

**SCIENTIFIC PEER REVIEW OF THE EPA  
REGION 2/CENAN FRAMEWORK FOR  
EVALUATING DREDGED MATERIAL  
FOR PROPOSED PLACEMENT AT THE  
HARS.**

**BY  
PROFESSOR KEITH R. SOLOMON  
CENTRE FOR TOXICOLOGY AND DEPARTMENT OF  
ENVIRONMENTAL BIOLOGY  
UNIVERSITY OF GUELPH, GUELPH, ON N1G 2W1**

**WITH  
DR P. SIBLEY  
CENTRE FOR TOXICOLOGY  
UNIVERSITY OF GUELPH, GUELPH, ON N1G 2W1**

**August 24, 1998**

## TABLE OF CONTENTS

1	INTRODUCTION .....	1
2	GOALS OF THE PEER REVIEW .....	1
	2.1 BACKGROUND .....	1
	2.2 TASKS .....	2
3	GENERAL COMMENTS .....	2
4	RESPONSES TO SPECIFIC QUESTIONS .....	3
	FRAMEWORK .....	3
	BENCHMARK AND RISK EVALUATION VALUES .....	6
	CALCULATIONS .....	14
	GENERAL .....	16
5	REFERENCES .....	18

1       **1       INTRODUCTION**

2       This peer review was undertaken in accordance with the goals outlined in Section 2 below: The peer  
3       review consisted of a scientific assessment of the **MEMO FOR THE RECORD** on the **SUBJECT:**  
4       Review of Compliance with the Testing Requirements of 40 CFR 227.6 and 227.27, and the Site  
5       Designation Provisions of 40 CFR 228.15 for the Project XXXX, New York, New York. The review  
6       makes general comments, and then responds to specific questions put to the reviewers. For ease of  
7       reading, the specific questions in Section 5 are highlighted with a horizontal blue lines and have a pale  
8       blue background. Responses are interspersed between the questions.

9  
10       **2       GOALS OF THE PEER REVIEW**

11       The goals of the peer review are taken directly from the guidance to the peer reviewers and are  
12       appended below.

13  
14       **2.1       BACKGROUND**

15       The August 29, 1997 Final Rule, Simultaneous De-designation and Termination of the Mud Dump Site  
16       and Designation of the Historic Area Remediation Site, specifies that the historic area remediation site  
17       (HARS) will be remediated with uncontaminated dredged material (i.e., dredged material that meets  
18       current Category I standards and will not cause significant undesirable effects including though  
19       bioaccumulation; hereinafter referred to as \*Remediation Material\*). The rule further specifies that the  
20       HARS will be managed so as to reduce impacts within the Priority Remediation Area (PRA) to  
21       acceptable levels in accordance with 40 CFR 228.11. Placement of dredged material within the PRA  
22       is restricted to Remediation Material. This material will not cause significant undesirable effects,  
23       including through bioaccumulation or unacceptable toxicity in accordance with 40 CFR 227.6.

24  
25       Evaluation of proposed dredged material regarding unacceptable toxicity is clearly defined in the Green  
26       Book as statistical criteria which require no interpretation. Evaluation regarding significant undesirable  
27       effects including through bioaccumulation requires assessment of chemical analyses of tissue from

28 28-day bioaccumulation tests. There are no specific regulatory criteria for this evaluation; however  
29 there are existing regional guideline values that have been developed and used, by the U.S.  
30 Environmental Protection Agency (EPA) Region 2 and the U.S. Army Corps of Engineers New York  
31 District, to evaluate the constituents in accordance with 227.6.

32  
33 This peer review charge is to assess whether the testing evaluation process is adequate to properly  
34 determine whether a tested sediment is suitable for Remediation Material as defined. Your review  
35 should focus on the framework for evaluation of bioaccumulation data and guideline values used; it  
36 should not deal with on toxicity/mortality testing. Please bear in mind that the testing evaluation applies  
37 to risks pertaining to ocean placement of the sediment, and not to risks pertaining to other alternatives  
38 such as leaving the sediment in place.

## 40 **2.2 TASKS**

41 This charge is in the form of questions on critical aspects of the evaluation framework. General  
42 references are cited in each charge question to aid in finding the issue in question. Note that these are  
43 general guiding referrals and should not be considered the only review item for those specific issues.  
44 Please answers the assigned questions as directly as possible, given the provided materials and your  
45 own expertise. If you are unable to answer a particular question on the basis of the provided materials,  
46 please inform us of information needed to answer the question. Also, keep in mind that there are  
47 additional environmental data resources and test data pertaining to the New York Bight available in  
48 EPA Region 2, if they are needed.

## 50 **3 GENERAL COMMENTS**

51 In general, the EPA Region 2/CENAN framework for evaluating dredged material for proposed  
52 placement at the HARS followed a framework consistent with those commonly used in environmental  
53 and human health risk assessments. The approach was tiered to focus issues on key points and the

54 analysis of the data was correctly done. Given the background information and the data presented in  
55 the Memo, the conclusions are completely justified.

56

57

## 58 **4 RESPONSES TO SPECIFIC QUESTIONS**

### 59 **FRAMEWORK**

60 1 Is the EPA Region2/CENAN Framework for evaluating bioaccumulation results scientifically  
61 appropriate for determining the suitability of dredged material as Remediation Material? If not,  
62 describe deficiencies. (Please see Region2/CENAN joint evaluation memorandum, Figure 1)

### 63 **RESPONSE**

64 The framework for evaluating the results of the bioaccumulation results (as described on pages 6-8 in  
65 the memorandum) is a reasonable approach to hazard assessment and is valid. The only potential  
66 problem that could result from the decision tree approach is when the concentrations in the reference  
67 sediment are high enough that criteria, such as the FDA levels, were exceeded. This would be the case  
68 if an inappropriate reference sediment were used. Inspection of the data in Table 1 revealed that this  
69 was not the case. In addition, the reference sediment was collected from an appropriate location.

70

71 2 Which of the risk-based values derived constitute \*true\* conservative estimates of risk levels  
72 (i.e., exceeding the value should be interpreted as sufficient cause to conclude that significant  
73 undesirable effects may result through bioaccumulation)? Which of the risk-based values  
74 derived constitute conservative screening values (i.e., test tissue concentrations below the value  
75 can confidently be interpreted to pose no risk of significant undesirable effects and exceeding  
76 should be further evaluated before the probability of significant undesirable effects can be  
77 assessed)? How can the \*true\* risk levels be calculated for those compounds which you  
78 believe only to have screening values? How should test concentrations be compared to  
79 risk-based levels to determine whether they are exceeded.

### 80 **RESPONSE**

81 The term risk is often used in the incorrect context. Use of the term “risk” implies that the likelihood of  
82 something happening is known or has been estimated. Properly, risk should always be expressed as a  
83 probability. Comparison of a concentration (in biota, or in a matrix) to a reference concentration or a

84 criterion concentrations is an assessment of hazard. Hazards can either be present or not be present, as  
85 the one concentration is either greater than or less than the other. Traditionally, hazard quotients (one  
86 concentration divided by another) have been used in the early tiers of risk assessment to determine  
87 whether further and more detailed risk assessment is needed. The criteria or standards used to  
88 calculate reference values for hazard quotients are usually based on relatively conservative numbers.  
89 For example, the procedures to calculate water quality criteria use a number of conservative  
90 assumptions (Stephan et al. 1985). The Final Acute Value criterion is based on the more sensitive  
91 organisms (5<sup>th</sup> centile of the genus mean acute values) and additional conservatism is added in the  
92 calculation of the Final Chronic Value. The reason for these conservative approaches is that the criteria  
93 are designed to be protective of almost all organisms, most of the time. The criteria are designed to  
94 apply in a variety of situations, some where for physical or biological reasons, more sensitive organisms  
95 may be present, while for other they may not. The criteria are thus protective, not predictive. The use  
96 of these hazard quotients to assess “risk” is therefore conservative.

97  
98 Used in the proper way, the hazard quotient can be used to decide whether a hazard exists or not. If it  
99 does not exist, the situation is unlikely to present a significant hazard and no further risk assessment is  
100 needed. However, the obverse, that is, the exceedence of the criteria, does not mean that a significant  
101 risk exists, it merely means that further work is necessary to better quantify the risks. Given that none  
102 of the criteria were exceeded in this particular risk assessment suggests that further detailed risk  
103 assessments are not necessary as the criteria on which the hazard quotient was based are conservative.  
104 Had some of these values exceeded the established criteria, other approaches to risk assessment such  
105 as those using probabilistic techniques (Klaine et al. 1996; Parkhurst et al. 1995; Solomon et al. 1996)  
106 could have been used, provided that sufficient data were available to adequately describe the range of  
107 susceptibility of organisms and the spatial and temporal variation of the exposure or body  
108 concentrations.

110 This reviewer is not suggesting that a probabilistic risk assessment be carried out in this particular case  
 111 but rather that this may be another way of conducting these assessments once the probabilistic  
 112 techniques have been refined and the appropriate data collected.

113 3 In conducting the integrated effects evaluation using the types of data provided by the applicant,  
 114 which of the eight factors for LPC compliance listed in the Green Book are appropriate and  
 115 relevant? How can a quantitative/strategic framework be established to evaluate tissue data for  
 116 those factors? Considering that comparison to regional Matrix values and site-specific risk  
 117 values represent case-specific evaluations, is it necessary to conduct the integrated effects  
 118 evaluation of the bioaccumulation results? (Please see Reference No. 61, page 6-6)

119 **RESPONSE**

120 The eight compliance factors in the “Green Book” (USEPA 1991) are all reasonable but some are  
 121 more biologically relevant than others. A discussion of this is summarized in the table below:

Green Book Criterion	Biological relevance	Usefulness*
123 Number of species from dredged material in 124 which bioaccumulation exceeds reference 125 (statistical test).	Based on difference from a reference material. No toxicological relevance assessed. Incorrect choice of reference material could confound the results.	T
126 Number of bioaccumulated contaminants 127 from dredged site in which exceed 128 reference site values (statistical test).	As above, based on difference from a reference material. No toxicological relevance assessed. Incorrect choice of reference material could confound the results.	T
129 Magnitude by which bioaccumulation from 130 dredged material exceeds that from 131 reference.	More useful as it is a continuous variable, however, the response of organisms to increasing concentration (concentration response) would need to be factored in as well.	TT
132 Toxicological importance of contaminants 133 from dredged site exceeding those from 134 reference site.	Again, this is based on difference from a reference material. No toxicological relevance assessed and the importance of these contaminants is judgemental. Incorrect choice of reference material could confound the results.	T
135 Phylogenetic diversity of contaminated 136 species exceeds that from reference site.	Phylogenetic diversity may not be relevant to ecological importance or function in the ecosystem, however, this may be an indicator of greater potential for entry to food chain. Diversity may be affected by physical factors such as particle size.	TT

	<b>Green Book Criterion</b>	<b>Biological relevance</b>	<b>Usefulness*</b>
137 138 139	Propensity for contaminants with statistically significant bioaccumulation to biomagnify in aquatic food chain.	Biomagnification usually only occurs with persistent and lipid soluble substances. These may have a greater impact in organisms higher on the food chain (as has been demonstrated historically) and this is judged to more useful.	TT
140 141 142	Magnitude of toxicity and phylogenetic diversity of organisms showing greater mortality in dredged material.	A good effect-based criterion that is related to response of organisms. It may, however, be confounded if incorrect matching of test and reference sediment is used. Some organisms will not thrive and “die” if sediment physical characteristics are not appropriate.	TTTT
143 144 145	Magnitude by which contaminants whose bioaccumulation from dredged site exceeds that in organisms near the proposed site.	Some usefulness but subject to confounding from poor choice of nearby sites. A good margin of safety may exist at both sites despite the differences.	T

\* the more useful, the more T's

The response of the organisms at the site will, to a degree, integrate the effects evaluation. Other types of toxicological integrators (TEFs and TEQs) are less well developed. If site-specific values are used, an attempt to should be made to integrate the effects evaluation of the bioaccumulation results, however, the biological responses highlighted above should be given higher credence in the assessment. In this regard, physical properties of sediments may be more important than chemical properties. Some sediments are unsuitable substrates for colonization by some organisms and, absence of these organisms does not mean an adverse toxic effect. Choice of the wrong sediment as a reference could result in false positives (for toxicity). In the assessment being reviewed here, this was not the situation.

## **BENCHMARK AND RISK EVALUATION VALUES**

### 4 Regional Matrix Values

A	Are the Matrix values suitable for determining the suitability for placement at the HARS as Remediation Material?
---	---

### **RESPONSE**

The matrix values are generally judged suitable for determining the suitability for placement as remediation material. Values for dioxin TEFs (FDA and USEPA) are based on mammalian studies.

164 They are thus most suitable for assessing risk to humans (and other mammals). For assessing risks to  
165 fish, TEFs based on data from fish may be more useful (Parrott et al. 1995). However, given the  
166 observed concentrations, this difference was not judged to be significant.

167

---

168 B Regional Matrix values were developed in 1981 by compiling available field data for  
169 mercury, cadmium, PCBs, and total DDTs. Were these values derived appropriately  
170 for their intended use? Based on current data sets and scientific literature, are these  
171 1981 values suitable for predicting the significant undesirable effect due to  
172 bioaccumulation? (Please see Reference No. 57) If not, identify more current  
173 references, data sets, and/or actual chemical specific values that would be more  
174 appropriate.

---

175 **RESPONSE**

176 The relevant decision guideline limits for mercury, cadmium, PCBs and total DDT were reviewed and  
177 were, in all cases, judged to be appropriate. For cadmium, total PCBs, and mercury, these decision  
178 guidelines were all below guideline levels developed in other jurisdictions and which incorporated  
179 appropriate safety factors. Based on the arguments presented for the decision guideline value for DDT,  
180 this value is also judged appropriate. Although this reviewer is aware of some more modern studies on  
181 DDT (such as enhances breakdown in marine sediments), the results of these would not justify more  
182 conservative decision criteria values.

183

184 5 Regional Dioxin Values

---

185 A Currently, the presence of 2,3,7,8-TCDD at a detectable concentration (i.e., greater  
186 than or equal to one part per trillion (pptr)) in tissues of organisms exposed to dredged  
187 material precludes its classification as Category I (hence Remediation Material);  
188 presence of the remaining dioxin/furan congeners, at concentrations of TEQs equal to  
189 or greater than 4.5 pptr, results in a similar conclusion. When 28-day tissue  
190 concentrations exceed these values, is there sufficient cause to conclude that placement  
191 of the material is not suitable as HARS Remediation Material? If not, what levels  
192 indicate sufficient cause for this conclusion? (Please see Reference No. 89)

---

193 **RESPONSE**

194 The 1 ppt criterion for TCDD and the 4.5 ppt criterion value for TEQ of the dioxins and furans other  
195 than TCDD is based on the use of a number of safety factors and conservative assumptions. It is well  
196 known that criteria for dioxin vary widely from one jurisdiction to another and even between agencies in  
197 the same country. The EPA criterion is one of the most conservative while that of the FDA (20 and 50  
198 ppt) is in the middle of the range. Given that trophic transfers are not unity, values similar to those  
199 suggested by the FDA would be more appropriate.

200

201 B Are dioxin values suitable for predicting the significant undesirable effects due to  
202 bioaccumulation? If not, should these values be based on a risk analysis paradigm in  
203 which the size of the human population subgroup potentially exposed through intentional  
204 behavior is compared to the size of the general population in the EPA? Since the  
205 primary route of exposure is through consumption of fish and shellfish, should the  
206 variability in potential exposure due to differences in fishing behavior (e.g., target  
207 species, seasonal preferences) be incorporated in the risk paradigm? How would a  
208 benchmark protective of human health compare to benchmarks determined using an  
209 ecological risk analysis paradigm for resident fish and piscivorous wildlife?

210 **RESPONSE**

211 Dioxin values are suitable for assessing the hazards resulting from bioaccumulation (with the above  
212 qualifiers taken into consideration). However, for risk assessment purposes, the likelihood of exposure  
213 in the potentially exposed population should be considered. The likelihood of consumption of  
214 contaminated seafood should incorporate seasonal and target species variability as well as the  
215 likelihood that fish will be obtained from other regions that may be less contaminated (if this is the case).  
216 If these factors are considered, exposures will normally be reduced, thus further adding conservatism to  
217 the risk assessment. Human health risk assessment is normally aimed at protection of the individual,  
218 and, because of this, usually incorporates many conservatisms. Ecological risk assessment is focused  
219 on endpoints at the population level rather than the individual. Thus, risks to fish and picivorous wildlife  
220 would be assessed differently from those to humans. Criteria based on human consumption would be  
221 expected to be protective of wildlife.

222

223 6 FDA Action Levels (Please see Reference No.61, Sec. 6.3)

224 A Are FDA Action Levels useful as upper limit human health benchmarks?

225 **RESPONSE**

226 As discussed above, FDA action levels for the protection of human health are based on protection of  
227 the individual and embody a number of conservative assumptions. They are judged entirely appropriate  
228 for the protection of human health. Although the FDA does not consider environmental effects (and  
229 some substances may be more toxic to invertebrates and fish than to mammals) the conservative  
230 assumptions used in the setting of FDA action levels will likely be protective of fish and shellfish and the  
231 function of their populations in the environment.

232

233 B Would the evaluation be improved by omitting comparison of tissue results to FDA  
234 Action Levels?

235 **RESPONSE**

236 In the opinion of this reviewer, the assessment would not be improved by omission of the tissue  
237 concentrations to FDA action levels.

238

239 7 Human Health Risk, Cancer and Noncancer

240 A Are the risk values suitable for determining the suitability for placement at the HARS as  
241 Remediation Material? If there are better alternatives for human risk, specifically what  
242 are they?

243 **RESPONSE**

244 As discussed above, the FDA human food consumption guideline values are conservative assumptions  
245 used for the protection of individual humans. They do not consider the likelihood of consumption of  
246 contaminated seafood and do not usually incorporate seasonal, catch site, and target species variability.  
247 If these factors are considered, exposures would normally be reduced, thus further adding conservatism  
248 to the risk assessment. Probabilistic approaches to assess the likelihood of consumption would be  
249 more appropriate.

250

251 B Benthic tissue levels for cancer protection were derived using assumptions focused on  
252 attaining a cancer protection at the  $10^{-4}$  risk level. Is this risk appropriate for a  
253 determination of ocean placement of Remediation Material? (Please see  
254 Region2/CENAN joint evaluation memorandum, Appendix for Table 1, Page A-4,  
255 A-5)

256 **RESPONSE**

257 The use of the multistage linear model for extrapolation of risks from laboratory animal studies to  
258 humans is very conservative. For one, it does not consider the presence of threshold of toxicity  
259 (carcinogenicity). Biologically, all effects likely have thresholds, it is just that these thresholds cannot  
260 easily be demonstrated experimentally. Repair mechanisms for many of the cancer-causing mutational  
261 events exist and function to repair damage from natural mutational events. These natural mutational  
262 events are usually far more numerous than those caused by low exposures to synthetic chemicals. Not  
263 all species of fish or shellfish would necessarily be consumed by humans, thus adding further  
264 conservatism to the assessment. The use of a  $10^{-4}$  cancer risk estimate is therefore judged to be  
265 appropriately conservative for the purposes of ocean placement.

267 C Benthic tissue levels for noncancer protection were derived using Reference Dose  
268 (RfD) of several organic and inorganic contaminants for the protection of human health.  
269 Are these values appropriately and consistently derived? Is the whole body/fillet  
270 conversion factor of 1.35 an appropriate factor for all of the contaminants considered if  
271 human exposure is assumed to be primarily via consumption of the fillet portion of the  
272 fish? (Please see Region2/CENAN joint evaluation memorandum, Appendix for Table  
273 1, Attachments B and C) If not, what factors would be appropriate? For the lead  
274 noncancer value, since there is no RFD for lead the value was derived differently than  
275 the other metals. Was the value derived appropriately? (Please see Reference No. 88)

276 **RESPONSE**

277 The methods used to determine benthic tissue levels for the protection of human health were judged to  
278 be appropriate and consistently derived. The whole-body fillet conversion factor of 1.35 is judged to  
279 be slightly conservative (based on this reviewers experience with organochlorine concentrations in fish  
280 tissues). Fat is consumed to produce energy in fish muscle (fillet) and lipid concentrations (and  
281 associated lipid-soluble materials) are usually significantly lower than in other tissues (in our studies

282 muscle had less than 1% fat while the carcass had between 3.7 and 5.6% fat). These conversion  
283 factors and the Gobas trophic transfer model are judged appropriate for determining possible fish  
284 exposure concentrations.

285

286 The RFD for lead was derived from exposure concentrations appropriate for the protection of children,  
287 the most sensitive human life stage for this element. The RFD considered exposure via other routes and  
288 is judged to be appropriate.

289

290 D Are the risk values suitable for predicting the significant undesirable effects due to  
291 bioaccumulation? Since the primary route of exposure is through consumption of fish  
292 and shellfish, should the variability in potential exposure due to differences in fishing  
293 behavior (e.g., target species, seasonal preferences) be incorporated in the risk  
294 paradigm?

295 ***RESPONSE***

296 As discussed above, human food consumption guideline values are conservative assumptions used for  
297 the protection of individual humans. They do not consider the likelihood of consumption of  
298 contaminated seafood and do not usually incorporate seasonal, catch site, and target species variability.  
299 If these factors are considered, exposures would normally be reduced, thus further adding conservatism  
300 to the risk assessment. Probabilistic approaches to assess the likelihood of consumption would be  
301 more appropriate.

302

303 8 Ecological Risk

304 A Ecological effects benchmarks include the Water Quality Criteria Tissue Level  
305 (WQCTL), Critical Body Residue (CBR) associated with narcotic responses, and  
306 certain mutagenic/teratogenic effects. Is it valid to use the CBR effect end point for  
307 evaluating significant undesirable effect? Are there other ecological end points that  
308 should be used to measure ecological risk that are protective of marine benthic and fish  
309 life via trophic transfer, particularly for PAHs? If so, identify. With regard to a narcotic  
310 effect for chlorinated organic compounds, should an additive approach be considered  
311 to include the contribution of chlorinated hydrocarbons against this narcotic (CBR)  
312 endpoint.

313 **RESPONSE**

314 CBR measurements are a useful method for assessing narcosis as a toxicity endpoint. They are,  
315 however, unsuitable for use when the substance has a specific receptor mechanism of action such as for  
316 pesticides in target organisms. Narcosis is normally observed at much higher concentrations than  
317 receptor-mediated responses and is often observed in non-target toxicity. Many of the PAHs act as  
318 narcotic agents and it is recognized that additivity of CBRs is an appropriate method for assessing the  
319 likely acute effects of PAHs in aquatic organisms. PAHs have been shown in recent unpublished work  
320 to cause increases in oxidative stress in fish (Hodson 1998). This stress leads to a number of  
321 responses that are similar to those mediated by the AhR. Once these processes are better understood,  
322 this may be another useful way to assess toxic potential of PAHs. However, carcinogenic potential is  
323 not well assessed using CBR. Many of the chlorinated pesticides (including some found at the site) are  
324 known to be toxic to arthropods and fish through receptor-mediated processes. Thus, these may have  
325 effects on arthropods and fish at body concentrations well below their CBR. An additive approach  
326 using narcosis to assess the chlorinated pesticides may not be appropriate, however, it should be  
327 applicable to the PCBs and similar substances.

328

329 **B** Is the EPA 2 WQCTL approach (i.e., multiplying the Water Quality Criteria Chronic  
330 Value by the Bioconcentration factor) appropriate for determining ecological effects  
331 levels of the contaminants for which they were developed? Specifically, are the  
332 appropriate BCFs used (for fish, bivalves, etc)? (Please see Region2/CENAN joint  
333 evaluation memorandum, Appendix for Table 1, Page A-1)

334 **RESPONSE**

335 The EPA WQCTL approach for determining ecological effects levels was judged to be appropriate as  
336 were the BCFs used in these calculations.

337

338 **C** BCFs reported for fish were used in the calculations of WQCTLs for organics; is this  
339 derived level appropriate for setting benthic tissue ecological effects levels? If the fish  
340 tissue levels are used, should adjustments be made to the derived levels to reflect the  
341 higher lipid contents of the benthic organisms used in the testing program?

342 **RESPONSE**

343 If WQCTLs based on BCF values measured in one organism with a very different lipid content than  
344 another, this may lead to incorrect estimation of tissue concentrations. Lipid normalization has been  
345 recommended (Connell 1990; Hebert and A 1995) in a number of situations and, in the experience of  
346 this reviewer, can significantly change interpretations. Lipid normalization should be used.

347

---

348 D Are the WQCTLs calculated for metals using bivalve BCFs appropriate for setting  
349 levels for polychaetes or vice versa?

---

350 **RESPONSE**

351 Although this reviewer is not very familiar with metal toxicology, it is known that efficiency of metal  
352 uptake in molluscs can vary with food availability and is different from that in many other organisms  
353 (because of the intracellular digestive process in the hepatopancreas). Thus molluscs would be more  
354 efficient at taking up particulate metals (as particles or attached to particles) from the water-column.  
355 The application of BCFs for metals from bivalves to polychaetes is judged to be inappropriately  
356 conservative while the reverse is judged to underestimate potential for exposure potential in clams.

357

---

358 E Are the uncertainty factors applied while deriving ecological effects levels for PAH  
359 contaminants appropriate? Does this adequately address the uncertainty around the  
360 derived values? Can uncertainty be accounted for using these order of magnitude  
361 adjustments? Should they be applied elsewhere to the other risk-based values?

---

362 **RESPONSE**

363 Uncertainty factors are used to account for unquantified uncertainty and, as such cannot be judged  
364 against the true uncertainty (until this is known). Order of magnitude factors are frequently used for  
365 animal-animal extrapolation and to account for unknown variability in population responses. They are  
366 no substitutes for a knowledge of variability and uncertainty, however, “arbitrary” uncertainty factors of  
367 this magnitude have been successfully used in the past and their continued use in the face of insufficient  
368 knowledge is judged appropriate.

369

370 F Are the risk values suitable for predicting the significant undesirable effects due to  
371 bioaccumulation; are there better alternatives for ecological nonspecific risk?

372 **RESPONSE**

373 The hazard quotients used in this assessment are judged appropriate. See the discussion of risk and  
374 hazard above.

375

376 G If you believe that these values are over- or under- conservative, what do you believe  
377 to be an appropriate way to improve them.)

378 **RESPONSE**

379 NA

380

381 **CALCULATIONS**

382 9 Should total PCBs continue to be estimated by doubling the total of 22 congeners or should it  
383 be quantified directly using another measure of quantification? What method is most  
384 appropriate for sediments in the NY/NJ Harbor area? (Please see Reference No. 60, Table  
385 4-4B)

386 **RESPONSE**

387 This reviewer is not familiar with recent advances in the analysis of PCBs, however, the doubling to  
388 account for unquantified congeners seems a reasonable approach as it is based on historical experience.

389

390 10 Currently, 28-day tissue concentrations of certain organic contaminants are adjusted by some  
391 multiplier to estimate the concentrations of those compounds had the exposure been of sufficient  
392 duration to allow attainment of steady state levels. (Please see Reference Nos.5 and 46) Are  
393 these adjustments appropriate? Should steady state corrections be applied to any other of the  
394 listed contaminants? Are there other compounds for which we test that are not expected to  
395 approach steady state within the 28-day period?

396 **RESPONSE**

397 The use of a multiplier to estimate the equilibrium concentrations of those compounds that have not  
398 reached steady state levels in 28-d exposures is judged to be reasonable, based on observations and  
399 experiences with experimental studies where long-term body-burdens have been measured (Lee et al.

400 1994; Pruell et al. 1993). As a general rule of thumb, correction factors should be applied where log  
401  $K_{ow}$  is greater than 4 and half-life for depuration from the tissue is more than 9 days.

402

---

403 11 Is the calculation and use of BaP toxicity equivalence an appropriate way to estimate the  
404 potential carcinogenicity of PAHs? (Please see Region2/CENAN joint evaluation  
405 memorandum, Appendix for Table 1, Section C.)

---

406 **RESPONSE**

407 BaP TEQs are judged to be an appropriate method for estimating the carcinogenicity of PAHs. PAHs  
408 usually require metabolic activation as they are pro-carcinogens. With high exposures to mixtures of  
409 PAHs, metabolism may be reduced by substrate overload, thus lowering the carcinogenic risk. As  
410 exposures reported in this assessment are generally low, this is unlikely to occur, however, the qualifier  
411 discussed above in relation to extrapolation and repair mechanisms needs to be considered. The use of  
412 BaP TEQs is judged to be somewhat conservative.

413

---

414 12 Similar to PCBs, only a subset of those PAHs present in New York Harbor are measured for  
415 testing evaluation. How should the remainder be considered?

---

416 **RESPONSE**

417 In this reviewer's experience, (with PAHs in creosote) the concentration of the 15 EPA priority PAHs  
418 follows the toxicity of the balance of the components of the mixture although, prior to weathering, the  
419 complete mixture is usually more toxic than would be predicted from the 15 priority PAHs. Given the  
420 age of the sediments in the site being assessed, the 15 priority PAHs are judged appropriate for  
421 estimating toxicity.

422

---

423 13 Is the assumption of a trophic transfer coefficient of one appropriate for use in evaluating the  
424 potential for human health and ecological impacts associated with metals in Remediation  
425 Material? Are the trophic transfer factors calculated for organic compounds correct? (Please  
426 see Region2/CENAN joint evaluation memorandum, Appendix for Table 1, Attachment C.)

---

427 **RESPONSE**

428 The trophic transfer factors used in evaluation of human and ecotoxicological health in this assessment  
429 are judged to be appropriate.

430

---

431 14 Is the assumption of a fish consumption rate of 6.5 g/day appropriate for use in evaluating the  
432 potential for human health impacts associated with metals in Remediation Material? (Please  
433 see Region2/CENAN joint evaluation memorandum, Appendix for Table 1, Page A-5)  
434 Would it be appropriate that the evaluation focus on a higher consumption population?

---

435 ***RESPONSE***

436 Given the low likelihood that fish or shellfish directly from the site will be eaten by any particular  
437 individual on a consistent basis (no local subsistence fishery), this assumption of an average  
438 consumption of fish of 6.5 g/day is judged to be appropriate and probably conservative.

439

440 **GENERAL**

---

441 15 Is it plausible to replace any other risk assessment assumptions with assumptions specific to the  
442 HARS site? (Please see Region2/CENAN joint evaluation memorandum, Appendix for Table  
443 1, Attachment C and Reference Nos. 88) Is it appropriate to consider the HARS intended use  
444 to be factored into an evaluation of effects at the community or population level?

---

445 ***RESPONSE***

446 This reviewer believes that the assumptions used in this assessment are reasonable and consistent with  
447 other assessments of similar situations. Most of the criteria used in the assessment are aimed at  
448 individuals or individual populations. They are therefore judged to be sufficiently conservative to be  
449 protective of population and community responses.

450

---

451 16 Is use of the Squibb et al. (1991) report appropriate for identifying the contaminants of  
452 concern? Are there contaminants which should be added to or deleted from the list of  
453 contaminants for which we presently test? Please see Reference No. 51)

---

454 ***RESPONSE***

455 The Toxics Characterization Report (Squibb et al. 1991) is judged to be appropriate for the  
456 identification of potential contaminants. Analyses of some compounds such as the minor metabolites of

457 DDT and some of the other pesticides is judged to be less necessary as they are less toxic, however,  
458 they are usually analyzed along with other analytes and the information would be available anyway.

459

460 17 Should risks from synergistic effects, from exposure to multiple contaminants, be evaluated  
461 using results from tissue analyses? If so, how? If not, why not?

462 ***RESPONSE***

463 Additivity seems to be the rule where stressors are present at concentrations below their individual  
464 physiologically active concentrations. Toxic units are commonly used to assess such mixtures. The  
465 most appropriate uses of the toxic unit approaches are when the stressors are known to act additively.  
466 When the stressors are known to act independently, the hazard rate approach is more suitable. When  
467 the stressors are known to act synergistically, by potentiation, or by antagonism the use of multivariate  
468 procedures is more appropriate, however the data requirements may be large and empirical  
469 experimental techniques may be more appropriate. Pharmacologically based toxicodynamic models  
470 may be applicable in some instances where sufficient data are available (Kooijman and Bedaux 1996).

471

472 Although synergism and potentiation of substance-mediated responses are perceived to be a major  
473 concern in the assessment of many interactions, the likelihood of these occurring in the case of mixtures  
474 of substances in the environment is not as great as might be expected and neither is the degree of  
475 interaction. For example, Alabaster and Lloyd showed that the majority of toxic interactions between  
476 components of effluents were less than additive and that the likelihood of observing synergistic ratios  
477 greater than 8 was small (Alabaster and Lloyd 1980) Könemann and Pieters report that, in several  
478 studies on the toxicity of mixtures of substances where the individual components were present at  
479 specific fractions of a standardized response (e.g., LC50), the mixtures were never less toxic than the  
480 most toxic component and potentiation was not observed Könemann and Pieters 1996. Under the  
481 conditions of exposures to low concentrations that are described in this assessment, the most  
482 appropriate approach is to assume additivity. Synergism is judged to be unlikely to occur.

483

484 18 Is test tissue concentration exceeding reference tissue concentration by less than 10X a  
485 meaningful evaluative criterion? (Please see page 9 of the Region2/CENAN joint evaluation  
486 memorandum)?

487 **RESPONSE**

488 No, the choice of the reference can confound the results (see discussion on question 3 above).  
489

490 19 Are the studies from which background tissue concentrations were calculated weighted  
491 appropriately? If not, what method is recommended? Is the use of the mean the most  
492 appropriate measurement of central tendency? If not, what measure should be used? (Please  
493 see Reference No. 98) Are the assumption, presented on page 14 pertaining to comparisons  
494 of bioaccumulation in test tissue to tissue concentrations in organisms from the vicinity of the  
495 remediation site, valid for evaluating undesirable effects?

496 **RESPONSE**

497 Where sufficient data are available, a distribution, rather than a mean should be used. This would allow  
498 probabilistic risk assessment techniques to be used. Where the underlying distribution of the data is  
499 known, a statistical measure of central tendency can be used (e.g., geometric mean of log-normally  
500 distributed data). However, the use of the central tendency in the absence of knowledge of the range  
501 or variance is counterintuitive - we should be more interested in the upper centiles of exposure and the  
502 lower centiles of sensitivity. Where the data sets are small and the underlying distribution is not know,  
503 the arithmetic mean is appropriately conservative. Where contributions to the whole are being  
504 calculated, only the arithmetic mean should be used. A recent paper by Parkhurst discusses this in more  
505 detail (Parkhurst 1998).  
506

507 20 Can baseline tissue concentrations, from appropriate benthic organisms resident to the HARS,  
508 be used as standards to determine suitability for Remediation Material as defined above?

509 **RESPONSE**

510 Yes, with the qualifier on lipid normalization noted above.  
511

512 **5 REFERENCES**

- 513 Alabaster JS, Lloyd R. 1980. Water quality criteria for freshwater fish. London: Butterworth. 200 p.
- 514 Connell DW. 1990. Bioaccumulation of Xeobiotic Compounds. Boca Raton, FL: CRC Press.
- 515 Hebert CE, A KK. 1995. To normalize or not to normalize? Fat is the question. Environmental  
516 Toxicology and Chemistry 14:801-807.
- 517 Hodson PV. 1998. Personal communication. .
- 518 Klaine SJ, Cobb GP, Dickerson RL, Dixon KR, Kendall RJ, Smith EE, Solomon KR. 1996. An  
519 ecological risk assessment for the use of the biocide, dibromonitripropionamide (DBNPA) in  
520 industrial cooling systems. Environmental Toxicology and Chemistry 15:21-30.
- 521 Könemann WH, Pieters MN. 1996. Confusion of concepts in mixture toxicology. Food and Chemical  
522 Toxicology 34:1025-1031.
- 523 Kooijman SALM, Bedaux JJM. 1996. The analysis of aquatic toxicity data. Amsterdam: VU  
524 University Press. 149 p.
- 525 Lee HI, Lincoff A, Boese BL, Cole FA, Ferraro SP, Lamberson JO, Ozretich RJ, Randall RC,  
526 Rukavina KR, Schults DW et al. 1994. Ecological risk assessment of marine sediments at the  
527 Unied Heckathorn superfund site. Narragansett, RI: US EPA ERL. Report nr EPA-600/X-  
528 94/029.
- 529 Parkhurst BR, Warren-Hicks W, Etchison T, Butcher JB, Cardwell RD, Volison J. 1995.  
530 Methodology for Aquatic Ecological Risk Assessment. Final Report prepared for the Water  
531 Environment Research Foundation. Alexandria, VA: Water Environment Research Foundation.  
532 Report nr RP91-AER.
- 533 Parkhurst D. 1998. Arithmetic versus geometric means for environmental concentration data.  
534 Environmental Science and Technology(Feb 1 1998):92A-98A.
- 535 Parrott JL, Hodson PV, Servos MR, Huestis SL, Dixon DG. 1995. Relative potencies of  
536 polychlorinated dibenzo-p-dioxins and dibenzofurans for inducing mixed function oxygenase  
537 activity in rainbow trout. Environmental Toxicology and Chemistry 14:1041-1050.

538 Pruell RJ, Rubinstein NI, Taplin BK, LiVolsi JA, Bowden RD. 1993. Accumulation of polychlorinated  
539 organic contaminants from sediments by three benthic marine species. Archives of  
540 Environmental Contamination and Toxicology 24:290-297.

541 Solomon KR, Baker DB, Richards P, Dixon KR, Klaine SJ, La Point TW, Kendall RJ, Giddings JM,  
542 Giesy JP, Hall LWJ et al. 1996. Ecological risk assessment of atrazine in North American  
543 surface waters. Environmental Toxicology and Chemistry 15:31-76.

544 Squibb KS, O'Conner JM, Kneip TJ. 1991. New York/New Jersey Harbour Estuary Program  
545 Module 3.1: Toxics Characterization Report. Tuxedo, NY: Institute of Environmental Medicine,  
546 New York University Medical Centre.

547 Stephan CE, Mount DI, Hansen DJ, Gentile JH, Chapman GA, Brungs WA. 1985. Guidelines for  
548 deriving numerical national water quality criteria for the protection of aquatic organisms and  
549 their uses. Duluth MN: US EPA ORD ERL. Report nr PB 85-227049.

550 USEPA. 1991. Evaluation of dredged material proposed for ocean disposal. Washington, DC: US  
551 Environmental Protection Agency. Report nr EPA-503/8-91/001.