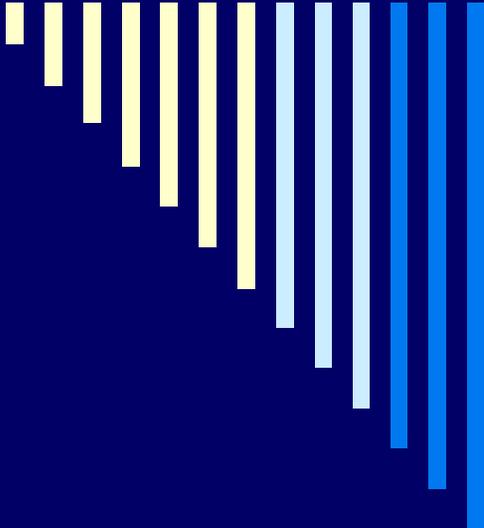


US EPA ARCHIVE DOCUMENT

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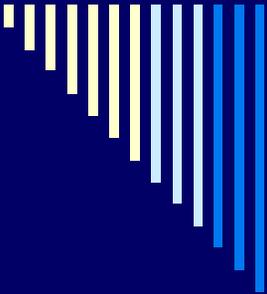


# U.S. EPA - OPPT QSAR and Expert System Tools

**Tala Henry**

**Office of Pollution Prevention and Toxics**

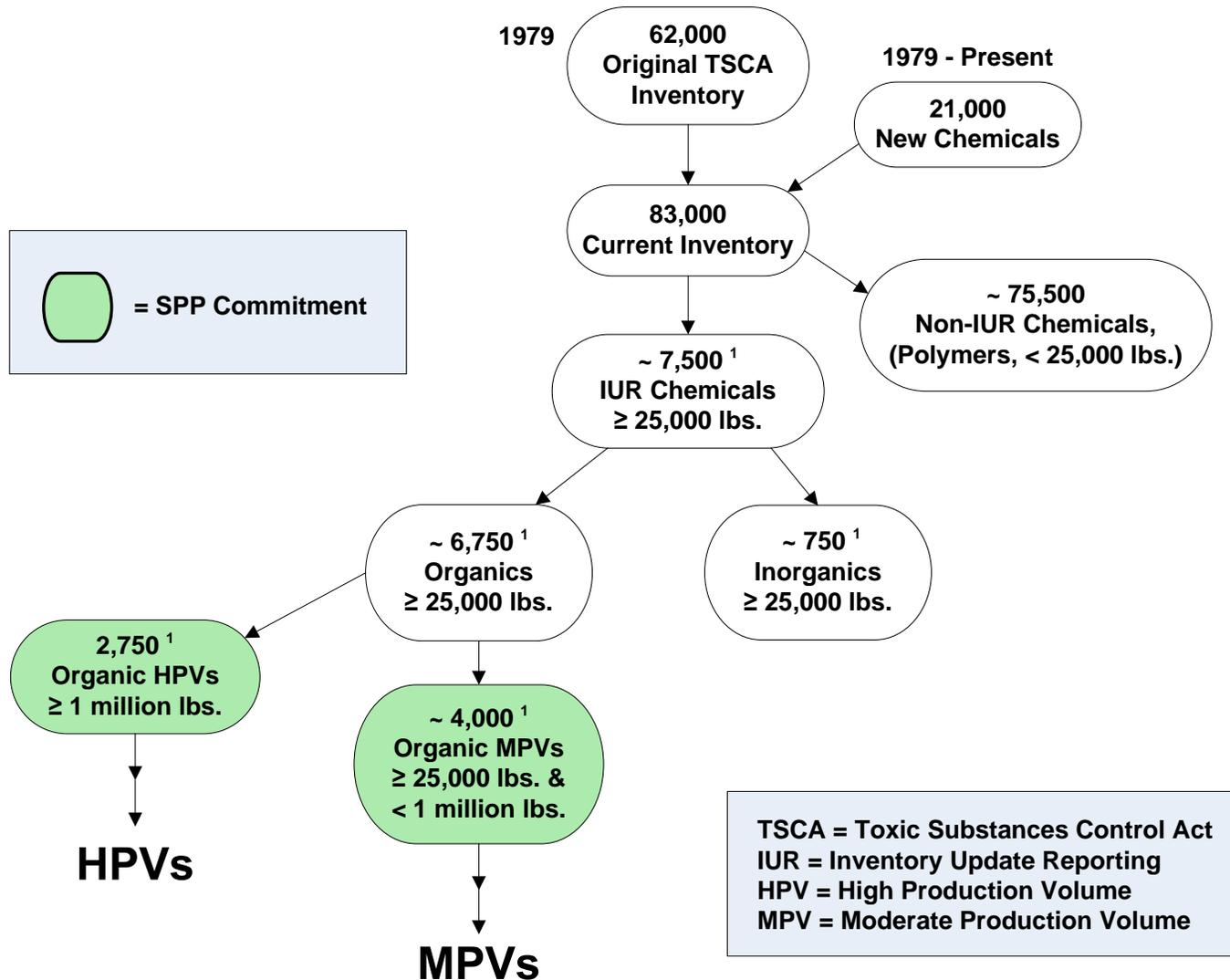
PPDC Meeting  
October 8, 2008



# Office of Pollution Prevention and Toxics

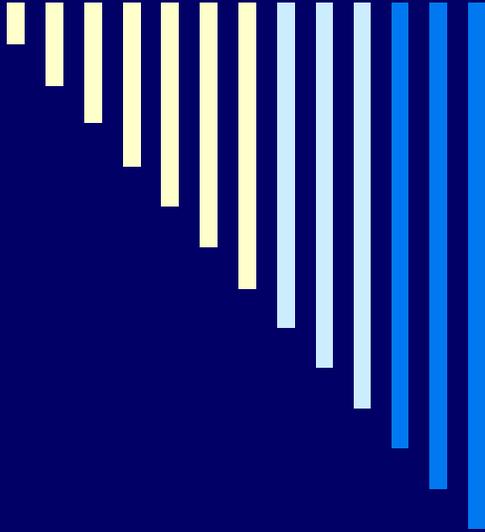
- ❑ Administer the Toxic Substances Control Act (TSCA) and the Pollution Prevention Act (PPA)
- ❑ Review Pre-Manufacture Notices (PMN) for new industrial chemicals
- ❑ Request and/or perform testing, assessment, and risk reduction of existing industrial chemicals
- ❑ Manage “national chemicals” (e.g. PCBs, Hg, Lead)
- ❑ Represent USA on International chemical issues (e.g. POPs)
- ❑ Advocate & facilitate pollution prevention
- ❑ Encourage & facilitate partnership programs, e.g. DfE, HPV Challenge, Green Suppliers Network and Green Chemistry

# TSCA Chemical Universe

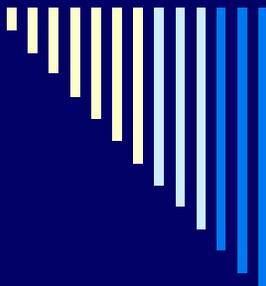


<sup>1</sup> Statistics are based upon preliminary 2006 IUR data; the actual numbers may change slightly when official statistics are available.

**Note:** The 2006 IUR introduces new reporting thresholds.



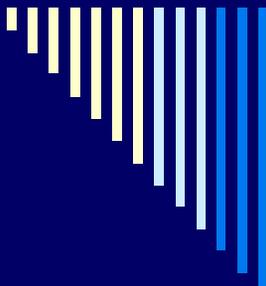
# New Chemicals Program Overview



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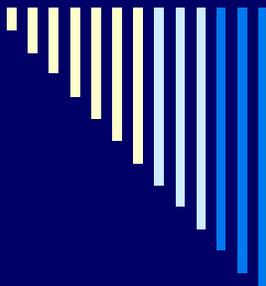
# Section 5 of TSCA

- Requires a manufacturer or importer of a new chemical substance to submit a “premanufacture notice” (PMN) to EPA 90 days before intended start of production or import
- Designed to prevent health and/or environmental risks before they occur
- Regulatory decisions must be made within 90 days and often in the absence of data
- ~ 1500 – 2000 PMN Chemicals assessed per year; over 41,000 PMNs reviewed to date



# PMN Process

- Information Submitted: chemical identity, use, production/import volume, byproducts, human exposure, release to environment, *existing* test data (most often acute toxicity; little fate or environmental effects)
- TSCA allows CBI claims: only 5% of PMNs submitted to date have been completely non-confidential
- Review of PMNs to determine if need to prohibit or limit manufacturing, processing or use pending development of needed information
- Following EPA review, if manufacturing or importing commences:
  - EPA must be notified (NOC)
  - Chemical is added to the TSCA inventory



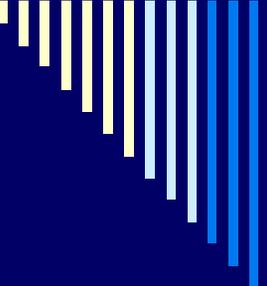
# Structure Activity Team (SAT) Meeting

- Key technical meeting to consider potential hazard to human health and the environment
  
- Hazard profile based on:
  - Physical-chemical properties
  - Routes of absorption
  - PMN data
  - Structure activity relationship (SAR) analysis:
    - Analogs & categories
    - QSAR estimates



Categories  
& Analogs

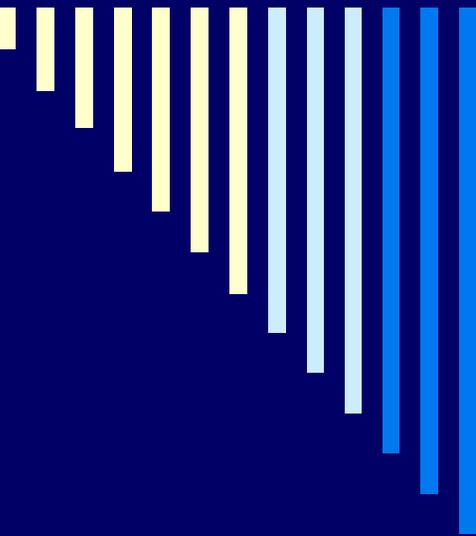
OncoLogic®



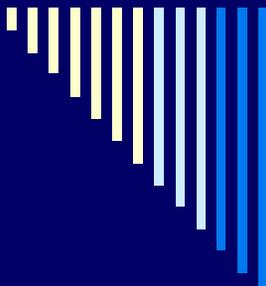
# Exposure Report

- ChemSTEER - Chemical Screening Tool for Exposures and Environmental Releases
  - Estimates workplace exposures and releases resulting from manufacture or use of an industrial chemical
  - Uses EPA default assumptions (worst case scenarios)
  
- E-FAST - Screening level assessment of exposures to the environment and non-workers
  - Aquatic species
  - Consumers
  - General population

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# Existing Chemicals Program Overview

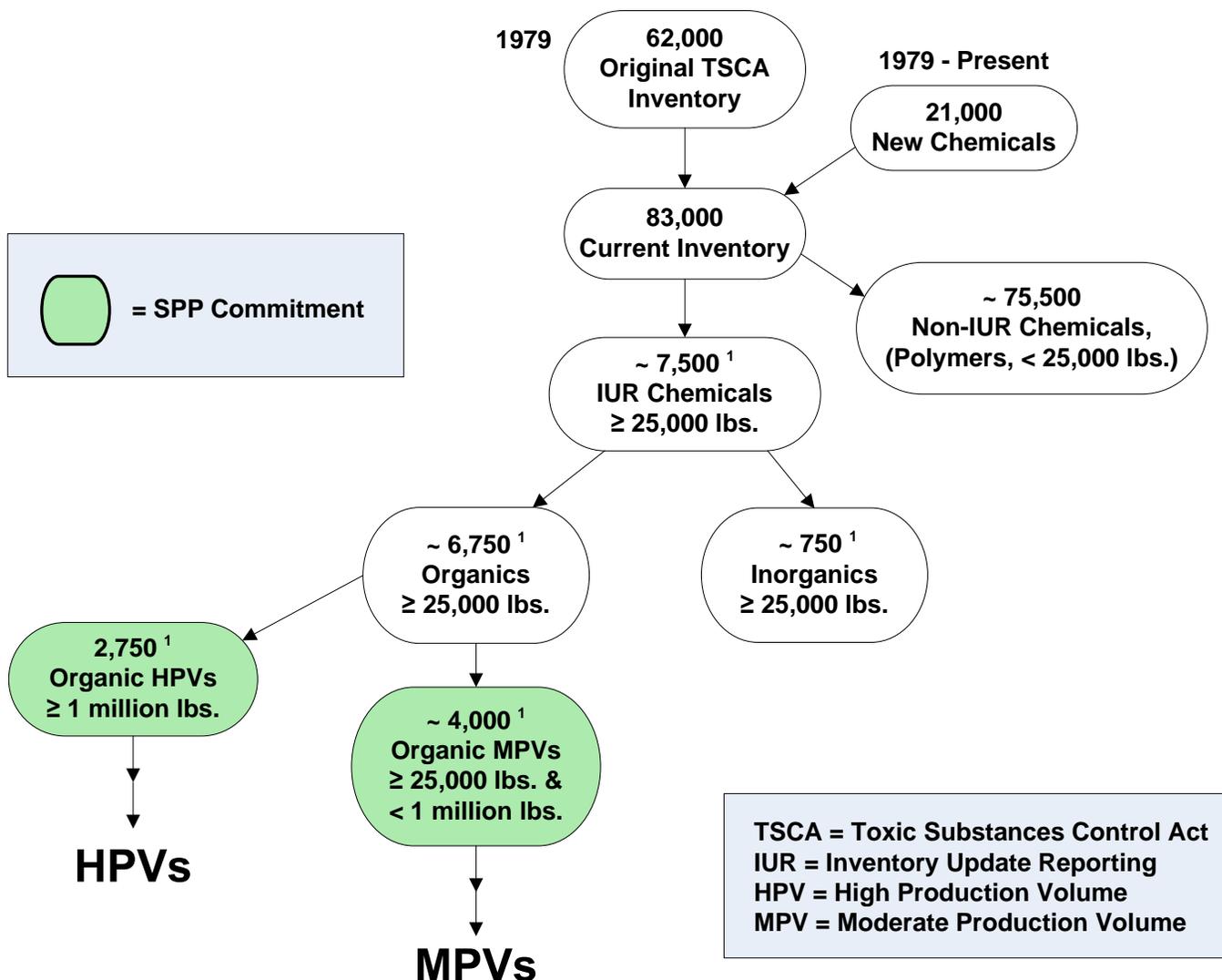


# Security and Prosperity Partnership (SPP)

- Goal: enhance regulatory cooperation among Canada, Mexico, U.S.
- U.S. Commitment, by 2012:
  - Assess and initiate needed action on the over 6,750\* existing chemicals produced above 25,000 lbs/yr in the U.S.
  - Includes work on organic High Production Volume (HPV's) and Moderate Production Volume (MPV's)

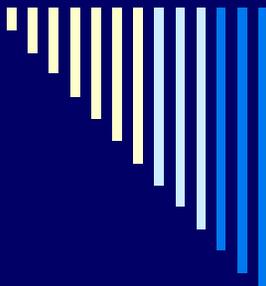
\* Based on preliminary statistics from 2006 IUR data

# U.S. SPP Commitments



<sup>1</sup> Statistics are based upon preliminary 2006 IUR data; the actual numbers may change slightly when official statistics are available.

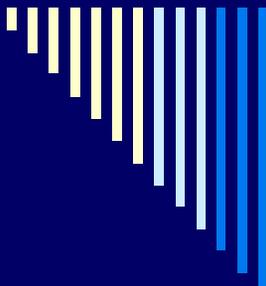
**Note:** The 2006 IUR introduces new reporting thresholds.



# Chemical Assessment and Management Program (ChAMP)

ChAMP was created to implement commitments the United States made under the SPP and other related actions:

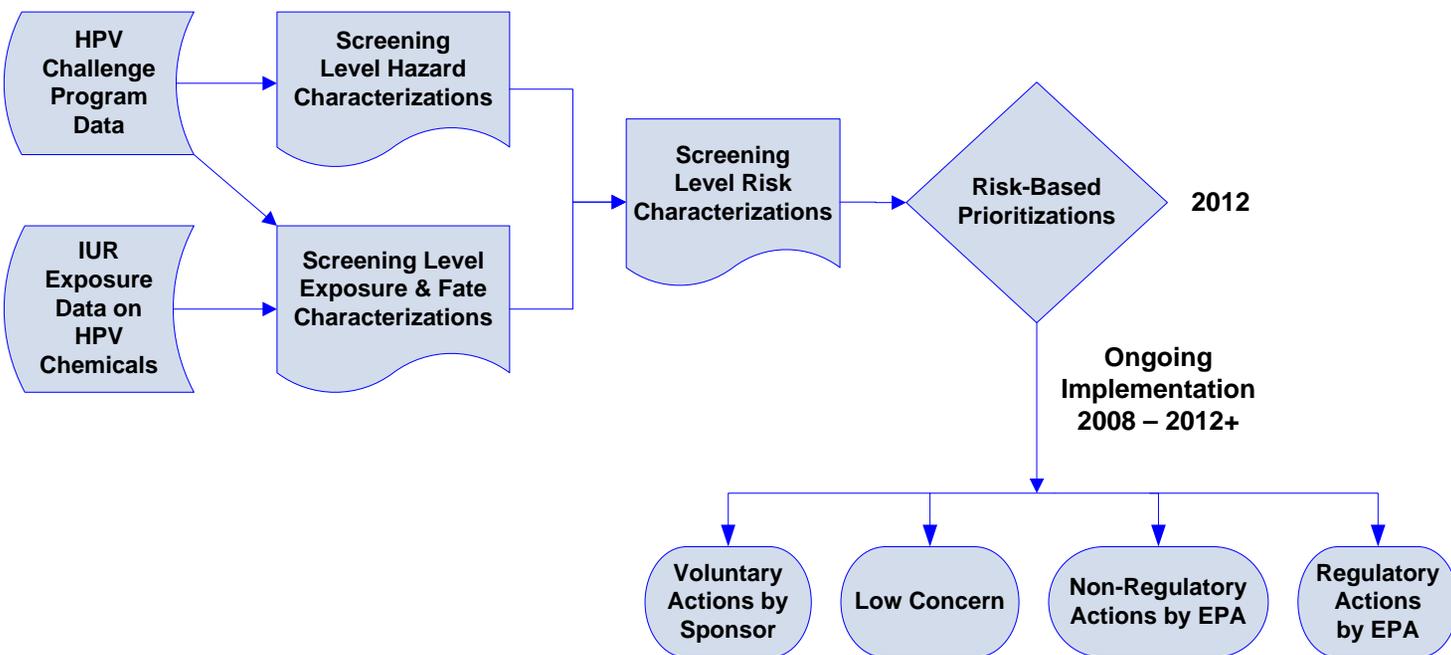
- I. Risk-based Prioritizations for HPVs ( $\geq 1$  M lbs/yr)
- II. Hazard-based Prioritizations for MPVs (25K – 1M lbs/yr)
- III. Inorganic HPV Chemicals
- IV. Inventory Reset

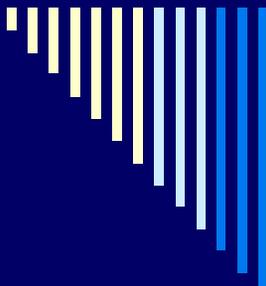


# HPV Chemical Risk-Based Decision Making

- 1998 – High Production Volume (HPV) “Challenge”
  - a voluntary initiative to develop and make public, screening-level health and environmental effects information on HPV chemicals
  - producers and importers identify and provide existing toxicity data/information and conduct new testing if data do not exist
  - a complete data submission contains data or information on 18 internationally agreed to “SIDS” (Screening Information Data Set) endpoints
  - Essentially same as OECD HPV Programme\
  - Both US and OECD HPV Programs encourage the use of Categories
  
- 2006 – Inventory Update Reporting
  - Industrial Processing and Use Information
  - Commercial and Consumer Use Information
  - Used in products intended for children

# HPV Chemical Risk-Based Decision Making





# MPV Chemical Hazard-Based Screening Decision Process

- ❑ Produced or imported at quantities  $\geq 25,000$  lbs/yr and  $\leq 1$  million lbs/yr.
- ❑ Apply available existing data and OPPT Category & Structure Activity Relationships (SAR) analysis to assess hazard and fate.
- ❑ Basic exposure/use data are available only for MPVs produced at  $\geq 300,000$  lbs at a site
- ❑ Use Hazard Characterizations (HCs) to identify MPVs that require follow-up: testing, risk management, etc.

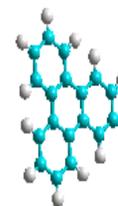
# Approach for MPV Hazard Characterization

1986–2006 IUR

New Chemicals

Identify Structural Clusters

Cluster 1 ...



Analog Identification Methodology

Physical-Chemical Properties – HPV, PhysProp, EPISuite, SPARC

Environmental Fate – HPV, PhysProp, EPISuite



FBI Profiler  
A Component of CPT's  
P2 Framework  
Assessing Chemicals in the  
Absence of Data

Ecotox break pt 1

Ecotoxicity

Ecotoxicity – HPV, ECOTOX, ECOSAR



HH break pt 1

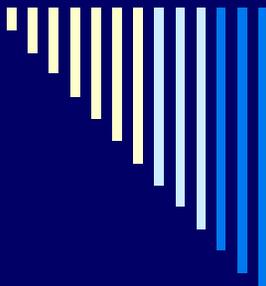
HH break pt 2

Human Health  
Endpt 1

Human Health  
Endpt 2

Human Health  
Endpt 3

**OncoLogic**®



# Meeting the SPP Goals

- 2007
  - Developed process for screening-level Hazard Characterizations (HCs), Exposure Characterizations (ECs), Risk Characterizations (RCs) and Risk-Based Prioritizations (RBPs)
  - Posted over 150 HCs
- 2008
  - Posted additional ~150 HCs
  - Posted RBPs for 150 chemicals
  - Post initial MPV HCs
- 2009
  - Continue posting RBPs for HPV chemicals and significantly increase development/posting MPV HCs

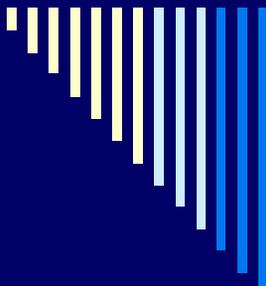
# Filling the Gaps: OPPT Predictive Tools



OncoLogic®

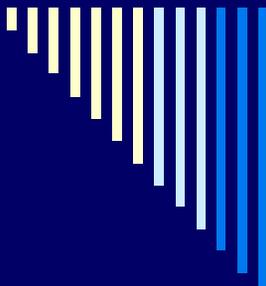


- **EPI Suite™** – Suite of QSAR programs for estimating physical-chemical properties & environmental fate parameters.
- **ECOSAR** – a library of QSARs for predicting aquatic toxicity and an expert system for selecting the appropriate QSAR
- **PBT Profiler** – estimates **P**ersistence, **B**ioaccumulation and **T**oxicity and distribution in water, soil, sediment, and air using a Level III multi-media model.
- **OncoLogic®** Cancer Expert System – Predicts concern levels for cancer potential based on “knowledge rules”
- **E-FAST** – General Population, Consumer, and Environmental Exposures
- **ChemSTEER** – Chemical Screening Tool for Exposures & Environmental Releases



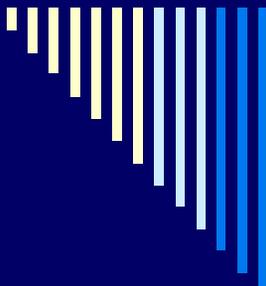
# Appropriate Use of Predictive Models

- Priority setting tools allow one to compare large numbers of chemicals using standardized criteria
- Screening level tools can help to screen out or eliminate chemicals of low concern and identify where additional evaluation is needed
- Higher tier tools provide more definitive, detailed analyses, often used in combination with screening level tools and available data
- Models can be helpful in filling data gaps and to supplement and/or interpret data



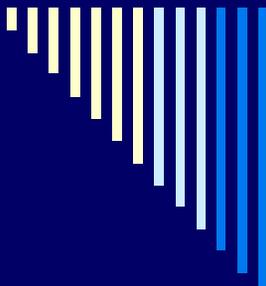
# OPPT QSAR and Expert System Tools

- Fate and Toxicity Tools and Models
  - Analogs & Categories
  - AIM
  - ECOSAR
  - Oncologic<sup>®</sup>
  - PBT Profiler
  
- Exposure Assessment Tools and Models
  - ChemSTEER
  - E-FAST
  
- Other detailed models are also used as needed



# Analogs & Categories

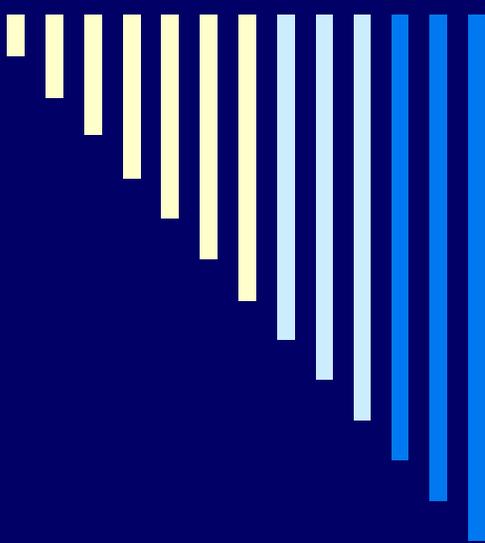
- Analogs - chemicals whose physicochemical and toxicological properties are likely to be similar as a result of structural similarity
- Category - A group of analogs
- Similarities based on:
  - a common functional group (e.g., aldehyde, epoxide, ester, etc.)
  - common precursors and/or breakdown products
  - similar biological activity and/or mechanisms of action
- Categories can be reduced (subcategories) or expanded
- Analogs & Categories Facilitate:
  - Evaluation of the reliability of model estimates
  - Filling data gaps
  - Evaluations based on a greater weight of evidence
  - Strategic testing
  - Identification of safer alternative or substitutes



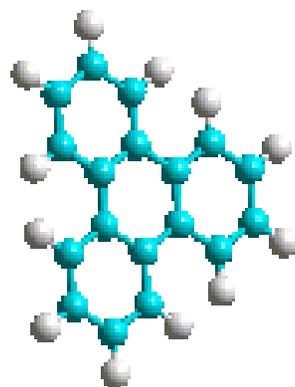
# Categories - Lessons

- Based on ~2 decades of using Categories to assess hazard and risk to 1000s of chemicals, U.S. EPA and others have found:
- Category evaluation
  - is based on a greater weight of evidence; increases confidence in conclusions
  - provides better basis for establishing biological plausibility
- Category analysis facilitates strategic testing
  - weight of evidence used for deciding need for additional testing
  - defines the nature and scope of any testing needs
  - testing often completed faster
- Chemical Categories are a practical way to:
  - Extrapolate data gathered for HPV chemicals to lower volume chemicals,
  - To meet goals of assessing large number chemicals (U.S. EPA TSCA; REACH; Canadian DSL), and
  - Guide/Organize Integrated Testing Strategies

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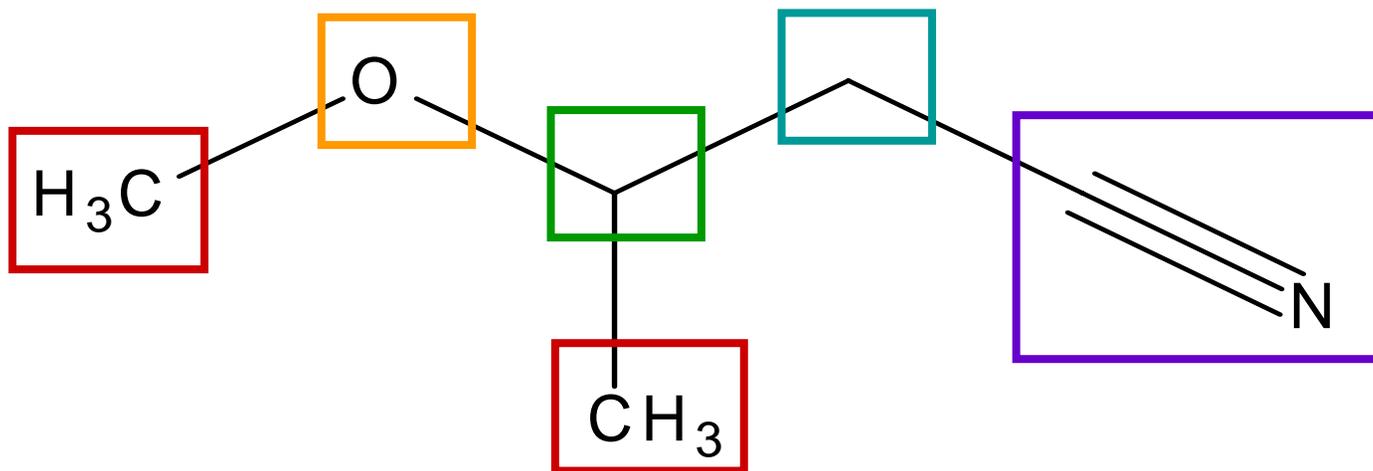
# Analog Identification Methodology (AIM)



**Analog  
Identification  
Methodology**

# AIM Methodology

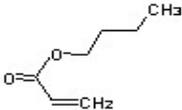
**AIM identifies analogs using a chemical fragment-based approach (645 individual *fragments* indexed in the database)**



- ❑ Web-based program provides user with a list of chemical analogs linked to [publically available toxicity](#) data.
- ❑ From a database of 31,031 chemicals/structures that are indexed to publicly available toxicity sources such as: TSCATS, AEGLS, IRIS, HPV Challenge, ATSDR, NLM-HSDB, NTP, RTECS, IUCLID

## Found 12 Analog(s) for 2-Propenoic acid, butyl ester:

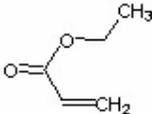
### Exact Chemical Match:

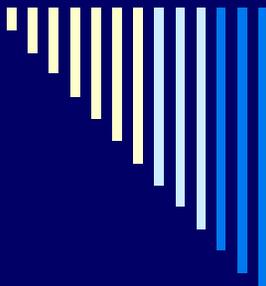
<b># of Studies: 3</b>	<b>BUTYL ACRYLATE [CAS No. 141-32-2]</b>		
	Toxicity Data Available for this Compound		
	On-Line Databases	U.S. Government Documents	Other Sources
	<a href="#">TSCATS</a>  <a href="#">HSDE</a>		<a href="#">RTECS</a>  <a href="#">IUCLID</a>

► Based on its structure, this chemical may belong to the acrylates/methacrylates. Members of this category may have potential human health concerns. [More information and category definitions](#)

► This compound may metabolize in the body to products that may cause concerns for human health. Analogs for metabolites should also be investigated. Metabolism classes found: terminal double bond, ester, and acrylate

### Analogs, Ordered by Number of Studies:

<b># of Studies: 5</b>	<b>ETHYL ACRYLATE [CAS No. 140-88-5]</b>		
<p data-bbox="272 972 386 996"><u>Analog # 1</u></p> 	Toxicity Data Available for this Compound		
	On-Line Databases	U.S. Government Documents	Other Sources
	<a href="#">TSCATS</a>  <a href="#">HSDE</a>	<a href="#">NTP</a>	<a href="#">RTECS</a>  <a href="#">IUCLID</a>  <a href="#">DSSTox</a> Cancer



# AIM Clustering Tool/Category Builder

- AIM fragment matching algorithm expanded to organize data sets to identify “structural clusters” of chemicals
- Applied to multiple EPA databases (PMNs, HPV, 8(e), IUR) to formulate structure-based categories; “structural clusters”

# Approach for MPV Hazard Characterization

1986–2006 IUR

New Chemicals

Identify Structural Clusters

Cluster 1 ...

Physical-Chemical Properties – HPV, PhysProp, EPISuite, SPARC

Environmental Fate – HPV, PhysProp, EPISuite

Ecotox break pt 1

Ecotoxicity

Ecotoxicity – HPV, ECOTOX, ECOSAR

HH break pt 1

Human Health  
Endpt 1

HH break pt 2

Human Health  
Endpt 2

Human Health  
Endpt 3

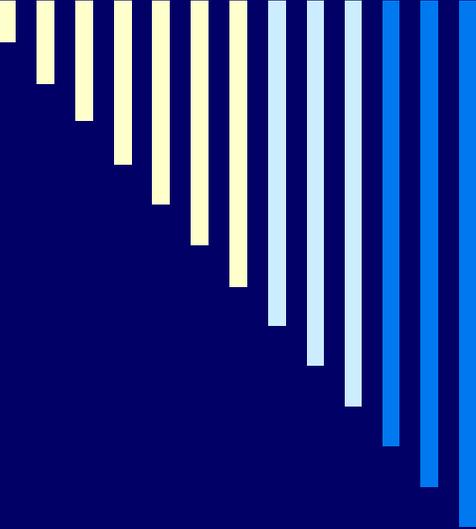
**MPV HC Section 1:**  
Structural Cluster  
Justification  
Initial cluster assignment  
using structural clustering  
algorithm

**MPV HC Section 2 & 3:**  
Summary of Pchem and  
Fate Data, Trends,  
Breakpoints

**MPV HC Section 4:**  
Summary of Acute &  
Chronic Ecotoxicity Data,  
Trends, Breakpoints

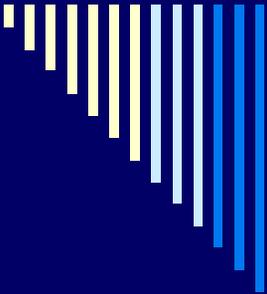
**MPV HC Section 5:**  
Summary Health Hazards  
– Quantitative &  
Qualitative

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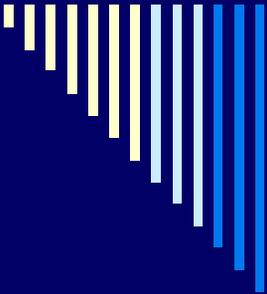
# EPI Suite™

Estimation Programs Interface  
Suite



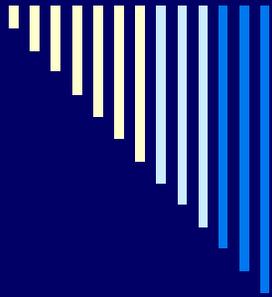
# EPI Suite™

- ❑ Estimates physical/chemical properties and environmental fate and transport
- ❑ Runs estimation programs sequentially with chemical structure as only input
- ❑ Can use experimental data to improve the estimations of the other properties
- ❑ Includes PHYSPROP, a database of measured p/chem and fate properties for >40,000 chemicals
- ❑ Includes an online User's Manual



# EPI Suite™ P/Chem Modules

- ❑ MPBPWIN™ – melting point, boiling point, and vapor pressure
- ❑ KOWWIN™ – octanol/water partition coefficient
- ❑ WS/KOWWIN™ – water solubility from Kow
- ❑ WATERNT™ – water solubility based on a fragment constant method
- ❑ HENRYWIN™ – air/water partition coefficient
- ❑ PCKOCWIN™ – ability to sorb to the organic portion of soil and sediment
- ❑ BCFWIN™ – ratio of a chemical's concentration in the tissue of an aquatic organism to the concentration in the ambient water
- ❑ HYDROWIN™ – acid and base-catalyzed hydrolysis
- ❑ AEROWIN™ – fraction of airborne substance sorbed to airborne particulates
- ❑ AOPWIN™ – atmospheric persistence
- ❑ BOWIN™ – aerobic and anaerobic biodegradation
- ❑ BioHCWIN – biodegradation half-life for compounds containing only carbon and hydrogen (e.g., hydrocarbons)
- ❑ KOAWIN – octanol/air partition coefficient



# EPI Suite™ Environmental Fate Modules

- WVOLWIN™ – rate of volatilization from rivers and lakes
- STPWIN™ – removal in a simulated sewage treatment plant
- LEVEL3EPI™ Fugacity Model – partitioning of chemicals between air, soil, sediment and water under steady state conditions for a default model “environment”

# EPI Suite™ Input Screen

**EPI v3.20** File Edit Functions BatchMode ShowStructure Output Fugacity STP Other Help

PhysProp Previous Get User Save User CAS Input **CALCULATE** ClearInputField What's New

Enter SMILES:   
000050-00-0

Chem NAME:   
NameLookup

Henry LC (atm-m3/mole):  Wat Sol (mg/L):  MP:   
Vap Pr (mm Hg):  BP:

Water Depth (meters): 

River:	1	Lake:	1
Wind Velocity (m/sec):	3		0.5
Current Velocity(m/sec):	1		0.05

 Log Kow :  Output  
 Summary  
 Full



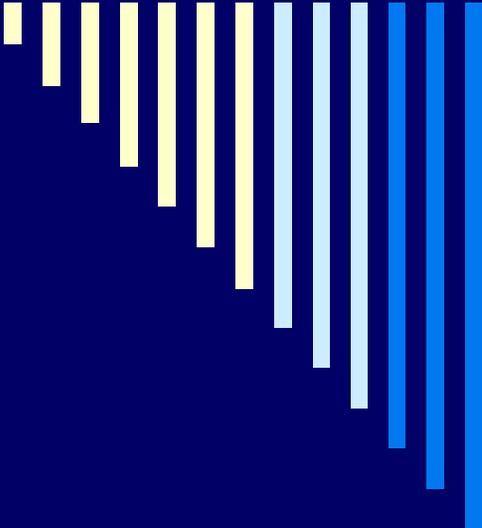
The Estimation Programs Interface (EPI) Suite™ was developed by the US Environmental Protection Agency's Office of Pollution Prevention and Toxics and Syracuse Research Corporation (SRC). It is a screening-level tool and cannot be used for all chemical substances. Like other such tools, it is intended for use in screening-level applications such as to quickly screen chemicals for release and exposure potential, and "bin" chemicals by priority for future work. Estimated values should not be used when experimental (measured) values are available.

Important information on the performance, development and application of the individual estimation programs within EPI Suite™ is included in the User's Guide.

© 2000 United States Environmental Protection Agency

Start Cathy Fehrenbacher - In... overview\_screening mod... untitled - Paint EPI v3.20 2:42 PM

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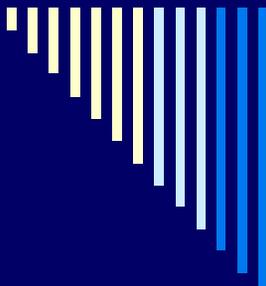


# ECOSAR

Aquatic toxicity estimates based on  
Structure Activity Relationships (SAR)



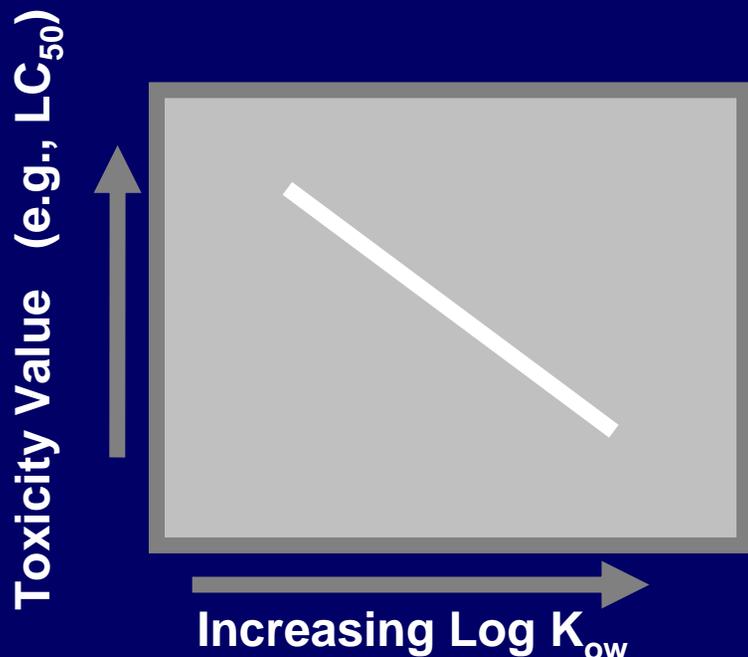
<http://www.epa.gov/oppt/newchems/tools/21ecosar.htm>



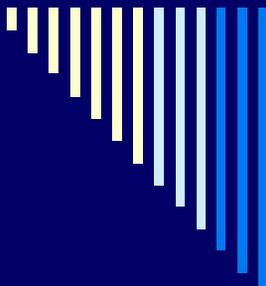
# ECOSAR

- A library of QSARs for predicting aquatic toxicity and an expert system for selecting the appropriate QSAR
  - Acute and chronic toxicity endpoints
  - Fish, aquatic invertebrates, algae, and others
- Extensive documentation and User's Manual

# How ECOSAR Predicts Toxicity



- Chemicals are grouped into classes. For **most** classes, regression equations relate *predicted* log K<sub>ow</sub> to toxicity
  - Example SAR, acrylates
    - $\log \text{LC}_{50} \text{ (mM/L)} = -1.46 - 0.18 \log K_{ow}$
- SARs are based on measured toxicity data
  - Toxicity is predicted at pH 7, TOC < 2 mg/L, moderate water hardness (150 mg/L CaCO<sub>3</sub>), 100% active ingredient

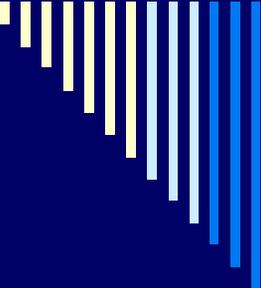


# ECOSAR Chemical Classes with Excess Toxicity

40 Chemical Classes

ECOSAR will identify appropriate SAR class

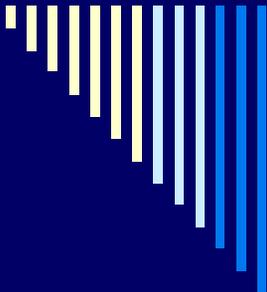
- Acid chlorides
- Acrylates
- Acrylates, methacrylates
- Alcohols, propargyl
- Aldehydes
- Amines, aliphatic
- Anilines
- Anilines, amino, meta or 1,3-substituted
- Anilines, amino, ortho or 1,2-substituted
- Anilines, amino, para, or 1,4-Substituted anilines, dinitroanilines
- Diazoniums, aromatic
- Epoxides, monoepoxides
- Epoxides, diepoxides
- Esters
- Esters, monoesters, aliphatic
- Esters, diesters, aliphatic
- Esters, phosphate
- Esters, phthalate
- Hydrazines
- Ketones, diketones, aliphatic



# Chemical Domains in ECOSAR

- Neutral Organics
- Classes with Excess Toxicity
- Surfactants
- Polymers\*
- Dyes\*
- Inorganics\*
- Organometallics\*

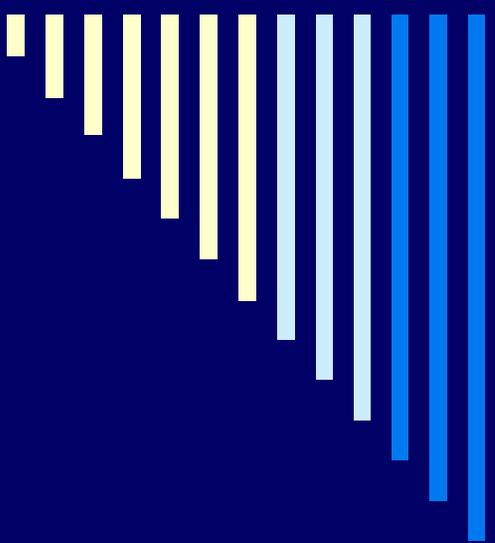
\* SARs for polymers, organometallics, or inorganics are not yet available, and only a limited number of dye SARs are available in the current version of ECOSAR



# ECOSAR

- “Stand-alone”, available for download at:  
[www.epa.gov/oppt/newchems/21ecosar.htm](http://www.epa.gov/oppt/newchems/21ecosar.htm)
- Integral part of EPI Suite™, available for download at:  
[www.epa.gov/opptintr/exposure/docs/EPISuitedl.htm](http://www.epa.gov/opptintr/exposure/docs/EPISuitedl.htm)

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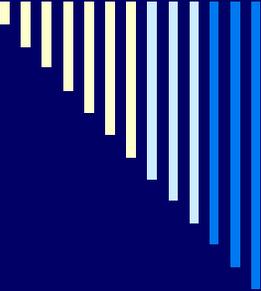
# The PBT Profiler

## PBT Profiler

A Component of OPPT's  
P2 Framework

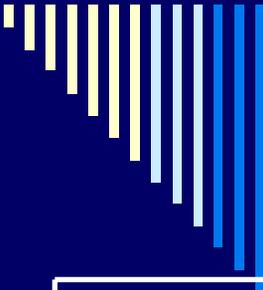
*Assessing Chemicals in the  
Absence of Data*

<http://www.epa.gov/pbt/tools/toolbox.htm>



# The PBT Profiler

- Estimates physical/chemical and fate properties
  - Persistence
  - Bioaccumulation: as fish BCF
  - Toxicity: fish chronic value (ChV) from ECOSAR
  
- Estimates distribution in water, soil, sediment, and air using Level III Fugacity model
  
- Compares P, B, and T estimates to EPA criteria
  - *New Chemicals PBT Policy – Federal Register: November 4, 1999 (Volume 64, Number 213), pages 60194-60204*
  - *TRI Reporting Criteria – Federal Register: October 29, 1999 (Volume 64, Number 209), pages 58666-58753*



# P, B & T Criteria

Persistence	Not Persistent	Persistent	
	Water, soil, sediment	< 60 d	≥ 60 d
Air	≤ 2 d	> 2 d	

Bioaccumulation	Not Bioaccumulative	Bioaccumulative	
	Fish BCF	< 1,000	≥ 1,000

Toxicity	Low Concern	Moderate Concern	High Concern
	Fish ChV (EPA New Chemical Program Criteria)	> 10 mg/L Or No Effects at Saturation	0.1-10 mg/L

# PBT Profiler Output

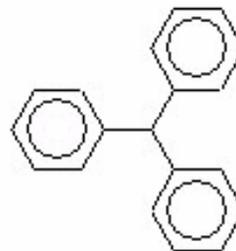
PBT Profiler Estimate = **PBT**

Screening estimates indicate this chemical may be a PBT - a P2 Assessment may allow further evaluation

<u>Media</u>	<u>Half-Life</u> (days)	<u>Percent in</u> <u>Each Medium</u>	<u>BCF</u>	<u>Fish ChV</u> (mg/l)
Water	38	■ 8%	2,700	0.027
Soil	75	■ 53%		
Sediment	340	■ 39%		
Air	1	1%		

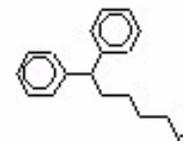
  

[P2 Considerations and more information](#)

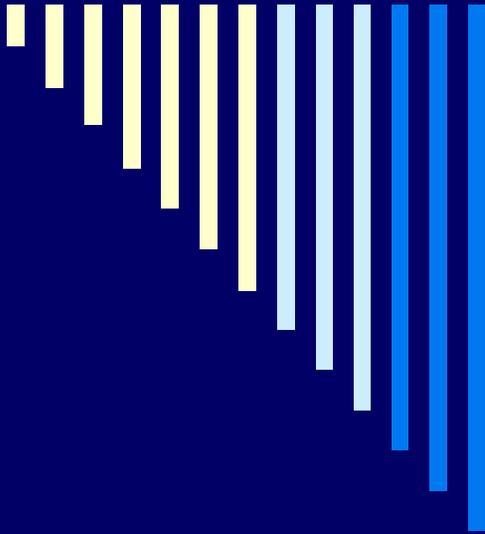


PBT Profiler Estimate = **PBT**

<u>Media</u>	<u>Half-Life</u> (days)	<u>Percent in</u> <u>Each Medium</u>	<u>BCF</u>	<u>Fish ChV</u> (mg/l)
Water	15	■ 18%	170	0.12
Soil	30	■ 73%		
Sediment	140	■ 9%		
Air	0.75	1%		



[P2 Considerations and more information](#)

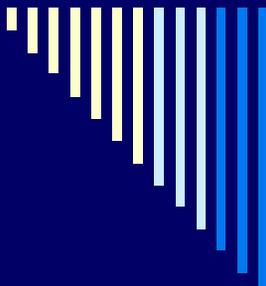


# Oncologic<sup>®</sup>

Cancer Expert System

Prediction of concern levels for cancer potential based on “knowledge rules”

<http://www.epa.gov/oppt/newchemicals/tools/oncologic.htm>



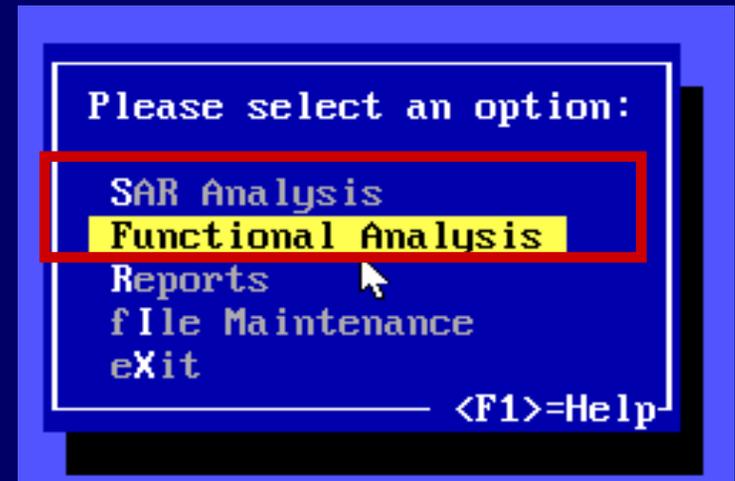
# OncoLogic® - Expert System

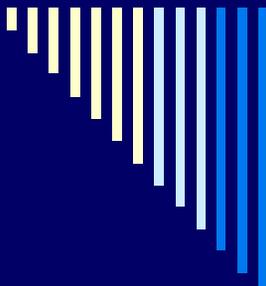
## How it Works

- Mimic the thinking and reasoning of human experts using knowledge based rules for chemical classes to predict cancer concern
  - Assigns a baseline concern level ranging from low to high
  - Evaluates how substituents on the chemical may affect carcinogenicity

# Running OncoLogic®

- Two methods to predict carcinogenicity
  - SAR Analysis
    - Knowledge rules
  - Functional Analysis
    - Uses results of specific mechanistic/non-cancer studies





# The **Functional Arm** of OncoLogic®

- OncoLogic® can use results from some shorter-term tests to support a cancer concern.
- Results indicate whether chemical may be an initiator, promoter, or progressor

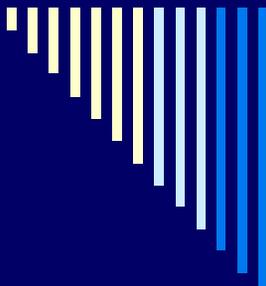
## Test Category Screen

Please select one or more categories of data which are known to be correlated with carcinogenicity. After all applicable tests/endpoints have been entered, select 'Evaluate'.

Oncogene/Tumor Suppressor Gene Data  
Transgenic Rodent Data  
Genotoxicity and DNA Reactivity Data  
Epigenetic Test Data  
Subchronic Toxicity Data

**Evaluate**

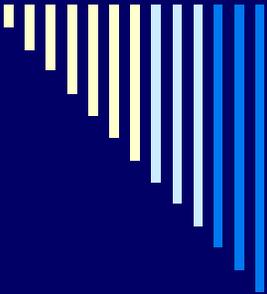
<F1>=Help <Esc>=Exit



---

# Major Data Sources Used to Develop Cancer Knowledge Rules

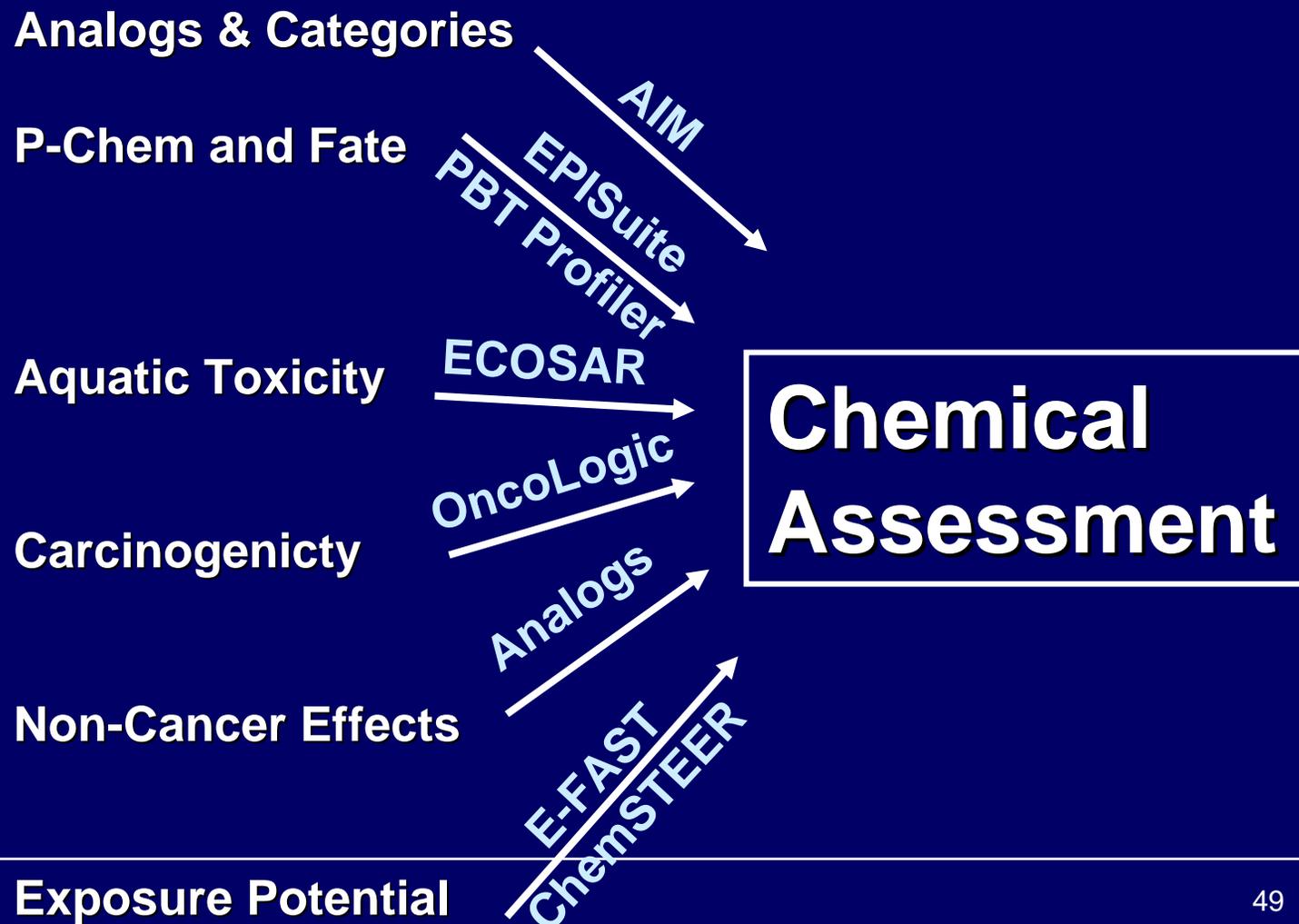
- ❑ Chemical Induction of Cancer monograph series
- ❑ IARC monograph series
- ❑ NCI/NTP technical reports
- ❑ Survey of compounds which have been tested for carcinogenic activity, PHS Publ. 149
- ❑ Non-classified EPA submission data from various EPA program offices

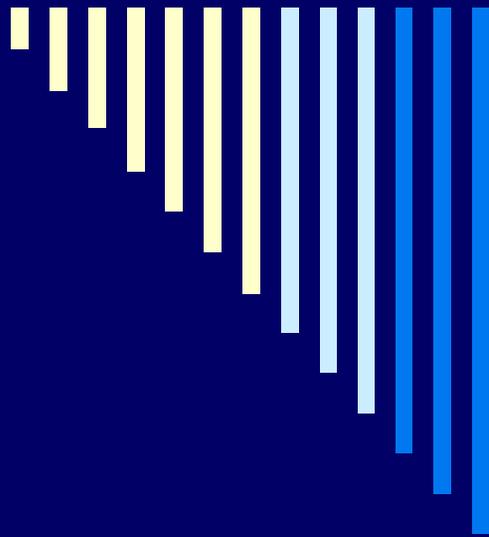


# OncoLogic Concern Levels

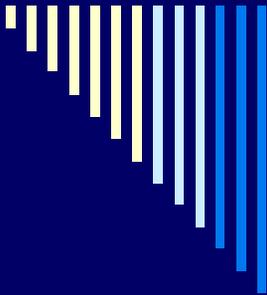
<b>OncoLogic Concern</b>	<b>Definition</b>
<b>Low</b>	<b>Unlikely to be carcinogenic</b>
<b>Marginal</b>	<b>Likely to have equivocal carcinogenic activity</b>
<b>Low – Moderate</b>	<b>Likely to be weakly carcinogenic</b>
<b>Moderate</b>	<b>Likely to be a moderately active carcinogen</b>
<b>Moderate – High</b>	<b>Highly likely to be a moderately active carcinogen</b>
<b>High</b>	<b>Highly likely to be a potent carcinogen</b>

# Computational Tools Are Used Together in OPPT Chemical Assessments



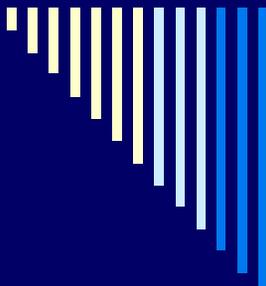


# Peer Review, Validation, Evaluation for Regulatory Purposes



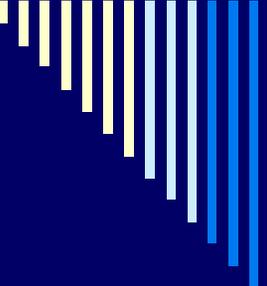
# Considerations when Developing Approaches/Models in OPPT

- Developed to meet specific quality criteria
- May use TSCA CBI data
- Transparency and internal QC review is critical – we use these models every day in regulatory decision-making
- May participate in OECD or other reviews or programs
- OPPT uses established EPA policy and procedures for the development, verification, and use of tools and models:
  - EPA Peer Review Handbook
  - Information Quality Guidelines
  - Science Advisory Board Consultations & Reviews
  - EPA Council for Regulatory Environmental Modeling (CREM) Guidance
  - Risk Assessment Forum Guidance



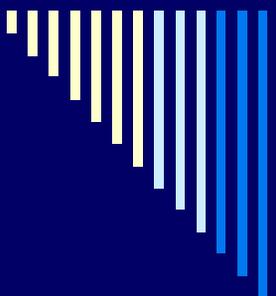
# Verification/Validation Procedures – Examples for SARs

- *U.S. EPA/European Community (EC) Joint Project on the Evaluation of (Quantitative) Structure Activity Relationships; found our methods to be accurate 60-90% of the time depending on the endpoint assessed*
- OECD QSAR Validation Principles
- OECD QSAR Validation Guidance



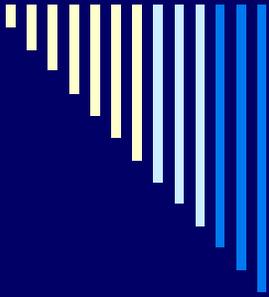
# Peer Review of Screening Level Models

- 2005-2006 – SAB Peer Review of EPI Suite™
- 2000-2005 – Independent Peer Review of E-FAST
- 2003-2006 – Independent Peer Review of ChemSTEER evaporation from open surface models
- Ongoing – Independent Peer Review of Generic Scenarios in ChemSTEER
- 1992 and 1998-1999 – Peer Review of Oncologic
- 1989 - 2006 - Ongoing Independent Peer Reviews of SAR Equations in ECOSAR

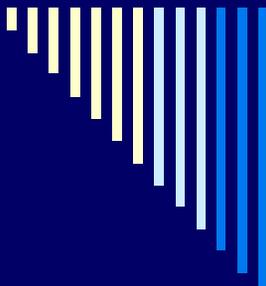


# OPPT Models and Methods Widely Used

- New Chemicals
  - PMN Reviews
  - Sustainable Futures
- Existing Chemicals
  - Design for the Environment
  - PBT Initiative
  - HPV Chemicals
- Office of Pesticide Programs – Inerts
- Office of Water – OncoLogic for CCL
- Office of Air Quality Planning and Standards - HAPS
- Other Federal Agencies
  - Customs & Border Protection
  - Dept. of Defense
  - Food & Drug Administration
  - Federal Aviation Administration
- International
  - European Union
  - Canada
  - The Netherlands



# **US Contributions to International Activities on the Use and Application of Predictive Modeling**



# EPA/OPPT Contributions to International Efforts

- 1993 – US & EC Validation Exercise & Publication: US EPA/EC Joint Project on the Evaluation of (Quantitative) Structure Activity Relationships (QSARS)
- 2004 – OECD Workshop & Publication: Principles for Validation of (Q)SARs
- 2006 – OECD Publication: Regulatory Uses and Applications in OECD Member Countries of (Quantitative) Structure-Activity Relationships [(Q)SAR] Models in the Assessment of New and Existing Chemical
- 2005 – OECD Exposure Policy Dialogue & Publication: Approaches to Exposure Assessment in OECD member countries
- 2007 – OECD Publication: Guidance Document on the Validation of (Quantitative) Structure-Activity Relationship [(Q)SAR] Models
- Ongoing – OECD (Q)SAR Steering Committee: defines strategic directions and develops guidance for developing and implementing (Q)SAR approaches for regulatory purposes in OECD member countries
- Ongoing – OECD Environmental Exposure Assessment Task Force: defines strategic directions and develops exposure methods, models and guidance

*Organization for Economic Co-operation and Development*

# QSAR Application Toolbox

The Toolbox development is a collaborative effort to make specialized QSAR software and data from individual organisations accessible to all OECD stakeholders.

Danish Ministry of the Environment

Environment Canada

European Chemicals Bureau

Japanese MITI

US EPA

...



# OECD (Q)SAR Project

- OECD Principles for the Validation, for Regulatory Purposes, of (Q)SAR Models (2004)
- Guidance Document on the Validation of (Q)SAR Models (2007)
- (Q)SAR Application Toolbox phase 1 (2006-2008)

# Goals for the Pilot Version of the (Q)SAR Application Toolbox - Proof-of-Concept-

- Demonstrate that the Toolbox concept will make many QSAR methods readily accessible
- Apply computational methods to the formation of chemical categories and filling data gaps
  - Facilitate selection of chemical analogues and categories
  - Integrate metabolism/mechanisms with categories/(Q)SAR
- Illustrate the importance of the domain of application in making reliable estimates
- Integrate existing data, expert knowledge and computational methods to facilitate hazard assessments

# Functionalities included in the (Q)SAR Application Toolbox

## ● PROFILING

- Describe the chemical(s) of Interest
- Is the chemical included in regulatory inventories or existing chemical categories?
- Has the chemical already been assessed by other agencies/organisations?

## ● ENDPOINTS

- Would you like to search for available data on assessment endpoints for each chemical?

# Functionalities included in the (Q)SAR Application Toolbox

## ● CATEGORY DEFINITION

- Explore a chemical list for possible analogues for each chemical?
- Group chemicals based on molecular similarity and reactivity analysis?
- Identify chemicals with anomalous metabolic pathways or toxicity mechanisms?
- Group chemicals based on common metabolite?

# Typical Queries included in the (Q)SAR Application Toolbox

- FILLING DATA GAPS

- Fill data gaps in a chemical category using read-across, trend analysis or QSAR models

- REPORT

- Design a data matrix of a chemical category for printing/exporting results



# Contractor / Developer

Laboratory of Mathematical Chemistry

Bourgas, Bulgaria

<http://www.oasis-lmc.org/>



# A collaborative effort of all OECD member countries and stakeholders

## Special thanks:

- European Commission
- CEFIC
- Danish Ministry of the Environment
- US EPA
- Environment Canada
- NITE Japan

# QSAR Application Toolbox

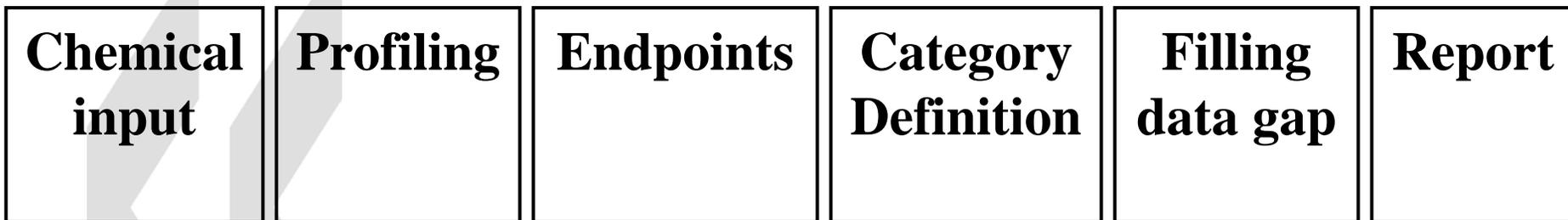
## U.S. Contributions Phase 1:

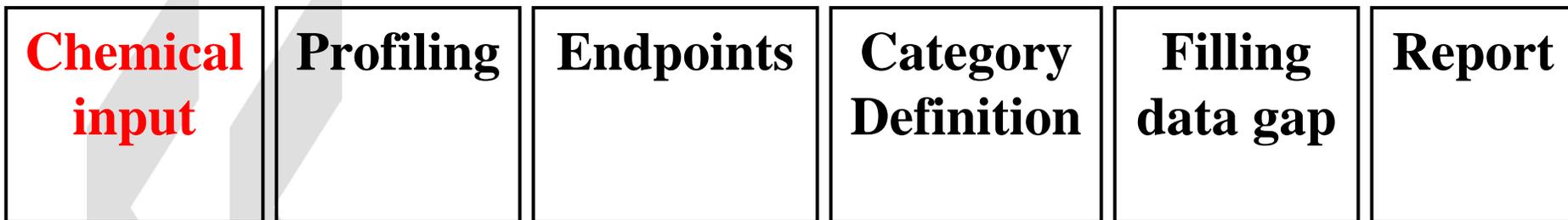
- EPISuite – OPPT
- ECOSAR – OPPT
- New Chemicals Categories (Alerts) - OPPT
- ECOTOX – ORD

## U.S. Contributions Phase 2:

- Ecotoxicity Structural Alerts – OPPT (ECOSAR) & ORD (ASTER)
- OncoLogic or Structural Alerts – OPPT
- ER Binding Structural Alerts – ORD
- ECOTOX – ORD (updates)
- Mammalian Effects DB – ORD
- ToxRef DB – ORD & OPP

## Logical sequence of components usage





**User Alternatives for Chemical ID:**

**A. Single target chemical**

- **Name**
- **CAS#**
- **SMILES/InChi**
- **Draw Chemical Structure**
- **Select from User List/Inventory**

**B. Group of chemicals**

- **User List/Inventory**
- **Specialized Databases**

**Toolbox Inventories:**

- ✓ US EPA TSCA
- ✓ Canadian DSL
- ✓ OECD HPVCs,
- ✓ US EPA HPVCs
- ✓ EU EINECS
- ✓ Japanese MITI
- ✓ DANISH EPA

## QSAR Application Toolbox

Organization for Economic Co-operation and Development

Options

Chemical input

Profiling

Endpoints

Category definition

Filling data gap

Report

Tracks

Single chemical

Chemical Name

CAS #

SMILES / InChi

Drawing

Select from an existing lists

Select from an inventory

Chemical list

User Lists

Regulatory Inventories

Database

Reset

Structure drawing



G H 1,2 T C Single H

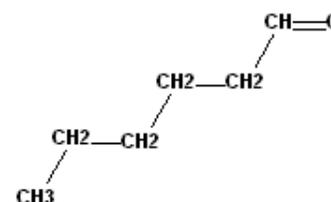
Smiles/InChi CCCCC=O

Draw

N/A

Edit names

Templates Work

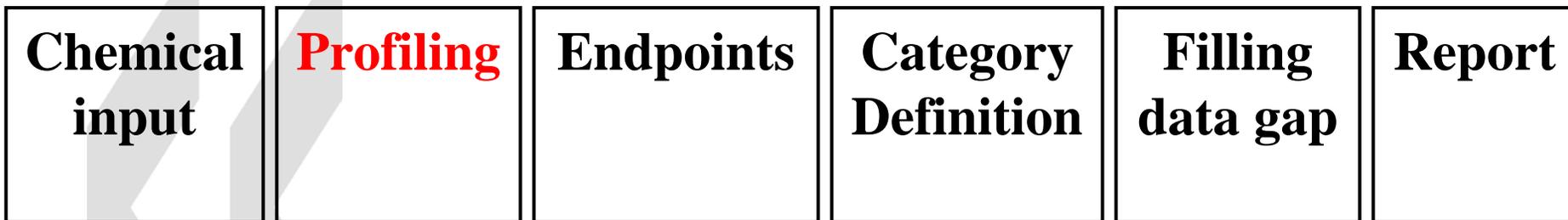


drag the mouse to move structure, alt + drag to zoom, shift + drag to rotate

OK

Cancel

Single chemical



### General characterization of chemical

- **Inventory Affiliation:** HPVC, LPVC, TSCA, DSL, etc.
- **Chemical Type:** polymers, mixtures, discrete, inorganic/organic
- **Chemical Class:** aldehyde, phenol, esters, acids etc.
- **In Existing Category?** (OECD, US EPA etc)
- **Previous Hazard/Risk Assessment Report?**
- **Hazard Identification:**
  - Protein/DNA binding
  - Structural Alerts (BioByte parent analogue)
  - Cramer Classification (ToxTree)

Options | Tracks | Chemical input | Profiling | Endpoints | Category definition | Filling data gap | Report | STOP

Apply

Profiling methods

- Predefined**
  - OECD categorization
  - US EPA Categorization
  - Database affiliation
  - Inventory affiliation
  - Substance type
- Mechanistic**
  - OASIS Acute Toxicity MOA
  - DNA Binding
  - Protein Binding
  - Superfragment profiling
  - Cramer Classification Tree (Toxicity)
  - Veerhar/Hermens reactivity rules
- Empiric**
  - Chemical elements
  - Groups of elements
  - Natural functional groups

Metabolism

- Documented**
  - Observed Microbial metabolism
  - Observed Liver metabolism
- Simulated**
  - Microbial metabolism simulator
  - GI tract simulator
  - Liver metabolism simulator

Show Category Boundaries

Create a new profiler

Delete profiler

Endpoints	1 (Target)
Structure	
Substance Information	
— CAS Number	66-25-1
— OECD Global portal	<a href="#">eChemPortal</a>
— Name (OECD name)	hexaldehyde
— Structural Formula	C(=O)CCCCC
Profile	
— OECD categorization	(N/A)
— US EPA Categorization	Aldehydes
— Database affiliation	Danish EPA ECOTOX OASIS Aquatic
— Inventory affiliation	Danish EPA Canadian DSL US EPA HPVC EU EINECS MITI Japan US EPA TSCA
— Substance type	Discrete chemical
— OASIS Acute Toxicity MOA	Aldehydes
— DNA Binding	No Binding
— Protein Binding	<b>Schiff base formation</b>
— Superfragment profiling	No superfragment
— Natural functional groups	Aldehyde Alkane, primary Alkane, secondary

**Profile Explainer**

Target chemical

Classified by:  
**Protein Binding**

Classified as:  
**[Schiff base formation](#)**

Met requirements

The target chemical has the fragment `CC{H}=O` in its structure.

The target chemical does not have the fragment `c1cccc1C{H}=O` in its structure.



Advanced

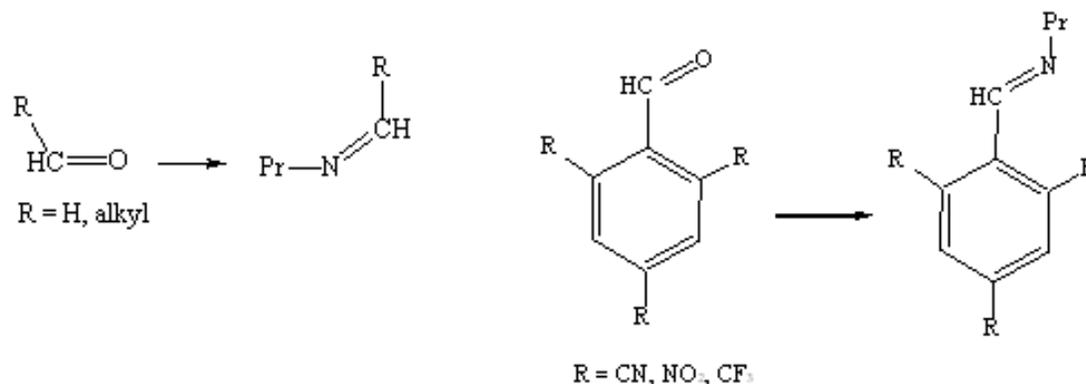
Protein Binding - Category definitions

Arylcarboxylate aminolysis  
 Disulfide exchange  
 Disulfide formation  
 Electrophilic substitution of arenesulfonic ...  
 Electrostatic interaction with proteins  
 Free radical protein adduct formation  
 Haloalkane free radical protein adduct fo...  
 Mercury thiolate formation  
 Michael-type nucleophilic addition  
 Michael-type nucleophilic ring opening  
 N - Hydroxylamine condensation with pr...  
 Nucleophilic addition to azomethyenes  
 Nucleophilic addition to carbodiimides  
 Nucleophilic addition to dithiane-type az...  
 Nucleophilic addition to isocyanates  
 Nucleophilic addition to isothiocyanates  
 Nucleophilic addition to ketones  
 Nucleophilic addition to lactones  
 Nucleophilic addition to thiocyanates  
 Nucleophilic cycloaddition to diketones  
 Nucleophilic heterocycle ring opening  
 Nucleophilic substitution of acyl halides  
 Nucleophilic substitution of alkyl phospho...  
 Nucleophilic substitution of alkyl sulfates  
 Nucleophilic substitution of alkyl sulfonates  
 Nucleophilic substitution of cyclic dicarbo...  
 Nucleophilic substitution of dithiocarbami...  
 Nucleophilic substitution of dithiocarboxy...  
 Nucleophilic substitution of haloaliphatics  
 Nucleophilic substitution of haloaromatics  
 Nucleophilic substitution of N - Trihalome...  
 Nucleophilic substitution of organic acid ...  
 Nucleophilic substitution of phosphonic a...  
 Nucleophilic substitution of sulfonyl halides  
 Nucleophilic substitution of thiocyanates  
 Peroxy acid free radical decomposition  
 Protein cyanide adduct formation  
**Schiff base formation**

Profile Description

This category includes chemicals that potentially can cause skin sensitization effect as a result of protein conjugation via **Schiff base formation**.

The possible electrophilic groups acting by this mechanism are illustrated below:

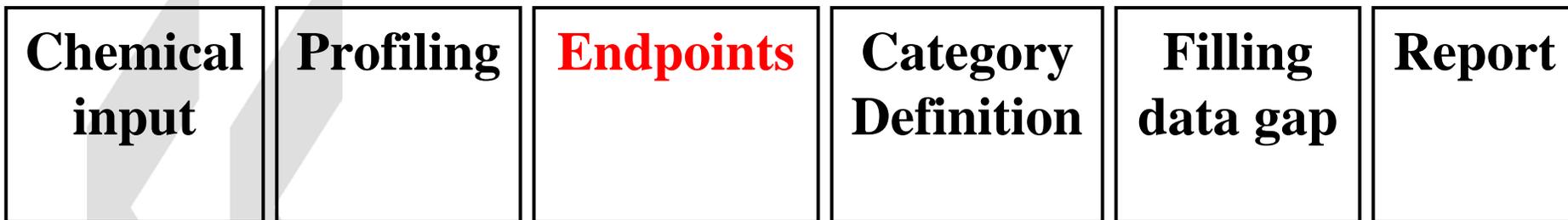


All aldehydes (with the exception of aryl aldehydes which possess a deactivated aldehyde group) can potentially undergo Schiff base formation with a primary amine, which is a reversible reaction (optimal at pH 3-4) and proceeds in two stages via a tetrahedral intermediate.

### References:

Lipnick, R. L. Outliers: their origin and use in the classification of molecular mechanisms of toxicity, QSAR in environmental toxicology - IV, J. L. M. Hermens A. Opperhuizen, (Eds), Elsevier, Amsterdam, 1991, pp.131-153

Patlewicz, G., Basketter, D. A., Smith, C. K., Hotchkiss, S. A. M., Roberts, D. W: Skin-sensitisation structure-activity relationships for aldehydes. Contact Dermatitis, 2001, 44, 331-336



## Finding Data for SIDS and Other Endpoints

- **Selecting Data Base(s):**
  - ✓ **Toolbox databases**
    - **Publicly available**
    - **Proprietary databases**
- **Selecting type of extracting data:**
  - ✓ **Measured Data**
  - ✓ **Estimated Data**
  - ✓ **Both**



# QSAR Application Toolbox

Organization for Economic Co-operation and Development

Options | Tracks | Chemical input | Profiling | Endpoints | Category definition | Filling data gap | Report

Data Summaries

Tested  
 Estimated  
 Both

Gather data

Excel import | Excel export

Databases

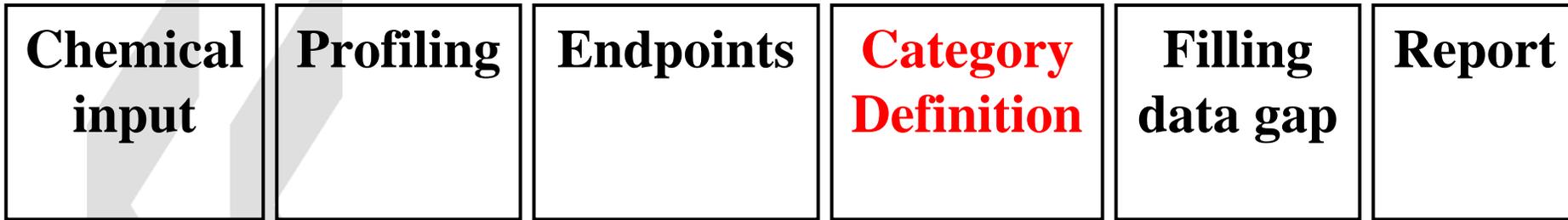
- Danish EPA
- ECETOC
- ECOTOX
- OASIS Aquatic
- OASIS Bioaccumulation
- OASIS Biodegradation
- OASIS ERBA
- OASIS Genotox
- OASIS Skin sensitization
- OECD HPVC Inventory DB

Endpoints	1 (Target)
Structure	
Substance Information	
Profile	
Ecotoxicological Information	
Aquatic Toxicity (1/4)	T: 2.20E-004 mol/L, 1.80E-...

#	Endpoint	Value	DIMENSIONS	CONDITI	BIOASSAY	ORGAN/TISSUE	ADMINISTRATIC	COMMENT	AUTHOR	NAME	SOURCE	DATE	DA QU
1	LC50	2.20E-00	mol/L	96 h	Pimephales promelas	Sp.Com. Name:Fathead minnow; Org. Age :33; Age Units:d; Org.Comment:0.0 MM, 0.000 G;	Chemical Comment:99%	Effect :MOR; Effect Measurement:MORT; Exposure Type :F; Chemical Analysis:M; LC50 Concentration Range :21000 to 23000ug/L	Geiger, D.L., L.T. Brooke, and D.J. Call	Acute Toxicities of Organic Chemicals to Fathead Minnows (Pimephales promelas)	Ctr.for Lake Superior Environ.Stud., Univ.of Wisconsin-Superior, WI 5:332 p.	01-Jan-9	
2	LC50	1.80E-00	mol/L	96 h	Pimephales promelas				Russom, C.L., Bradbury, S.P., Broderius, S.J., Drummond, R.A. and Hammermeister, D.F.	PREDICTING MODES OF TOXIC ACTION FROM CHEMICAL STRUCTURE: ACUTE	Environmental Toxicology and Chemistry, Vol. 16, No. 5, pp. 948-967.	01-Jan-9	

Transpose

Single chemical



**Forming Categories:**

- **OECD Categories**
- **Other Established Categories (EPA)**
- **Searches for Analogues (AIM-EPA)**
- **Fragments:**
  - ✓ **DNA binding alerts**
  - ✓ **Protein binding alerts**
  - ✓ **BioByte Superfragments**
  - ✓ **Atom-centered fragments**

**Pruning Categories-Subcategory Formation**

- **Mechanisms boundaries**
- **Metabolism boundaries**

# QSAR Application Toolbox

Organization for Economic Co-operation and Development

Options | Tracks | Chemical input | Profiling | Endpoints | **Category definition** | Filling data gap | Report | STOP

Grouping methods

- OECD categorization
- US EPA Categorization
- Database affiliation
- Inventory affiliation
- Substance type
- Mechanistic**
  - OASIS Acute Toxicity MOA
  - DNA Binding
  - Protein Binding
  - Superfragment profiling
  - Cramer Classification Tree (ToxT)
  - Veerhar/Hermens reactivity rules
- Empiric**
  - Chemical elements
  - Groups of elements
  - Natural functional groups
  - Structural similarity

Defining Category | Subcategorization | Show Category Boundaries

Defined categories: Remove from DM

Combine: AND | OR | NOT

Delete category: Delete selected | Delete all

Endpoints	1 (Target)
Structure	
Substance Information	
Profile	
Ecotoxicological Information	
Aquatic Toxicity	(1/4) T: 2.20E-004 mol/...

**Natural functional groups**

- Profile
- (N/A)
- Acetal
- Acid anhydride, mixed
- Alcohol
- Aldehyde
- Alkane, primary
- Alkane, quaternary
- Alkane, secondary
- Alkane, tertiary
- Alkene
- Alkyne
- Allene
- Amide
- Amidine
- Amine, primary
- Amine, quaternary
- Amine, secondary
- Amine, tertiary
- Antimony, organo
- Arsenic, organo

options

Combine profiles logically with:  and  or  Invert result

OK | Cancel



# QSAR Application Toolbox

Organization for Economic Co-operation and Development

Options | Tracks | Chemical input | Profiling | Endpoints | **Category definition** | Filling data gap | Report

**Grouping methods**

- OECD categorization
- US EPA Categorization
- Database affiliation
- Inventory affiliation
- Substance type

**Mechanistic**

- OASIS Acute Toxicity MOA
- DNA Binding
- Protein Binding
- Superfragment profiling
- Cramer Classification Tree (ToxT)
- Veerhar/Hermens reactivity rules

**Empiric**

- Chemical elements
- Groups of elements
- Natural functional groups
- Structural similarity

Defining Category

Subcategorization

Show Category Boundaries

Defined categories: Remove from DM

(58) Aldehyde AND Alkane, primary AND Alk...

Combine

AND | OR | NOT

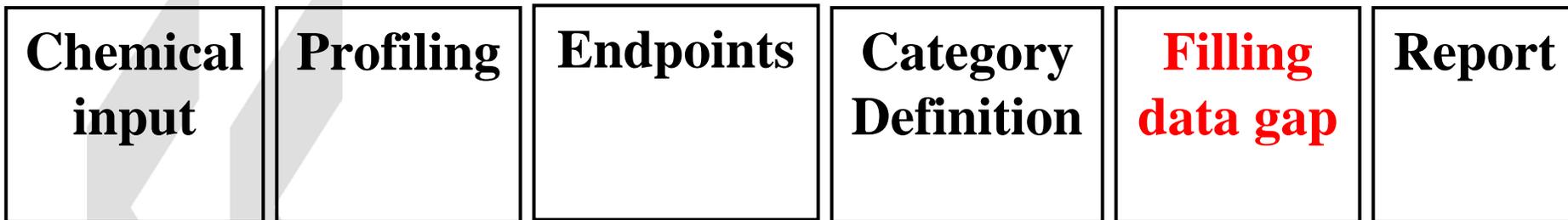
Delete category

Delete selected | Delete all

Endpoints	1 (Target)	2	3	4	5
Structure					
Profile					
Environmental Fate (1/1)					
Ecotoxicological Information					
Aquatic Toxicity					
Animalia					
Crustaceans (3/3)					
Fish					
Oncorhynchus mykiss (2/2)					
Pimephales promelas (11/20) T: 2.20E-004 mol/...					
Poecilia reticulata (8/8) T: 9.77E-005 mol/L					
Bacteria, Archaea, Chro... (5/11)					
Protozoa (24/24) T: 1.51E-003 mol/L					
Terrestrial Toxicity (2/2)					
Toxicological Information					
Genetic Toxicity (mutation and ch...)					
In Vitro					
AMES_Mutagenicity					
AMES Mutagenicity ... (6/30)					
AMES Mutagenicity ... (6/24)					
Chromosomal_aberration (2/2)					
Irritation / Corrosion					
Skin					
Skin Sensitization (18/18)		T: 2.00E+000	T: 2.00E+000	T: 2.00E+000	T: 2.00E+000

(58) Aldehyde AND Alkane, primary AND

## Logical sequence of components usage



### Data gaps filling approaches

- **Read-across**
- **Trend analysis**
- **QSAR models**



# QSAR Application Toolbox

Organization for Economic Co-operation and Development

Options Tracks

Chemical input Profiling Endpoints Category definition Filling data gap Report

Read-across

Trend analysis

(Q)SAR models

Apply

Endpoints	1 (Target)	7	9	16	17
Structure					
Tetrahymena pyriformis (24/24)	T: 1.51E-003 mol/L	T: 2.24E-003 mol/L	T: 1.13E-003 mol/L	T: 1.19E-003 mol/L	T: 1.00E-003 mol/L

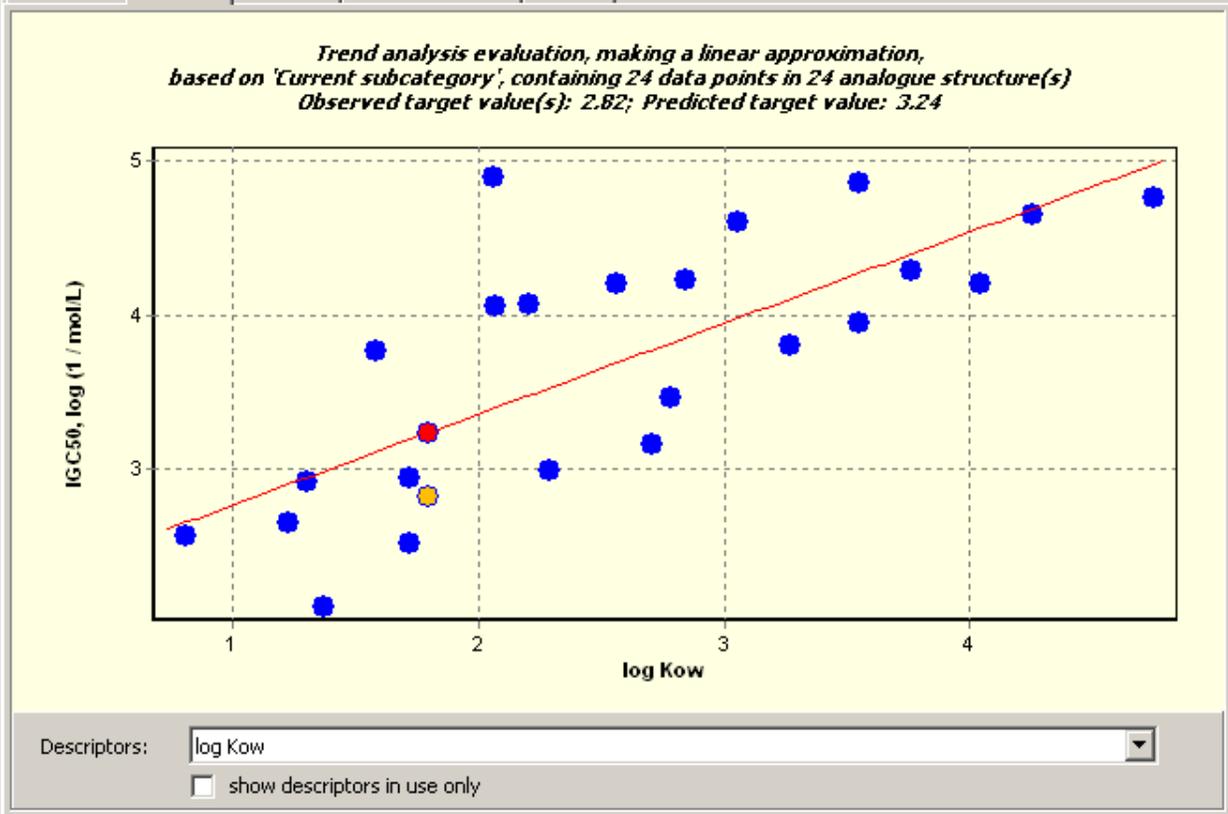
**Target endpoint:**  
 Ecotoxicological Information Aquatic Toxicity  
 Protozoa Tetrahymena pyriformis

Select data points...

- Endpoint
- As it is
  - log(Endpoint)
  - log(1/Endpoint)

- Data
- All values
  - Average value
  - Min value
  - Max value

Descriptors Endpoint Adequacy Cumul. frequency Statistic



**Prediction**

Accept

Cancel

**Data points**

Subcategory.

Restore

**Model**

Trend analysis approx.:  
 Linear

Save QMRF

Save model

# QSAR Application Toolbox

**Subcategorization of:**

Grouping methods

- OECD categorization
- US EPA Categorization
- Database affiliation
- Inventory affiliation
- Substance type

**Mechanistic**

- QASIS Acute Toxicity M...
- DNA Binding
- Protein Binding
- Superfragment profiling
- Cramer Classification Tre...
- Veerhar/Hermens reacti...

**Empiric**

- Chemical elements
- Groups of elements
- Natural functional group...
- Structural similarity
- AIM (N/A)

**Custom**

- Mechanistic boundaries e...

Adjust options

Target

Aldehydes

Evaluate category

Differ from target by

Single category

All categories

Analouges

(22) Aldehydes

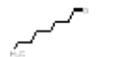
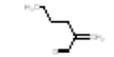
(1) Reactive unspecified

Select different

Remove

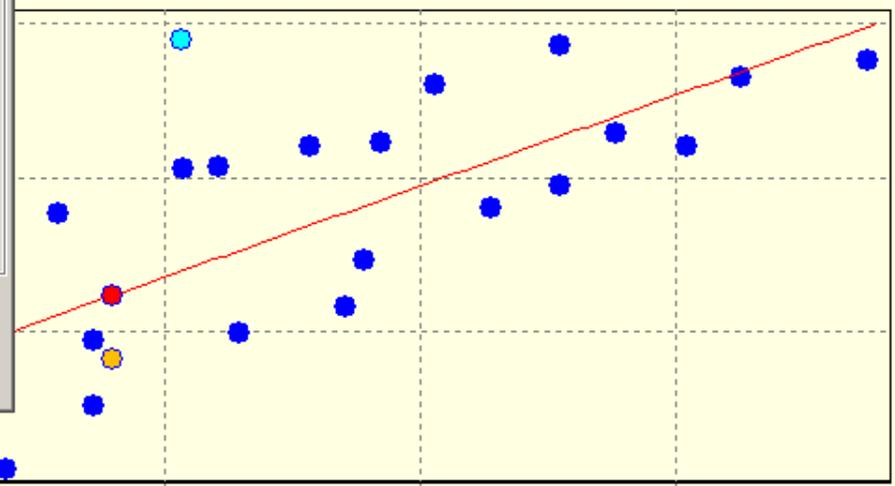
Close

Endpoints

Endpoint	28	32	35	37
				
	3.43E-004 mol/L	T: 1.56E-004 mol/L	T: 8.51E-005 mol/L	T: 1.29E-005 mol/L

Accuracy | Cumul. frequency | Statistic

*Trend analysis evaluation, making a linear approximation, current subcategory, containing 24 data points in 24 analogue structure(s)*  
*Observed target value(s): 2.82; Predicted target value: 3.24*



Descriptors:   show descriptors in use only

**Prediction**

Accept

Cancel

**Data points**

Subcategor.

Restore

**Model**

Trend analysis approx.:

Save QMRF

Save model

(58) Aldehyde AND Alkane, primary AND

Windows taskbar: Start, Inbox - Micros..., Impots.gouv.f..., interim report, QSAR\_Toolbo..., OECD Toolbo..., EN, 11:33 AM

# QSAR Application Toolbox

**Subcategorization of:**

Grouping methods

- ... OECD categorization
- ... US EPA Categorization
- ... Database affiliation
- ... Inventory affiliation
- ... Substance type
- Mechanistic**
- ... OASIS Acute Toxicity MC
- ... DNA Binding
- ... Protein Binding
- ... Superfragment profiling
- ... Cramer Classification Tre
- ... Veerhar/Hermens reactiv
- Empiric**
- ... Chemical elements
- ... Groups of elements
- ... Natural functional group
- ... Structural similarity
- ... AIM (N/A)
- Custom**
- ... Mechanistic boundaries

Metabolism

- Do not account metabol
- Documented**
- ... Observed Microbial meta
- ... Observed Liver metaboli
- Simulated**
- ... Microbial metabolism sim
- ... GI tract simulator
- ... Liver metabolism simulat
- ... Skin metabolism simulato

Adjust options

Target  
Schiff base formation

Evaluate category

Differ from target by

Single category

All categories

Analogues

- (7) Michael-type nucleophilic addition
- (22) Schiff base formation

Select different

Remove

Endpoints

	32	37	39	41
	1.56E-004 mol/L	T: 8.51E-005 mol/L	T: 6.30E-005 mol/L	T: 1.41E-005 mol/L

Accuracy | Cumul. frequency | Statistic

*Trend analysis evaluation, making a linear approximation, current subcategory, containing 23 data points in 23 analogue structure(s)*

*Observed target value(s): 2.82; Predicted target value: 3.15*

log Kow

Descriptors:

**Prediction**

**Data points**

**Model**

Trend analysis approx.:

(58) Aldehyde AND Alkane, primary AND

Windows Taskbar: Start, Inbox - Micros..., Impots.gouv.f..., interim report, QSAR\_Toolbo..., OECD Toolbo..., EN, 11:35 AM



# QSAR Application Toolbox

Organization for Economic Co-operation and Development

Options | Tracks | Chemical input | Profiling | Endpoints | Category definition | Filling data gap | Report

Read-across | Trend analysis | (Q)SAR models | Apply

27	28	44	51	58
T: 3.43E-004 mol/L	T: 1.56E-004 mol/L	T: 7.75E-003 mol/L	T: 1.13E-004 mol/L	T: 6.20E-005 mol/L

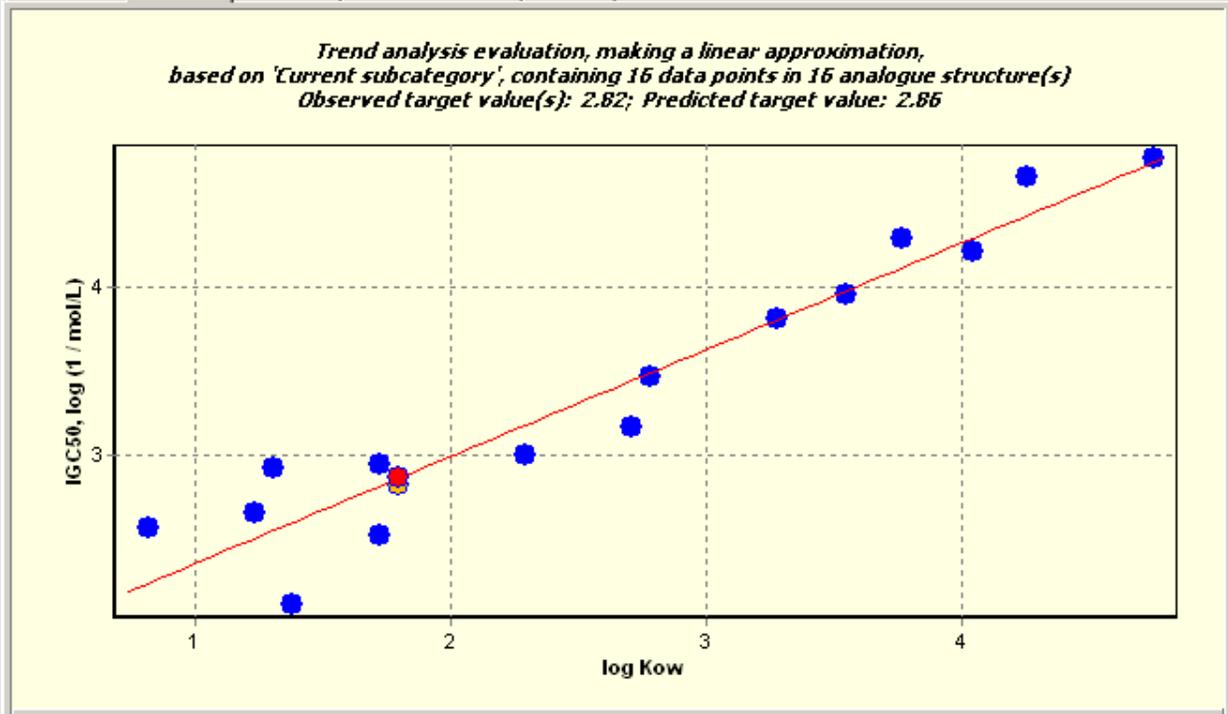
**Target endpoint:**  
Ecotoxicological Information Aquatic Toxicity  
Protozoa Tetrahymena pyriformis

Select data points...

- Endpoint
- As it is
  - log(Endpoint)
  - log(1/Endpoint)

- Data
- All values
  - Average value
  - Min value
  - Max value

Descriptors | Endpoint | Adequacy | Cumul. frequency | Statistic



Descriptors: log Kow  show descriptors in use only

**Prediction**

Accept | Cancel

**Data points**

Subcategory | Restore

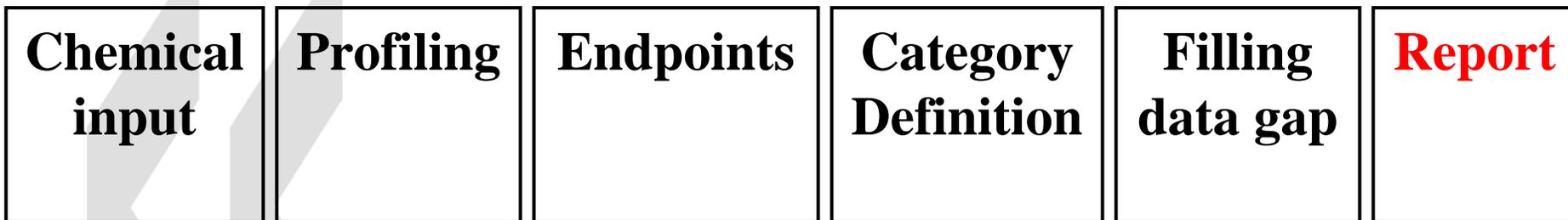
**Model**

Trend analysis approx.: Linear

Save QMRF | Save model

(58) Aldehyde AND Alkane, primary AND

## Logical sequence of components usage



### Report the results

- **QMRF/QPRF/TERF**
- **Harmonized Templates**
- **SIDS Dossiers (Data matrix)**

Options

Tracks

Chemical input

Profiling

Endpoints

Category definition

Filling data gap

Report

Harmonised Templates

C &amp; L Summaries

SIDS Dossiers

User-Defined Reports

RIVM Suggested Reports

History

Show history

Print setup

Print

Print preview

## OECD Toolbox Application study history

Report created: 09.05.07 - 11:36

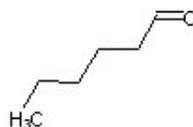
**Chemical input** 09.05.07 - 11:10

Input single target chemical by: SMILES

CAS # :66-25-1

Chemical name: hexaldehyde

SMILES: C(=O)CCCCC

**Profiling** 09.05.07 - 11:10

# Schedule

- September 2007: beta testing of proof-of-concept version:
- March 2008: Release of proof-of-concept version (free of charge)
- 2008-2011: Phase 2 (initial plans):
  - Other functionalities
  - Expansion of categorisation mechanisms
  - Addition of databases
  - Addition of QSARs
  - Communication with other tools
  - Long-term maintenance
  - Training material

**[www.oecd.org/env/existingchemicals/qsar](http://www.oecd.org/env/existingchemicals/qsar)**