

US EPA ARCHIVE DOCUMENT

# International Aspects of Nanotechnology: Competition and Cooperation

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Office of Science and Technology Policy

*EPA STAR Review Workshop:  
Nanotechnology and the Environment III*

*28 October 2005 \* Arlington, VA*



# Areas of International Cooperation & Competition

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- Research
- Standards & trade
- Informal interactions



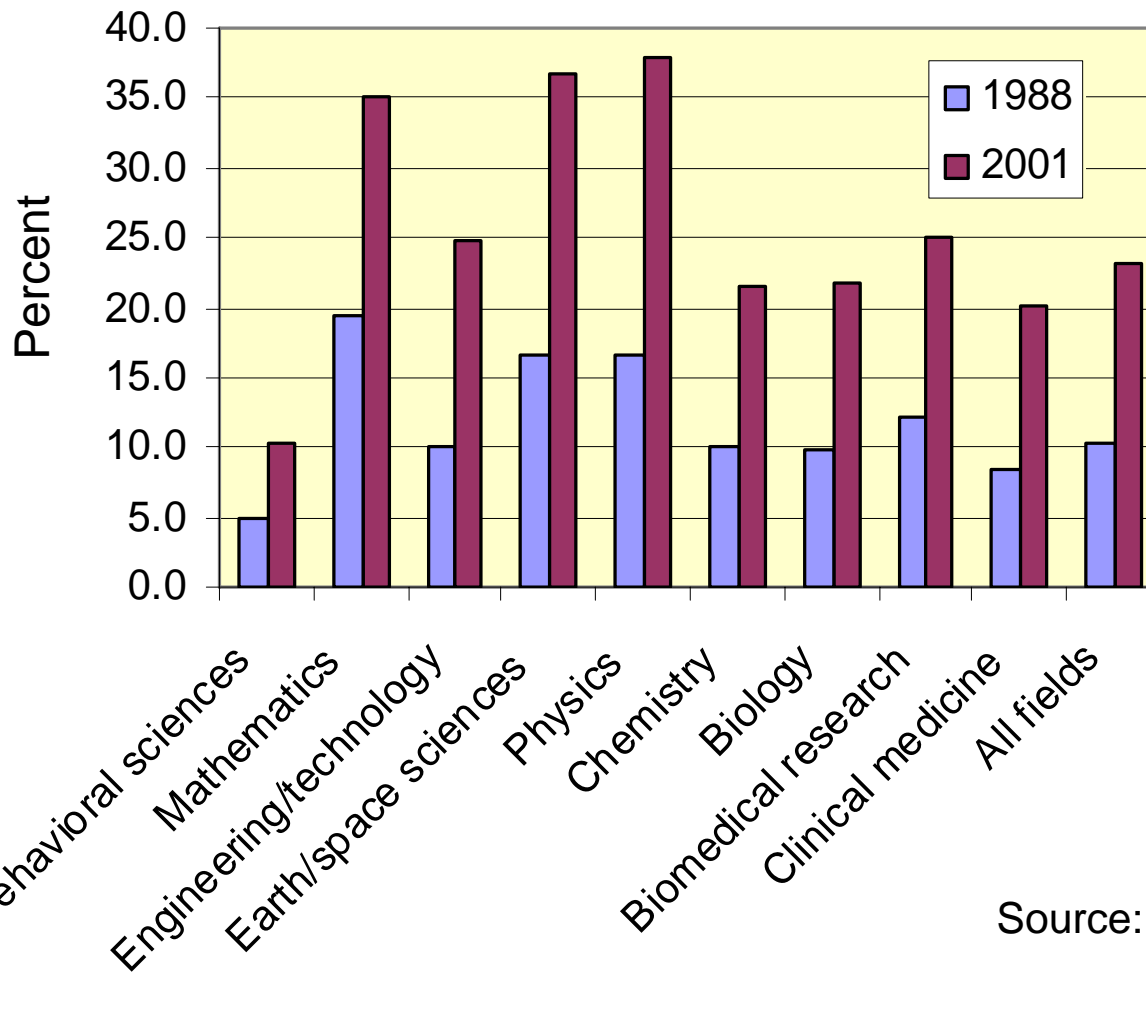
# Collaborations are increasing

- Ease of interaction
- Policies supporting collaboration
- Educational programs
- Scientific need/advantage

U.S.-based researchers coauthored 44 percent of all internationally coauthored publications in 2001. [NSB S&E Indicators]



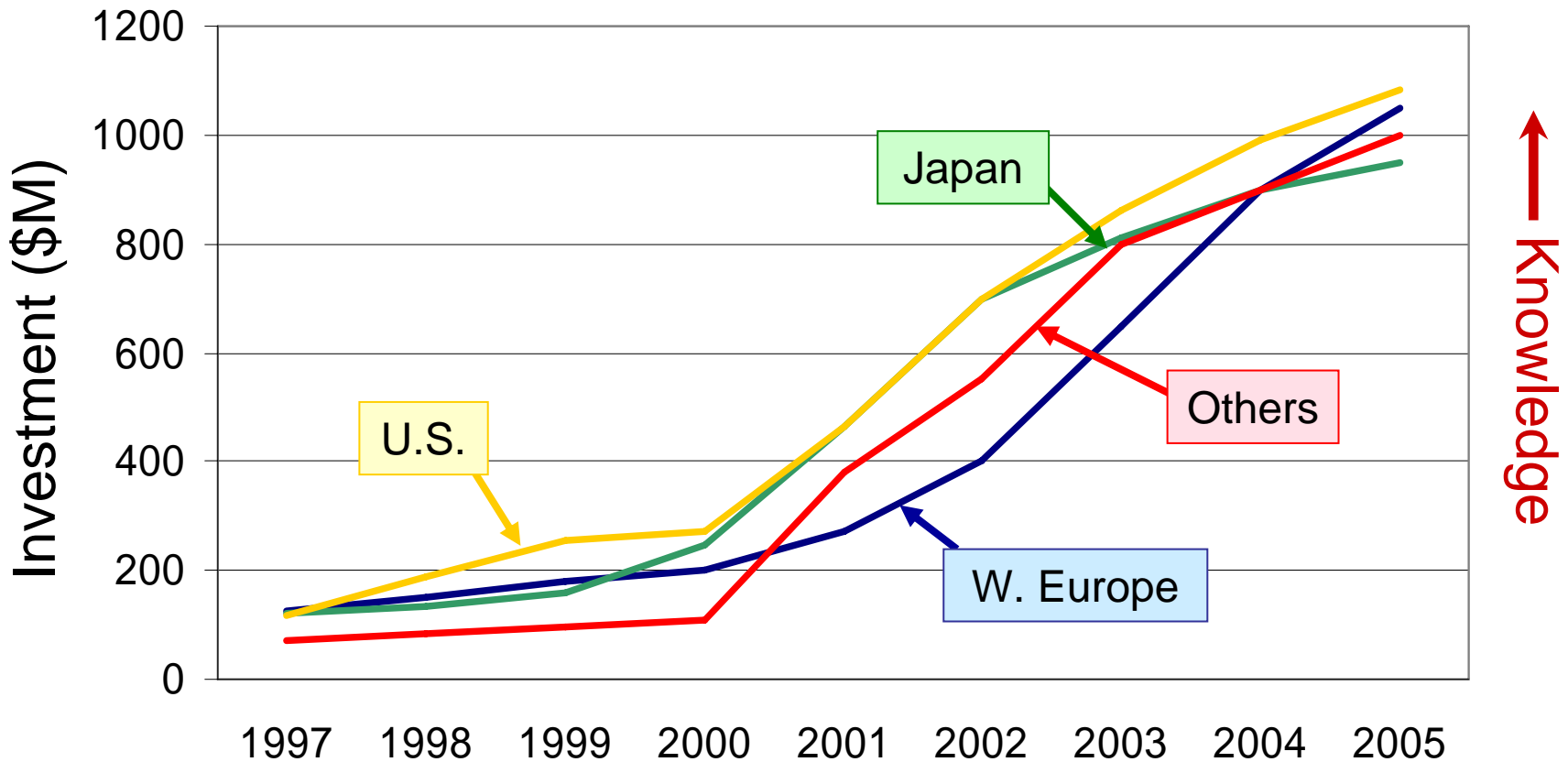
# International Collaboration on U.S. S&E Articles is Increasing



Source: S&E Indicators (2004)



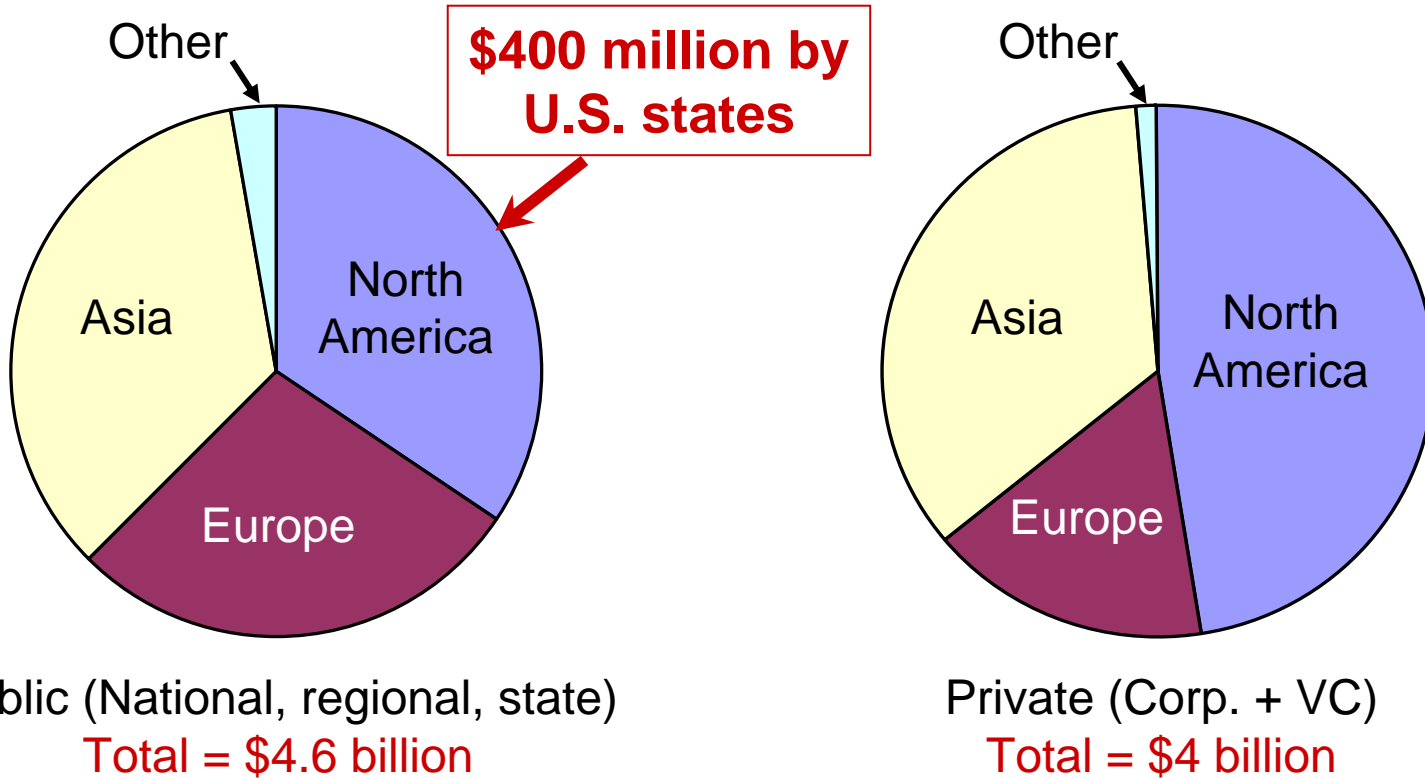
# International Nanotech R&D Investments are Increasing



Source: M. C. Roco



# Global investments in 2004 (Total=\$8.6 billion)

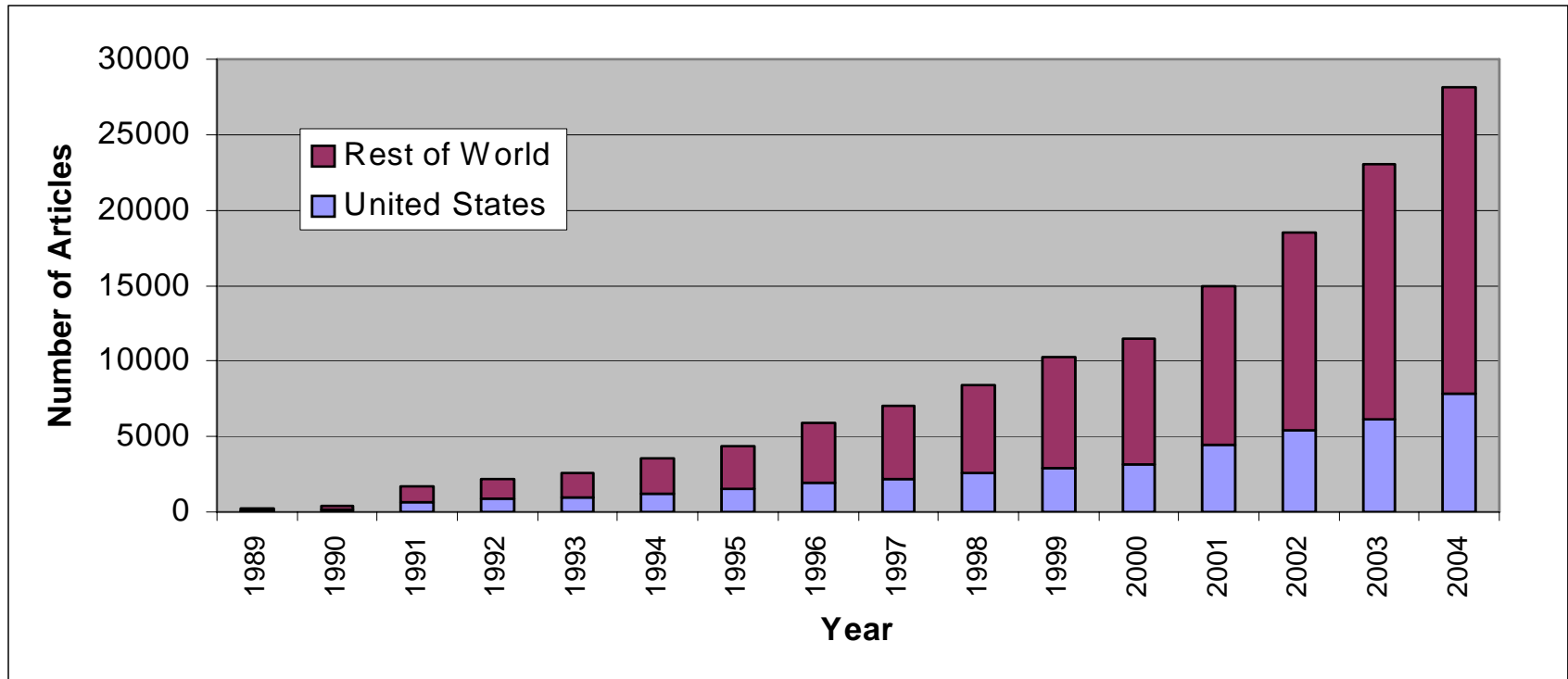


Source: Lux Research



# Nanotech publications are increasing

★ In 2004, 50% of collaborative articles have a U.S. author



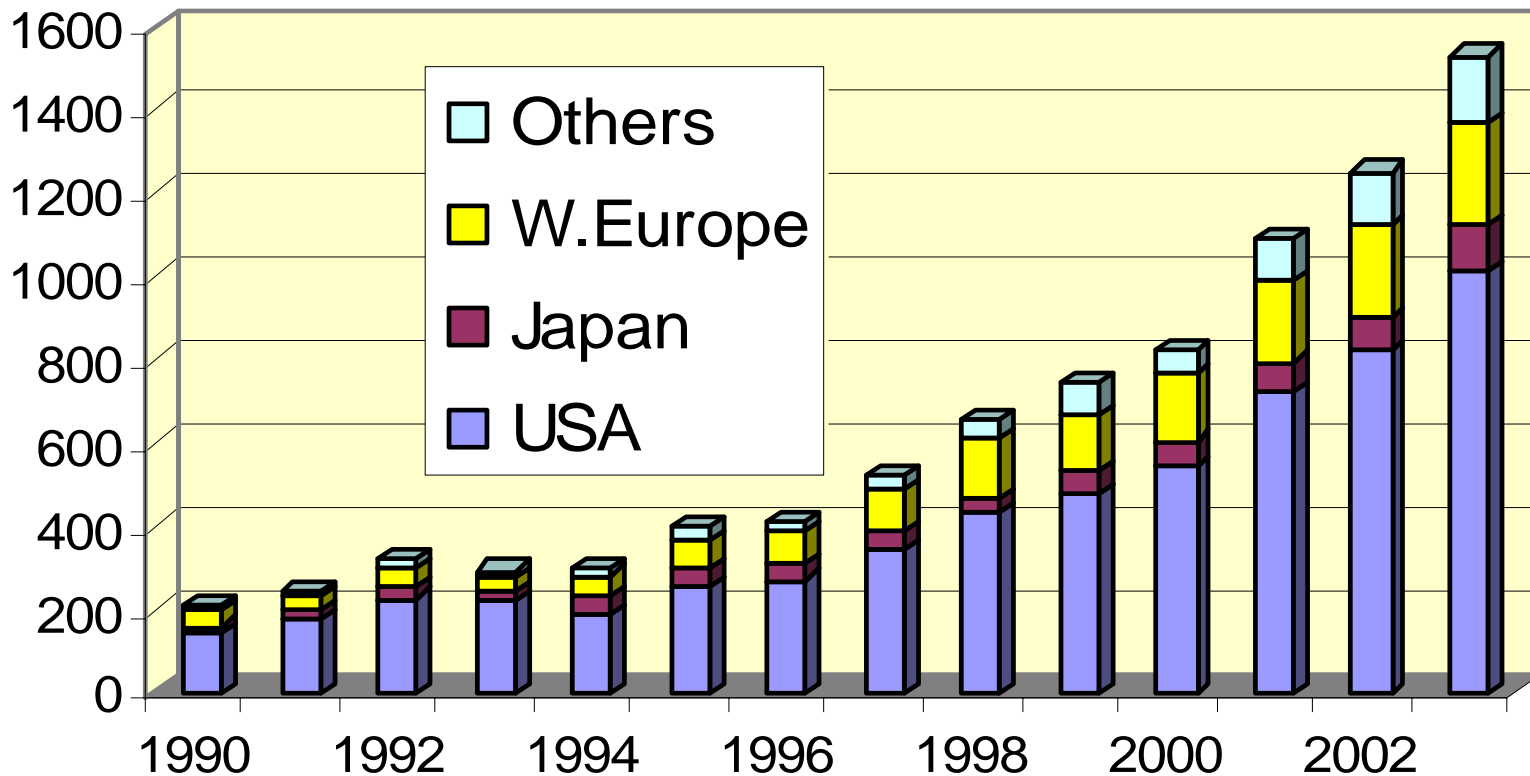
Source: J. Murday, U.S. Naval Research Laboratory; ISI search using "nano\*"





# USPTO Nanotech Patents are increasing

★ Data for foreign nano patents are similar.



Source: Huang et al. (2004) J. Nanoparticle Research  
Nanotechnology keyword search of titles and claims of patents in USPTO database



# International Standards Activity

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*Why have nanotechnology standards?*

- Aids research & development
- Supports commerce & trade
- Protects health & environment



# U.S. Government standards policy

- National Technology Transfer and Advancement Act of 1995 ***requires USG agencies use voluntary, consensus standards*** in lieu of government-unique standards except where inconsistent with law or impractical.
- “Voluntary, consensus standards bodies” provide for:
  - Openness
  - Balance of interest
  - Due process
  - An appeals process
  - Consensus (general agreement, but not necessarily unanimity)



- Standards
  - Books & Journals
  - Technical Committees
  - Membership
  - Meetings
  - Symposia & Workshops
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Standards Search



## Technical Committees / Committee E56/

Next: [Meeting Symposium](#)

### Committee E56 on Nanotechnology

Staff Manager: [Pat Picariello](#) (610) 832-9720

ASTM Committee E56 on Nanotechnology was formed in 2005. E56 meets twice each year, in May and November, with about 75 members attending three days of technical meetings (every 3rd to 4th meeting of E56 is held outside of the United States). This Committee addresses issues related to standards and guidance materials for nanotechnology & nanomaterials, as well as the coordination of existing ASTM standardization related to nanotechnology needs. This coordination includes the apportioning of specific requests for nanotechnology standards through ASTM's existing committee base, as well as the maintenance of appropriate global liaison relationships with activities (internal and external) related to this subject area. The Committee, with a membership of approximately 170, currently has its standards published in the Annual Book of ASTM Standards, Volume 14.02. E56 has 6 technical subcommittees that maintain jurisdiction over these standards. Information on this subcommittee structure and E56's portfolio of approved standards and Work Items under construction are available from the List of Subcommittees, Standards and Work Items below.

**General Information**

- [E56 Scope](#)
- [Committee Officers and Staff Support](#)
- [Future Meetings](#)
- [Search Past ASTM Symposia](#)

**Get Involved**

- [Membership Information and Application](#)
- [New Member Orientation](#)
- [Invite a Colleague to Join](#)

**Standards Development**

- [List of Subcommittees, Standards, and Work Items](#)
- [Standards Development Tools](#)
- [Sign Up for Standards Tracker](#)

**What's New**

- >> [Committee News](#)
- >> [Next Meeting](#)
- >> [Next Symposium](#)

**Additional Information**

- [Background Material on New ASTM International E56](#)



**Standards**

**Books & Journals**

**Technical Committees**

**Membership**

**Meetings**

**Symposia & Workshops**

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## Technical Committees

### Technical Committees / Committee E56 / List of Subcommittees and Standards

#### Committee E56 on Nanotechnology

Staff Manager: [Pat Picariello \(610\) 832-9720](#)

### Subcommittees and Standards

#### Standards under the jurisdiction of E56

Each main committee in ASTM International is composed of subcommittees that address specific segments within the general subject area covered by the technical committee. Click on the subcommittee links below to see the title of existing standards for each subcommittee. Then, click on the resulting titles to see the standard's scope, referenced documents, and more.

[E56.01](#) Terminology & Nomenclature

[E56.02](#) Characterization

[E56.03](#) Environmental & Occupational Health & Safety

[E56.04](#) International Law & Intellectual Property

[E56.05](#) Liaison & International Cooperation

[E56.06](#) Risk Management and Product Stewardship

[E56.90](#) Executive

[E56.91](#) Strategic Planning and Review



# U.S. Standards Coordination

- American National Standards Institute (ANSI)
  - Non-profit organization that administers and coordinates the U.S. voluntary standardization and conformity assessment system.
  - Mission is to enhance the global competitiveness of U.S. business and the U.S. quality of life by promoting and facilitating voluntary consensus standards and conformity assessment systems, and safeguarding their integrity.





# American National Standards Institute (ANSI)

- 1000 member organizations
- Represents the U.S. at:
  - International Accreditation Forum (IAF)
  - International Organization for Standardization (ISO)
  - International Electrotechnical Commission (IEC)
  - Pacific Area Standards Congress (PASC)
  - Pan American Standards Commission (COPANT)



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[About ANSI](#)

[Membership](#)

## **Standards Activities**

[Overview](#)

[Background Papers](#)

[Critical Issue Papers](#)

[U.S. National Standards Strategy](#)

[U.S. Standards Strategy \(revision of NSS\)](#)

[United States Standards Strategy Committee \(USSSC\)](#)

[Public Review and Comment](#)

[Standards Action](#)

[ANSI Procedural Revisions](#)

[Accreditation Actions](#)

[Domestic Programs \(American National Standards\)](#)

[Overview](#)

[Procedures, Guides and Forms](#)

[PINS & BSR8/108 WEB Data Submittal Forms](#)

## **Nanotechnology Standards Panel**



The American National Standards Institute's Nanotechnology Standards Panel (ANSI-NSP) serves as the cross-sector coordinating body for the purposes of developing standards in the area of nanotechnology including, but not limited to, nomenclature/terminology; materials properties; and testing, measurement and characterization procedures.

Nanotechnology refers to research and technology development at the atomic, molecular, and macromolecular levels aimed at creating and using structures, devices, and systems that have novel properties and functions because of their small size.

- [Recent News Items](#)
- [Overview](#)
- [Membership](#)
- [Organizational Structure](#)
- [Meeting Calendar](#)
- [Document Library](#)
- [Membership in ANSI](#)
- [Staff Contacts](#)

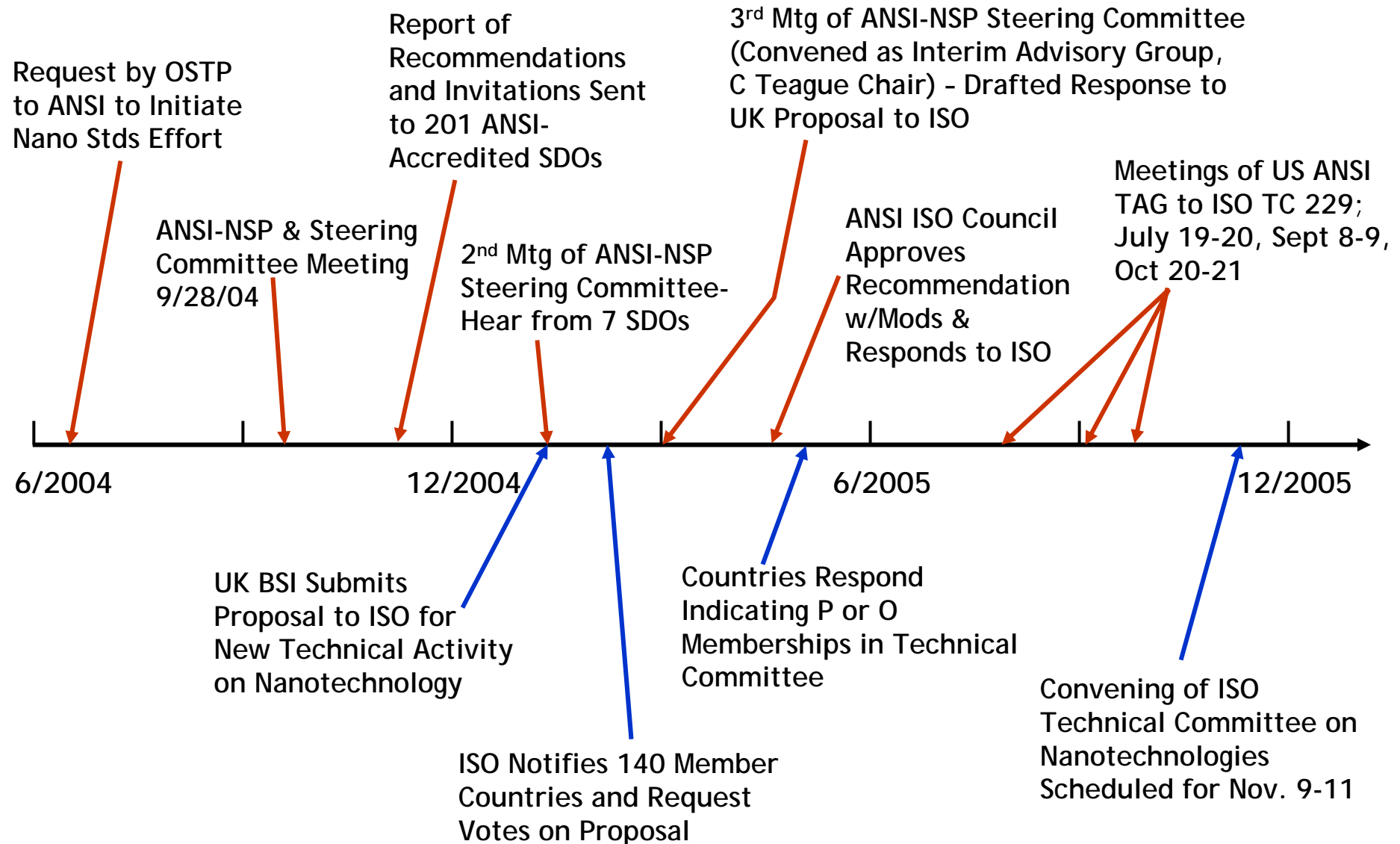




# ANSI Nanotechnology Stds Panel Objectives

- Coordinate and provide a forum for interested parties to define needs.
- Facilitate development and adoption of standards responsive to identified needs.
- Where standards do not exist, obtain agreement from a standard developer to initiate development of the standard.
- Facilitate and promote cross-sector collaborative efforts between standards developing organizations.
- Maintain liaison with other national, regional and international standards efforts addressing nanotechnology issues to create identical or harmonize existing standards.

# ANSI & ISO Nanotechnology Standards Activities





Standards development

Who does what, when and how?

Technical committees

General information

List of technical committees

Other bodies developing standards or guides

Business plans for public review

ISO technical programme

Participation in the technical work

International organizations in liaison with technical committees

Meeting calendar

For standards developers

List of technical committees



Search for committee [input] Start search ?

Committee Title

- [JTC 1](#) Information technology
- [TC 1](#) Screw threads
- [TC 2](#) Fasteners
- [TC 4](#) Rolling bearings
- [TC 5](#) Ferrous metal pipes and metallic fittings
- [TC 6](#) Paper, board and pulps
- [TC 8](#) Ships and marine technology
- [TC 10](#) Technical product documentation
- [TC 11](#) Boilers and pressure vessels
- [TC 12](#) Quantities, units, symbols, conversion factors
- [TC 14](#) Shafts for machinery and accessories
- [TC 17](#) Steel
- [TC 18](#) Zinc and zinc alloys

<a href="#">TC 208</a>	Vertical turbines for industrial application (steam turbines) gas expansion turbines) - STAND BY
<a href="#">TC 209</a>	Cleanrooms and associated controlled environments
<a href="#">TC 210</a>	Quality management and corresponding general aspects for medical devices
<a href="#">TC 211</a>	Geographic information/Geomatics
<a href="#">TC 212</a>	Clinical laboratory testing and in vitro diagnostic test systems
<a href="#">TC 213</a>	Dimensional and geometrical product specifications and verification
<a href="#">TC 214</a>	Elevating work platforms
<a href="#">TC 215</a>	Health informatics
<a href="#">TC 216</a>	Footwear
<a href="#">TC 217</a>	Cosmetics
<a href="#">TC 218</a>	Timber
<a href="#">TC 219</a>	Floor coverings
<a href="#">TC 220</a>	Cryogenic vessels
<a href="#">TC 221</a>	Geosynthetics
<a href="#">TC 222</a>	Personal financial planning
<a href="#">TC 223</a>	Civil defence
<a href="#">TC 224</a>	Service activities relating to drinking water supply systems and wastewater systems - Quality criteria of the service and performance indicators
<a href="#">TC 225</a>	Market, opinion and social research
<a href="#">TC 226</a>	Materials for the production of primary aluminium
<a href="#">TC 227</a>	Springs
<a href="#">TC 228</a>	Tourism and related services
<a href="#">TC 229</a>	Nanotechnologies - PROVISIONAL



## Standards development

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## TC 229



### Nanotechnologies - PROVISIONAL

*Secretariat:*

[BSI](#)

*Secretary:*

Mr. Jose Alcorta

*Chair:*

Dr. Peter Hatto until end 2010

*Scope:*

Standardization in the field of nanotechnologies, with specific tasks being classification, terminology and nomenclature, basic metrology, characterization, including calibration and certification, risk and environmental issues. The methods of test are to include methods for determining physical, chemical, structural and biological properties of materials or devices for which the performance, in the chosen application, is critically dependent on one or more dimension of <100nm. Test methods for applications, and product standards shall come within the scope of the TC.

*Total number of published ISO standards related to the TC and its SCs:*

*Number of published ISO standards under the direct responsibility of the TC 229*

*Secretariat:*

none

*Participating countries:*

[23](#)

*Observer countries:*

[7](#)

*[ISO technical programme:](#)*

*(drafts and new work items under the direct responsibility of TC 229)*

*[Working area on ISOTC](#)*

### Meeting calendar

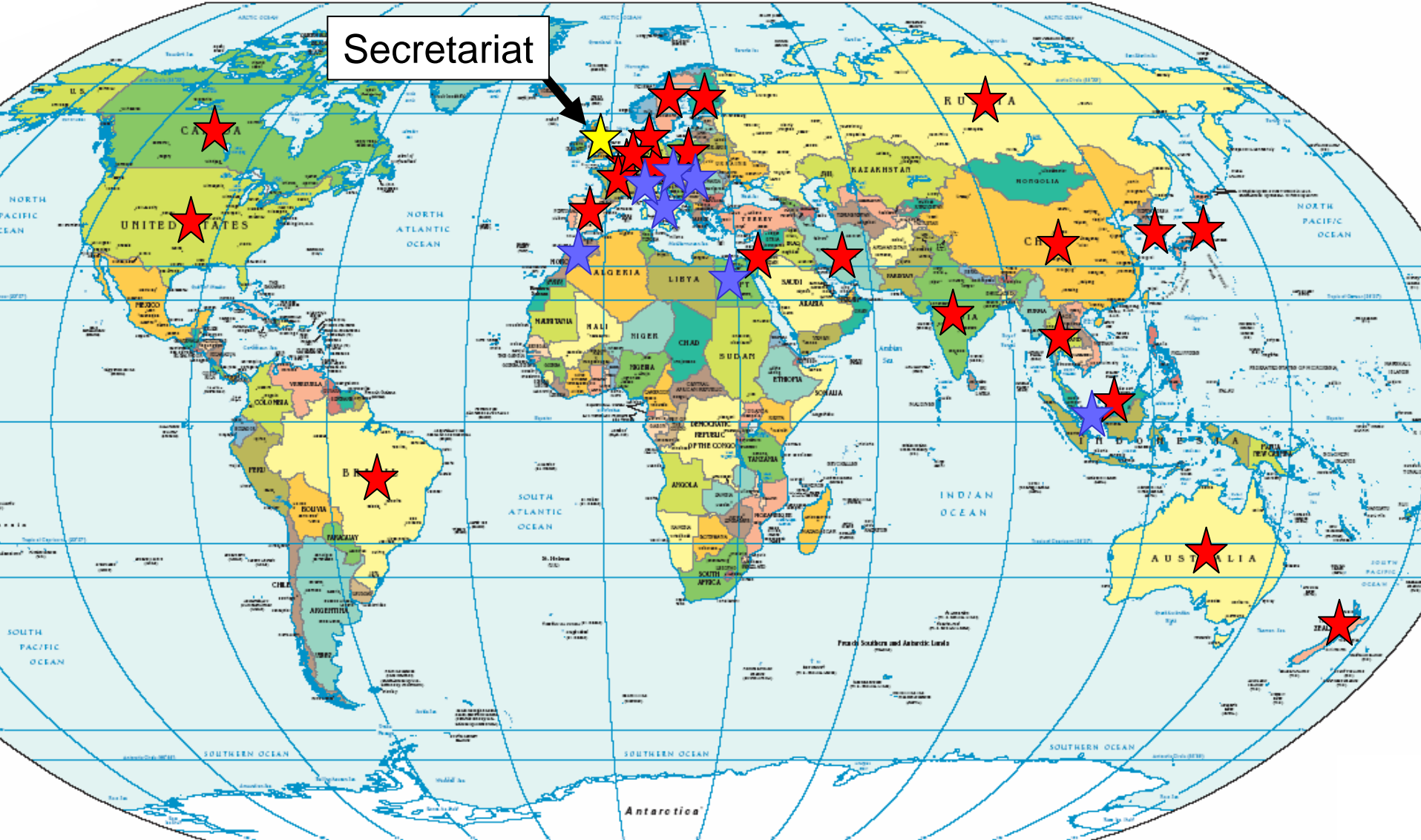
\* Information definite but meeting not yet formally convened

\*\* Provisional

Month	Date	Location	Committee
November 2005	9-11	London (United Kingdom)	* <a href="#">TC 229</a>



# ISO TC 229 Participating (★) and Observing (★) Countries





# Other International Trade Activities Related to Nanotechnology

Preliminary discussions related to:

- Export control (President's Export Council)
- Wassenaar Agreement (dual-use and conventional weapons technologies)
- Visas



# OECD Nano-related Activity

- Joint effort by Chemicals Committee and Working Party on Chemicals, Pesticides and Biotechnology
  - Workshop on the Safety of Manufactured Nanomaterials (Dec 2005, hosted by U.S.)
- Preliminary discussions within Council on Scientific & Technological Policy





# International Dialogue on Responsible Research & Development of Nanotechnology

- Initial workshop held June 2004 in Alexandria, VA
- 25 countries + EU
- Follow-on held July 2005 in Brussels
- Next meeting to be hosted in 2006 by Japan





# NNI Supports International Collaborations

- NNI-funded user facilities are open to all
- Conferences & workshops
- Bilateral S&T agreements
- Funding of research with international colleagues
- Seeking nanotechnology-based solutions, e.g. under *Asia-Pacific Partnership on Clean Development*



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