

US EPA ARCHIVE DOCUMENT



INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT

We Protect Hoosiers and Our Environment.

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Governor

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Commissioner

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August 19, 2009
Via E-Mail

Ms. Jodi Swanson-Wilson
EPA Project Officer
77 West Jackson Boulevard E-13J
US EPA, Region 5
Chicago, IL 60604-3507

Dear Ms. Swanson:

Re: 2006 State Innovations Grant Program

Attached is the Indiana Department of Environmental Management's State Innovations Grant Program progress report for the Environmental Stewardship Program (ESP) as stipulated in our agreement. This quarterly progress report contains a summary of activities for the twelfth three months of the three-year grant (twelfth quarterly report). This is the final quarterly report.

Please contact me at (317) 233-5554 if you have questions about the information provided.

Sincerely,

Stacey Martindale
ESP Program Manager
Office of Pollution Prevention & Technical Assistance

Attachment: Grant Track form

CC: Fred Saylor
Jennifer Schick
Michael Mendyk
Laura Seifert

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Grant Track System**General Information**

Grant Name	State Innovation Grant – Environmental Stewardship Program
Cooperative Agreement No.	PI-00E00101-0
Year Awarded	2006
Number of Amendments	0
Grant Type	66.611 Environmental Policy and Innovation Grants
Region	5

Summary Description

To develop and implement a voluntary program designed to encourage positive environmental actions that potentially will include reduced air, land, and water emissions and discharges by Indiana businesses and industry. The goal of the Environmental Stewardship Program (ESP) program is to encourage beyond compliance activities to decrease industry's negative impact on the environment. This program will create new partnerships between the State, local industry, and business, and will require implementation of an Environmental Management System (EMS) to promote beyond compliance environmental initiatives.

Under this Cooperative Agreement, IDEM will develop and implement ESP. Upon acceptance to ESP, each facility will begin to implement one of three pre-determined environmental improvement initiatives as defined in their application. IDEM will publicly recognize those facilities that are accepted into ESP. In addition, IDEM will track the environmental benefits achieved by participating municipalities and provide regulatory incentives where practicable.

Contact Information**State Project Contact****EPA Project Officer**

Name	Stacey Martindale	Name	Jodi Swanson-Wilson
State	Indiana	Region	5
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Email Address	smartind@idem.in.gov	Email Address	Swanson-Wilson.Jodi@epamail.epa.gov

EPA Grant Specialist

EPA Grant Specialist Name	Karen Sykes
EPA Grant Specialist Phone	(312) 886-7571

Project Status Information

Date Awarded	August 15, 2006
Original Planned Completion Date	August 14, 2009
Scheduled Planned Completion Date	August 14, 2009
Project Actual Completion Date	August 14, 2009
Last Progress Report Received on	June 15, 2009
Progress Report through	August 14, 2009
Project Status	Complete

Project Funding

Original Grant Budget Request	\$ 225,000
Matching Funds	\$ 306,426
Original Grant Amount	\$ 225,000
Total Grant Funding	\$ 225,000

Target Dates and Milestones

IDEM continues to make progress and achieve the milestones identified in the 2006 State Innovations Grant Program project narrative.

Summer/Fall 2006

Milestone: *Promotion: Web site complete; application developed; brochures and booth drafted; press event held to announce program; contractor RFP issued; requests for quote issued and contract drafts begun for EMS website/software; innovations grant received.*

Status: COMPLETE

Summer/Fall 2006

Milestone: *Implementation: Responding to requests for assistance; amount and type of assistance provided; providing on-site assistance to facilities, offering EMS training programs to facilities; accepting applications to join the program; brochures printed and distributed; booth available for use; advertising the program at various conferences and workshops; EMS/P2OA Assistance contractor selected. Conduct site visits at applicants with approved EMS's and conduct site visit and EMS assessment at other applicants.*

Status: COMPLETE

IDEM received four applications to the Environmental Stewardship Program during the spring 2009 round. One facility withdrew their application because it was incomplete. IDEM conducted application review

site visits at the remaining three facility and awarded ESP membership to one facility.

IDEM completed the EMS onsite assistance contract funded by this grant. The goal of the contract was to provide onsite EMS assistance to at least twenty Indiana entities by July 2009. The EMS onsite assistance contractor provided EMS assistance to 26 Indiana facilities by the end of July 2009, including two municipalities. The ESP project manager continues to survey the participants of the EMS onsite assistance for feedback on improving the assistance should IDEM have funding to provide similar assistance in the future.

Winter 2007 – Summer 2009

Milestone: *Implementation and Modifications: Reviewing applications and announcing facilities meeting the program requirements; continue assisting potential applicants and promoting the program; speaking at meetings, workshops, and conferences to promote the program; begin offering incentives to successful applicants and tracking outcomes; modify the program as necessary; continue identifying potential incentives; quantify success stories through monetary and environmental benefits; continue working with EPA NCEI to identify and implement additional incentives to state and federal environmental leadership programs.*

Status: COMPLETE

The ESP project manager continued to participate in monthly state calls with U.S. EPA Headquarters and provided feedback to EPA on the Excel spreadsheet created to replace the P-Track online system.

IDEM continues to respond to requests for assistance from members of the Environmental Stewardship Program as well as facilities that are not yet members, but are interested in applying. IDEM provided environmental regulatory or performance reporting assistance to seven Environmental Stewardship Program members during this quarter.

Additionally, IDEM spent about 59 hours advertising and marketing the program to potential members. The ESP project manager attended the Partners for Pollution Prevention quarterly meeting (June 11, 2009), submitted press releases to the Great Lakes Pollution Prevention Roundtable blog (July 6, 2009) and the Workforce Innovations in Regional Economic Development (July 20, 2009) as well as issued a press release to IDEM's statewide media outlets (August 10, 2009) in order to make contact with potential new members.

The program manager awaits the response of the U.S. EPA Region 5 to IDEM's State Implementation Plan, which was submitted during the last quarter. The State Implementation Plan outlines several regulatory incentives that IDEM has incorporated by rule to provide to program

members, but has been unable to implement due to differences of opinion between IDEM and U.S. EPA Region 5 management.

Summer/Fall 2009

Milestone: *Implementation and Documentation: Continue program as described above; measure results and outcomes of program and begin development of final report; begin consideration of future of program. Complete and submit final report to EPA; Innovations Grant completed; determine future of program including funding and office responsible for management.*

Status: COMPLETE

During this quarter, the program manager compiled the data received from member's regarding environmental improvement initiatives during calendar year 2008 and posted the data to the IDEM website at <http://www.in.gov/idem/4132.htm>. IDEM management will maintain the Environmental Stewardship Program in the Office of Pollution Prevention & Technical Assistance and has sufficient funding to continue the program. The final grant report will be submitted to EPA by November 12, 2009.

Project Timetable

The items, tasks, and activities listed in the project timetable were completed during the grant period of August 15, 2006, through August 14, 2009.

Project Funding

The project funding status can be found in the attached Federal Financial Report (Federal Financial Report tab). According to the report, IDEM has incurred \$465,133.25 through July 16, 2009. Further, the report shows that IDEM has approximately \$66,292.75 grant funding remaining through August 14, 2009 (approximately \$38,224.40 state and \$28,068.35 federal).

The Federal Financial Report provides the project expenses year to date; however, it is important to note that personnel and fringe benefits are only posted once per quarter by IDEM's Office of Management, Budget, and Administration. As a result, the actual grant funding remaining is less than the report shows. According to IDEM's Office of Management, Budget, and Administration calculations, IDEM will fully utilize the entire grant award by August 14, 2009. The final financial report will be prepared and submitted by the IDEM's Office of Management, Budget, and Administration.