

US EPA ARCHIVE DOCUMENT



NAFTA Technical Working Group on Pesticides
Grupo de Trabajo Técnico del TLCAN sobre Plaguicidas
Le groupe de travail technique de l'ALENA sur les pesticides

Biopesticides Registration Improvement Course

Human Health and Safety Assessment of Microbial Pesticides 4.2



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Biopesticides and Pollution Prevention Division

Microbial Pesticides Branch



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OVERVIEW

Risks to Human Health are Based on Toxicity & Exposure from Proposed Uses & Use Sites

Toxicity Studies are Required to Identify Hazards that Could Increase Risks to Humans



OVERVIEW

- **Microbial Pesticides Data Requirements are Tiered**
- **Tier I Includes**
 - Acute Toxicity
 - Pathogenicity
 - Hypersensitivity Incidents
 - Cell Culture
 - Eye and Skin Irritation



OVERVIEW

- **Acute Toxicity Evaluates a Single High Dose**
- **Acute Tox Studies Endpoint = LD50**
 - **Basis of Precautionary Label Statements**
 - **Support Tolerances/Exemptions**
- **Routes of Exposure Evaluated are Based Upon Exposure from Proposed Uses & Use Sites**
 - **Inhalation**
 - **Dermal**
 - **Oral**



OVERVIEW

- **Pathogenicity Studies Evaluate the Potential for Microbial Pesticide to be Pathogenic in Humans**
- **Acute Tox/Pathogenicity Endpoint = Clearance, Infectivity**
- **Routes of Exposure Evaluated are Based on Exposure from Proposed Uses & Use Sites**
 - **Oral**
 - **Inhalation**
 - **Intraperitoneal**
 - **Intravenous**



OVERVIEW

- Toxicology Data Requirements for Microbial Pesticides are Codified at 40 CFR § 158.2140
 - **TGAI, Manufacturing & End-Use Products**
 - **Requirements Based on Uses, Use Sites**
 - **Conditionally Required Studies - End-Notes Describe Conditions**
- Each data requirement must be satisfied with acceptable study, alternative data, or data waiver



OVERVIEW

Triggers for Higher Tier Testing:

- **Infectivity, Unusual Persistence**
- **Pathogenicity**
- **Toxicity**

→ Indication of these signs can warrant additional subchronic testing to determine if repeated exposure is sufficient to cause toxic or pathogenic effects.



References- Human Health Data Requirements

Described in 40 CFR § 158.2140

http://edocket.access.gpo.gov/cfr_2010/julqtr/pdf/40cfr158.2140.pdf



Electronic Version of CFR

<http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&sid=328fd5c712107f745addfb8d4d30ae32&rgn=div6&view=text&node=40:23.0.1.1.9.16&idno=40>

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LEGISLATIVE EXECUTIVE JUDICIAL HELP ABOUT

A-Z RESOURCE LIST FIND A FEDERAL DEPOSITORY LIBRARY BUY PUBLICATIONS

Home Page > Executive Branch > Code of Federal Regulations > Electronic Code of Federal Regulations

Electronic Code of Federal Regulations
e-CFR™

e-CFR Data is current as of March 15, 2011

Title 40: Protection of Environment
PART 158—DATA REQUIREMENTS FOR PESTICIDES

Browse Previous | Browse Next

Subpart V—Microbial Pesticides

Source: 72 FR 61002, Oct. 26, 2007, unless otherwise noted.

DATABASE FEATURES

- Browse
- Simple Search
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 - * Boolean
 - * Proximity
- Search History
- Search Tips
- Corrections
- Latest Updates
- User Info
- FAQs



References: Microbial Pesticides Data Requirements (40 CFR § 158.2140)

Guideline Number	Data Requirement	All Use Patterns	Test Substance	Test Notes
Tier I				
885.3050	Acute oral toxicity/pathogenicity	R	TGAI	1
885.3150	Acute pulmonary toxicity/pathogenicity	R	TGAI	--
885.3200	Acute injection toxicity/pathogenicity/ (intravenous) Acute injection toxicity/pathogenicity/ (intraperitoneal)	R	TGAI	2
885.3400	Hypersensitivity incidents	R	All	3
885.3500	Cell culture	R	TGAI	4
870.1100	Acute oral toxicity	R	MP , EP	1, 5
870.1200	Acute dermal toxicity	R	MP , EP	5
870.1300	Acute inhalation toxicity	R	MP , EP	5, 6
870.2400	Acute eye irritation	R	MP , EP	5
870.2500	Primary dermal irritation	R	MP , EP	5



References: Microbial Pesticides Data Requirements (40 CFR § 158.2140)

Guideline Number	Data Requirement	All Use Patterns	Test Substance	Test Notes
Tier II				
885.3550	Acute toxicology	CR	TGAI	7
885.3600	Subchronic toxicity/pathogenicity	CR	TGAI	8
Tier III				
885.3650	Reproductive fertility effects	CR	TGAI	9, 13
870.4200	Carcinogenicity	CR	TGAI	10, 13
870.7800	Immunotoxicity	CR	TGAI	11, 13
885.3000	Infectivity/pathogenicity analysis	CR	TGAI	12, 13



OVERVIEW

Test Guidelines

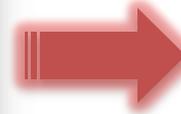
- Each requirement has associated harmonized test guideline (OCSP Series 885 and 870)
- Criteria for standards of acceptability
- Study parameters and test end-points are established
- Guidance on evaluation and proper reporting of data;
- References are cited to provide useful information for designing test protocols

NOTE: Registrants can submit a proposed test protocol for EPA comments before study execution.



OCSPH Test Guidelines for Human Health Toxicity Studies (Series 885 and 870)

The screenshot shows the EPA website's "Chemical Safety and Pollution Prevention" section. The main heading is "OCSPH Harmonized Test Guidelines". Below this, it lists "Series 885 - Microbial Pesticide Test Guidelines". A paragraph explains that these are final guidelines for testing pesticides and toxic substances. A link to a "Master List (PDF)" is provided. Below this, there are sections for "Group A - Product Analysis Test Guidelines" and "Group B - Residues Test Guidelines", each with several individual guideline links and their respective page counts and file sizes.



The cover of the EPA document "Microbial Pesticide Test Guidelines" is shown. It features the EPA logo and the title "Microbial Pesticide Test Guidelines". Below the title, it specifies "OPPTS 885.3000 Background—Mammalian Toxicity/Pathogenicity/Infectivity". At the bottom right, there is a small illustration of laboratory glassware.

http://www.epa.gov/ocsp/pubs/frs/publications/Test_Guidelines/series885.htm
http://www.epa.gov/ocsp/pubs/frs/publications/Test_Guidelines/series870.htm



Common Problems - *Administrative*

- **GLP Compliance (or Not)?**
- **Insufficient details of test materials/methods**
- **Alternative data referenced but not submitted**
- **Insufficient information on impurities**



Solutions - *Administrative*

- GLP Compliance Must Be **Addressed & True**
- Methods should be valid and “reproducible”
 - **Include Materials, Equipment**
- Describe Test Material
 - **Source, Concentration of AI**
 - **Viability**
 - **Purity**
 - **Stability**
- Include cited references in submission



Common Problems - *Scientific*

- **Administration of Test Substance**
 - Wrong test substance (TGAI vs. EP)
 - Concentration of Test Substance Dose Too Low
 - Inappropriate delivery vehicle
 - Clearance of microorganism not demonstrated
- **Inadequate Explanation of Abnormal Results, Unexpected Findings, Effects on study**



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Solutions - *Scientific*

- **Use Appropriate Test Substance**
 - **Refer to Data Requirements Tables**
 - **Dose High Enough to Determine Lowest Adverse Effect Level, or Test at Limit Dose**
- **Explain Abnormal, Unexpected Findings**



Common Problems - Waivers

- **Criteria for Waiving a Data Requirement Not Demonstrated (40 CFR 158.45)**
- **Multiple data requirements addressed in a single waiver**
- **Waiver Justification Not Substantiated**



Solutions - Waivers

- **Criteria for Waiving a Data Requirement**
 - **Physical, Chemical, Biological Properties**
 - **Exposure considerations**
 - **Use Patterns**
 - **No Alternate Data (Scientific Literature) to Fulfill Requirement**
 - **Testing is not possible**
 - **Example: Particle Size of the Test Material is Not Respirable (Inhalation Studies)**
- **Address Each Data Requirement Separately**



Recommendations

- **Alternative Data: Compare with Test Guideline Parameters & Criteria**
 - **Scientific Literature: Provide same information as a guideline conducted study**
 - **Previously Reviewed (MRID) Study: Test material, concentration, dose appropriate for proposed product**
 - **Demonstrate equivalence of proposed active ingredient with material tested in alternate data source**



Recommendations

- **Presubmission Meetings**
 - **Discuss Data Requirements, Waivers**
- **Joint Reviews – Products with Global Applications**
 - **Shared Reviews, Simultaneous Registration**
- **Resubmissions**
 - **Address Data Deficiencies in PR Notice 86-5 Format**
 - **Obtain New MRID to Supersede or Supplement Deficient Study**



Recommendations

- **Use of Microbial Data Evaluation Report (DER) Templates**
 - **Exchange Reviews Among Countries**
 - **Harmonization of Data Codes, Guideline Numbers**
 - **Provides Frame of Reference for Execution of Study**
 - **Consistency in reporting & summarizing study results**
 - **Compliance with Internationally Accepted GLP**



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SAMPLE Microbial DER template in OECD format

Acute Oral Infectivity and Toxicity - *[species, "Waiver Request", "Review of Published Study" or "Review of Published Literature"]* 1
 Sub. No. / Company code
 TECHNICAL (Active code) and/or MPCA / Active Code

Primary Reviewer: _____ Date: _____
[Name or No., title, and affiliation]

Secondary Reviewer: _____ Date: _____
[Name or No., title, and affiliation]

Approved by: _____ Date: _____
[Name or No., title, and affiliation]

REQUIREMENT: PMRA Data Code: M4.2.2--Acute Oral Infectivity and Toxicity
 U.S. EPA OPPTS Guideline: 885.3050
 OECD Data Code: IIM 5.3.2

TEST MATERIAL (PURITY): *[use name of material tested as referred to in the study and include its potency, biological activity or concentration per unit weight or volume] or [insert TGAI and EP names if a waiver request is made]*

SYNONYMS: *[other names, code names and acronyms]*

CITATION: Author(s). *[Year]*. Study Title. Laboratory name *[location if needed]*. Laboratory report number, full study date. Unpublished *[OR if published, list Journal name, vol. pages]*. PMRA *[number]*. MRID *[no hyphen]*.

SPONSOR: *[Name and address of Study Sponsor - indicate if different from Applicant]*

COMPLIANCE: Signed and dated GLP, Quality Assurance, and Data Confidentiality statements were *[not]* provided. The study was *[not]* conducted in compliance with GLP *[regulations or guidelines]*. *[Discuss deviations from regulatory requirements]* This DER does *[not]* contain CBI.

EXECUTIVE SUMMARY: In an acute oral toxicity study (MRID *[number]*), groups of *[fasted]*, *[age]* *[strain]* *[species]* *[#sex]* were given a single oral dose of *[formulation, note its potency, biological activity or concentration per unit weight or volume]* in *[name of vehicle]* at doses of *[in units of potency, biological activity or concentration per kg bw or animal]*. The animals were then observed for a period of up to *[#]* days with interim scheduled sacrifices on Days *[#]*. *[Identify other control groups, if applicable]*

Oral LD₅₀ Males *[=, > or < concentration]* (95% C.I. if available)
 Females *[=, > or < concentration]* (95% C.I. if available)
 Combined *[=, > or < concentration]* (95% C.I. if available)
[or note if no mortality occurred, note if limit test]

Based on the results of this study, *[Formulation]* is of **[LOW, SLIGHT, MODERATE, HIGH]** Toxicity *[include EPA Toxicity Category I, II, III or IV if joint review]* and *[insert MPCA]* *[is or is not]* infective or pathogenic in the *[species]*. *[Include label comment(s) if applicable.]*

[Include only major treatment related clinical signs, body weight or necropsy signs including onset and/or duration if any or the following statement: There were no treatment related clinical signs, necropsy findings or changes in body weight. Indicate if a pattern of clearance was achieved and when it

NOTE: Each government will continue to apply their own criteria when making decisions!



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Thank you!
Merci beaucoup!
¡Muchas gracias!

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