

US EPA ARCHIVE DOCUMENT

Environmental Results Program

DRAFT

Construction Activity Storm Water Compliance Checklist



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**Rhode Island Department of Environmental Management
Office of Customer and Technical Assistance
235 Promenade Street
Providence, RI 02908-5767**

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(401) 222-6822

<http://www.dem.ri.gov>

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information, apparatus, product, or process disclosed in this document.

Section 1.0 Compliance Certification Instructions

1.1 What is Compliance Certification?

In order to improve environmental compliance at less cost to both government and business, you must now self-certify to the Department of Environmental Management (DEM) that you are in compliance with your RIPDES Construction Activity Storm Water General Permit (CGP). This new, common sense approach to regulation holds great promise for making it easier for construction site owners and operators to meet the requirements of the CGP. This packet contains the materials needed to complete and submit the **required** compliance certification checklists to the DEM.

- 1. Applicability:** Construction activities which disturb one (1) or more acres of land and where storm water runoff is directed, via a point source (see RIPDES Rule 3 for the definition of point source), into a separate storm sewer system or into the waters of the State, are required to seek coverage under the CGP. Regardless of whether or not your permit authorization was granted by one of the following RIDEM Permitting Programs: RIPDES, Freshwater Wetlands, or Water Quality Certification Programs, the Coastal Resources Management Council (CRMC);, and in those towns/cities which have a Qualifying Local Program that has been formally approved by the RIDEM, compliance with the CGP is **mandatory**.
- 2. Complying with the CGP:** The key to complying with the CGP centers around the development of and compliance with your site's Storm Water Pollution Prevention Plan (SWPPP). The CGP requires all owners and operators to develop procedures in the SWPPP to ensure that erosion controls on the site are inspected at least once every seven (7) calendar days and within twenty four (24) hours after an event which generate 0.25 inches of rain in a twenty-four (24) hour period¹. In order to assist site owners and operators with this critical task, a compliance certification checklist has been developed by the DEM. This checklist must be used to document weekly site inspections required by the CGP. The CGP requires all authorized construction sites to submit a "Start of Construction Activity Notification Form" and two self certifications to the DEM over the duration of the construction project.
- 3. Start of Construction Notification:** Within fourteen (14) days of commencement of site disturbance activities the site owner and site operator are required to sign and submit a Start of Construction Activity Notification Form to the DEM. The Start of Construction Activity Notification Form is attached to this Construction Activity Compliance Workbook.

4. **Construction Activity Storm Water Compliance Self-Certification Checklist and Accompanying Forms:** The checklist requires permit information (facility name, address, owner, etc.), and contains a series of compliance questions, which generally require "yes" or "no" answers about whether or not you are in compliance with your permit conditions. The checklist ends with three separate certification statements which must be signed by the permit owner and the site operator. Two self certifications must be submitted to the DEM over the duration of the construction project. The first self certification submittal is due within thirty (30) days of commencement of site disturbance activities and is entitled, "Initial Construction Activity Compliance Self-Certification". The second self certification submittal is due no later than fourteen days after final site stabilization and is entitled, "End of Construction Activity Compliance Self-Certification and Notice of Termination". Weekly inspections must be documented using the same Construction Activity Storm Water Compliance Self Certification Checklist and these inspections must also be certified using the "Weekly Construction Activity Self Certification Statement". The weekly inspection records and self certification statements must be kept onsite until the project is completed and the permit has been terminated. Please also be advised that the owner of record at the time of construction is required to maintain all inspection records and any other documentation required by the CGP for a minimum of five (5) years from the date of completion of the project.

5. **Return-to-Compliance Plan:** Complete a Return-to-Compliance Form if your facility is not in compliance with a particular checklist item during your weekly self inspections which are required at least once every 7 days and within 24 hours after significant rain events (>0.25 inches/day). The facility must document its plan to address the particular items to bring them back into conformance with environmental regulations within one week of uncovering an instance of non-compliance. Each Return-to-Compliance Plan (RTC) must be kept with your inspection records and copies of all RTCs must eventually be submitted to the DEM. RTCs completed during the first month of site disturbance activities must be submitted to the DEM with the Start of Construction Self Certification Checklist. A copy of all other RTCs must be submitted to the DEM with the End of Construction Self Certification Checklist. Copies of the RTC forms can be found starting on page 22 of this booklet.

1.2 Submission Timeline

The Certification Checklist and Certification Statements must be filled out weekly but only three submittals are required throughout the duration of the project. The "Start of Construction Activity Notification Form" must be submitted within fourteen (14) days of the commencement of site disturbance activities. The "Initial Construction Activity Compliance Self Certification Form" must be submitted within thirty (30) days of commencement of site disturbance activities and must include the most recent self certification checklist filled out to date and any Return to Compliance forms accumulated to date. There will also be a final submission due fourteen (14) days after the completion of your project. The final submission will include: the Certification Checklist, End of Construction Certification Statement and Notice of Termination and all Return

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to Compliance forms generated after the initial certification checklist submission. The CGP requires you to conduct self-inspections once every seven (7) days to ensure that you remain in compliance. You are not required to submit weekly inspection checklists and associated certification statements but you must maintain these weekly records at your construction site.

Questions and/or Comments can be directed to:

**RI DEM - Office of Customer and Technical Assistance
235 Promenade Street
Providence, RI 02908-5767
(401) 222-6822**

Or

**RIDEM – Office of Water Resources
RIPDES Permitting Program
235 Promenade Street
Providence, RI 02908-5767
(401) 222-4700**

1.3 Do I have to Certify?

Participation in the program is a **mandatory condition of your permit authorization**. The owner of the construction site and the operator (i.e. the individual with direct responsibility for compliance with the CGP) are both required to sign the “Start of Construction Activity Notification Form” and each of the two self certification statements that are required to be submitted to the DEM. If you have any questions regarding your permit or this checklist, please call us at (401) 222-6822 or (401) 222-4700.

1.4 How do I fill out the Compliance Certification Forms?

1. Read the CGP and any other permit requirements that may also be applicable to your site to understand the terms and conditions of the CGP and all your other environmental responsibilities.
2. Make a copy of the **Construction Activity Storm Water Compliance Self Certification Checklist** to use during weekly site inspections and for the two submittals you must make to the DEM as outlined in Section 1.2 of this packet.
3. Read the checklist and identify all the questions that apply to your site. For example, questions in section A only apply to authorizations issued by the RIDEM Freshwater Wetlands Program.

4. While your project is under construction, walk through your site with the checklist copy and identify all the questions where you are already in compliance, and those where you will need to make changes to come into compliance. As indicated earlier, the CGP requires all owners and operators to develop procedures in the SWPPP to ensure that erosion controls on the site are inspected at least once every seven (7) calendar days and within twenty four (24) hours after an event which generates 0.25 inches of rain in a twenty-four (24) hour period.
5. If you find that you are out of compliance with any condition of your permit, be sure to fill out a **Return-to-Compliance Plan** for each checklist item that you are not in compliance with.
6. For DEM submittal timelines and requirements refer back to Section 1.2 of this packet.

1.5 How do I submit a Compliance Certification?

You are required to complete a “Start of Construction Notification Form” and two “Construction Activity Storm Water Compliance Self Certification Checklists” throughout the duration of the project. Mail completed certification checklist forms to:

**RI Department of Environmental Management
Office of Customer and Technical Assistance
Construction Activity Storm Water Compliance Self Certification
235 Promenade Street
Providence, RI 02908-5767**

1.6 What is Not Covered by the Compliance Certification?

This Compliance Certification is intended to assist you in maintaining compliance with the CGP, and in some cases specific RIDEM Freshwater Wetlands permit requirements. There may be other State, Federal or Local requirements or permits that apply to your project site such as building codes, local soil and erosion control requirements, fire codes, etc. that are not covered. You must still comply with these requirements.

1.7 Participation in the Construction Activity Storm Water Self-Certification Program is a requirement of your permit authorization and may assist you in the following ways:

- the ability to promptly correct environmental violations to assist you in preventing an enforcement action including the assessment of penalties,

- making you better prepared for a random or targeted inspection, and
- free technical assistance from DEM's Office of Technical and Customer Assistance

Note: Participation in the Construction Activity Storm Water Self-Certification Program does not guarantee that you will not be subject to a compliance inspection, or an inspection prompted by an employee, neighbor or filed complaint. Both state and federal environmental agencies have been granted the authority under Section 308 of the Clean Water Act to perform such inspections. These inspections can result in enforcement actions against you and can delay the completion of your project or result in restoration orders if you have exceeded your permit limits. Participation in this program will identify deficiencies and prepare you in the event of an inspection. Keep copies of your checklists and return to compliance forms to assist you in demonstrating compliance with applicable state and federal regulations.

1.8 Step-by-Step Instructions for Filling out the Compliance Certification Checklist.

Project Information

In this section, list the name, address, and contact information (including email) of the individual that DEM should contact if there are questions about your *Certification*, and your permit number.

Construction Activity Storm Water Compliance Self Certification Checklist

These questions provide DEM with some background information about your construction site and information about whether or not you are following the environmental protection standards and requirements that apply to your project. If you are not in compliance with the requirements on the date(s) you conduct your inspection(s), you must complete a Return-to-Compliance Plan (see page 8) and submit copies of these with one of two Construction Activity Storm Water Compliance Self Certification Checklists outlined in Section 1.2 of this packet.

Please note that it is your responsibility to keep your project in compliance with environmental protection requirements at all times. You may be subject to enforcement action if you do not comply with the terms and conditions of your permit. The Construction Activity Storm Water Compliance Self Certification Statements include a pledge that you have protocols in place and that you will maintain them to keep your site in compliance with environmental protection standards at all times.

Certification Statements

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The Certification Statements provided in this workbook are preprinted statements which say that the person signing the form:

- has reviewed it,
- believes the information being submitted is true,
- will make sure that protocols are in place that will keep the project in compliance with permit requirements, and
- understands that there may be serious consequences for submitting false information to DEM.

The statements must be signed by the property owner and/or the site operator. The person(s) directly responsible for the day to day compliance with CGP requirements must sign each of the certification statements. Any person who signs the form must also print or type his or her name and title on the appropriate lines and date the form.

Return-to-Compliance Plan (RTC)

- MAKE COPIES OF THIS FORM BEFORE YOU BEGIN -

If you are unable to comply with a standard or condition at the time you certify, fill out this form. The form asks for the standard or condition you are in violation of, what you plan on doing to comply, and when you will achieve compliance with the requirement. A separate RTC form is required each time your answer to a checklist question indicates that a RTC is required. Three copies of the RTC Form can be found starting on page 22 of this booklet. **Attach all RTC Forms to your completed Construction Activity Storm Water Compliance Self Certification Checklist, and mail to DEM at the specified times.** You may download copies of the Construction Activity Storm Water Compliance Workbook, Compliance Certification Checklist, and associated forms at the following Internet address:

<http://www.dem.ri.gov/programs/benviron/assist/ms4/index.htm>.

If you are unable to download the necessary forms, please contact the RI Department of Environmental Management Office of Customer and Technical Assistance for additional copies at 235 Promenade Street, Providence, RI 02908-5767 or via telephone by calling (401) 222-6822.

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Rhode Island Department of Environmental Management
Construction Activity Storm Water Compliance Self Certification Program



**Start of Construction Activity Notification Form
Submittal No. 1**

Note:
commencement of site disturbance activities.

This Certification must be submitted to the

Construction Site Name: _____

Street Address: _____

Phone #: () - -

City/Town: _____ State: _____ Zip: - -

Nearest Utility Pole Number: _____ Assessors Plat: _____ Lot: - -

Permit Number: _____

Date Permit Authorization Issued: _____

List Date Site Disturbance Activities Commenced: _____

I am aware that there are significant penalties, including, but not limited to, possible fines and imprisonment, for willfully submitting false, inaccurate, or incomplete information.

Print Owner Name/Title: _____

Owner Signature: _____ Date: _____

Print Operator Name/Title: _____

Operator Signature: _____ Date: _____



Rhode Island Department of Environmental Management

Construction Activity Storm Water Compliance Self Certification Checklist

Note: This checklist must be completed on a weekly basis and kept on file at the construction site.

Owner Information

Name:

Mailing Address:

Phone #: () _____

City/Town: _____ State: _____ Zip: _____

App #: _____

Contact Person:

Title: _____

Email Address: _____

Operator (if different from Owner)

Name:

Local Mailing Address:

Phone #: () _____

City/Town: _____ State: _____ Zip: _____

App #: _____

Contact Person:

Title: _____

Email Address: _____

Site Information

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Facility Name: _____

Street Address: _____ Phone #: () _____

City/Town: _____ State: _____ Zip: _____ App #: _____

Nearest Utility Pole Number: _____ Assessors Plat: _____ Lot: _____

Self Certification Checklist (check inspection type that applies below):

Weekly, List Date of Inspection _____

Start of Construction, List Date of Inspection _____

End of Construction, List Date of Last Inspection _____

List Permit Number: _____

Date Permit Authorization Issued: _____

Date Permit Authorization Expires: _____

Inspector Name, Organization Name, Title, and Phone Number: _____

Date of Inspection: _____

Section A: Freshwater Wetlands Permit Conditions Checklist

Please answer all questions, unless you are directed to skip a question. Please note that Section A only applies to those projects authorized under a Freshwater Wetlands Permit. If your site was authorized under a Freshwater Wetlands Permit you must also answer the questions contained in Sections B through E of the checklist. Do not answer questions that you are directed to skip.

Freshwater Wetlands Permit Conditions are Not Applicable to my Construction Project

A.1 Did you notify DEM prior to the commencement of site alterations?

Yes No – Complete RTC

A.2 Are copies of the permit and the stamped approved plans kept on-site and available for review by DEM?

Yes No – Complete RTC

A.3 If required by your permit, did you record the permit/letter of authorization in the land evidence records of the City or Town of your project within 10 days of the receipt of the permit?

Yes No – Complete RTC

A.4 Did you erect or post a sign with the permit number resistant to the weather and at least twelve (12) inches wide and eighteen (18) inches long, which boldly identifies the initials "DEM"?

Yes No – Complete RTC

A.5 Have you properly identified and marked the limits of disturbance (LOD) as detailed on the approved site plans and ensured that no activity or alterations occur beyond your approved LOD?

Yes No – Complete RTC

Please Note: Your permit is specifically limited to the project, site alterations and limits of disturbance as detailed on your approved site plans. If you answered No to this question you may be in violation of your permit conditions and may be required to submit an Application for a Permit Modification (Rule 11.03) or a new Request for Preliminary Determination (Rule 9.00). You should contact the Office of Technical and Customer Assistance for further information

A.6 Have all stormwater management control features been constructed/installed in accordance with the approved site plans?

Yes No – Complete RTC

Date of Inspection: _____

A.7 Does your project include the placement of any fill or material from off-site locations? (If No, skip to Question A8.)

Yes No

a) Did you inspect the material to ensure that it is clean and free of any matter that could pollute any freshwater wetland?

Yes No – Complete RTC

b) Have temporary erosion and sediment controls been placed around all areas where fill has been placed?

Yes No – Complete RTC

A.8 Does your project include quality treatment basins, infiltration areas, etc.?)

Yes No

a) Were surveys, checks and/or engineering tests performed to ensure mitigative features were properly installed and are operational?

Yes No – Complete RTC

b) Have you filed a maintenance plan indicating the maintenance of the mitigative features?
 Yes No – Complete RTC

If yes, date plan filed:

A.9 As a registered land surveyor or registered professional engineer, by checking Yes, I am indicating that the locations and elevations of all storm water management features including all basins, piping systems, catch basins, culverts, swales and any other controls, have been installed as required in the approved site plans.

Yes No

A.10 Does your project include flood loss compensation?

Yes No

(If No, skip to Question A.11.)

a) Was excavation to compensate for loss in flood storage as provided on the approved site plans completed prior to any filling or construction alterations within flood plain or areas subject to flooding?

Yes No – Complete RTC

Date of Inspection: _____

b) As a registered land surveyor or registered professional engineer, by checking Yes, I am indicating that the horizontal and vertical dimensions of the excavated area to compensates for the loss in flood storage is consistent with the approved plans.

Yes No – Complete RTC

A.11 Does your project include the planting of any shrubs, trees, or other forms of vegetation?

Yes No – Complete RTC

(If No, skip to Question A.15.)

a) Were the correct number and size of plantings installed in the proper locations as detailed on the approved site plans?

Yes No – Complete RTC

b) Indicate dates that plantings were installed:

c) Have all plantings been properly maintained and/or replaced if needed?

Yes No – Complete RTC

A.12 Have you complied with the terms and conditions of your permit and have you completed this project in compliance with the Department's Rules and Regulations at all times?

Yes No – Complete RTC

A.13 Have you deviated from the terms and conditions of your permit?

Yes No – Complete RTC

If Yes, indicated any changes made:

Please Note: If you answered **Yes** to this question you may be in violation of your permit conditions. You may also be required to submit an Application for a Permit Modification (Rule 11.03) or a new Request for Preliminary Determination (Rule 9.00). You should contact the Office of Technical and Customer Assistance for further information.

Date of Inspection: _____

RIPDES CGP Conditions Checklist

The following questions refer to the RIPDES CGP. You must also complete this section to ensure your compliance with the CGP.

Section B: Administrative Conditions Checklist

(Refer to Part II of the CGP, p. 4)

B.1 Do you have a copy of the Storm Water Pollution Prevention Plan (SWPPP) on site at all times?

Yes No – Complete RTC

B.2 At least once every 7 days and within 24 hours after significant rain events (>0.25 inches/day), do you inspect all storm water control measures, disturbed areas, areas used

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for the storage of materials, discharge locations where vehicles enter or exit the site?

Yes No – Complete RTC

B.3 If site inspections identify and recommend changes to the SWPPP, has the SWPPP been updated to reflect those recommendations?

Yes No – Complete RTC Not Applicable

B.4 Are inspection reports kept on-site or in an approved off-site location?

Yes No – Complete RTC

Section C: Erosion and Sediment Controls Checklist

(Refer to Part IV.E.2.a of the CGP, p. 9)

C.1 Have you installed erosion controls to cover all potential erosion hazards on site?

Yes No – Complete RTC Not Applicable

C.2 Have you installed sediment controls in all disturbed side slopes and downslopes that may direct runoff to adjacent properties or water bodies?

Yes No – Complete RTC Not Applicable

C.3 Have you made provisions for wind erosion control and dust control?

Yes No – Complete RTC Not Applicable

Date of Inspection: _____

C.4 Have you made sure that nearby storm drains are protected to prevent any sediment/pollutants from entering the drains?

Yes No – Complete RTC Not Applicable

C.5 Have material stockpiles been stabilized or isolated?

Yes No – Complete RTC Not Applicable

C.6 Best Management Practices (BMP's) – Are you properly maintaining BMP measures such as the use of filter fabric, hay bales and silt fencing?

- Yes No – Complete RTC Not Applicable
- C.7 Are you ensuring that sediment ponds or traps are not filled beyond half capacity?
- Yes No – Complete RTC Not Applicable
- C.8 Do you remove any visible sediment or debris at drains and discharge locations?
- Yes No – Complete RTC Not Applicable
- C.9 Have soil stabilization practices been implemented in locations where land disturbance activities have permanently ceased and in locations where land disturbance activities have been halted temporarily and are not planned to resume within the next fourteen (14) days?
- Yes No – Complete RTC Not Applicable
- C.10 Have soil erosion and sedimentation controls been installed to ensure that post construction stormwater best management practices will be protected prior to being brought online?
- Yes No – Complete RTC Not Applicable
- C.11 Will construction activities be phased properly to avoid compacting soil during construction, particularly in the location of infiltrating stormwater practices and qualifying pervious areas?
- Yes No – Complete RTC Not Applicable
-

Date of Inspection: _____

Section D: Other Controls Checklist (Waste Disposal, Spill Management)
(Refer to Part IV.E.2.c of the CGP, p. 9)

- D.1 Does the site have graveled access entrance and exit drives as well as parking areas to reduce tracking of sediment from vehicles?
- Yes No – Complete RTC Not Applicable
- D.2 Do you have containers in place for the storage and disposal of waste material?

Yes No – Complete RTC Not Applicable

D.3 Are hazardous materials spill kits in place and do you have enough materials as prescribed in the SWPPP to adequately prevent spills from entering any storm water drainage systems?

Yes No – Complete RTC Not Applicable

D.4. If allowable non-storm water discharges are occurring at the site, do you visually observe the discharges and record them in accordance with Part II of this permit?

Yes No – Complete RTC Not Applicable

D.5 Do you perform good housekeeping, making sure that exposure of all debris and trash to precipitation is minimized by cleaning up properly at the end of each working day?

Yes No – Complete RTC Not Applicable

Section E: Post Construction Storm Water Management

(Refer to Part IV.E.2.b of the CGP, p. 9)

E.1 Have you removed all short term erosion controls?

Yes No – Complete RTC Not Applicable

E.2 Have you reseeded or repaired any additional disturbances caused by the removal of Best Management Practices (BMPs)?

Yes No – Complete RTC Not Applicable

Date of Inspection: _____

E.3 Are all side slopes and embankments protected with cover?

Yes No – Complete RTC Not Applicable

E.4 Is all the covering generally uniform with no large bare spots and no significant rilling?

Yes No – Complete RTC Not Applicable

E.5 Have you restored all entrance and exit points to the site and either laid out permanent paved surface or provided for revegetation?

Yes No – Complete RTC Not Applicable

E.6 Have you checked to ensure that no residual contamination from spills exist on the site?

Yes No – Complete RTC Not Applicable

E.7 In locations where materials and equipment were stored, is there any evidence of spills or petroleum residues, and have surfaces been properly restored?

Yes No – Complete RTC Not Applicable

Initial Construction Activity Self Certification Statement – Submittal No. 2

Note: Complete all required Return-to-Compliance Plans (RTC) before signing this statement! This Certification must be submitted within thirty (30) days of the commencement of site disturbance activities and must include a copy of the most recent Construction Activity Storm Water Compliance Self-Certification Checklist and any RTC form(s) completed since the Start of Construction Notification was submitted.

I _____, as signatory below, attest under the pains

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and penalties of perjury:

- I) That I have personally examined and am familiar with the information contained in the permit and this submittal, including any and all documents accompanying this certification statement;
- II) The information contained in this submittal is, to the best of my knowledge, true, accurate and complete;
- III) That the project is and will continue to remain in compliance with all permit conditions; and
- IV) That I am fully authorized to make this attestation.

I am aware that there are significant penalties, including, but not limited to, possible fines and imprisonment, for willfully submitting false, inaccurate, or incomplete information.

Permit Number: _____

Owner's Signature: _____ Date: _____

Owner's Printed Name: _____

Operator's Signature: _____ Date: _____

Operator's Printed Name: _____

Weekly Construction Activity Self Certification Statement

Note: Complete all required Return-to-Compliance Plans (RTC) before signing this statement! This Certification and the associated weekly Construction Activity Storm Water Compliance Self Certification Checklist must be kept on file at your site until your End of Construction Activity Self Certification Statement is submitted to the DEM.

I _____, as signatory below, attest under the pains and penalties of perjury:

- I) That I have personally examined and am familiar with the requirements of my permit and this submittal, including any and all documents accompanying this certification statement;
- II) The information contained in the attached self certification checklist is, to the best of my knowledge, true, accurate and complete;
- III) That the project is and will continue to remain in compliance with all permit conditions; and
- IV) That I am fully authorized to make this attestation.

I am aware that there are significant penalties, including, but not limited to, possible fines and imprisonment, for willfully submitting false, inaccurate, or incomplete information.

Permit Number: _____

Operator's Signature: _____ Date: _____

Operator's Printed

Name/Title: _____

**End of Construction Activity Self Certification Statement and
Notice of Termination – Submittal No. 3**

Note: Complete all required Return-to-Compliance Plans (RTC) before signing this statement! You must submit this statement along with any Return to Compliance Plans not previously submitted to the DEM and with the very last Construction Activity Storm Water Compliance Self Certification Checklist completed for the project.

Permit Number: _____

Construction Site Name: _____

Date Construction Activities Ceased: _____

Date Final Site Stabilization Achieved: _____

OWNER CERTIFICATION

I certify under penalty of law that all disturbed soils at the construction site have been finally stabilized and temporary erosion and sediment control measures have been removed or will be removed at an appropriate time, or all storm water discharges associated with construction activity from the construction site that are authorized by the General Permit have otherwise been eliminated. The burden of operating in compliance with applicable RIPDES Regulations is my responsibility. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Print Owner Name/Title/Address/Phone: _____

Owner Signature: _____ Date: _____

OPERATOR CERTIFICATION

I certify under penalty of law that all disturbed soils at the construction site have been finally stabilized and temporary erosion and sediment control measures have been removed or will be removed at an appropriate time, or all storm water discharges associated with construction activity from the construction site that are authorized by the General Permit have otherwise been eliminated. The burden of operating in compliance with applicable RIPDES Regulations is my responsibility. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Print Operator Name/Title/Address/Phone: _____

Operator Signature: _____ Date: _____

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Construction Activity Storm Water Compliance Self Certification Program
Return-to-Compliance Form

- Before you complete this form, make as many copies as needed. Complete a separate Return-to-Compliance Form for EACH compliance question that requires one. Attach this RTC to the associated Construction Activity Storm Water Compliance Self Certification Checklist.
- Complete a Return-to-Compliance Form for violations as they are discovered and corrected. Submit all RTCs with the appropriate Certification Statement at the time specified in the Section 1.2 of the Construction Activity Storm Water Compliance Workbook.
- Completing this form does not relieve the project applicant of its affirmative responsibility to operate in compliance with applicable regulations. Failure to operate in full compliance with the applicable regulations may result in enforcement actions which may include fines or penalties.

Project Name: _____ Permit Number: _____

1. What is the Compliance Question number for which you are reporting noncompliance? _____
2. What is the specific condition (reference the permit conditions)

Permit Condition #

Brief Description of Requirement:

3. What corrective action will you take or have you taken to return to compliance?

4. Date that compliance issue(s) were first identified? _____
5. Date that project is expected to be in compliance with this issue? _____
6. Does the compliance issue require a revision to the SWPPP? _____ If the answer is yes, list the date that the SWPPP revision was completed: _____.

Rhode Island Department of Environmental Management



Construction Activity Storm Water Compliance Self Certification Permit
Return-to-Compliance Form

- Before you complete this form, make as many copies as needed. Complete a separate Return-to-Compliance Form for EACH compliance question that requires one. Attach this RTC to the associated Construction Activity Storm Water Compliance Self Certification Checklist.
- Complete a Return-to-Compliance Form for violations as they are discovered and corrected. Submit all RTCs with the appropriate Certification Statement at the time specified in the Section 1.2 of the Construction Activity Storm Water Compliance Workbook.
- Completing this form does not relieve the project applicant of its affirmative responsibility to operate in compliance with applicable regulations. Failure to operate in full compliance with the applicable regulations may result in enforcement actions which may include fines or penalties.

Project Name: _____ Permit Number: _____

1. What is the Compliance Question number for which you are reporting noncompliance? _____
2. What is the specific condition (reference the permit conditions)

Permit Condition #

Brief Description of Requirement:

3. What corrective action will you take or have you taken to return to compliance?

4. Date that compliance issue(s) were first identified? _____

5. Date that project is expected to be in compliance with this issue? _____

6. Does the compliance issue require a revision to the SWPPP? _____ If the answer is yes, list the date that the SWPPP revision was completed: _____

Rhode Island Department of Environmental Management



Construction Activity Storm Water Compliance Self Certification Program

Return-to-Compliance Form

- Before you complete this form, make as many copies as needed. Complete a separate Return-to-Compliance Form for EACH compliance question that requires one. Attach this RTC to the associated Construction Activity Storm Water Compliance Self Certification Checklist.
- Complete a Return-to-Compliance Form for violations as they are discovered and corrected. Submit all RTCs with the appropriate Certification Statement at the time specified in the Section 1.2 of the Construction Activity Storm Water Compliance Workbook.
- Completing this form does not relieve the project applicant of its affirmative responsibility to operate in compliance with applicable regulations. Failure to operate in full compliance with the applicable regulations may result in enforcement actions which may include fines or penalties.

Project Name: _____ Permit Number: _____

1. What is the Compliance Question number for which you are reporting noncompliance? _____
2. What is the specific condition (reference the permit conditions)

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Permit Condition #

Brief Description of Requirement:

3. What corrective action will you take or have you taken to return to compliance?

4. Date that compliance issue(s) were first identified? _____

5. Date that project is expected to be in compliance with this issue? _____

6. Does the compliance issue require a revision to the SWPPP? _____ If the answer is yes, list the date that the SWPPP revision was completed: _____.

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<http://www.erh.noaa.gov/box/dailystns.shtml> or www.nws.noaa.gov (or similar sites)) must be identified and utilized for the determination of storm events.

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