UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT MULTI-YEAR EXPERT MEETING ON TRANSPORT AND TRADE FACILITATION

THE HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS

> Dr Nikos Mikelis Marine Environment Division International Maritime Organization

> > Geneva, 9 December 2010

Some facts and figures (data by HIS Fairplay):

The world fleet of ships of 500 gross tons and above, is around 50,000 ships.

Ships have a limited life-span, reflecting economic, technical and occasionally regulatory considerations.

A realistic average life-span of a ship presently is 30 years and this implies on average that 1,670 ships need to be recycled each year.

Five countries recycle 97% to 98% of the world's tonnage:

	ANNUAL VOLUMES OF RECYCLED SHIPS (in Gross Tons / GT - Data by HIS Fairplay)									
RECYCLING STATE	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
WORLD TOTAL	12,332,006	14,295,481	16,376,661	15,928,192	7,195,492	3,772,210	4,591,645	4,152,847	8,279,787	24,958,520
BANGLADESH	2,406,923	4,992,402	4,893,973	2,889,908	3,356,537	2,113,765	2,882,503	1,837,988	4,176,026	6,608,531
CHINA (PEOPLE'S REP. OF)	2,637,463	2,509,792	3,138,838	5,582,476	1,538,067	151,089	254,146	340,738	927,762	7,737,730
INDIA	5,987,392	4,767,933	6,751,349	5,886,259	1,619,505	1,123,487	852,990	1,332,492	2,458,113	7,561,258
PAKISTAN	789,216	1,738,640	997,236	816,961	209,055	47,530	186,987	379,601	273,937	2,100,637
TURKEY	294,541	164,728	385,437	280,367	200,183	137,693	148,448	117,817	141,351	557,251
REST OF THE WORLD	216,471	121,986	209,828	472,221	272,145	198,646	266,571	144,211	302,598	393,113
% of big 5 to world total	98%	99%	99%	97%	96%	95%	94%	97%	96%	98%

Bangladesh, Chittagong



China, Guangdong



India, Alang



Pakistan, Gadani

Turkey, Aliağa

BO

Ship recycling contributes to sustainable development because virtually every part of a ship's hull, machinery, equipment, fittings and even furniture is re-used.

The industry also creates economic development for local and regional communities by the large-scale direct employment it brings, and by the additional employment and economic activity its associated industries generate, and no doubt by the large scale of trading in second hand equipment and machineries that takes place.

There are also important economic benefits to the economies of the recycling countries from the recycling of steel, wood, machinery and equipment, that would otherwise have to be imported.

Furthermore, the well being of the recycling industries in Bangladesh, China, India, Pakistan and Turkey is very important to the world's shipping industry.

However, while the principle of ship recycling is a sound one, the working practices and environmental standards in recycling yards often leave much to be desired.

Pressure demanding a safer and a more environmentally friendly ship recycling industry has been building up over the past decade, and has found outlets amongst politicians and Administrations, who have looked for ways to regulate ship recycling with international common standards.

The first attempt at addressing the problem was to try to implement "The Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal", which was adopted in 1989, which entered in force in 1992, and which currently has 175 Parties.

The Basel Convention protects the human health and the environment against adverse effects that result from the generation and management of hazardous and other wastes. In particular, the Basel Convention focuses on regulating the transboundary movement of hazardous wastes in its effort to protect developing countries from importing hazardous wastes that they are unable to manage in an environmentally sound manner. However, Basel does not establish a dedicated system for ships. Its provisions, and particularly its system of Prior Informed Consent designating a **State of export**, did not envisage ships. This has created **difficulties** in enforcing the Convention to end-of-life ships; especially in the European Union where the Basel Convention is implemented along with an amendment forbidding the export of hazardous wastes to non-OECD countries. Examples of cases where serious difficulties were experienced include *the Otapan*, *the Sea Beirut*, *the Sandrien*, *the Margaret Hill*, *the Tor Anglia*, *the Onyx*, and others.

As early as October 2004, the seventh Conference of the Parties to the Basel Convention, in its decision VII/26, **invited IMO** to consider the establishment in its regulations of mandatory requirements that ensure an equivalent level of control as established under the Basel Convention and also ensure the environmentally sound management of ship dismantling, and "which might include pre-decontamination within its scope".

IMO, having first developed and adopted in December 2003 voluntary Guidelines on Ship Recycling, agreed in December 2005, through an Assembly resolution, to develop a "new **legally binding** instrument on ship recycling".

It took just over 3 years to develop and adopt in May 2009 the Convention. The diplomatic conference held in Hong Kong and attended by representatives of 63 States, the Secretariats of the Basel Convention and of ILO, and other stakeholders, adopted the "Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009", which is also known as the **Hong Kong Convention** (here HKC).

In May 2010, Parties to Basel Convention commenced work to determine whether the HKC provides an equivalent level of control and enforcement as that provided by Basel Convention.

IMO is looking forward to a positive conclusion of this work, so that:

- the international community is encouraged to embrace the HKC as the single global standard for regulating the recycling of ships, in recognition that it was specifically developed to address the realities of the international maritime industry; and
- the duplication of regulatory instruments that have the same objective is avoided and therefore Parties to Basel Convention can accede to the HKC in the certainty that there are **no issues of conflicting jurisdiction**.

IMO is looking forward to the early entry into force of the HKC which will:

- help ship recycling States to regulate the safety and environmental standards of their recycling industries on the basis of one common international norm that curbs the undesirable consequences of unchecked competition;
- improve the health and safety of ships' crews: (a) by controlling the installation of hazardous materials to ships; and (b) by making crews aware of risks onboard through the availability of the Inventory of Hazardous Materials;
- make it possible for the shipping industry to help solve the problem of substandard ship recycling, by requiring that ships are recycled in compliant yards and in this way by making shipowners contribute to the cost of compliance to the standards of the Convention; and
- provide the international community with a global standard that can be adjusted and improved in the future.

The structure of the Hong Kong Convention

Structure of the Hong Kong Convention

The Convention includes:

- 21 Articles, establishing the main legal mechanisms
- 25 regulations, containing technical requirements, divided in four chapters:
 - 1. General (regulations 1-3)
 - 2. Requirements for ships (regulations 4-14)
 - 3. Requirements for ship recycling facilities (regulations 15-23)
 - 4. Reporting requirements (regulations 24-25)
- 7 appendices, with lists of Hazardous Materials, forms for certificates etc

Separately, 6 non-mandatory guidelines are currently being developed providing clarifications, interpretations, and uniform procedures for technical issues arising from the provisions of the Convention

Requirements for ships in service

Parties (i.e. countries that have ratified the HKC) will ensure that hazardous materials listed in Appendix 1 to the Convention will not be used in their shipyards **nor will they be installed on their ships**.

All ships, throughout their operational lives, will be provided with an **Inventory of Hazardous Materials** identifying and quantifying any materials listed in Appendices 1 and 2 to the Convention. **Part I** of the Inventory of Hazardous Materials (IHM) will have to be updated after any installations of materials listed in Appendix 2 of the HKC.

All ships will have to undergo renewal surveys verifying that the IHM continues to meet the requirements of the HKC and will be issued with the International Certificate on Inventory of Hazardous Materials (ICIHM) with 5 years' maximum validity.

And most importantly, all ships flying the flag of a Party to the Convention will have to be recycled in recycling facilities of Party States.

APPENDIX 1

CONTROLS OF HAZARDOUS MATERIALS

Hazardous Material	Definitions	Control measures
Asbestos	Materials containing asbestos	For all ships, new installation of materials which contain asbestos shall be prohibited.
Ozone-depleting substances	Ozone-depleting substances means controlled substances defined in paragraph 4 of article 1 of the Montreal Protocol on Substances that Deplete the Ozone Layer, 1987, listed in Annexes A,B,C or E to the said Protocol in force at the time of application or interpretation of this Annex.	New installations which contain ozone-depleting substances shall be prohibited on all ships, except that new installations containing hydrochlorofluorocarbons (HCFCs) are permitted until 1 January 2020.
	Ozone-depleting substances that may be found on board ship include, but are not limited to:	116 200
	Halon 1211 Bromochlorodifluoromethane Halon 1301 Bromotrifluoromethane Halon 2402 1,2-Dibromo-1,1,2,2- tetrafluoroethane (also known as Halon 114B2) CFC-11 Trichlorofluoromethane CFC-12 Dichlorodifluoromethane CFC-113 1,1,2-Trichloro-1,2,2- trifluoroethane CFC-114 1,2-Dichloro-1,1,2,2- tetrafluoroethane CFC-115 Chloropentafluoroethane	
Polychlorinated biphenyls (PCB)	"Polychlorinated biphenyls" means aromatic compounds formed in such a manner that the hydrogen atoms on the biphenyl molecule (two benzene rings bonded together by a single carbon-carbon bond) may be replaced by up to ten chlorine atoms	For all ships, new installation of materials which contain Polychlorinated biphenyls shall be prohibited.
Anti-fouling compounds and systems	Anti-fouling compounds and systems regulated under Annex I to the International Convention on the Control of Harmful Anti-fouling Systems on Ships, 2001 (AFS Convention) in force at the time of application or interpretation of this Annex.	 No ship may apply anti-fouling systems containing organotin compounds as a biocide or any other anti-fouling system whose application or use is prohibited by the AFS Convention. No new ships or new installations on ships shall apply or employ anti-fouling compounds or systems in a manner inconsistent with the AFS Convention.

MINIMUM LIST OF ITEMS FOR THE INVENTORY OF HAZARDOUS MATERIALS

Any Hazardous Materials listed in Appendix 1
Cadmium and Cadmium Compounds
Hexavalent Chromium and Hexavalent Chromium Compounds
Lead and Lead Compounds
Mercury and Mercury Compounds
Polybrominated Biphenyl (PBBs)
Polybrominated Diphenyl Ethers (PBDEs)
Polychlorinated Naphthalenes (more than 3 chlorine atoms)
Radioactive Substances
Certain Shortchain Chlorinated Paraffins (Alkanes, C10-C13, chloro)

APPENDIX 2

Requirements for ships preparing for recycling:

The shipowner has to:

- select an authorized recycling facility (in a Party State) which must be capable to deal with the types and quantities of hazardous materials contained in the ship (as per IHM);
- complete Part II (for operationally generated wastes) and Part III (for stores) of the IHM;
- provide the facility with copies of the IHM, the ICIHM, and with any other relevant information (with which the facility will develop the Ship Recycling Plan);
- notify the Administration (flag State) of intention to recycle the ship;
- (once the approved Ship Recycling Plan is received from the facility) arrange for a final survey to verify the IHM and that the SRP reflects correctly the IHM and that it contains other required information;
- following the final survey obtain the International Ready for Recycling Certificate (IRRC) from the flag State or its Recognized Organization.

Requirements for recycling States

- establish legislation implementing the HKC;
- designate one or more Competent Authorities (CA) and a single contact point to be used by interested entities;
- establish a mechanism for ensuring that SRF comply with the HKC; and
- establish a mechanism for authorizing SRF. (This authorization also provides information on any limitations imposed on the SRF as condition for its authorization. The SRF may be limited by way of the types or sizes of ships they recycle and by way of the categories and quantities of hazardous materials they can safely process.)

APPENDIX 5

FORM OF THE AUTHORIZATION OF SHIP RECYCLING FACILITIES

Document of Authorization to conduct Ship Recycling (DASR) in accordance with the requirements of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009

Issued under the provision of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (hereinafter referred to as "the Convention") under the authority of the Government of:

(Name of the State)

by.....(Full designation of the Competent Authority under the Convention)

Name of Ship Recycling Facility
Distinctive Recycling Company identity No.
Full address of Ship Recycling Facility
Primary contact person
Phone number
E-mail address
Name, address, and contact information of
ownership company
Working language(s)

This is to verify that the Ship Recycling Facility has implemented management systems, procedures and techniques in accordance with Chapters 3 and 4 to the Annex to the Convention.

This authorization is valid until and is subject to the limitations identified in the attached supplement.

This authorization is subject to amendment, suspension, withdrawal, or periodic renewal in accordance with regulation 16 of the Annex to the Convention.

Issued at

(*Place of issue of the authorization*)

(dd/mm/yyyy)

(*Date of issue*) (*Signature of duly authorized official issuing the authorization*)

.....

(Typed name and title of duly authorized official issuing the authorization)

(Seal or stamp of the authority, as appropriate)

SUPPLEMENT TO:

Document of Authorization to undertake Ship Recycling (DASR) in accordance with the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009

1 GENERAL TERMS

•••••

1.1 Acceptance of ships

For ships to which the Convention applies and ships treated similarly pursuant to Article 3.4 of the Convention, the Ship Recycling Facility can only accept a ship for recycling in accordance with regulation 17 of the Annex to the Convention.

1.3 Safe-for-hot work and Safe-for-entry conditions

The Ship Recycling Facility is capable of establishing, maintaining and monitoring Safe-for-hot work and Safe-for-entry conditions throughout the Ship Recycling process.

1.4 Management of Hazardous Materials

The Ship Recycling Facility is designed, constructed, operated, and required to ensure that all Hazardous Materials' management shall be safe and environmentally sound in compliance with the Convention and with all relevant local or national regulations/requirements.

1.5 Map and location of Ship Recycling operations

A map of the boundary of the Ship Recycling Facility and the location of Ship Recycling operations within it, is attached.

2 CAPABILITY OF SHIP RECYCLING FACILITY

2.1 Size of ships

The Ship Recycling Facility is authorized to accept a ship for recycling subject to the following size limitations:

Ma	ximum Size	Other Limitations
Length		
Breadth		
Lightweight		

2.2 Safe and Environmentally Sound Management of Hazardous Materials

The Ship Recycling Facility is authorized to accept a ship for recycling that contains Hazardous Materials as specified in the following table subject to the conditions noted below:

	Manageme	nt of Hazar		
Hazardous Material(*4)	Removal	Storage	Process (*1)	Authorization/Limitations
	Y/N ([*] 2)	Y/N	Y/N ([*] 3)	16000
Asbestos			(m)	
Ozone-depleting				
substances				
Polychlorinated biphenyls				
(PCB)				
Anti-fouling compounds			119.36	111
and systems			11135	
Cadmium and Cadmium		2	1.	
Compounds				
Hexavalent Chromium			1.100	
and Hexavalent	1			
Chromium Compounds				
Lead and Lead				100 C
Compounds				
Mercury and Mercury				
Compounds				
Polybrominated Biphenyl	1.00		1000	
(PBBs)				
Polybrominated Diphenyl				1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Ethers (PBDEs)				
Polychlorinated		1.1		
Naphthalenes (more than				
3 chlorine atoms)				
Radioactive substances				
Certain Shortchain				
Chlorinated Paraffins	-			
(Alkanes, C10-C13,				
chloro)				
Hazardous liquids,				1 - 3 -
residues and sediments				
Paints and coatings that				
are highly flammable				
and/or lead to toxic				
release				
Other Hazardous				
Materials not listed above				
and that are not a part of				
the ship structure				
(specify)				

IMO

Notes: *1 Process means the processing of Hazardous Materials in the Ship Recycling Facility, such as:

- a. incineration of Hazardous Materials;
- b. reclamation of Hazardous Materials; and
- c. treatment of oily residues.
- ^{*}2 If Yes (Y), indicate in the Ship Recycling Facility Plan the responsible personnel authorized to carry out the removal, with the certificate number or other relevant information.
- *3 If No (N), describe in the Ship Recycling Plan where the Hazardous Materials are to be processed/disposed.
- ^{*}4 These Hazardous Materials are specified in Appendices 1 and 2 and regulation 20 of the Convention.

Requirements for Ship Recycling Facilities (general)

- SRF shall develop and implement a Ship Recycling Facility Plan (SRFP) that covers: worker safety and training; protection of human health and the environment; roles and responsibilities of personnel; emergency preparedness and response; and systems for monitoring, reporting and record-keeping;
- SRF located within the jurisdiction of a Party shall be authorized by that Party. The authorization shall have 5 years' maximum validity; and
- SRF shall only accept ships that comply with the Convention, or which meet its requirements. Furthermore SRF shall only accept ships they are authorized to recycle.

Requirements for Ship Recycling Facilities (ship specific)

- a ship-specific Ship Recycling Plan (SRP) shall be developed taking into account information provided by the shipowner (i.e. IHM, ICIHM, etc);
- a SRF preparing to receive a ship shall notify its CA of the intent (the notification shall include details of the ship, its owner and the IHM and the draft SRP);
- the SRP shall be approved, tacitly or explicitly, by the CA and then shall be made available to the ship for its final survey;
- When the ship has acquired the IRRC, the SRF shall report to its CA the planned start of recycling. (The report shall include a copy of the IRRC. Recycling of the ship shall not start prior to the submission of this report.)

How will the Hong Kong Convention enter into force ?

Article 17 Entry into force

- 1 This Convention shall enter into force 24 months after the date on which the following conditions are met:
 - .1 not less than 15 States have either signed it without reservation as to ratification, acceptance or approval, or have deposited the requisite instrument of ratification, acceptance, approval or accession in accordance with Article 16;
 - .2 the combined merchant fleets of the States mentioned in paragraph 1.1 constitute not less than 40 per cent of the gross tonnage of the world's merchant shipping; and
 - .3 the combined maximum annual ship recycling volume of the States mentioned in paragraph 1.1 during the preceding 10 years constitutes not less than 3 per cent of the gross tonnage of the combined merchant shipping of the same States.

and expressed in numbers:

	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
World fleet	558,053,957	574,551,264	585,583,396	605,218,368	633,321,120	675,115,956	721,855,399	774,936,508	830,704,412	882,634,804
40% of World fleet	223,221,583	229,820,506	234,233,358	242,087,347	253,328,448	270,046,382	288,742,160	309,974,603	332,281,765	353,053,922
3% of 40%	6,696,647	6,894,615	7,027,001	7,262,620	7,599,853	8,101,391	8,662,265	9,299,238	9,968,453	10,591,618

In 2009 the requirements for entry into force of the HKC are that it has to be ratified by at least:

- 15 States;
- whose fleets amount to at least 353,053,922 gross tonnage (GT); and
- whose recycling facilities' combined maximum annual ship recycling volume is at least 10,591,618 GT.

In 2010 the criteria will change according to the then published figure of the total GT of the world fleet (will be published early in 2011).

World fleet by flag 2009										
Registration	GT	% world	% cumulative							
PANAMA	190,663,127	21.6	6% 21.6%							
LIBERIA	91,695,845	10.4	4% 32.0%							
MARSHALL ISLANDS	49,088,266	5.6	5% 37.6%							
BAHAMAS	48,119,081	5.5	5% 43.0%							
HONG KONG	45,338,273	5.1	1% 48.1%							
SINGAPORE	41,046,576	4.7	7% 52.8%							
GREECE	38,910,582	4.4	4% 57.2%							
MALTA	35,036,988	4.0	0% 61.2%							
CHINA	30,077,129	3.4	4% 64.6%							
CYPRUS	20,168,906	2.3	66.9%							
UNITED KINGDOM	16,958,032	1.9	68.8%							
ITALY	15,530,633	1.8	3% 70.5%							
GERMANY	15,157,075	1.7	7% 72.3%							
JAPAN	14,725,189	1.7	7% 73.9%							
	KAR VRI									
	1. 1.58									

Calculation of the combined maximum annual recycling volume (GT) using data for the preceding 10 years (as published in IHS Fairplay's World Casualty Statistics)

WORLD CASUALTY 2009 **STATISTICS** Fairplay aritime Knowledge Centre

TABLE 7C DISPOSALS - BY COUNTRY OF BREAKING									2009	
COUNTRY OF BREAKING TOTALS			CARGO CARRYING SHIPS				MISC	HIPS OF ELLANEOU CTIVITIES	JS	
	No.	GT	Age	No.	GT	Dwt	Age	No.	GT	Age
ARGENTINA	8	28,697	36	2	25,621	40,483	28	6	3,076	39
BANGLADESH	196	6,608,531	28	195	6,595,035	10,722,558	28	т	13,496	27
BELGIUM	20	5,267	24					20	5,267	24
BRAZIL	2	467	41					2	467	41
BULGARIA	1	2,406	44	1	2,406	3,310	44			
CANADA	1	161	52					I	161	52
CAPE VERDE	1	1,290	41	1	1,290	1,078	41			
CARIBBEAN	1	550	64					1	550	64
CHINA, PEOPLE'S REPUBLIC OF	301	7,737,730	29	298	7,734,315	8,038,978	29	3	3,415	37
DENMARK	21	15,829	36	5	11,402	16,238	33	16	4,427	37
EGYPT	2	2,143	35	2	2,143	2,846	35			
FRANCE	1	3,395	39	1	3,395	5,928	39			
GREECE	1	487	45	1	487	1,002	45			
INDIA	425	7,561,258	30	418	7,267,604	9,098,621	30	7	293,654	31
INDONESIA	1	6,695	32	1	6,695	8,520	32			
JAPAN	2	990	32					2	990	32
KOREA, SOUTH	1	673	30					1	673	30
LITHUANIA	1	721	24					1	721	24
NETHERLANDS	8	4,185	33	1	2,493	1,583	28	7	1,692	34
NIGERIA	1	4,015	26	I	4,015	6,701	26			
PAKISTAN	101	2,100,637	30	101	2,100,637	3,324,773	30			
PERU	1	6,892	36	1	6,892	9,218	36			
PORTUGAL	1	3,466	33	1	3,466	6,072	33			
RUSSIA	7	5,318	31					7	5,318	31
SOUTH AFRICA	1	585	33					1	585	33
SPAIN	18	14,206	33	5	9,510	12,923	35	13	4,696	33
TRINIDAD & TOBAGO	1	400	74	1	400	400	74			
TURKEY	117	557,251	37	113	551,808	646,141	37	4	5,443	36
UKRAINE	1	717	30					1	717	30
UNITED KINGDOM	2	2,411	28	2	2,411	3,224	28			
UNITED STATES OF AMERICA	10	10,868	41	1	649	610	37	9	10,219	41
UNKNOWN	40	270,279	32	22	263,866	413,067	32	18	6,413	32
GRAND TOTAL	1,295	24,958,520	30	1,174	24,596,540	32,364,274	30	121	361,980	33

In the last decade around 97% to 98% of the world's recycled tonnage has been recycled by the same five countries. Three of these countries have large capacities (7.7MGT; 7.6MGT & 6.8MGT); one has medium capacity (2.1MGT); and one has small capacity (0.6MGT).

Ratification by two large recycling capacity countries is more than sufficient for the Convention's entry into force (10.6MGT).

With an average annual increase of the world fleet by 5%, ratification by two large recycling capacity countries will be sufficient until 2015 or beyond.

If there is even a small increase in recycled volumes in 2010 or in 2011, it might become possible for the recycling criterion to be met with ratifications by one large, one medium and one small recycling capacity countries.

Interim measures for introducing technical requirements of the Hong Kong Convention on a voluntary basis The conditions for entry into force of the Hong Kong Convention might take a few years to be met and for the Convention to enter into force.

The diplomatic Conference that adopted the Hong Kong Convention also adopted a resolution inviting Member States and the industry to voluntarily implement relevant technical requirements of the Convention in the interim period up to the Convention's entry into force. The following are key technical requirements of the Hong Kong Convention, some of which may be considered as suitable interim measures:

- 1 prohibition of installation or use of Hazardous Materials listed in its Appendix 1;
- provision of the Inventory of Hazardous Materials: (a) to new ships;
 (b) to existing ships; and (c) to ships going for recycling;
- 3 Safe-for-hot work and Safe-for-entry: (a) obligations for shipowners; and (b) obligations for ship recycling facilities;
- 4 preparation of a Ship Recycling Plan for ships destined for recycling;
- 5 compliance of ship recycling facilities to the Convention's safety, health and environmental standards;
- 6 authorization of Ship Recycling Facilities by the relevant Competent Authority;
- 7 surveying and certification of ships by their flag States; and
- 8 notification and reporting requirements to the recycling State and to the flag State.

International shipowners' associations (e.g., ICS, BIMCO, INTERTANKO) have already agreed to support the voluntary implementation of the technical requirements of the Hong Kong Convention and already many ships are being supplied with Inventories of Hazardous Materials.

IMO's Secretariat is encouraging discussions between the recyclers' associations and the administrations of Bangladesh, China, India, Pakistan, and Turkey, so that the global ship recycling industry may also start the step by step implementation of the technical requirements of the Hong Kong Convention the soonest possible.

In this spirit, in May 2010, the Pattaya Workshop brought together for the first time representatives of the ship recycling associations of the five States, as well as representatives of national and international shipowners' associations, senior officials of the administrations of the five recycling States and of other States, and experts from UN bodies, from IGOs and NGOs.

What the critics say

A group of critics of the Hong Kong Convention have expressed strong dissatisfaction over two issues:

- (1) the Hong Kong Convention does not ban beaching; and
- (2) the Hong Kong Convention does not mandate pre-cleaning

Let us next examine the practicality of these claims.

Beaching

The Hong Kong Convention does not ban the beaching method of recycling.

The developers of the Convention realized that banning of beaching would be meaningless, since three quarters of the world's recycling capacity relies on the beaching method.

Instead, the Convention addresses the reduction of the risks to human health and safety and to the environment through requirements on worker safety and training; requirements for the protection of human health and the environment; for emergency preparedness and response; and systems for monitoring, reporting and record-keeping.

In this way IMO intends that the Hong Kong Convention should become the universal standard for regulating ship recycling activities, whether these are conducted in countries that employ beaching, or countries employing more advanced methods.

Pre-cleaning of hazardous wastes

The HKC does not require that all ships arrive at the recycling facilities of developing countries pre-cleaned of all hazardous materials.

This is because a ship that is pre-cleaned is unseaworthy, since its insulation is stripped, its electrical cables are removed, etc. It is therefore necessary to tow pre-cleaned ships to their place of recycling. And if pre-cleaning was to be done only in OECD (note: there is no international requirement for this), it is highly unlikely that the economics, practicality and hazards of towing will allow many ships to be recycled in South Asia, or in China.

Instead, HKC recognizes that **pre-cleaning can take place in any country**, and not only within the OECD, and therefore empowers the recycling State to authorize or restrict each recycling yard according to its capability. In this way, a ship may either be pre-cleaned in the facility where the recycling takes place, or if the recycling facility is not suitably equipped, the pre-cleaning can be done at a (nearby) facility that is equipped and authorized to do so.

Of course, a recycling State can, through the HKC, prohibit some or all its facilities from receiving any hazardous materials. However in the later case it will curtail ship recycling business.

thank you for your attention

The views expressed in this presentation are those of its author