

US EPA ARCHIVE DOCUMENT

# Optimization of Multipollutant Air Quality Management Strategies

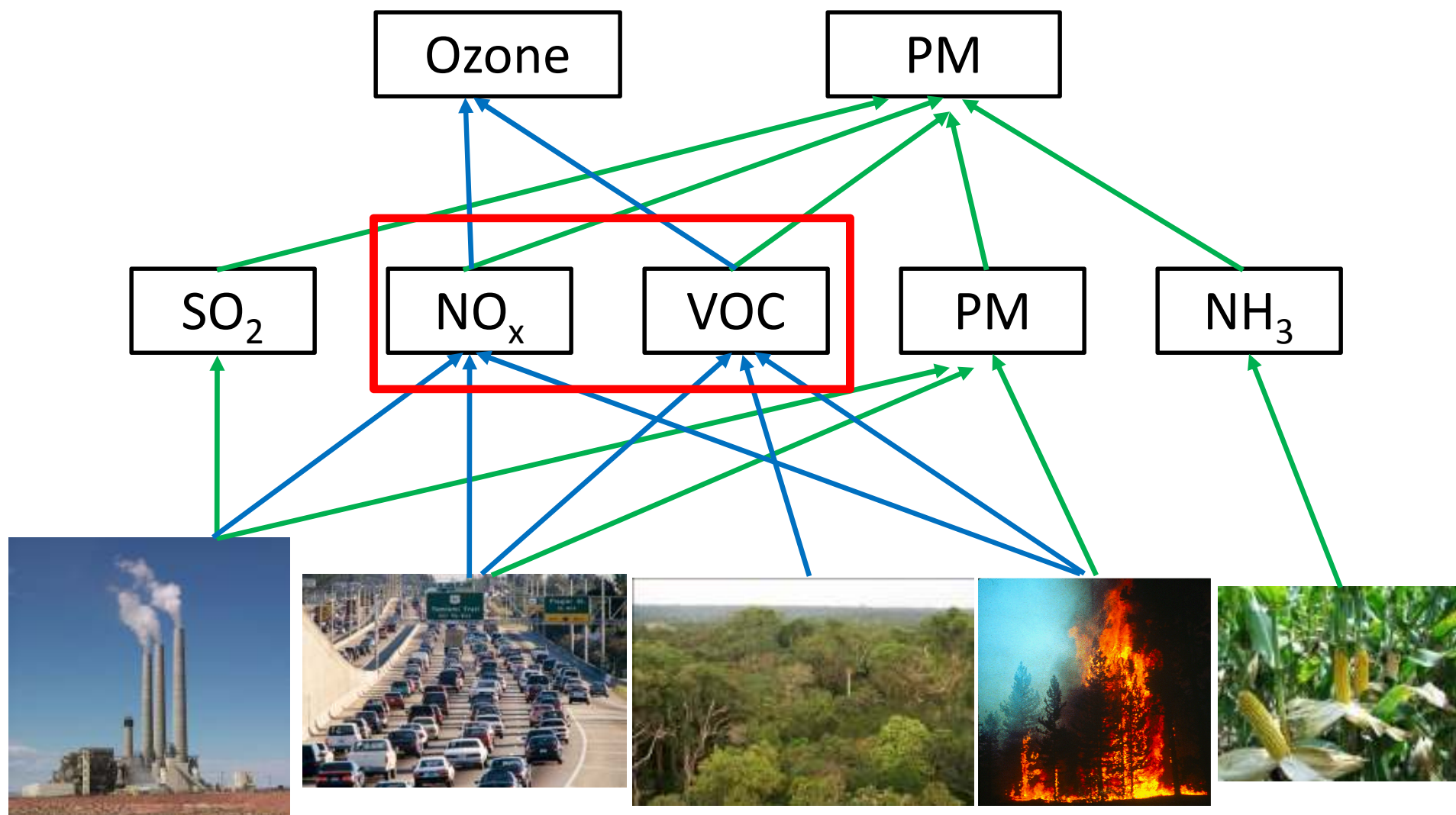
K.J. Liao

Assistant Professor of Environmental Engineering  
Texas A&M University-Kingsville

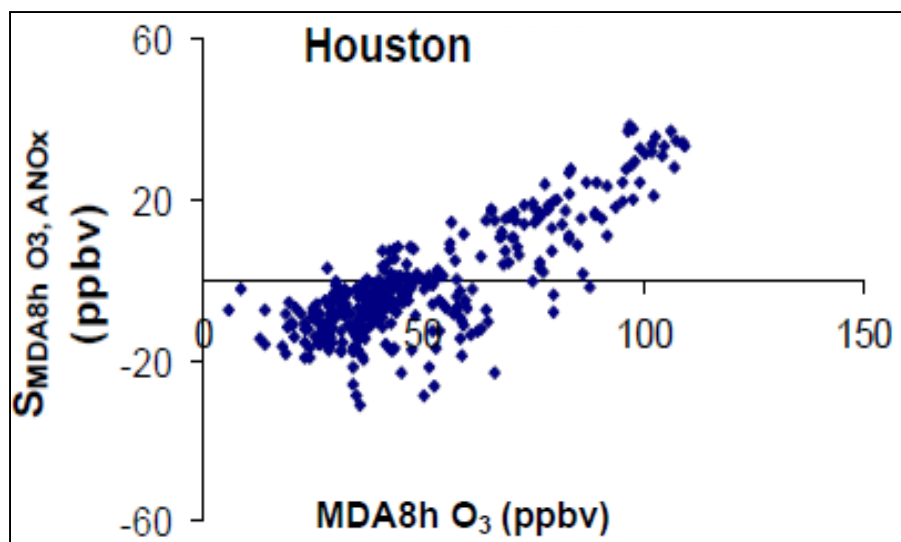
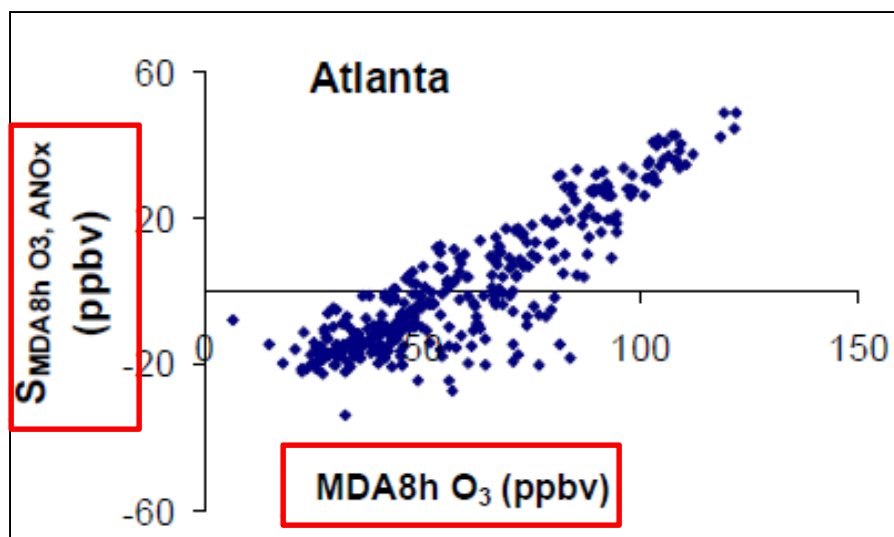
[kuo-jen.liao@tamuk.edu](mailto:kuo-jen.liao@tamuk.edu)



# Emission Sources of Ozone and Particulate Matter (PM)



# Responses of Ozone to Reductions in Anthropogenic NO<sub>x</sub> Emissions



*First - order sensitivity :*

$$S_{MDA8hO_3, ANO_x} = E_{ANO_x} \frac{\partial C_{MDA8hO_3}}{\partial E_{ANO_x}}$$

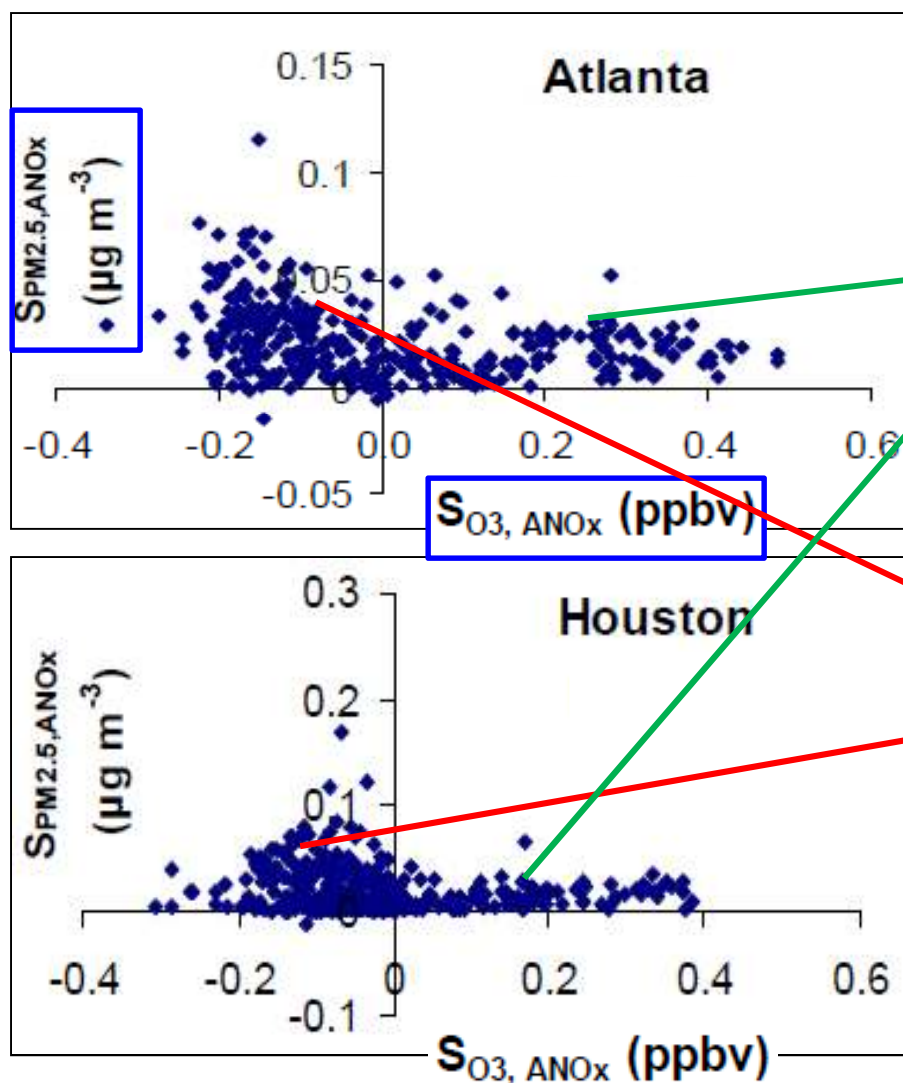
Positive sensitivity =>

Reductions in ANO<sub>x</sub> decrease MDA8h ozone

Negative sensitivity =>

Reductions in ANO<sub>x</sub> increase MDA8h ozone

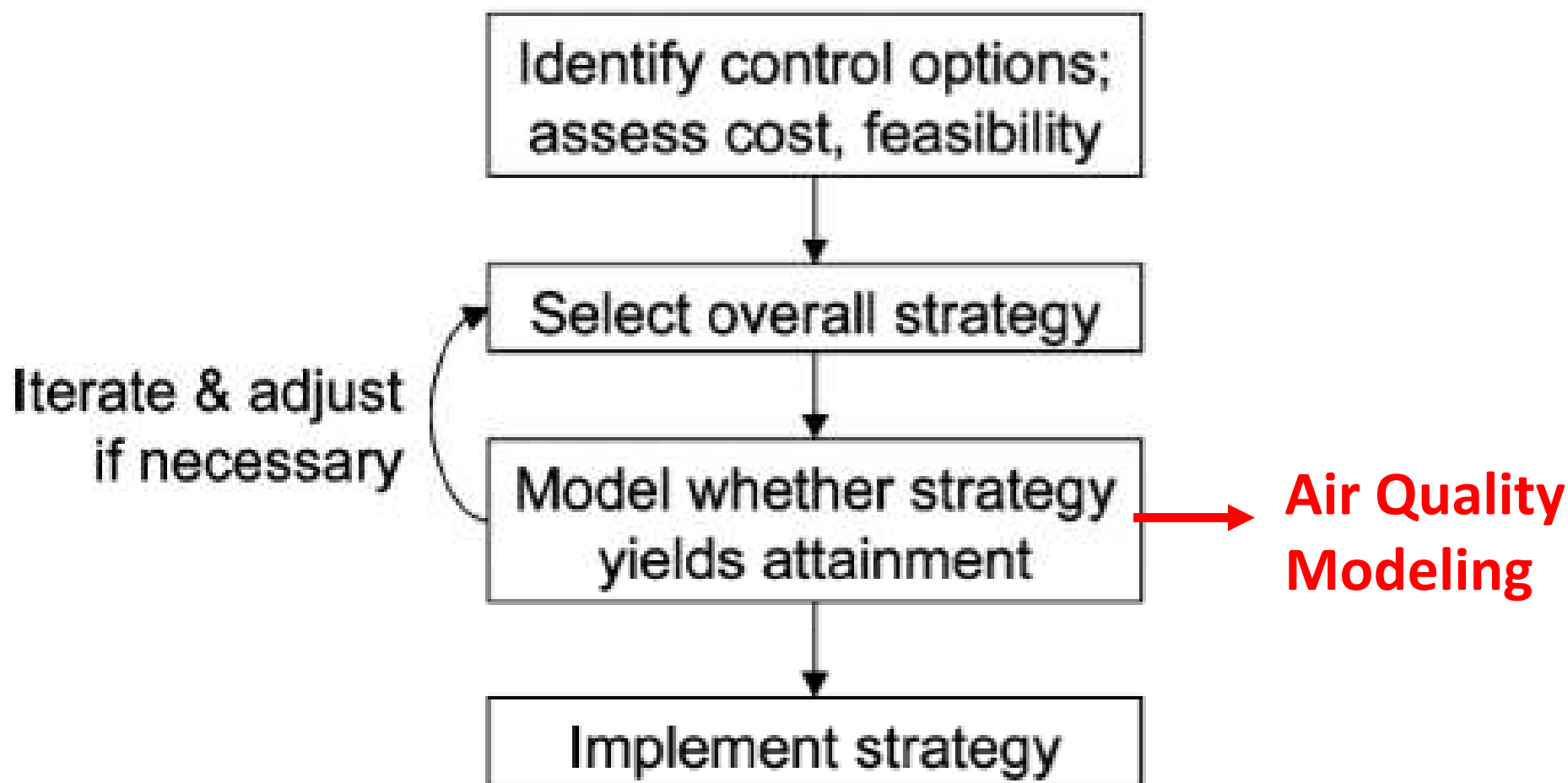
# Cross-Responses of Ozone and Total PM<sub>2.5</sub> to Reductions in Anthropogenic NO<sub>x</sub> Emissions



Reductions in ANO<sub>x</sub> emissions decrease both total PM<sub>2.5</sub> and MDA8h ozone concentrations

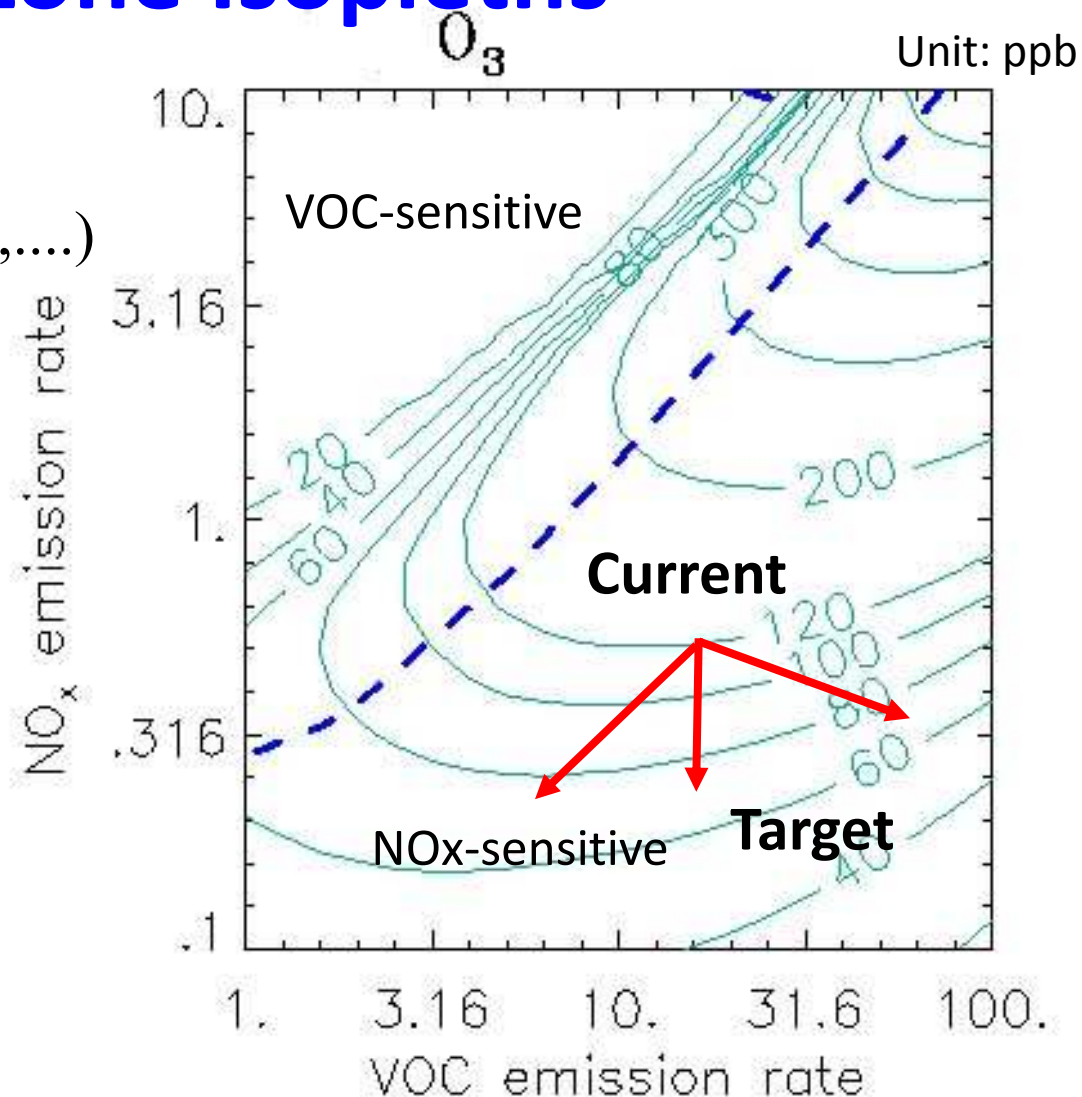
Reductions in ANO<sub>x</sub> emissions decrease total PM<sub>2.5</sub> but increase MDA8h ozone concentrations

# Traditional Framework for Developing State Implementation Plan (SIP) in the U.S.



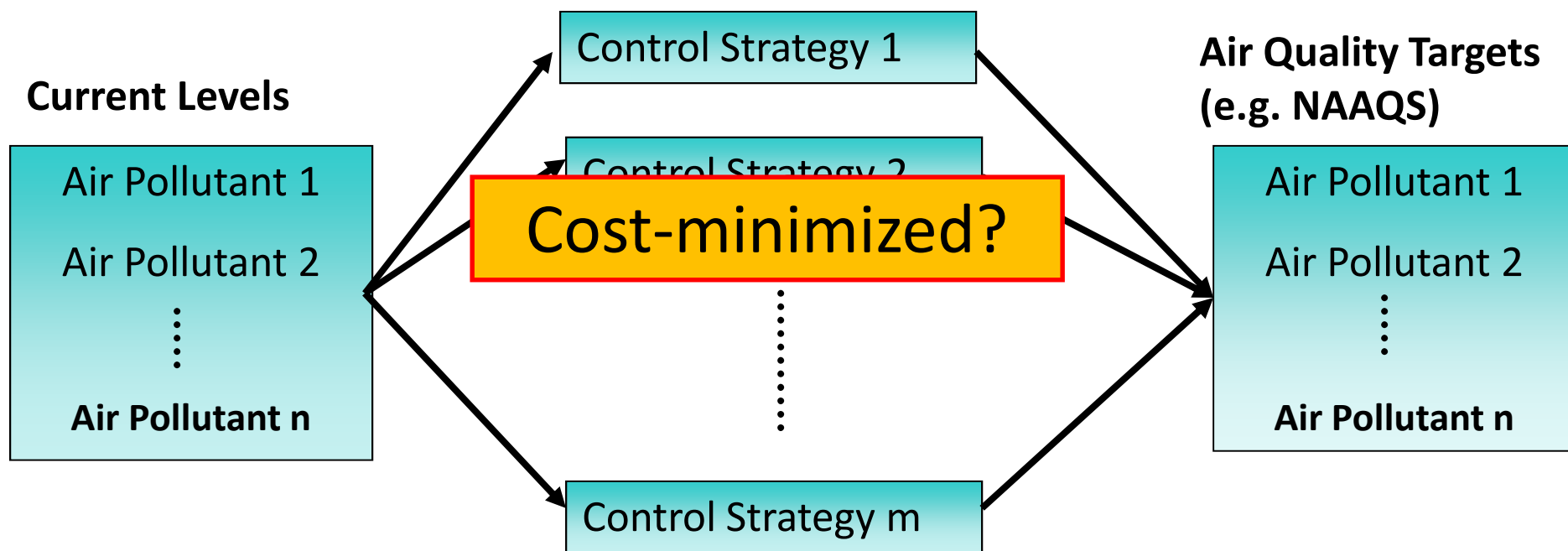
# Ozone Isopleths

$$[O_3] = f(NO_x, VOC, met., \dots)$$



<http://www-personal.umich.edu/~sillman/ozone.htm>

# Air Pollution Control Strategies for Multi-Pollutants and Multi-Locations



## Challenge:

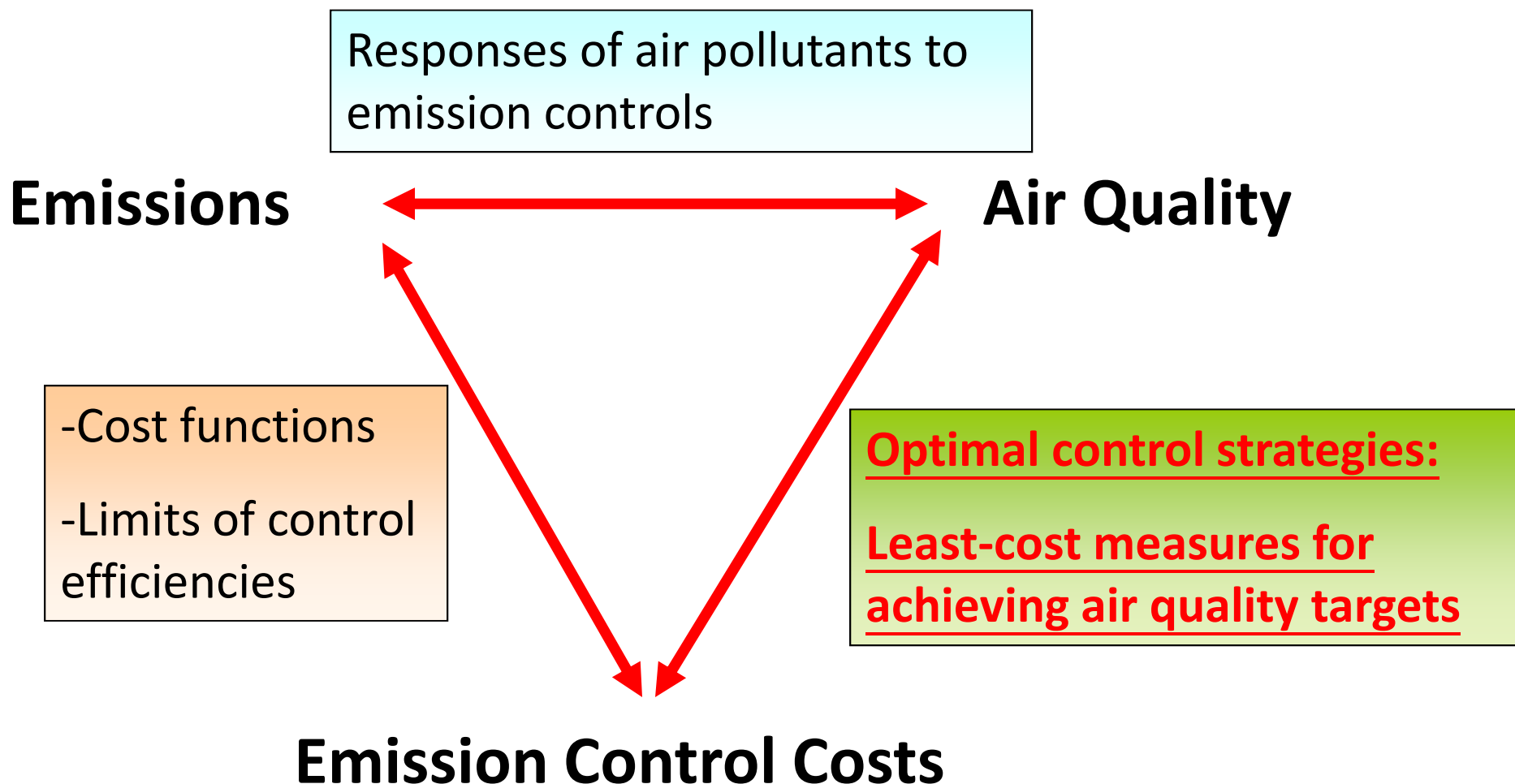
Air pollutants at different locations have different responses to changes in precursor emissions from common sources.

## Objective - I

Development of a decision-making model which identifies optimal (i.e., least-cost) control strategies for:

- achieving multipollutant air quality targets
- at multiple locations simultaneously

# What We Need to Optimize Air Quality Management Strategies?



# Modeling Domain and Setup

- U.S. EPA Models-3:

- WRF
- SMOKE
- CMAQ-DDM (36km & 15 layers)

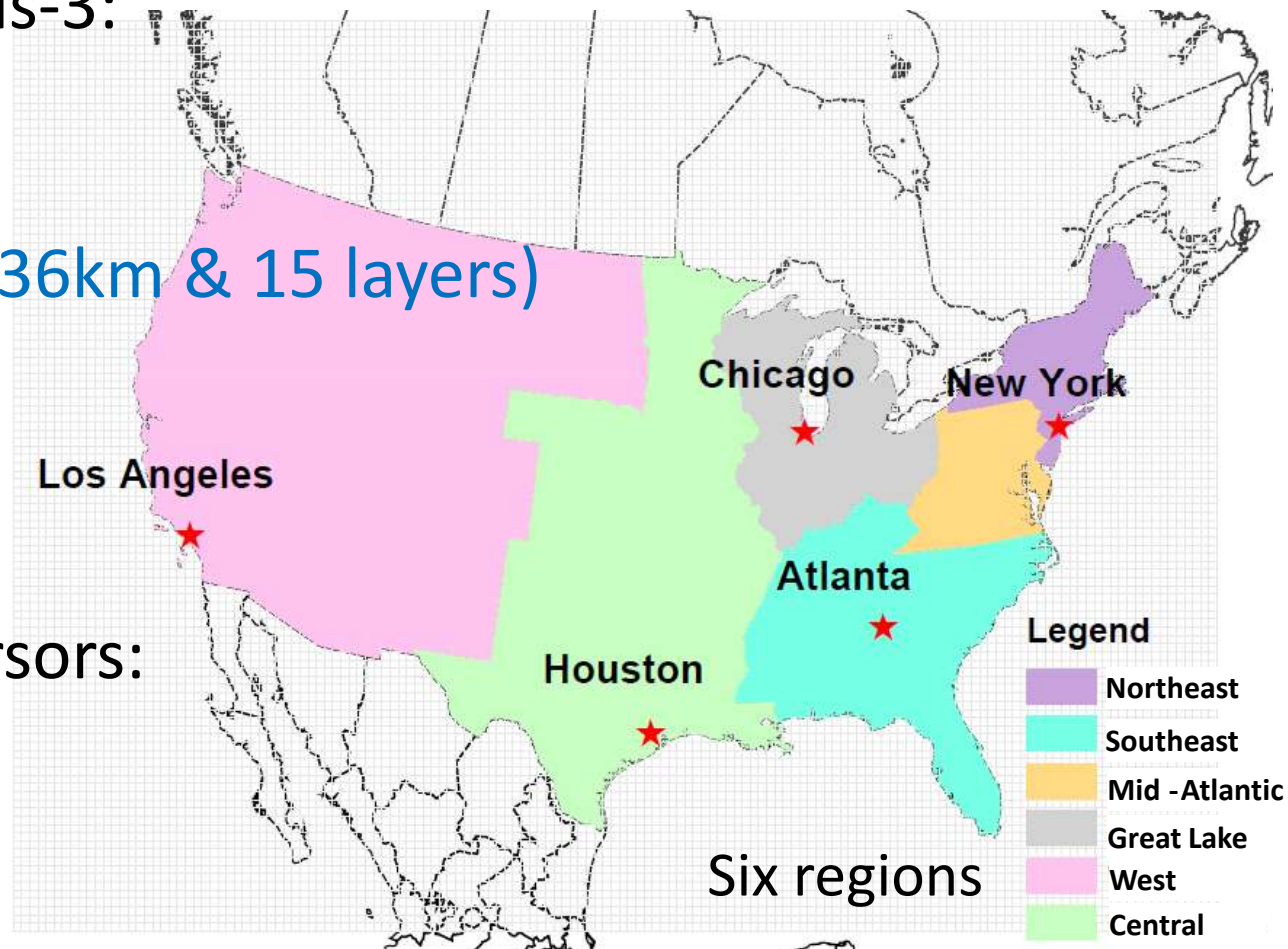
- Two pollutants:

- ozone
- $PM_{2.5}$

- Regional precursors:

- $SO_2$
- $NO_x$
- VOC

- Local primary  $PM_{2.5}$



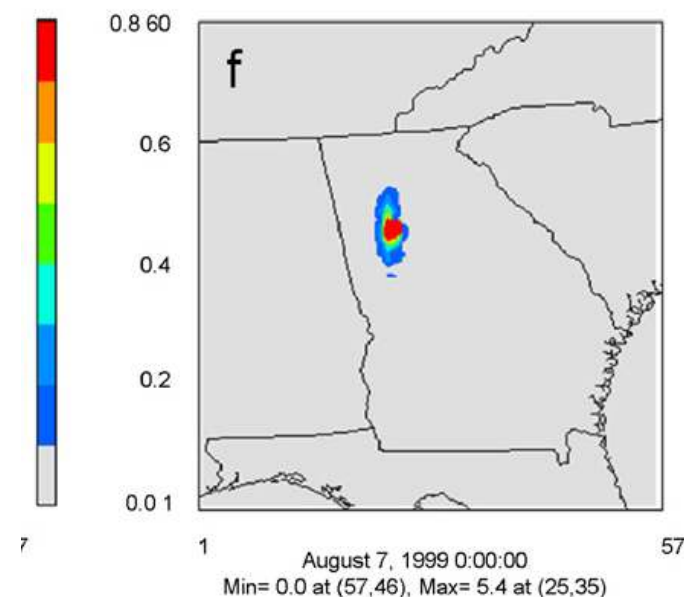
# Assumptions

1. First-order sensitivities:

$$C_{i,new} \approx C_{i,prior} + \Delta \varepsilon_j \underline{S_{i,j}} + \cancel{H.O.T} \Rightarrow S_{i,j} \approx \frac{\partial C_i}{\partial \varepsilon_j}$$

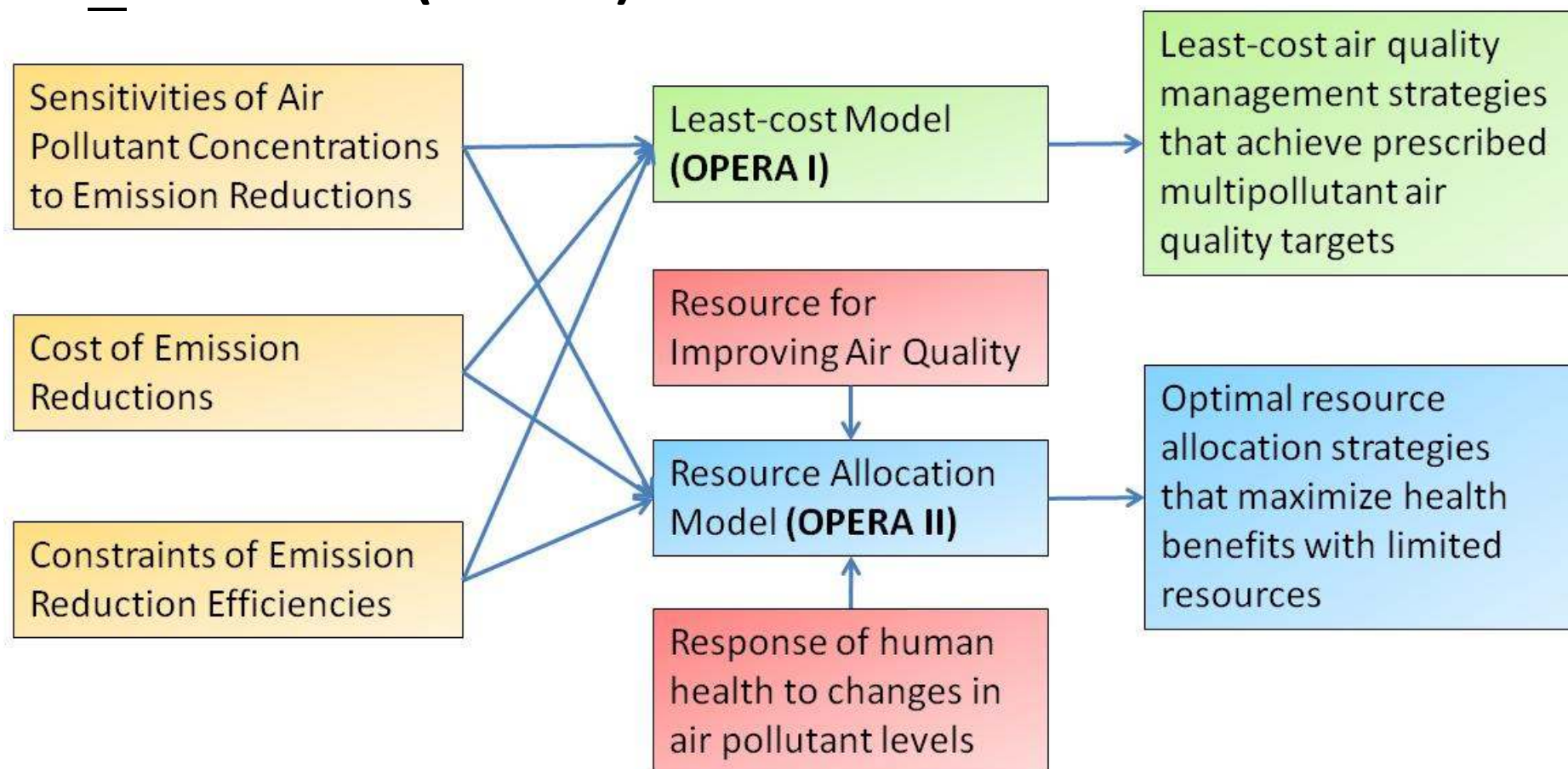
2. Ignore co-benefits of emission reductions for multiple precursors

3. Primary PM<sub>2.5</sub> emissions only have local effects on air quality:  
Metropolitan Statistical Area (MSA)



# Developing AQ Management Strategies

## OPtimal Integrated Emission Reduction Alternatives (**OPERA**)



# OPERA-I Least-Cost Model

**Minimize** 
$$\sum_{i,j} Cost_{i,j}(\Delta\epsilon_{i,j}) + \sum_k Cost_{primary\_PM_{2.5},k}(\Delta\epsilon_{primary\_PM_{2.5},k})$$

**Subject to:**

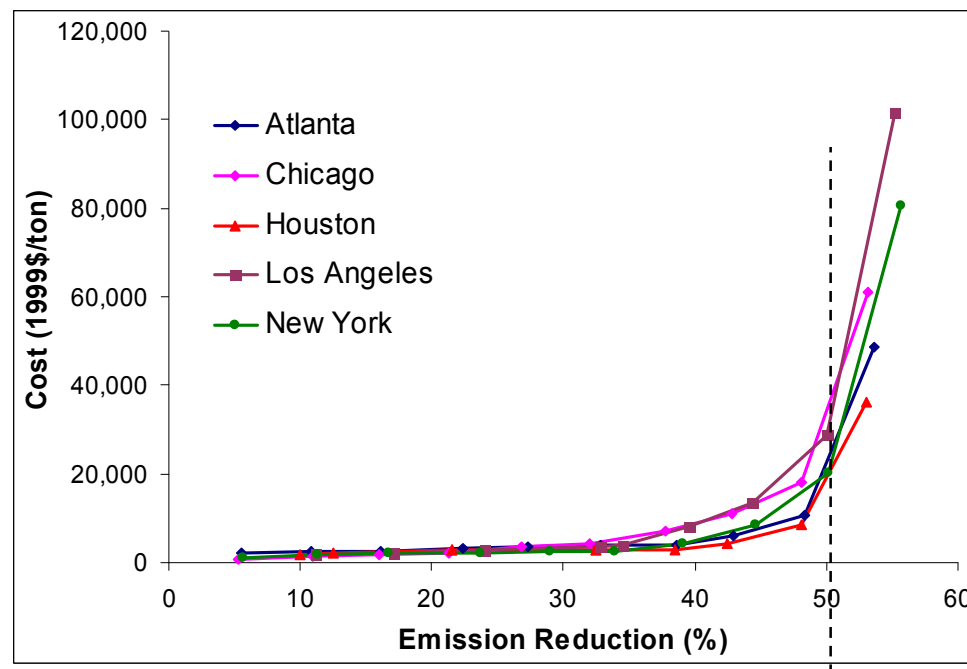
$$\sum_{i,j} \Delta\epsilon_{i,j} S_{O_3,i,j,k} \geq C_{O_3,prior,k} - \text{Ozone target}$$

$$\sum_{i,j} \Delta\epsilon_{i,j} S_{PM_{2.5},i,j,k} + \Delta\epsilon_{primary\_PM_{2.5},k} C_{primary\_PM_{2.5},k} \geq C_{PM_{2.5},prior,k} - \text{PM}_{2.5} \text{ target}$$

$$\left( \begin{array}{l} 0 \leq \Delta\epsilon_{SO_2,j} \leq R_{SO_2,j} \\ 0 \leq \Delta\epsilon_{NOx,j} \leq R_{NOx,j} \\ 0 \leq \Delta\epsilon_{VOC,j} \leq R_{VOC,j} \\ 0 \leq \Delta\epsilon_{primary\_PM_{2.5},k} \leq R_{primary\_PM_{2.5},k} \end{array} \right)$$

Constraints for emission control efficiencies

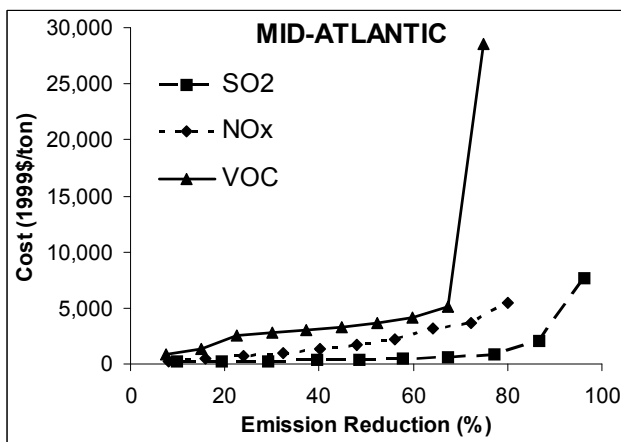
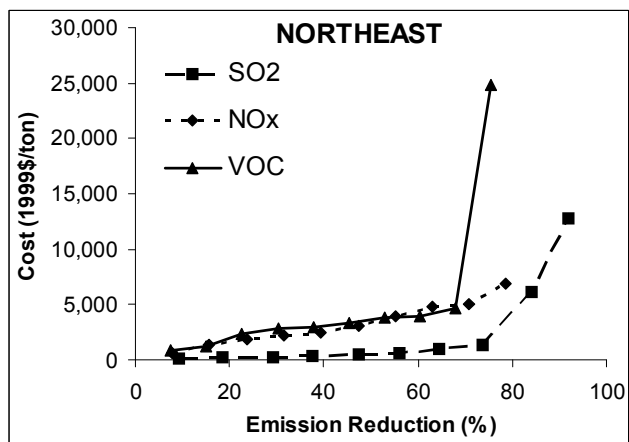
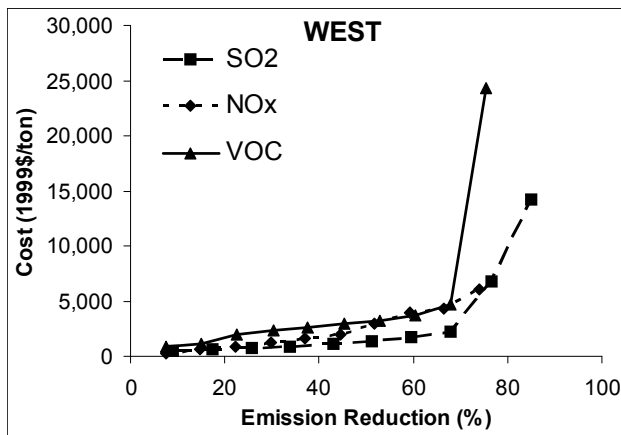
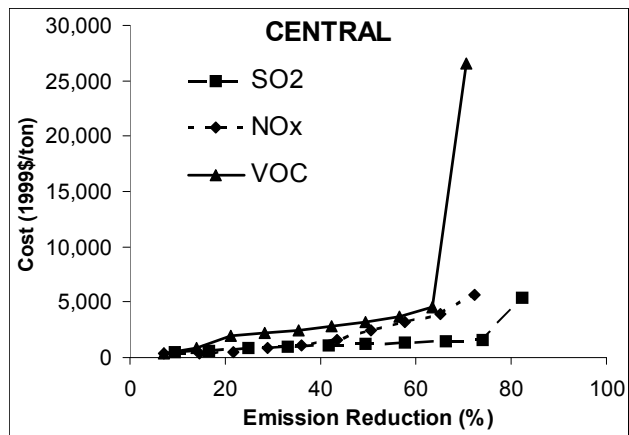
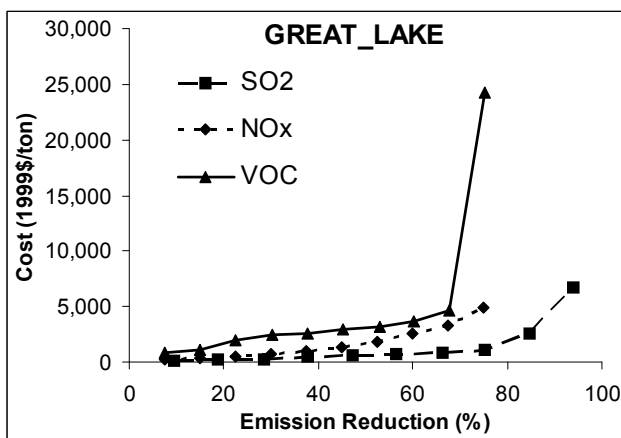
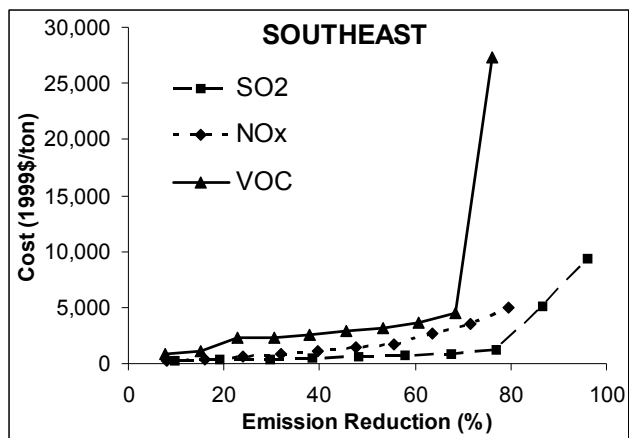
# Per-ton Cost of Primary PM<sub>2.5</sub> Emission Reductions



AirControlNET v4.1 1999 EI (*E.H. Pechan & Associates, 2006*)



EPA Control Strategy Tool (CoST), 2007-2009 EI



Per-ton cost (1999\$)  
of anthropogenic SO<sub>2</sub>,  
NO<sub>x</sub> and VOC emission  
reductions (% relative  
to total controllable  
emissions) from the  
six regions

## Objective - II

Development of an optimal resource allocation model for maximizing human health benefits attributed to air pollutant emission control:

- Maximizing human health benefits considering resource (i.e., budget) limit

# OPERA-II Resource Allocation Model

Decreases in total mortality due  
reductions in pollutant concentrations

maximize

$$\sum_{i=1}^n \underline{\Delta mortality}_i$$

Subject to:

$$\sum_{j=1}^p Cost_{j,k}(\Delta \varepsilon_{j,k}) \leq R_k \quad k = 1, 2, \dots, m$$

$$0 \leq \Delta \varepsilon_{j,k} \leq U_{j,k}$$

---


$$\Delta mortality_i = population \cdot y_0 \cdot (e^{\beta \cdot \Delta X} - 1)$$

where  $i, j$  and  $k$  present the MSAs, precursors and regions, respectively.  
 $n, p$  and  $m$  are the number of MSAs, precursors and regions, respectively

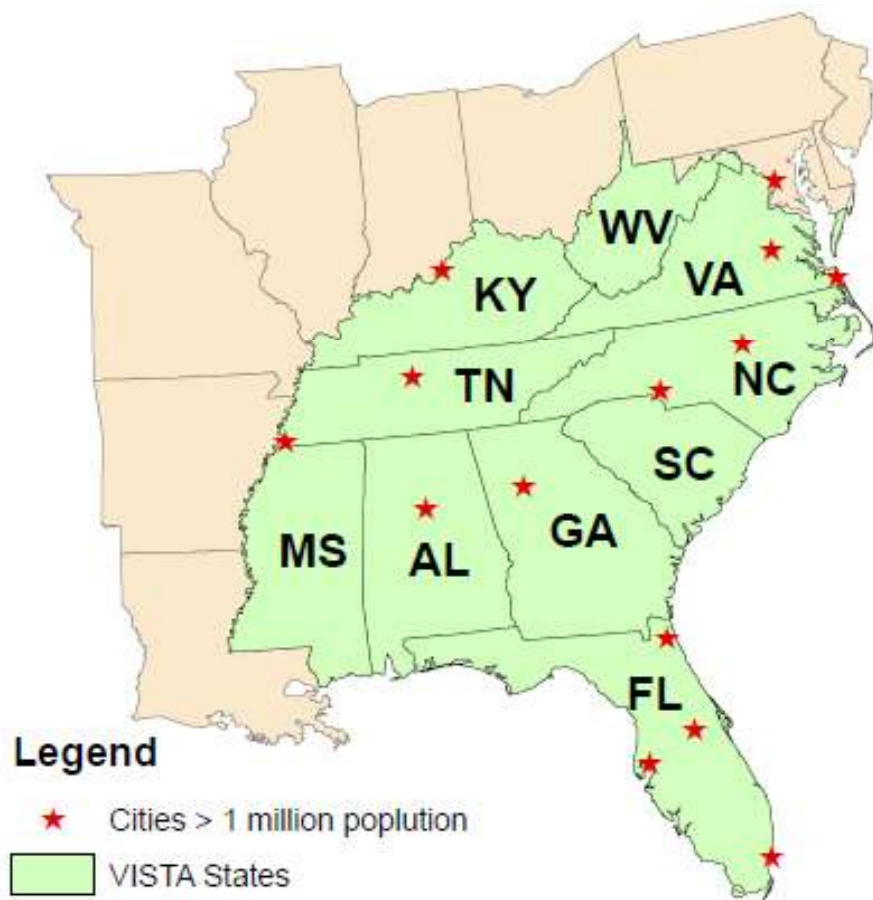
# Age-averaged Mortality Rate ( $y_0$ )

| Age                                                   | Population Proportion <sup>1</sup> | Mortality rate <sup>2</sup> (per 100 people per year) | Proportion * Mortality rate |
|-------------------------------------------------------|------------------------------------|-------------------------------------------------------|-----------------------------|
| <1                                                    | 0.014                              | 0.687                                                 | 0.009                       |
| 1-4                                                   | 0.055                              | 0.030                                                 | 0.002                       |
| 5-14                                                  | 0.146                              | 0.030                                                 | 0.004                       |
| 15-24                                                 | 0.139                              | 0.093                                                 | 0.013                       |
| 25-34                                                 | 0.136                              | 0.106                                                 | 0.014                       |
| 35-44                                                 | 0.163                              | 0.192                                                 | 0.031                       |
| 45-54                                                 | 0.135                              | 0.408                                                 | 0.055                       |
| 55-64                                                 | 0.087                              | 0.998                                                 | 0.087                       |
| 65-74                                                 | 0.066                              | 2.454                                                 | 0.162                       |
| 75-84                                                 | 0.045                              | 5.636                                                 | 0.253                       |
| >85                                                   | 0.016                              | 13.541                                                | 0.210                       |
| Age-weighted mortality rate (per 100 people per year) |                                    |                                                       | 0.841                       |

<sup>1</sup> <http://wonder.cdc.gov/>

<sup>2</sup> Environmental Benefits Mapping and Analysis Program (BenMAP) User's Manual Appendices, 2008, Abt Associates Inc Prepared for Office of Air Quality Planning and Standards of U.S. Environmental Protection Agency

# OPERA-II Modeling Domain and Cities



| MSA                                             | Population <sup>1</sup> (as of 2009) |
|-------------------------------------------------|--------------------------------------|
| 1. Miami-Fort Lauderdale-Pompano Beach, FL      | 5,547,051                            |
| 2. Washington-Arlington-Alexandria, DC-VA-MD-WV | 5,476,241                            |
| 3. Atlanta-Sandy Springs-Marietta, GA           | 5,475,213                            |
| 4. Tampa-St. Petersburg-Clearwater, FL          | 2,747,272                            |
| 5. Orlando-Kissimmee, FL                        | 2,082,421                            |
| 6. Charlotte-Gastonia-Concord, NC-SC            | 1,745,524                            |
| 7. Virginia Beach-Norfolk-Newport News, VA-NC   | 1,674,498                            |
| 8. Nashville-Davidson-Murfreesboro-Franklin, TN | 1,582,264                            |
| 9. Jacksonville, FL                             | 1,328,144                            |
| 10. Memphis, TN-MS-AR                           | 1,304,926                            |
| 11. Louisville/Jefferson County, KY-IN          | 1,258,577                            |
| 12. Richmond, VA                                | 1,238,187                            |
| 13. Birmingham-Hoover, AL                       | 1,131,070                            |
| 14. Raleigh-Cary, NC                            | 1,125,827                            |

<sup>1</sup><http://www.census.gov/popest/metro/metro.html>

# Schedule of Tasks

| Task                                                              | Year 1     |            |            |             | Year 2       |              |              |              | Year 3       |              |              |              |
|-------------------------------------------------------------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
|                                                                   | 0-3<br>mos | 3-6<br>mos | 6-9<br>mos | 9-12<br>mos | 12-15<br>mos | 15-18<br>mos | 18-21<br>mos | 21-24<br>mos | 24-27<br>mos | 27-30<br>mos | 30-33<br>mos | 33-36<br>mos |
| Conduct WRF meteorological simulations                            | •          | •          | •          | •           |              |              |              |              |              |              |              |              |
| Develop emission control cost functions                           |            |            | •          | •           |              |              |              |              |              |              |              |              |
| Prepare emission inventory and conduct smoke emission processing  |            |            | •          | •           | •            | •            | •            | •            |              |              |              |              |
| Conduct CMAQ-DDM air quality simulations and sensitivity analyses |            |            | •          | •           | •            | •            | •            | •            | •            | •            |              |              |
| Develop air quality management strategies using OPERA I & II      |            |            |            |             |              | •            | •            | •            | •            | •            | •            | •            |
| Prepare final report and papers                                   |            |            |            |             |              |              |              | •            | •            | •            | •            | •            |

## Expected Results

- Assessment of the effectiveness of emission reductions from various U.S. regions as well as local primary PM<sub>2.5</sub> emission reductions for improving multipollutant air quality in polluted urban areas.
- Development of two decision-making models,
  - a least-cost model (OPERA-I) for identifying optimal (i.e., least-cost) multipollutant air quality management strategies
  - a resource allocation model (OPERA-II) for maximizing health benefits considering limitations of resources and emission controls.
- Education promotion.