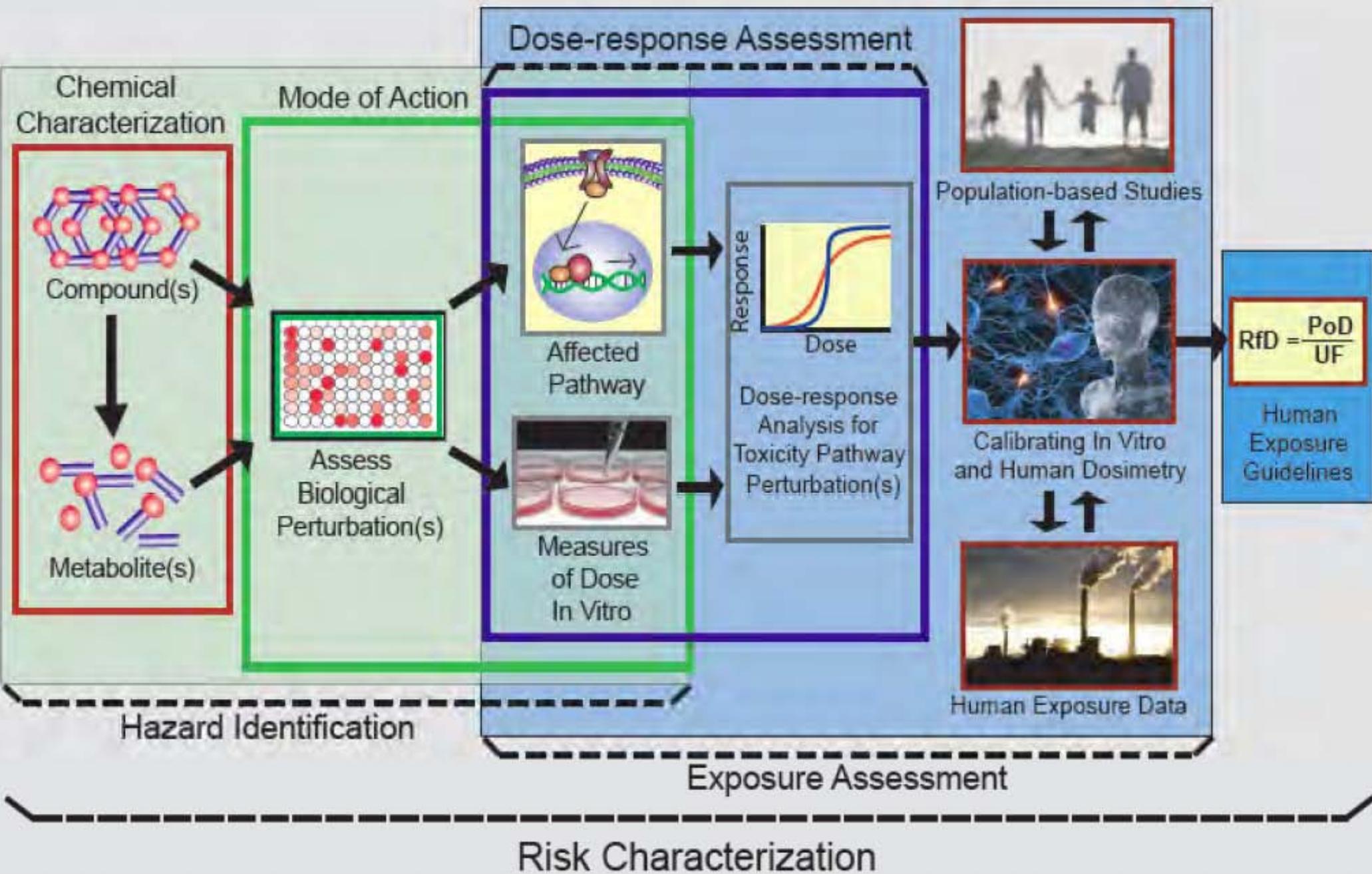
<sup>1</sup>McLaughlin Center for Population Health Risk Assessment, University of Ottawa, Ottawa, Ontario, Canada K1N 6N5; email: dkrewski@uottawa.ca, mgeister@uottawa.ca, malzough@uottawa.ca, mcroteau@uottawa.ca

<sup>2</sup>Program in Chemical Safety Sciences, Hamner Institutes for Health Sciences, Research Triangle Park, North Carolina 27709, USA; email: mandersen@thehamner.org

*To appear in Annual Review of Public Health (2011)*

# Toxicity Testing and Risk Assessment

(from Krewski et al., 2011, Annual Review of Public Health, in press)



### Three Building Blocks for a NexGen Risk Assessment Framework

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