

US EPA ARCHIVE DOCUMENT

Quarterly Report to USEPA
July 1, 2011 - September 30, 2011

State Innovation Grant - Region 5 States Environmental Results Program
for Autobody Refinishing Shops
Cooperative Agreement No. EI -00E93701-0

Submitted by:
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The objective of the Region 5 States ERP for Autobody Refinishing Shops project is to use the ERP structure to implement a portion of subpart 6H affecting autobody refinishing shops and in doing so, determine the impact of direct compliance assistance, self-assessment and certification, and random-sample site visits or inspections in lieu of traditional permitting and enforcement inspections. The Wisconsin Department of Natural Resources (WDNR) and Department of Commerce Small Business Clean Air Assistance Program (SBCAAP) will partner with Region 5 state Small Business Environmental Assistance Programs (SBEAPs), other assistance staff and EPA Region 5 Air Program staff to develop an ERP for the autobody refinishing sector affected by the subpart 6H area source NESHAP, which will include compliance assistance, self-certification, and statistical analysis of baseline and post-certification measurement of performance.

Included in this quarterly report is a summary of the progress achieved to date on milestones identified in the July 31, 2009 final project narrative and work plan.

Project/Program Assessment:

The project is largely still on track to meet the key milestones in the project workplan. The EPA post-certification visits have commenced, so we should be moving towards having a complete data set for analysis.

Project Schedule:

Changes to milestone dates are identified in the following table in **blue text**. Completed milestones are highlighted in **yellow**.

Quarter	Projected Milestones
1. Fall 2009 (Oct-Dec)	1. Develop and submit QAPP 2. Identify universe of facilities 3. Select contractor for IL baseline visits 4. Complete MOU between WI Dept of Commerce and other state SBEAPs and NEWMOA 5. Develop site visit checklist, protocol and training, data management process 6. Conduct site visit training 7. Begin baseline site visits
2. Winter 2010 (Jan-Mar)	8. Quarterly Report 9. Finish baseline site visits 10. Data management and analysis for baseline (July 2010 - May 2011) 11. In partnership with associations, develop outreach materials to publicize the project
3. Spring 2010 (Apr-Jun)	12. Quarterly Report 13. Mail self-certification and workbook to urban universe 14. Respond to requests for assistance on phone or site (Nov 2010-Mar 2011)
4. Summer 2010 (Jul-Sep)	15. Quarterly Report 16. Conduct workshops and other education (Nov - Dec 2010)
Federal fiscal year 2011	
5. Fall 2010 (Oct-Dec)	17. Quarterly Report 18. Help EPA develop post-certification inspection and data management protocol (Nov 2010 - Mar 2011)
6. Winter 2011 (Jan-Mar)	19. Quarterly Report 20. Finish development of post-certification inspection protocol and data routines; Begin processing cert data (certs due: MAR. 11, 2011: Compliance notification/date) (Apr 2011 - Dec 2011)
7. Spring 2011 (Apr-Jun)	21. Quarterly Report 22. Final cert data processing; Begin post-cert inspections; design transition to Region 5 (Sept 2011 - Mar 2012)
8. Summer 2011 (Jul-Sept)	23. Quarterly Report 24. Finish post-cert inspections
Federal Fiscal Year 2012	
9. Fall 2011- Winter 2012 (Oct-Mar)	25. Quarterly Report 26. Finalize post-cert data and analysis 27. Create vehicle for annual (or other periodic) submittals and data management between state/fed
10. Spring - Summer 2012 (Apr - Sept)	28. Finalize project report.

Work accomplished this quarter:

Develop site visit checklist, protocol and training, data management process - Complete.

Finish baseline site visits - Complete. All site visits were completed.

Data management and analysis for baseline - Complete. Testing of a final version of the Performance Analyzer has been completed. Data analysis appears to work for the baseline data entered.

In partnership with associations, develop outreach materials to publicize the project - Complete.

Mail self-certification and workbook to urban universe - Complete.

Respond to requests for assistance on phone or site - Complete. A few additional checklists and forms were trickling in through the recent quarter.

Conduct workshops and other education - Complete.

Help EPA develop post-certification inspection - Complete. The state innovation grant ICR was renewed during the recent quarter.

Processing self-certification data - Delayed. Waiting to hire temporary staff/interns to complete the data entry.

Conduct post-certification inspections - In progress. EPA inspectors began conducting inspections in September and will present a progress report and some lessons learned to this point at the Region 5 SBEAP annual meeting on October 18, 2011.

Work projected for next quarter:

Self-certification data entry is still waiting until temporary staff can be found/hired to do the work. EPA expects to complete their post-certification inspections within the next quarter, if not early in 2012.

Project Funding Expenditures:

Elimination of the Department of Commerce made the accounting/invoicing of expenses more complex recently. Expenses will be updated with the next quarterly report, or we will amend this report if an update is available soon.

Line Item Category	Grant Budget	Expenditures (10/01/09-03/31/11)	Match* (10/01/09-03/31/11)	Total (10/01/09-03/31/11)
Personnel	\$1,613.00	\$621.50	\$	\$621.50
Fringe Benefits	\$782.00	\$284.09	\$	\$284.09
Travel	\$0.00	\$0.00	\$	\$0.00
Equipment	\$0.00	\$0.00	\$	\$0.00
Supplies	\$0.00	\$0.00	\$	\$0.00
Contractual	\$398,125.00	\$119,120.50	\$53,834.42	\$172,954.92
Construction	\$0.00	\$0.00	\$	\$0.00
Other	\$0.00	\$0.00	\$	\$0.00
Total Direct Charges	\$400,520.00	\$120,026.09	\$	\$173,860.51
Indirect Charges	\$327.00	\$126.73	\$	\$126.73
Total	\$400,847.00	\$120,152.82	\$	\$173,987.24

* Expected match amount was surpassed as of Jan, 2011.

Changes to object class categories: None

Changes in principal project manager or other staff assignments: None

Change in project period: None