US ERA ARCHIVE DOCUMENT

# Introduction to Public Participation for RCRA Corrective Actions: Tools and Techniques

Developed by
Office of Solid Waste
U.S. Environmental Protection Agency



### Workshop Goal and Objectives

#### Goal

 Provide practical advice and hands-on tools to help RCRA Corrective Action Project Managers conduct meaningful public participation during cleanups.

#### **Objectives**

- Clarify EPA public participation expectations
- Describe techniques and activities and how to use them
- Prepare effective communication strategies
- Develop a Public Participation Plan





# Workshop Content and Sample Agenda

- Module 1: Welcome and Introduction
- Module 2: Principles and Expectations for Public Participation During Corrective Actions
- Module 3: Public Participation Tools and Techniques
- Module 4: Communication Strategies for Conducting Public Participation/Outreach Projects
- Module 5: Public Participation Plans
- Module 6: Problem-Solving/Conclusion



# Principles and Expectations: Module Objectives

At the end of this module, you will be able to:

- Explain how public participation has evolved
- Understand public participation principles, expectations for RCRA corrective actions
- Understand how public participation contributes to a successful Corrective Action



#### **Trends in Public Participation DAD Informing** and **Providing the** Listening **Public Opportunities** To Influence **Integrating Decisions Public Participation** into the **Decision-Making Process**



# Public Participation Programs Are Moving From:

- Including everybody to targeting the most interested
- Large public meetings/events to smaller activities and more innovative communication techniques
- Involving the public at the end to involving them at the beginning and throughout the process
- "Being heard" to collaborative decision-making



### **EPA Public Participation Principles**

- Begin public involvement early in the decision-making process
- Identify, communicate with, and listen to all affected sectors of the public
- Involve members of the public in developing options/alternatives when possible
- Develop options to address issues underlying public disagreement
- Design public involvement programs to meet the specific needs at each site

# Public Participation Principles and Expectations for RCRA Corrective Actions

- Enhance public access to RCRA Corrective Action cleanup information
- Increase public interest in and awareness of cleanup activities
- Improve opportunity for public involvement in the cleanup process



# **Corrective Action Mechanisms Corrective Action Permit Order** Other **\$EPA**

### RCRA Permit Public Participation Requirements

- Pre-permit application meeting
- Public notice for receipt of permit application
- Public notice of draft permitting decision
- Public comment for permit decision
- Response to comments on permit decision
- Notice of final decision for permit and opportunity to appeal decision
- Information Repository for permitting documents



#### Requirements for RCRA Orders

- No regulatory requirements
- However, expectations are for public participation activities to equal those for RCRA permitting actions

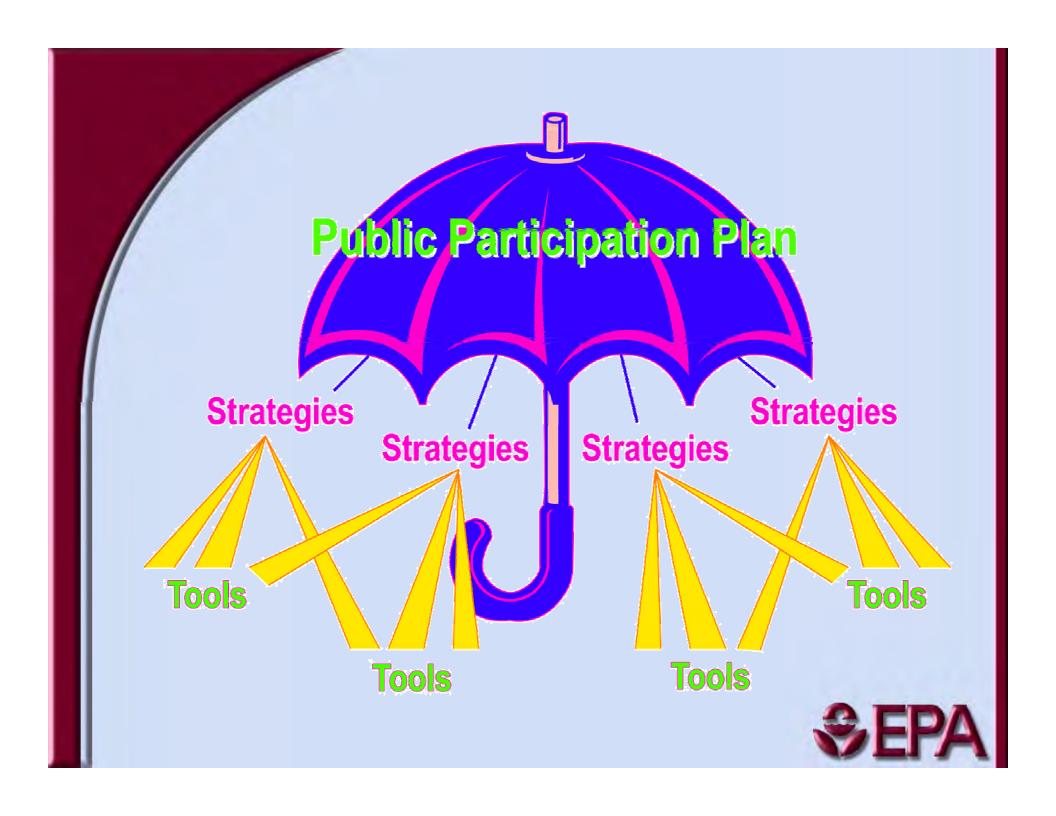




### Requirements for Alternate Authorities to RCRA

- Corrective action at RCRA facilities can be conducted through alternate authorities, such as voluntary corrective action
- When conducted under alternate authorities in lieu of post-closure permit, public participation required at 3 key stages:
  - When Agency first becomes involved;
  - During remedy selection;
  - Prior to making the decision that corrective action is complete.





#### Your Plan Protects You





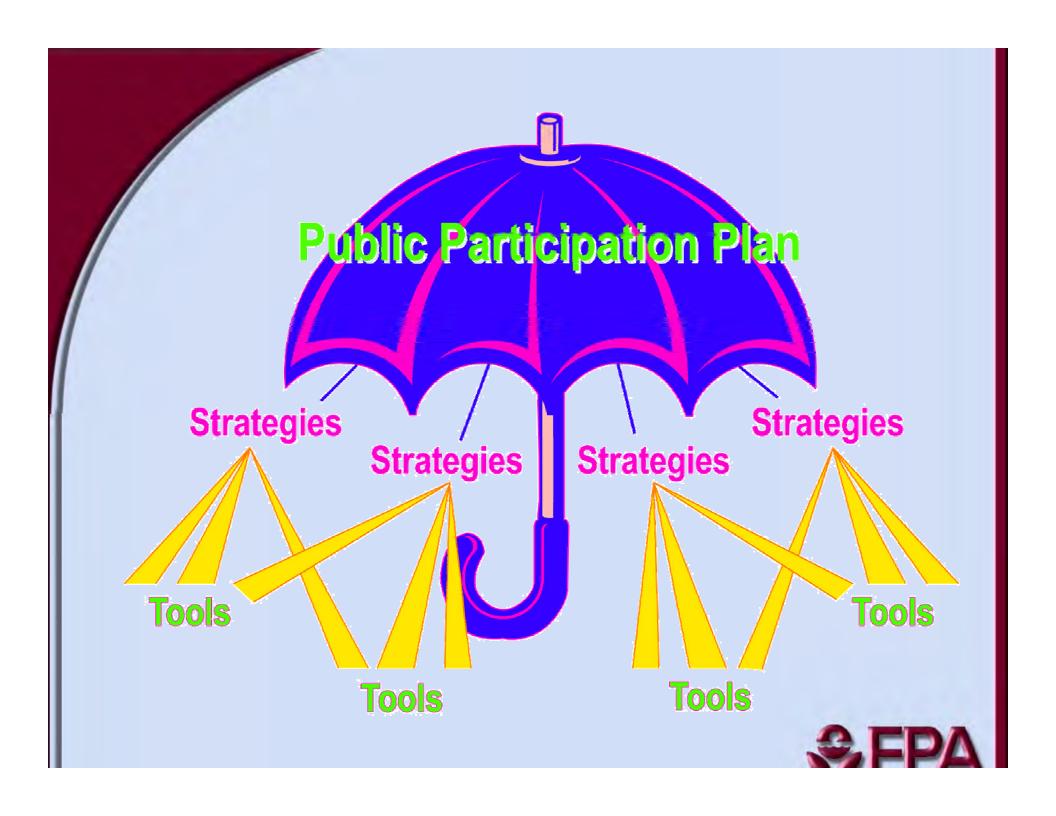


### Public Participation Tools: Module Objectives

At the end of this module, you will be able to:

- Identify techniques that can be used in a public participation/outreach program
- Explain the advantages and limitations of these techniques





#### **Public Participation Tools**

- Serve as techniques, or delivery methods, for outreach and community involvement
- Include numerous techniques and approaches
- Use tools to tailor outreach

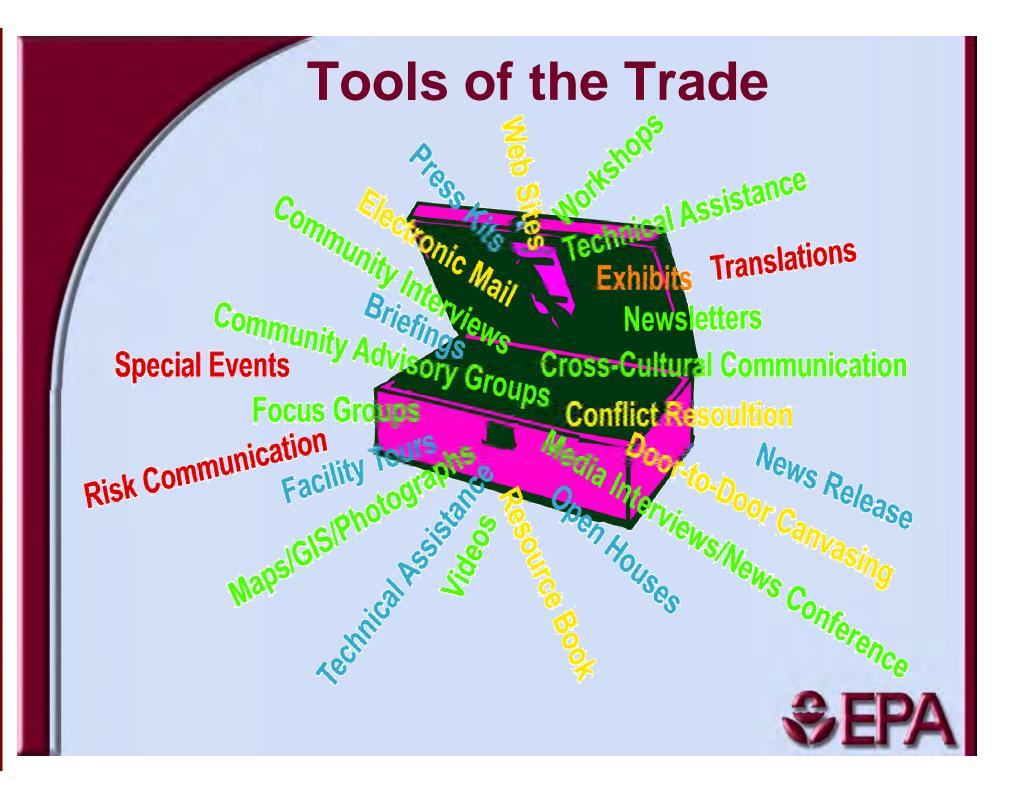


#### **Commonly Used Tools**

- Public notice/spokesperson
- Public comment period/response to comments
- Fact sheet
- Information repository
- Public meetings/hearings







# Public Meetings: What, Why, When, and How

- Formal forums open to public
- Opportunity to present information to and get comments back from citizens
- Required by most regulatory programs
- Useful before a major investigation and to highlight actions or accomplishments
- Can be more effective if community already involved at site



### Public Meetings: Advantages and Limitations

#### **Advantages**

- Delivers same information to everyone
- Enables community to voice concerns publicly
- Effective way for citizens with differing opinions to be involved in process

#### Limitations

- One-way communication possible
- Can create "us versus them" impression
- Creates forum for grandstanding/promoting alternate agendas



#### Tips . . .

- Choose location for the public's convenience
- Know your audience
- Be prepared to listen and respond as well as to present information
- Avoid "us versus them" barriers
- Insist on a dry-run with all agency/facility speakers



## Fact Sheets: What, Why, When, and How

- Brief summary of principal facts/key messages
- Explain proposed actions, technical details
- Required in new permit or permit modification process; recommended in all Corrective Actions



# Fact Sheets: Advantages and Limitations

#### Advantages

- Help people understand what's going on
- Can provide general information or focus on a specific issue/problem
- Reaches large audience

#### Limitations

- Sometimes substituted for face-to-face contact
- Often not read



### Tips . . .

- Must be easy to read
- Limit messages to 3 key ones
- Don't get bogged down in technical detail or legalese
- Write objectively
- Make it attractive



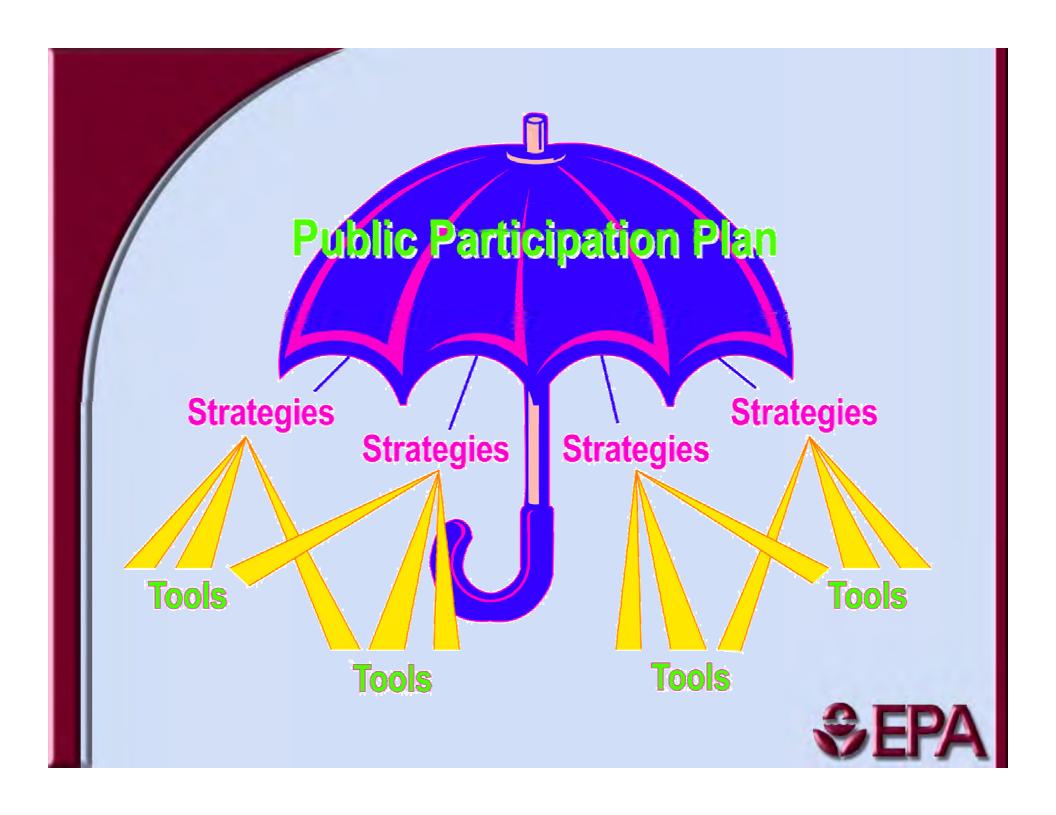


### Communication Strategies: Module Objectives

At the end of this module, you will be able to:

- Understand the purpose and function of a communication strategy
- Design and prepare a communication strategy for a public participation activity





#### **Communication Strategies**

- Blueprint for communicating with the public, stakeholders, and colleagues
- Framework for identifying situations that require outreach or public participation
- Consider potential messages and audiences
- Identify approaches to deliver the message



### **Elements (Questions) of a Communication Strategy**

- Why?
- What?
- Who?
- When?
- Where?
- How?



#### WHY?

- Define problem(s) that need attention
- Determine communication goal



#### **WHAT?**

- Decide what information to communicate
- Identify and define all messages, then focus on two or three key messages



### WHO?

- Identify all potential audiences
- Reach out to traditionally under-represented groups



#### WHEN?

- Determine when the message is most effectively communicated
- Avoid religious/cultural holidays



#### WHERE?

- Determine where the message can be delivered most effectively
- All public meetings must meet the requirements of the Americans with Disabilities Act (ADA)



## HOW?

- Select tools and approaches
- Identify resource needs and availability
- Select best person to deliver message
- Determine how to measure success.
  - Quantitatively? Qualitatively?



### Tips...

- ALWAYS prepare a strategy
- Design strategy to be THOROUGH, FLEXIBLE, and FOCUSED on most important ideas
- Collaborate with team members, support staff in developing/implementing
- Modify strategy to keep pace with changing conditions and attitudes
- Keep your ultimate goal in mind



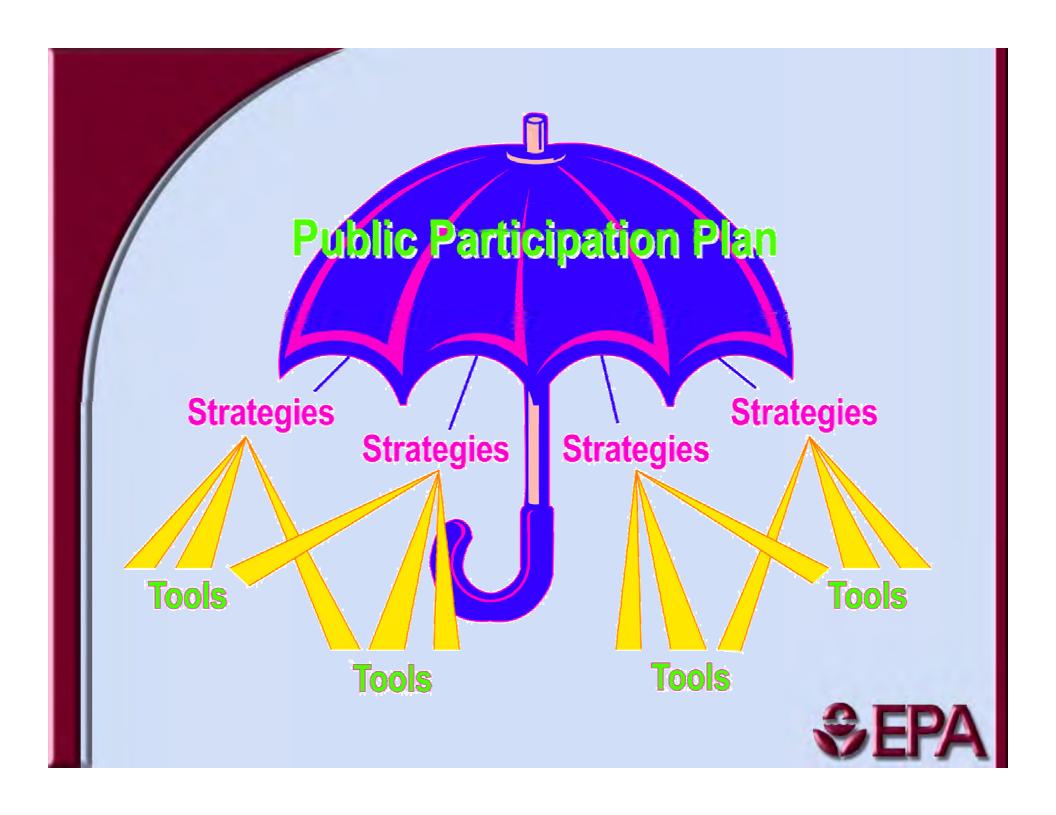


# Public Participation Plan: Module Objectives

At the end of this module, you will be able to:

- State the purpose and function of a Public Participation Plan
- Develop a Public Participation Plan





# **Public Participation Plan**

- Defines goal and purpose of public participation effort
- Presents formal plan for communications, with flexibility to adapt as conditions change
- Identifies main issues, milestones, and community outreach activities that may require communications strategies



# Major Components of the Public Participation Plan

- Background
- "Guts" of the Plan
- Appendices



# Background

- Description and map of affected area
- History of situation
- Description of community
- History of community attitudes and actions to date



#### "Guts" of the Plan

- Defines key issues
  - Derived from community interviews or other sources
- Identifies potential outreach/public participation activities for addressing these issues
  - Must be updated as conditions change



## **Appendices**

- Locations available for events (public meetings)
- Sources and location of information for the public
- Contacts



## Tips...

- Use your plan!
- A good plan is a living document; revise it to reflect changing conditions or attitudes
- Build relationships and work as a team
- Public Participation Plans are available through FOIA





# Problem Solving/Conclusion: Module Objectives

At the end of this module, you will be able to:

- Identify the key concepts from this workshop
- Use concepts and techniques from the course to solve real-life problems



