US ERA ARCHIVE DOCUMENT

DRAFT SUPPORTING STATEMENT

INFORMATION COLLECTION REQUEST

FOR

PAINT MANUFACTURING WASTE INDUSTRY SURVEY

Office of Solid Waste U.S. Environmental Protection Agency

August 1999

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1. IDENTIFICATION OF INFORMATION COLLECTION

1(a) Title and Number of the Information Collection

This Information Collection Request (ICR) is entitled, "Paint Manufacturing Industry Survey," ICR #1925.01.

1(b) Short Characterization of the Information Collection

The U.S. Environmental Protection Agency (EPA) is conducting a survey (Information Collection Request, or ICR) of the U.S. paint manufacturing industry to determine if certain residuals should be listed as hazardous waste under the Resource Conservation and Recovery Act (RCRA) Section 3001(b)(1) (42 U.S.C. 6921). On June 13, 1997, the EPA and Department of Justice signed a consent decree in *EDF v. Browner* (Civ. No. 89-0598 D.D.C.) establishing an extensive series of deadlines. This consent decree obligates EPA to propose and finalize listing determinations for specific wastes as outlined in RCRA Section 3001(e)(2) (42 U.S.C. 6901)¹. With respect to the paint industry, EPA must promulgate final listing determinations for the following five paint production residuals:

- solvent cleaning wastes,
- water/caustic cleaning wastes,
- wastewater treatment sludge,
- emissions control dust or sludge, for which listings were suspended on January 16, 1981 (46 FR 4614), and
- off-specification production wastes.

This ICR is necessary because EPA does not currently have sufficient information to evaluate and promulgate a listing determination for the paint manufacturing industry under Sections 3001 and 3004 of RCRA (42 U.S.C. 6921 and 6924). If approved by the Office of Management and Budget (OMB), this ICR will include three specific information collection mechanisms:

- RCRA Section 3007 Questionnaire. EPA will conduct a census of the U.S. paint manufacturing facilities and administer a questionnaire through a mail survey to these facilities. EPA will compile the data collected from the questionnaire on the number, location, and demographics of facilities generating paint waste streams. EPA will use this information to characterize paint waste types and quantities, physical and chemical characteristics, and waste management practices. In addition, some follow-up via telephone and/or letter may be necessary if some responses are incomplete and/or unclear.
- RCRA Section 3007 Letters. In addition to the administration of the questionnaire, EPA will send

¹RCRA Section 3001(e)(2) (42 U.S.C. 6901) states "No later than fifteen months after the date of enactment of the Hazardous and Solid Waste Amendments of 1984, the Administrator shall make a determination of whether or not to list under subsection (b)(1) the following wastes: Chlorinated Aliphatics, Dioxin, Dimethyl Hydrazine, TDI (Toluene Diisocyanate), Carbamates, Bromacil, Linuron, Organobromines, solvents, refining wastes, chlorinated aromatics, dyes and pigments, inorganic chemical industry wastes, lithium batteries, coke byproducts, paint production wastes [emphasis added], and coal slurry pipeline effluent."

letters to no more than 100 paint facilities (which will also receive the questionnaire) requesting process schematic information. These facilities will be selected based an analysis of publicly available information. EPA's purpose for requesting this information is to gain an understanding of how different types of wastes are generated.

Site visits to individual facilities. The Agency will perform no more than 25 sites trips to collect waste management information following the ICR review process. The purpose of these visits is to gather process, waste generation, and waste management information. Information collected during the site visits will supplement data obtained from the RCRA Section 3007 Questionnaire responses. Sampling and analysis efforts will be performed at a limited number of facilities.

These information collection mechanisms will expand on EPA's current understanding of the paint manufacturing industry's residual management practices. These survey tools are designed to provide an accurate picture of the waste generation patterns in the paint manufacturing industry group while minimizing the burden placed on the industry. Current available information, in combination with the questionnaire responses, process description information, site visit reports, and sampling results will provide information necessary to make hazardous waste listing determinations.

2. NEED FOR AND USE OF THE COLLECTION

2(a) Need/Authority for the Collection

2(a)(i) Hazardous Waste Listing

EPA has the authority pursuant to RCRA to promulgate regulations to identify and list hazardous wastes². EPA must compile an extensive information base to determine whether or not to list a hazardous waste. The information required to make this determination includes details of waste generation (including volume), analyses of waste constituents, and waste management practices for the targeted industry. EPA uses the data collected to decide whether to develop regulations to identify and control hazardous waste.

In this case, EPA will use data collected from the RCRA Section 3007 Questionnaire, Letter, and site visit – in conjunction with current available information – to determine whether risks presented by the management of the waste generated by the paint manufacturing industry meets the risk-based criteria for listing at 40 CFR 261.11. Section 3007(a) of RCRA (42 U.S.C. 6927) authorizes the collection of this information under the provisions of OMB #2050-0042³. The Agency uses this information to characterize the potential risks presented by each waste considering current waste management practices, release and transport pathways, attenuation mechanisms, potential exposures for various environments and species, and

²RCRA Section 3001(b)(1) states that "...the Administrator shall promulgate regulations identifying the characteristics of hazardous waste, and listing particular hazardous wastes (within the meaning of Section 1004(5), which shall be subject to the provisions of this subtitle."

³The Agency has the authority to collect data from industries under RCRA Section 3007(a) (42 U.S.C. 6927), which specifically states that "any person who generates, stores, treats, transports, disposes of or otherwise handles or has handled hazardous wastes" is required to "furnish information relating to such wastes" upon EPA request. This information request can be made "for purposes of developing or assisting in the development of any regulation...."

the hazards created by these potential exposures. These analyses ensure that any regulatory action is fully supported by risk assessment and that each listing action results in significant environmental protection.

2(a)(ii) Land Disposal Restrictions and Capacity Analysis

The Hazardous and Solid Waste Amendments (HSWA) to RCRA require EPA to make a land disposal prohibition determination for any hazardous waste that is newly identified or listed after November 8, 1984 [RCRA Section 3004(g)(4); 42 U.S.C. 6924(g)(4)]. If the Agency decides to list some or all paint residuals as hazardous, the land disposal restrictions (LDR) and the associated capacity determinations for a newly listed waste must be promulgated within six months of the listing date. EPA will use the information gathered through the ICR to support rulemaking activities under 40 CFR Part 268, the land disposal restrictions (LDRs).

EPA will use the information gathered through the ICR to support rulemaking activities under 40 CFR Part 268, the land disposal restrictions (LDRs) Information obtained from the questionnaire, letters and site visits will be used to help determine waste specific LDR treatment requirements. The data from the paint industry survey will also be used for Regulatory Impact Assessments, Economic Impact Assessments, Capacity Analyses, and Risk Assessment.

A land disposal prohibition establishes treatment levels or methods that a hazardous waste must meet before it is land disposed. These treatment levels or methods must substantially diminish the toxicity of the waste or substantially reduce the likelihood of migration of hazardous constituents from the waste to minimize short-term and long-term threats to human health or the environment [RCRA Section 3004(m)(1); 42 U.S.C. 6924(m)(1)].

Before EPA can restrict a particular hazardous waste from further land disposal, it must demonstrate that adequate treatment and management capacity exists to treat, recover, or dispose of the newly identified hazardous waste consistent with the new treatment standards. If adequate national capacity for a specific newly identified hazardous waste does not exist, EPA may grant national capacity variances on a waste-specific basis until adequate alternative management capacity exists, or a variance of two years, whichever is shorter [RCRA Section 3004(h)(2); 42 U.S.C. 6924(h)(2)].

2(b) Practical Utility/Users of Data

EPA's Office of Solid Waste (OSW) will use the data collected under the authority of RCRA Section 3007 to:

- compile data characterizing paint manufacturing waste volumes and management practices;
- identify any paint manufacturing residuals that should be listed under 40 CFR 261.31, 261.32 and 261.33;
- perform engineering analyses, draft background documents, and conduct economic impact analyses

in support of new listing determinations and land disposal restrictions (if applicable); and,

provide baseline data for regulatory impact analyses, economic impact analyses, risk assessment and capacity analyses.

These tasks will be completed by OSW's:

- ► Hazardous Waste Identification Division (HWID) who is responsible for fulfilling the hazardous waste listing portion of the legislative and judicial mandates.
- Economics Methods Risk Assessment Division (EMRAD) who is responsible for completing risk and economic analyses to support a hazardous waste listing determination.
- Hazardous Waste Minimization and Management Division (HWMMD) who is responsible for determining waste-specific treatment standards, and assessing availability and demand for hazardous waste treatment capacity at hazardous waste treatment and disposal facilities.

3. NONDUPLICATION, CONSULTATIONS, AND OTHER COLLECTION CRITERIA

3(a) Nonduplication

The purpose of this ICR is to collect additional information that supplements EPA's initial paint manufacturing industry survey of publically available information. These data will enable EPA to make hazardous waste listing determinations as stipulated under 40 CFR §261.11.

EPA previously studied the paint manufacturing industry in the 1980s. Before developing this ICR request, EPA reviewed the materials prepared during this earlier industry study. In addition, EPA non-hazardous waste reports were reviewed for information pertaining to the paint manufacturing industry. For instance, the 1986 EPA "Industrial Subtitle D Screening Information" report contains only data describing the quantities of industrial non-hazardous waste managed and the number of units used to manage the waste. Similarly, the EPA "Summary of Data on Industrial Non-hazardous Waste Disposal Practices" reports contain information on non-hazardous industrial waste quantities and waste management units. As well, several information sources were investigated for data relevant to the paint manufacturing industry, including:

- Kirk-Othmer Encyclopedia of Chemical Technology,
- ► Toxline 1981 to present,
- National Technical Information Service (NTIS),
- Comprehensive Environmental Response, Compensation, and Liability Act Information System (CERCLIS), all Records of Decisions (RODs) with "paint" root 113,
- ► Federal Register (1994 to present),
- Paint Red Book (survey results published by the Modern Paint and Coatings journal),
- National Paint and Coatings Association publications, and
- Studies on the paint industry by EPA's Risk Reduction Laboratory.

From these sources, EPA was able to obtain general information characterizing the paint manufacturing industry, paint technology and formulation, and the management of paint manufacturing waste. EPA was also able to develop a list of paint manufacturing facilities that manage any or all of the five target

residuals.

EPA also investigated databases that provide data on paint manufacturing waste characterization and releases that are already regulated as hazardous wastes. These databases include:

- Resource Conservation and Recovery Information System is a national program management and inventory system of RCRA hazardous waste handlers. Handlers identified in this database are characterized as fitting one or more of the following categories:
 - ► Treatment, Storage and Disposal Facilities (TSDs)
 - ► Large Quantity Generators (LQGs)
 - Small Quantity Generators (SQGs)
 - Transporters.

RCRIS captures identification and location data for all handlers and also a wide range of information on TSDs regarding permit/closure status, compliance with Federal and State regulations, and cleanup activities.

- Hazardous Waste Biennial Reporting System (BRS) identifies waste that facilities generate, ship off-site, or receive. BRS is a national system that collects data on the generation, management, and minimization of hazardous waste. These data are collected every other year and the BRS allows you to perform trend analyses based on these data. Biennial Reports provide information on the type and quantity of hazardous waste streams (as defined by EPA), but provide only a general description of the waste matrix, the production and waste generation processes involved. BRS reporting does not include non-hazardous waste.
- Toxic Release Inventory (TRI) Database identifies releases and transfers of toxic chemicals from manufacturing facilities. Although the TRI database may contain information on industrial waste streams not listed as hazardous under RCRA, the information does not include a description of the raw materials employed or the generation of particular waste streams, nor does it provide detailed information on the quantity of the waste streams produced. Further, information from the TRI is limited because of threshold reporting requirements not applicable to RCRA⁴.

Existing information sources that specifically contain characterization information on industrial non-hazardous waste generated by the paint manufacturing industry have been examined and were found to be limited in scope. For instance, existing information on current characterization of paint manufacturing residuals reflecting implementation of facility-level pollution prevention programs is not available. Neither the TRI nor the BRS data is sufficient for HWID to make a hazardous waste listing determination on paint waste. The RCRA Section 3007 Questionnaire, Letter, and site visits will be used to collect information that is not available in these information sources, yet is critical to proper characterization of paint manufacturing processes and associated wastes.

3(b) Public Notice Required Prior to ICR Submission to OMB

⁴ For instance, among other reporting thresholds, a facility must have at least 10 or more full-time employees. Furthermore, to be subject to TRI reporting a facility must also meet one the following thresholds for a listed EPCRA section 313 chemical: manufacture (including imports) more than 25,000 pounds/year, or process more than 25,000 pounds/year, or otherwise use more than 10,000 pounds/year.

EPA is soliciting comments for sixty (60) days on this ICR in compliance with the 1995 Paperwork Reduction Act. EPA is taking public comments prior to submitting this ICR to OMB. Comments are also requested on the RCRA Section 3007 Questionnaire burden estimates and costs associated with this burden for respondents. Comments received will be considered by EPA before submitting a Final Supporting Statement to OMB. A copy of the Federal Register notice is included as Appendix B.

3(c) Consultations

EPA has spoken with industry on the use of a RCRA Section 3007 Questionnaire. As well, responses received during public comment periods for other questionnaires sent to industrial sectors over the past five years were considered in the development of the paint industry questionnaire. In addition, the Agency has experience evaluating RCRA Section 3007 questionnaire responses. HWID has learned from the strengths and weaknesses of similar questionnaires and has intentionally streamlined this questionnaire to reduce the burden of the questionnaire on the Paint Manufacturing Industry.

3(d) Effects of Less Frequent Collection

The paint manufacturing industry 3007 questionnaire and 3007 letter each require a one-time data collection effort. The questionnaire and letter will not be repeated, although information may need to be updated periodically during the regulatory development process. Updates and clarifications will only be requested on an as-needed basis for specific data elements.

3(e) General 3007 Questionnaire and 3007 Letter Guidelines

The questionnaire and letter are one-time data collection efforts. No special records must be maintained by the respondents to complete questionnaires, letters, or updates. It is recommended that the respondent keep one copy on file to respond to any follow-up questions by the Agency. Only available information is requested. When a respondent does not have sufficient information in the facility files to complete the questionnaire, respondents may rely on engineering judgement to provide responses.

The data collection efforts requested under this ICR do not include statistical surveys, and therefore, statistical guidelines are not applicable.

Respondents are being given 30 days to respond to the questionnaire. Those facilities which receive the additional paint production process description request letters will have 15 days to respond to the letter. These time frames should be sufficient for the paint facilities to gather and compile the requested information and to complete their responses. The Agency is under very strict time constraints, and is not expecting to grant any extensions to the response period.

Respondents may submit their response to the RCRA Section 3007 Questionnaire in hardcopy format or electronically. To submit an electronic response, the respondent should return the entire CD ROM containing the requested information. For electronic submittals, a hardcopy of the Certification statement must also be submitted. Respondents are expected to complete and submit all questionnaires in compliance with RCRA Section 3007 authority.

3(f) Confidentiality and Sensitive Questions

3(f)(i) Confidentiality

EPA will handle all information claimed as CBI according to EPA's protocol for managing CBI under RCRA. The Agency implements a RCRA CBI Security Plan to handle the CBI data collected through various data collection and management activities. Completed questionnaires and letters that contain any responses claimed as CBI should be returned by facilities directly to the EPA RCRA CBI contact indicated in the questionnaire and letters. The CBI responses will be logged in and handled as CBI immediately upon receipt by EPA. The law has provided standards, responsibility, and accountability for the control and security of documents and computer systems that contain CBI data under the following federal statutes and regulations:

- ▶ 42 U.S.C. 6927(b), Disclosure of Data (RCRA)
- ▶ 5 U.S.C. 552, Freedom of Information Act
- ▶ 18 U.S.C. 1905, Disclosure of Confidential Information
- ▶ 40 CFR Part 2, Confidentiality of Confidential Information
- ▶ 41 CFR Part 15, Public Contracts and Property Management.

Respondents who claim information as CBI are required to substantiate each claim. A substantiation form required for each claim is provided in the Appendix to the questionnaire and letter. EPA contractor staff are involved in supporting this effort. Each staff member is cleared to handle CBI data and each contract has an established security plan to ensure that only individuals and contractors cleared to handle CBI data have access to any CBI materials. The contractors' CBI security plan includes the following:

- ► A complete description of secured areas.
- Specific CBI procedures and responsibilities.
- All forms designed for the receipt, indexing, tracking, destruction, and employee confidentiality relating to CBI information.
- ► A computer security plan.
- Procedures for screening business information for claims of confidentiality.
- Confidentiality agreement for contractor employees upon termination or transfer.
- Destruction of CBI materials upon approval.

3(f)(ii) Sensitive Questions

EPA will not ask any questions of a sensitive nature as defined by the Privacy Act of 1974 and OMB Circular A-130.

4. THE RESPONDENTS AND THE INFORMATION REQUESTED

4(a) Respondents/SIC Codes

EPA will be surveying the paint manufacturing industry with this questionnaire. A number of individuals at any given facility are probably capable of responding to the RCRA Section 3007 Questionnaire and/or Letter. The cognizant officials are generally environmental and/or operation managers who are responsible for product/process operations. While these individuals may not actually complete the RCRA Section 3007 Questionnaire or Letter, they have the ultimate responsibility for accurate completion and review/certification of the questionnaire or letter. Other individuals likely to be included in the completion

of the questionnaires/letters include anyone familiar with the product and process operations at the facility. These individuals will typically include a plant or process engineer, a process chemist, a waste management engineer, an attorney and operations personnel necessary for the accurate completion of the form.

4(b) Information Requested

4(b)(i) Data Items/Description of the RCRA Section 3007 Questionnaire and Letter Instruments

The format of the RCRA Section 3007 Questionnaire is tailored to the characteristics of and facility types found within the paint manufacturing industry. EPA has insufficient information on the volumes, compositions, and hazardous nature of the wastes currently generated by this industry therefore, EPA is issuing a census survey (industry-wide) with a limited range of questions. Responses to this survey will establish a baseline of information about paint manufacturing waste management. EPA will subsequently conduct analyses to determine the risk and regulatory impact of a positive listing determination.

EPA is aware that there are many different paint formulations and a large number of different raw materials used in product formulations. This diversity increases the likelihood of multiple compounds being present in a waste stream and/or multiple waste streams. EPA's recognition of the complexity of this industry further underscores the need for a census of all paint manufacturing facilities. A census RCRA Section 3007 Questionnaire is used when the targeted industrial group is relatively large (e.g., more than 500 facilities) and has not previously or recently (i.e., within the past 10 years) been subject to information collection by the Agency. The census should provide a more exact picture of an industry since it includes all facilities.

The RCRA Section 3007 Questionnaire for the paint manufacturing industry contains an introduction section and general instructions followed by four sections of questions. The information requested in each section is crucial to the proper characterization of paint manufacturing waste generation and management. The content of each section of the questionnaire is summarized below. A copy of the Paint Manufacturing Industry RCRA Section 3007 Questionnaire is included as Appendix B.

RCRA Section 3007 Questionnaire:

- 1. <u>Instructions</u>. This section covers the ground rules for the questionnaire, including how to claim information as CBI and the definitions of key terms used throughout the questionnaire. The instructions state that any facility receiving the questionnaire which has not previously or does not currently manufacture paint is only required to complete Sections 2 and 5b of the questionnaire.
 - 1a. <u>Corporate and Facility Information</u>. This section identifies the corporate and production plant name, location, RCRA hazardous waste generator identification number and RCRA facility identification number. EPA also requests the name and phone number of personnel to be contacted for additional information or clarification of information.
- 3. <u>Residual Generation and Residual Management Practices.</u>
 This portion of the questionnaire is divided into three sections.
 - 3a. Residual Generation Description.

This section requests that facilities state whether they generate any of the residuals of concern (solvent cleaning wastes, water/caustic cleaning wastes, wastewater treatment sludge, emissions control dust or sludge, and/or off-specification production wastes). The waste code for residuals currently managed as hazardous waste is requested, as well as the amount of residual generated in 1997 and 1998.

3b. Residual Management Activity Information for Each Residual Identification Number Specified.

This section requests information characterizing each on-site and off-site residual management unit used for managing the residuals identified in the previous question. EPA requests information on residual management location (on-site or off-site) and residual containment (liners, run-off controls, daily cover).

3c. Residual Constituent Information.

This section requests information on the constituents, including concentration, of each residual identified in Section 3a that was generated in 1998.

4. Certification.

This portion of the questionnaire is divided into two sections.

4a. <u>Certification of Information Provided.</u>

A responsible facility official will be asked to certify that the information provided in response to the questionnaire is accurate and complete.

4b. Certification For Non-Manufacture of Paint.

For any facility which has not previously or does not currently manufacture paint, a responsible facility official will be asked to certify that the facility has not and does not generate any paint residual covered under the scope of the questionnaire.

The RCRA Section 3007 Letter requesting process flow diagrams and/or descriptions will be sent to a maximum of 100 paint manufacturing facilities. These facilities will also receive the questionnaire. The letter will contain an introduction section followed by four other sections. The content of each section of the letter is summarized below, and a copy of the Paint Manufacturing Industry RCRA Section 3007 Letter will be included in Appendix B.

RCRA Section 3007 Letter:

1. Instructions.

This section covers the ground rules for the letter, including how to claim information as CBI.

2. <u>Corporate and Facility Information</u>.

This section requests the corporation and production plant name and location. The facility should also provide the name and phone number of personnel to be contacted for additional information or clarification of questionnaire responses.

3. Process Schematic Information.

This section requests process flow diagrams and/or descriptions for each paint manufacturing process conducted at the facility.

4. Certification.

A responsible facility official will be asked to certify that the information provided in response to the letter is accurate and complete.

4(b)(ii) Respondent Activities

EPA will use the responses to the questionnaire to compile national paint waste generation numbers and waste management practices. This information will be used in the human health and environmental risk assessment of the hazards from exposure to these wastes and to provide information for EPA regulatory decisions on hazardous waste.

EPA will send the questionnaire to all known paint manufacturing facilities. Facility personnel should initially read and review the questionnaire to determine what information is required for its completion. Next, the information needed to complete the form will be collected and compiled, and completion of the form can begin. Once the facility has completed the form, EPA anticipates a thorough review of the form for completeness in order to comply with the certification requirements at the end of the survey. The completed form is then returned to EPA. If questions arise during EPA review of the questionnaire, facility personnel will be asked to answer any follow-up questions pertaining to the questionnaire and its contents.

If selected, a paint manufacturing facility will also receive a letter requesting process schematic information. The respondent activities for this letter will be similar to those for the questionnaire.

Facilities that claim any of their responses, to either the questionnaire or the letter, as CBI are required to justify this claim for each response by answering the questions contained in Appendix A of the draft questionnaire (see Appendix B of this ICR for a copy of the draft questionnaire) or Appendix A of the draft letter (see Appendix B of this ICR for a copy of the draft letter).

EPA may select certain paint manufacturing facilities to collect residual samples following EPA's review of all paint industry responses to the questionnaires.

EPA is not requesting or requiring respondents to collect any additional information to complete the questionnaire or letter. Responses are to be based on currently available data. The types of information being requested are maintained as part of normal business practices in the paint manufacturing industry. Therefore, minimum effort should be required to gather and compile the information requested by the questionnaire and the letter.

EPA will not request any paint industry respondent to perform any special analyses or tests to complete the questionnaire. If the facility does not know the response to a question and does not have the information, the facility is to use the best engineering judgement of qualified personnel to provide a response.

5. THE INFORMATION COLLECTED - AGENCY ACTIVITIES, COLLECTION METHODOLOGY AND INFORMATION MANAGEMENT

5(a) Agency Activities

There are three basic phases to Agency activities relating to the Paint Manufacturing Industry Survey. The first phase involves developing the questionnaire and letter and compiling the mailing list of paint manufacturing facilities. The second phase involves mailing out and tracking the receipt of the questionnaires and the letters requesting the paint process descriptions. EPA will review the responses to the questionnaires and letters, and will select approximately 25 facilities for site visits. Samples may also be collected during the site visits.

Phase 1

The first activity undertaken by the Agency is the development of a mailing list of the universe of relevant paint manufacturing facilities and the drafting of the questionnaire. The list of target paint manufacturing facilities was compiled during engineering review of the information sources listed in Section 3(a). A questionnaire will be sent to all facilities identified as manufacturing paint. Based on available information, EPA believes that some of these facilities may not actually manufacture paint. These facilities will only be required to fill out two sections of this survey: Corporate and Facility Information and Certification For Non-Manufacturers of Paint. Concurrent with development of a facility mailing list, EPA will finalize the questionnaire instrument. Responses to questionnaires are used in part to develop hazardous waste identification and control regulations. It will also be used to determine which sites should be visited and what samples collected.

A list of up to 100 paint manufacturing facilities, from which process schematic information will be requested, will be developed using the information sources listed in Section 3(a).

Phase 2

After the questionnaire is developed, reviewed and approved by OMB, EPA mails the questionnaires to respondents and tracks the receipt of completed questionnaires.

To ensure that a high questionnaire response rate is achieved, telephone or letter follow-up efforts will be conducted. These follow-up efforts will be designed to obtain a maximum response rate. Telephone follow-up efforts also will be conducted to obtain missing responses noted by EPA during the initial review.

The RCRA Section 3007 letters will also be mailed in this phase, and the receipt of completed responses will be tracked.

Phase 3

When the questionnaires and letters have been completed and received by EPA, all of the questions and answers will be reviewed to evaluate the technical consistency of the responses. After the technical review, EPA may make additional telephone or letter follow-ups for clarifying information. Sites will be selected for follow-up site visits and samples may be collected. Data received from the questionnaire, letter, and site visits will be entered and managed in an intra-Agency database.

All requests for confidentiality must be acknowledged and the proper steps taken to provide appropriate protection. The Agency will handle all such requests in compliance with the RCRA CBI security

requirements. Protected CBI data, along with all other data collected, will be stored appropriately. CBI data will be stored in such a manner that it remains protected but accessible to those cleared for and requiring use of it.

5(b) Collection Methodology and Management

EPA wrote the RCRA Section 3007 questionnaire in the "plain language" format to ensure that questions were asked in a clear and concise manner and to reduce burden on the facility. During the past 15 years, RCRA Section 3007 prequestionnaires and questionnaires have been administered to a number of industries and responses have been carefully evaluated. The current RCRA Section 3007 Questionnaire is designed specifically to obtain the necessary information with the least amount of burden and confusion to the respondent.

The RCRA Section 3007 Letter is designed to collect information that has not been requested in previous RCRA Section 3007 questionnaires. To reduce the burden to the industry, EPA decided that collecting this information from all paint manufacturing facilities would not be necessary. Collection of this information from a small number of facilities (no more than 100) should be sufficient to gather the data required.

The questionnaire will be mailed to all paint facilities in both paper and electronic format. Each facility will receive both a hard copy of the questionnaire and a CD-ROM containing a run-time version of a database program that allows the facility to access the questionnaire and complete it electronically. Each facility will have the option of choosing the format they prefer for completing the questionnaire. If the response is submitted electronically, a hardcopy submittal of Section 5 (Certification) will be required. The letter will be mailed in paper format only. The burden estimates associated with the RCRA 3007 Questionnaire are based on a facilities' time spent completing the hard copy version. The Agency expects the burden to the respondent will be reduced with the use of the electronic version.

A number of steps will be taken to ensure the accuracy and reliability of the data from the Paint Manufacturing Industry Survey RCRA Section 3007 Questionnaire and Letter. Efforts will be taken to ensure that EPA obtains a reasonable response rate. EPA will strive for a 100% response rate. To obtain this response rate, EPA will include a cover letter with each questionnaire and letter stating the obligations that each respondent has in responding. EPA also will provide technical assistance to help complete this survey. The telephone number for this technical assistance will be printed on the cover of the questionnaire and in the letter. Respondents will be encouraged to use the number for any questions concerning the completion of this survey.

In addition to providing assistance to respondents for completion of the questionnaires and letters, EPA will conduct follow-up telephone calls or send reminder letters encouraging facilities to complete and return the survey. Each response will be reviewed by someone in the Hazardous Waste Identification Branch for technical consistency and completeness. The HWID professionals performing these reviews will identify any major problems and contact the respondents for clarification or additional information required for the questionnaire or letter completion.

The response data will be entered into a database system for ease of viewing and tabulating the information. This EPA database is designed and maintained in accordance with Agency CBI procedures, although non-CBI datasets and outputs will be assembled for public viewing during the rulemaking process.

5(c) Small Entity Flexibility

Small businesses generally produce smaller volumes of paints and, therefore, generate fewer wastes. A small paint manufacturing facility with smaller paint volumes can generally complete the questionnaire in a shorter time period than a larger facility. Also, small businesses will not be asked to perform any special analyses or tests to fulfill the information requirements. If data are not available upon which to base a response, the respondent can use his/her best engineering judgement for providing a response.

5(d) Collection Schedule

Following notification in the <u>Federal Register</u> and subsequent approval by OMB, EPA estimates that the paint manufacturing questionnaire and letter will be mailed to facilities in February 2000. Facilities will be given 30 days to respond to the questionnaire and 15 days to respond to the letter. EPA will visit approximately 25 facilities early in 2000 to verify response information and possibly collect samples of residuals of concern. EPA may elect, however, to perform sampling prior to issuing the questionnaire. EPA will enter questionnaire and letter responses and site visit information into the database beginning in April 2000. Following QA of the database system, analysis of the paint manufacturing questionnaire data will begin in May 2000.

6. ESTIMATING THE BURDEN AND COST OF THE DATA COLLECTION

6(a) Estimating Respondent Burden

The burden on the paint production industry associated with this information collection request consists of responding to the questionnaire (required for all recipients of the questionnaire), responding to the paint process flow diagram request letter (required for up to 100 facilities to be selected by EPA), and supporting EPA site visits (required for a limited number of facilities to be selected by the EPA).

The burden estimate is based on the premise that the time required to complete the questionnaire is primarily a function of how many of the five residual streams of interest are generated by the respondent facility. Based on information received recently from industry, EPA estimates that many facilities receiving the questionnaire will respond that they do not currently manufacture paint. EPA also estimates that 50 percent of the remaining respondents generate solvent cleaning residuals, 100 percent generate water and/or caustic cleaning residuals, 50 percent generate wastewater treatment sludges on-site, 80 percent generate emission control dusts and sludges, and 100 percent generate off-specifications production residuals. Exhibit 6-1 presents average burden hour estimates, per facility, for responding to the Paint Manufacturing Industry Questionnaire, for responding to the Letter, and for supporting one EPA site visit.

EPA estimates that a respondent will need, on average, a total of 28 hours to complete the questionnaire, 6 hours to complete the letter, and 10 hours to support an EPA site visit. This estimate includes burden associated with justification of CBI claims for questionnaire and letter responses. The estimates are based on the Agency's past experience with administering the RCRA Section 3007 Questionnaires and site visits, as well as on other data collection activities involving environmental engineering data from facilities.

Exhibit 6-1. Estimated Burden Hours for Data Collection Paint Production Industry Questionnaire and Site Visit

| | Labor Category | | | | | | |
|--|----------------|------------|-----------|----------|------------|--|--|
| Respondent Activity | Legal | Managerial | Technical | Clerical | Total | | |
| a. Read and Complete Questionnaire | | | | | | | |
| - Part 1 (Background/Instructions) | | 1.0 | 0.5 | | 1.5 | | |
| - Part 2 (Corporate and Facility Information) | | 1.0 | | 0.5 | 1.5 | | |
| - Part 3 (Residual Generation and Residual Management Practices) | | 1.0 | 9.0 | 3.0 | 13.0 | | |
| - Part 4 (Certification and CBI Justification) | | 2.0 | | 2.0 | 4.0 | | |
| b. Review | | | | | | | |
| - Review | 3.0 | 2.0 | 3.0 | | 8.0 | | |
| Total Hours for Questionnaire | 3.0 | 7.0 | 12.5 | 5.5 | 28.0 | | |
| - Part 1 (Background/Instructions) - Part 2 (Corporate and Facility Information) | | 0.5 | | 0.5 | 0.5 1.0 | | |
| - Part 3 (Process Schematic Information) | | | 2.0 | | 2.0 | | |
| - Part 4 (Certification and CBI Justification) | | 0.5 | | 0.5 | 1.0 | | |
| b. Review | | | | | | | |
| - Review | 0.5 | 1.0 | | | 1.5 | | |
| Total Hours for Letter | 0.5 | 2.5 | 2.0 | 1.0 | 6.0 | | |
| | | | | | | | |
| d. Site Visit (Selected Sites) | | | | | | | |
| - Prepare for, secure access and escort sampling team | | 2.0 | 8.0 | | | | |
| Total Hours for Site Visit | 0.0 | 2.0 | 8.0 | 0.0 | 10.0 | | |

6(b) Estimating Respondent Costs

As this is a one-time survey without record keeping requirements, there are no capital and operation and maintenance costs. Therefore, the only respondent costs associated with the Paint Manufacturing Waste Industry Survey and site visits are labor costs.

6(b)(i) Estimating Labor Costs

For each of the activities presented in Exhibit 6-1, EPA has estimated average associated costs. The costs will vary depending on the complexity of the production process (e.g., number of process units) and the complexity of facility operations. The more time-consuming and labor-intensive the activity, the higher the estimated cost to the respondent.

EPA estimates that a facility will spend an average of \$1,184 to complete the questionnaire. These costs correspond to the labor required to gather and compile the information required to complete the forms, and

to provide a thorough review of the completed questionnaire. For facilities that receive the RCRA Section 3007 Letter, EPA estimates that a facility will spend an average of \$260 to complete the response. For those facilities that will be visited by EPA, an average of \$525 is estimated to be spent to cover the time required to coordinate the visit and escort the EPA team.

The average annual salaries of the professionals listed in Exhibit 6-2 are based on data found in the following references:

- 1998-99 Occupational Outlook Handbook (Bureau of Labor)
- America's Job Bank (Bureau of Labor and State Employment Services, 1999)

Wages were calculated using the salaries of mid-level employees in the private sector. Managerial wages were based on average wages for experienced chemists with a master's degree. Technical wages were based on average wages for experienced chemists with a bachelor's degree.

The total costs for respondents, broken down by labor category, are presented in Exhibit 6-2.

Exhibit 6-2 Estimated Respondent Costs in Dollars, per Ouestionnaire and Site Visit

| | Average | Average Loaded | Questionnaire | | |
|-------------------------|--------------------------|-----------------------|------------------------|------------|----------|
| Labor Category | Average Annual Salary | Labor Rate (\$/hr) | Labor Rate* (\$/hr) | Hours | Total \$ |
| Legal | \$72,840.00 | 35.0 | 63.0 | 3 | \$189 |
| Managerial | \$56,200.00 | 27.0 | 48.6 | 7 | \$340 |
| Technical | \$49,400.00 | 23.8 | 42.8 | 12.5 | \$534 |
| Clerical | \$25,300.00 | 12.2 | 21.9 | 5.5 | \$120 |
| Total for Questionnaire | | | | | \$1,184 |
| | | | | Lette | er |
| Legal | \$72,840.00 | 35.0 | 63.0 | 0.5 | \$32 |
| Managerial | \$56,200.00 | 27.0 | 48.6 | 2.5 | \$122 |
| Technical | \$49,400.00 | 23.8 | 42.8 | 2 | \$86 |
| Clerical | \$25,300.00 | 12.2 | 21.9 | 1 | \$22 |
| Total for Letter | | | | | \$260 |
| | | | | Site Visit | |
| Legal | \$72,840.00 | 35.0 | 63.0 | 0 | \$0 |
| Managerial | \$56,200.00 | 27.0 | 48.6 | 2 | \$97 |
| Technical | \$49,400.00 | 23.8 | 42.8 | 10 | \$428 |
| Clerical | \$25,300.00 | 12.2 | 21.9 | 0 | \$0 |
| Total for Site Visit | | | | | \$525 |

^{*}Loaded hourly rates include 80% overhead

6(b)(ii) Estimating Capital and Operations and Maintenance Costs

There are no capital nor operations and maintenance costs associated with this information collection activity.

6(c) Estimating Agency Burden and Costs

The EPA burden and cost estimate is based on the following assumptions:

- 1,200 facilities will be requested to respond to the questionnaire;
- All 1,200 facilities will return a completed questionnaire;
- 1,200 questionnaires will be prepared and mailed in both electronic (on CD-ROM) and hard copy format;
- 100 facilities will be requested to respond to the letter;
- All 100 facilities will return a completed response to the letter;
- 100 letters will be prepared and mailed in hard copy format;
- 25 of the 1,200 facilities will be visited by the Agency;
- 2 hours per questionnaire are required for review and comment preparation and mailing;
- 0.5 hours per letter are required for review;
- 0.5 hours per facility are required to respond to questions regarding the questionnaire or letter;
- No sampling is required as part of the site visits;
- The site visit personnel will consist of a three-person team;
- 13 of the site visits will be within driving distance and 12 will require air travel at a cost of \$500 per ticket (and renting a car at \$100 per trip);
- Site visits requiring air travel will require one overnight stay at a hotel;
- Site visits requiring air travel will total 16 hours per person;
- Site visits within driving distance will require 10 hours per person;
- EPA personnel rates are estimated at GS-12 step 5, multiplied by a benefit multiplication factor of 1.6, for a rate of \$42.5 (rates obtained from the US Office of Personnel Management, 1999 General Schedule, Locality Rates of Pay for Washington Baltimore);
- EPA's contractor loaded rates are estimated at \$50 per hour; and
- Reimbursable personal expenses will amount to \$150 per two-day trip per person and to \$40 per one-day trip per person.

Based on these assumptions, EPA estimates that a total Agency burden of 3,566 hours is associated with the paint production industry questionnaire, letter, and site visits. Approximately 26% of these hours are estimated for Agency employees managing the effort. The remaining hours will be used by government contractors to actually gather, administer, and manage the data from the RCRA Section 3007 Paint Production Industry Questionnaire and site visits. EPA estimates that the total costs to the Federal Government for this data collection will be \$197,395.

The federal government burden information is presented in Exhibit 6-3. Exhibit 6-3 displays the data collection activities, broken down into the labor hours and funds expended by activity.

Exhibit 6-3 Estimated Costs to Federal Government

| Activity | U.S. EPA | | Contractor | | Total | |
|--|----------|----------|------------|-----------|-------|-----------|
| | Hours | \$ | Hours | \$ | Hours | \$ |
| Questionnaires | | | | | | |
| Preparation and approval | 30 | \$1,275 | 60 | \$3,000 | 90 | \$4,275 |
| Multiplication (hard copies and CD-ROMs) and mailing | | | 300 | \$15,000 | 300 | \$15,000 |
| Questionnaire "hot-line" * | 140 | \$5,950 | 280 | \$14,000 | 420 | \$19,950 |
| Response review | 420 | \$17,850 | 1,260 | \$63,000 | 1,680 | \$80,850 |
| Total Questionnaires | 590 | \$25,075 | 1,900 | \$95,000 | 2,490 | \$120,075 |
| Letters (100 facilities) | | | | | | |
| Preparation and approval | 10 | \$425 | 20 | \$1,000 | 30 | \$1,425 |
| Multiplication and mailing | | | 30 | \$1,500 | 30 | \$1,500 |
| Response review | 20 | \$850 | 30 | \$1,500 | 50 | \$2,350 |
| Total Questionnaires | 30 | \$1,275 | 80 | \$4,000 | 110 | \$5,275 |
| Site Visits (25 facilities) | | | | | | |
| Travel (one EPA** and two contractor personnel) | | \$9,520 | | \$16,640 | | \$26,160 |
| Personnel time | 322 | \$13,685 | 644 | \$32,200 | 966 | \$45,885 |
| Total Site Visits | 322 | \$23,205 | 644 | \$48,840 | 966 | \$72,045 |
| Grand Total | 912 | \$48,280 | 2,544 | \$143,840 | 3,566 | \$197,395 |

^{*} One hot line will be provided to answer questions regarding both the questionnaire and the letter.

6(d) Estimating the Respondent Universe and Total Burden and Costs

EPA estimates that 1,200 facilities in the paint manufacturing industry will be requested to complete a questionnaire, 100 of those facilities will be requested to complete a letter response, and 25 facilities will be visited by EPA. Based on the variety of facilities and activities, EPA estimates that the total hour burden to the regulated community is 33,600 hours, corresponding to an estimated cost of \$1,420,800, for the questionnaire, and 600 hours, corresponding to an estimated cost of \$26,000, for the letter. Exhibit 6-4(a) presents total respondent burden hours and costs for questionnaires, letters, and site visits.

Respondent Universe

The Respondent Universe consists of all facilities in the paint production (or "paint manufacturing") industry. The paint production facilities universe is comprised of a list of paint production facilities identified from four sources: the Paint *Red Book*; facilities reporting to TRI(Toxic Releases Inventory), facilities reporting to BRS (Biennial Reporting System) and facilities listed in Dun & Bradstreet.

^{**} The cost of the rental car is included in the EPA travel costs

Estimating Respondent Costs

Respondent costs were estimated using the following criteria and assumptions. As with industries surveyed previously by EPA, the burden for completing this questionnaire will vary substantially across the range of paint production facilities. EPA anticipates that average respondent burden will break down by questionnaire section as shown in Exhibit 6.1.

Based on available information, EPA estimates that approximately 30% of the questionnaire respondents will report that they do not currently manufacture paint, that 50 percent of the remaining respondents generate solvent cleaning residuals, 100 percent generate water and/or caustic cleaning residuals, 50 percent generate wastewater treatment sludges on-site, 80 percent generate emission control dusts and sludges, and 100 percent generate off-specifications production residuals.

6(e) Respondent Bottom Line Burden Hours and Costs

6(e)(i) Respondent Tally

See Exhibit 6-4(a) for the total estimated respondent burden and cost summary.

6(e)(ii) The Agency Tally

Exhibit 6-4(b) presents the total burden hours and costs to paint production facilities and to the federal government.

Exhibit 6-4 Bottom Line Burden Hours and Costs

a: Estimated Hours and Costs to Respondents (Paint Production Plants)

| Activity | Events | # Burden Hours per Event | Total Burden Hours | Burden per Event (\$) | Total Burden (\$) |
|---------------|--------|--------------------------------|-----------------------|-----------------------------|-------------------------|
| Questionnaire | 1,200 | 28 | 33,600 | \$1,184 | \$1,420,800 |
| Letter | 100 | 6 | 600 | \$260 | \$26,000 |
| Site visit | 25 | 10 | 250 | \$525 | \$13,125 |

b: Estimated Total Costs

| Activity | Respondents | | Federal Government | | Total | |
|---------------|-------------|-------------|--------------------|-----------|--------|-------------|
| | Hours | Dollars | Hours | Dollars | Hours | Dollars |
| Questionnaire | 33,600 | \$1,420,800 | 2,490 | \$120,075 | 36,090 | \$1,540,875 |
| Letter | 600 | \$26,000 | 110 | \$5,275 | 710 | \$31,275 |
| Site visit | 250 | \$13,125 | 966 | \$72,045 | 1,216 | \$85,170 |

6(e)(iii) Variations in the Annual Bottom Line

As this is a one-time data collection activity, there are no variations in the annual bottom line.

6(f) Reasons for Change in Burden

This section does not apply to this ICR since EPA is not renewing or modifying an existing ICR at this time.

6(g) Burden Statement

EPA has developed the following burden box for the questionnaire which is shown in Exhibit 6-5. The burden box is a brief statement of the estimated burden for the Paint Manufacturing Waste Industry Survey along with a request for comments and suggestions regarding the respondent burden of the questionnaire.

Exhibit 6-5. Burden Statement for the Paint Manufacturing Waste Industry Survey

Public reporting burden for this collection of information is estimated to be 28 hours per response to the questionnaire and 6 hours per response to the letter. This includes time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire responses. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection to Mr. David J. Carver, U.S. Environmental Protection Agency (mail code 5304W), 401 M St., SW, Washington, D.C. 20460. Include the EPA ICR number and OMB control number in any correspondence.

APPENDIX A. FEDERAL REGISTER NOTICE SOLICITING COMMENTS ON THE BURDEN ESTIMATES FOR RESPONDENTS

ENVIRONMENTAL PROTECTION AGENCY

Agency Information Collection Activities--Proposed Collection; Comment Request; RCRA Section 3007 Questionnaire of the Paint Manufacturing Industry

AGENCY: U.S. Environmental Protection Agency (EPA).

ACTION: Notice.

SUMMARY: This notice is soliciting comment on the Information Collection Request (ICR) entitled "RCRA Section 3007 Questionnaire of the Paint Manufacturing Industry." EPA is currently in the process of making a determination on whether certain waste streams generated from the manufacture of paint in the United States should be regulated as listed hazardous waste under the Resource Conservation and Recovery Act. This notice describes the proposed information collection efforts and their expected burden and cost.

DATES: Comments must be submitted on or before [Insert 60 days after publication in the FEDERAL REGISTER].

ADDRESSES: Commenters must send an original and two copies of their comments referencing docket number F-1999-PMIP-FFFFF to RCRA Docket Information Center, Office of Solid Waste (5305G), U.S. Environmental Protection Agency Headquarters (EPA, HQ), 401 M Street, SW, Washington, DC 20460. Hand deliveries of comments should be made to the Arlington, VA, address below. Comments may also be submitted electronically through the Internet to:

<rcradocket@epa.gov>. Comments in electronic format should also be identified by the docket number F-1999-PMIP-FFFF. All electronic comments must be submitted as an ASCII file avoiding the use of special characters and any form of encryption.

Commenters should not submit electronically any confidential business information (CBI). An original and two copies of CBI must be submitted under separate cover to: RCRA CBI Document Control Officer, Office of Solid Waste (5305W), U.S. EPA, 401 M Street, SW, Washington, DC 20460.

Public comments and supporting materials are available for viewing in the RCRA Information Center (RIC), located at Crystal Gateway I, First Floor, 1235 Jefferson Davis Highway, Arlington, VA. The RIC is open from 9 a.m. to 4 p.m., Monday through Friday, excluding federal holidays. To review docket materials, it is recommended that the public make an appointment by calling 703 603-9230. The public may copy a maximum of 100 pages from any regulatory docket at no charge. Additional copies cost \$0.15/page. The index and some supporting materials are available electronically.

The ICR is available on the Internet. Follow these instructions to access the information electronically:

WWW: http://www.epa.gov/epaoswer/hazwaste/id/paint/index.htm

FTP: ftp.epa.gov

Login: anonymous

Password: your Internet address

Files are located in /pub/epaoswer

The official record for this action will be kept in paper form. Accordingly, EPA will

transfer all comments received electronically into paper form and place them in the official record,

which will also include all comments submitted directly in writing.

EPA responses to comments, whether the comments are written or electronic, will be in a

notice in the "Federal Register". EPA will not immediately reply to commenters electronically

other than to seek clarification of electronic comments that may be garbled in transmission or

during conversion to paper form.

FOR FURTHER INFORMATION CONTACT: For general information, contact the RCRA

Hotline at (800) 424-9346 or TDD 800 553-7672 (hearing impaired). In the Washington, DC,

metropolitan area, call 703 412-9810 or TDD (703) 412-3323. For more detailed information on

specific aspects of this effort, contact David J. Carver, Office of Solid Waste, Mailcode 5304W,

U.S. Environmental protection Agency, 401 M Street, S.W. (5304W), Washington, D.C., 20460,

(703) 308-8603, by fax (703) 308-0514, by EMAIL at carver.david@epa.gov.

SUPPLEMENTARY INFORMATION:

Title: Paint Manufacturing Waste Industry Survey (EPA ICR No.1925.01).

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Abstract: The waste streams (or residuals) on which the Agency is obligated to make a hazardous waste listing determination is specified in the December 4, 1994, consent decree, as amended (EDF v. Browner, Civ. No. 89-0598) and includes the following waste streams: (1) solvent cleaning wastes, (2) water/caustic cleaning wastes, (3) wastewater treatment sludge, (4) emission control dust or sludge, and (5) off-specification production wastes.

This Information Collection Request (ICR) describes the types of information collection necessary for EPA to analyze how solid and hazardous waste is currently managed in the United States Paint Manufacturing Industry. It proposes the following information collection efforts:

- a 3007 questionnaire pursuant to Sections 3001 and 3004 of RCRA
- process flow diagram requests for no more than 100 facilities
- clarifications and updates to the 3007 questionnaire and process flow diagram requests
- facility site visits which will include sampling and analysis.

If EPA concludes that certain waste streams should be regulated as listed hazardous waste, then these data may also be applied to (1) a Land Disposal Restrictions (LDR) and Capacity Analysis, (2) a source reduction and/or recycling analysis, (3) a supporting risk assessment, and (4) an economic analysis.

EPA intends to send a RCRA Section 3007 Questionnaire to all U.S. paint facilities that

manufacture paint. The Section 3007 Questionnaire proposes to collect information the following information:

- corporate/facility data **B** name, location, EPA hazardous waste generator identification number (if applicable), and facility contact information;
- residual generation information and residual management practices; and
- residual characterization information **B** residual constituents and concentrations;

This information is necessary since the Agency will use waste types, concentrations, and current management practices to support its final listing decision.

If approved by OMB, facilities will be required to respond to the Agency within 30 days of receipt of this questionnaire. A facility is only required to respond to a questionnaire that displays a currently valid OMB control number and expiration date. The OMB control numbers for EPA's regulations are listed in 40 CFR Part 9 and 48 CFR Ch. 15.

In addition to the RCRA 3007 questionnaire, this ICR proposes to allow EPA to (1) clarify and update, when and where appropriate, information received from facilities, (2) request paint manufacturing process descriptions or schematics from no more than 100 facilities, and (3) conduct site visits to no more than 25 facilities which could include sampling and analysis of residual streams under study. EPA will only clarify and update information received from either the RCRA Section 3007 Questionnaire or from the Process descriptions. Additional information

will only be requested if the Agency requires more information to understand residual generation, residual characterization, and/or residual management practices.

EPA will send paint manufacturing process description requests to no more than 100 facilities via a 3007 letter. These letters will request information such as process flow diagrams. The Agency feels this information is necessary to better understand (1) the paint manufacturing processes and (2) the exact points of generation for each identified residual meeting the scope of this listing determination. Finally, EPA proposes to visit no more than 25 paint manufacturing facilities to evaluate paint manufacturing residual generation and management processes. These site visits may include sampling and analysis should the Agency consider it necessary.

Burden Statement: This ICR seeks approval for the Agency to require the following from paint manufacturers: (1) respond to a Section 3007 Questionnaire, (2) provide manufacturing process information in response to Section 3007 letters, (3) provide clarifications and updates to the 3007 questionnaires and letters, and (4) support EPA site visits. For purposes of this analysis, burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, disclose, or provide information to or for a Federal Agency. This burden estimate includes the time required to:

- review instructions
- develop, acquire, install, and utilize technology for the purposes of collecting, validating,
 and verifying information, processing and maintaining information, and disclosing and

providing information

- adjust the existing ways to comply with any previously applicable instructions and
 requirements to train personnel to be able to respond to a collection of information
- search available data sources
- complete and review the collected information
- transmit or otherwise disclose the information to the Agency.

The total burden of this ICR on the entire paint manufacturing industry is estimated at 34,450 hours. This is calculated from approximately 1200 respondent estimates. The methods and assumptions used to estimate the total burden estimate are located in the supporting statement to this ICR. The supporting statement is located in the RCRA docket for this ICR. Specifically, the average annual burden imposed by the RCRA 3007 questionnaire is approximately 28 hours per respondent. The facility site trip burden is approximately 10 hours per respondent. EPA estimates that the Agency will be visiting 25 facilities. Also, EPA estimates that the total burden per respondent for responding to an EPA request for process flow diagrams is estimated to be 6 hours/respondent.

Comments: EPA is requesting comments on all aspects of this Information Collection Request. Specifically, EPA requests comments on (1) the appropriateness of this ICR, (2) the accuracy of the provided burden estimates, and (3) any suggested methods for minimizing respondent burden, including the use of automated collection techniques.

| Agency Information Collection ActivitiesProposed Collection; Comment Request; RCRA | | | | | |
|--|--------|--|--|--|--|
| Section 3007 Questionnaire of the Paint Manufacturing Indust | try | | | | |
| (p. 8 of 8) | | | | | |
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| | | | | | |
| | | | | | |
| Elizabeth A. Cotsworth, Acting Director | Dated. | | | | |
| Office of Solid Waste | | | | | |

APPENDIX B. DRAFT PAINT MANUFACTURING WASTE INDUSTRY SURVEY