Presented at

Great Rivers Reference Condition Workshop

January 10-11, Cincinnati, OH

Sponsored by

The U.S. Environmental Protection Agency and The Council of State Governments

THE DEVELOPMENT AND APPLICATION OF BIOLOGICAL ASSESSMENT TOOLS AND BIOCRITERIA FOR THE ASSESSMENT OF IMPACTS TO AQUATIC ASSEMBLAGES IN LARGE, NON-WADEABLE RIVERS

EMAP GRE Reference Condition Workshop
January 11, 2006

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Cooperative Agreements With U.S. EPA

- Cooperative agreements between MBI & CABB and U.S. EPA – initiated Oct. 2000 and Aug. 2001.
- Promote and demonstrate the role of biological assessment and criteria in WQ management.
- Examine relationships between biological criteria and biotic and abiotic stressors.
- Regional biocriteria development in streams and large rivers.

US EPA Science Forum

May 5 - 7, 2003

Washington DC

30 Years of Progress Through Partnerships:

Biological Indicators

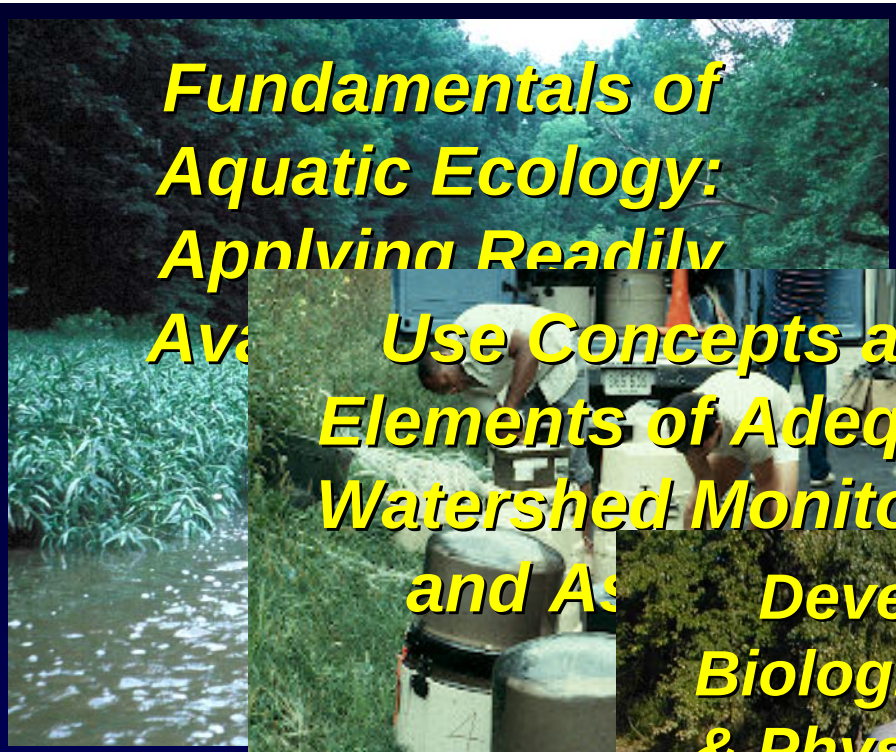
Susan Jackson, US EPA Biological Criteria Program

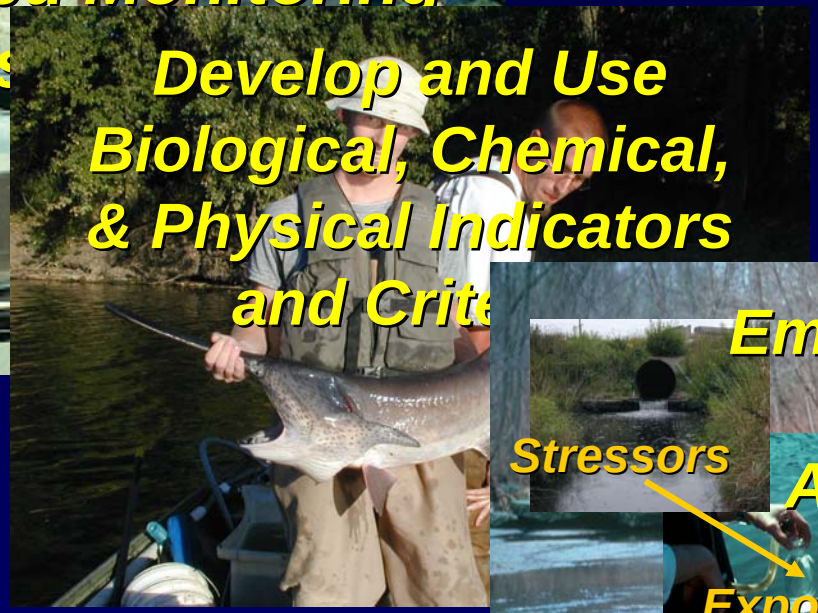
What Is *Adequate* Monitoring & Assessment (M&A)?

- Biological, chemical, & physical indicators.
- Adherence to stressor, exposure, response roles – ***avoid use of surrogates***.
- Data Quality Objectives adequate for the intended purpose (should be defined by WQS).
- Design (scale, sequence, intensity) meets ***multiple*** management issues and needs.
- The product of M&A is the assessment, not just the data (avoid data rich, information poor syndrome)
- Professionalism – expertise in key disciplines

COST-EFFECTIVE

A Systematic Process



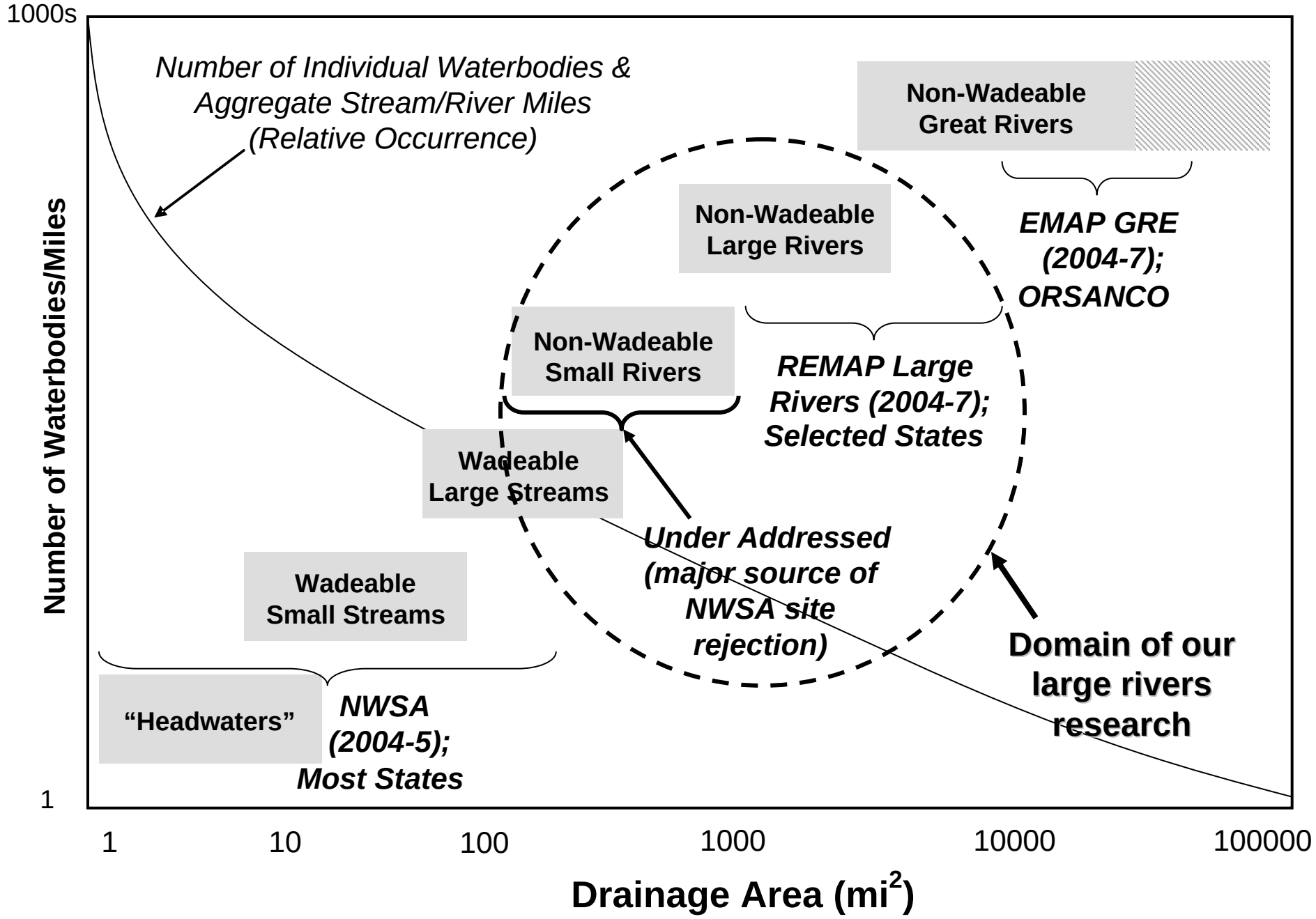


Three Principal Objectives of Systematic Bioassessment

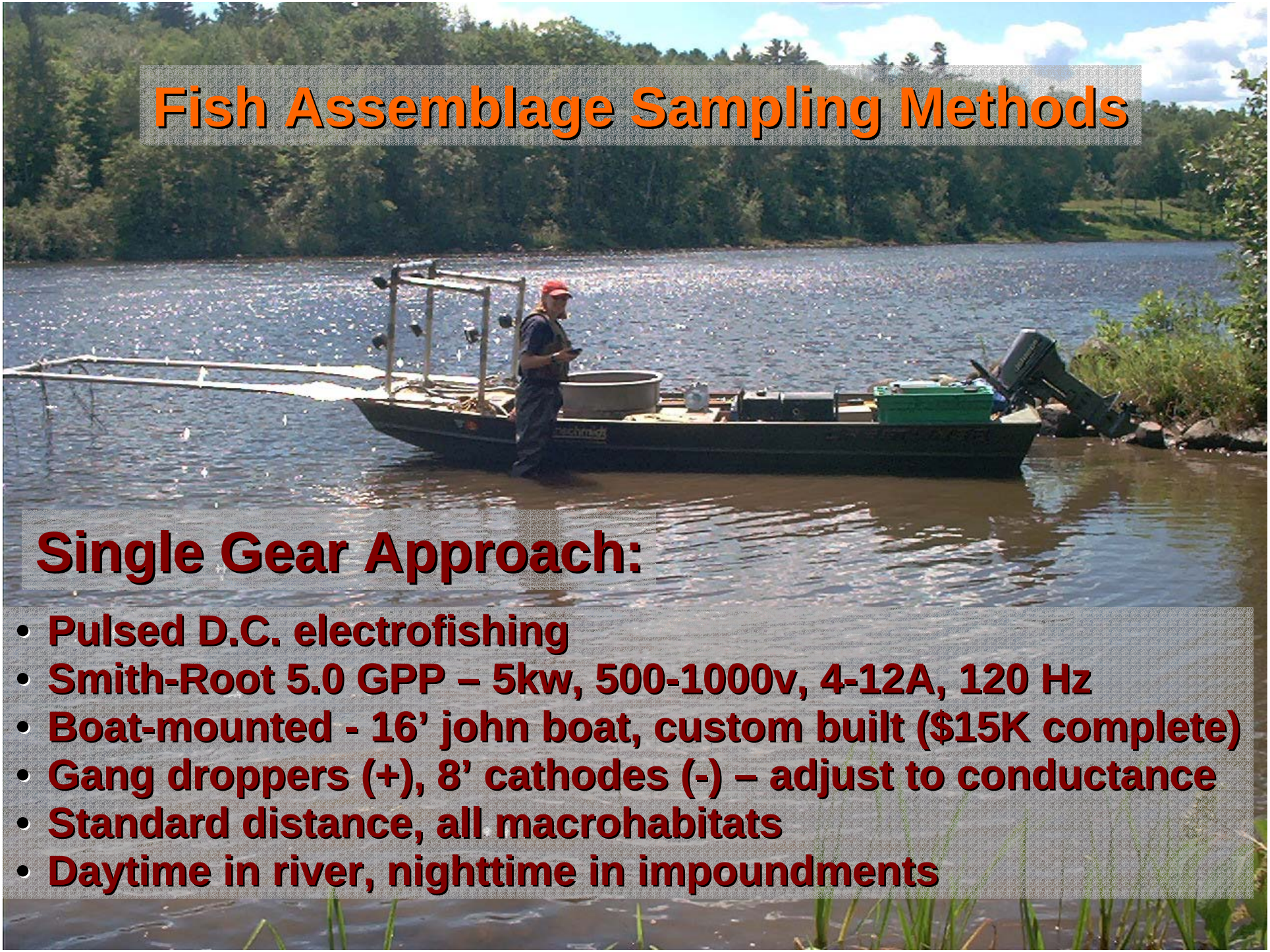
- Determine if use designations are appropriate and attainable
- Determine condition and status of the resource (including causal associations)
- Are changes taking place over time?

Issues of Large River Bioassessment

- **Methods Issues** – comparability, accuracy
- Bioassessment – calibration, validation
- Status and trends – sites, reaches, segments
- Scale issues – how much of a large river needs to be assessed?
- Local vs. reach scale issues.
- **Support of multiple water quality & resource management objectives** – will require consideration of multiple sampling designs.



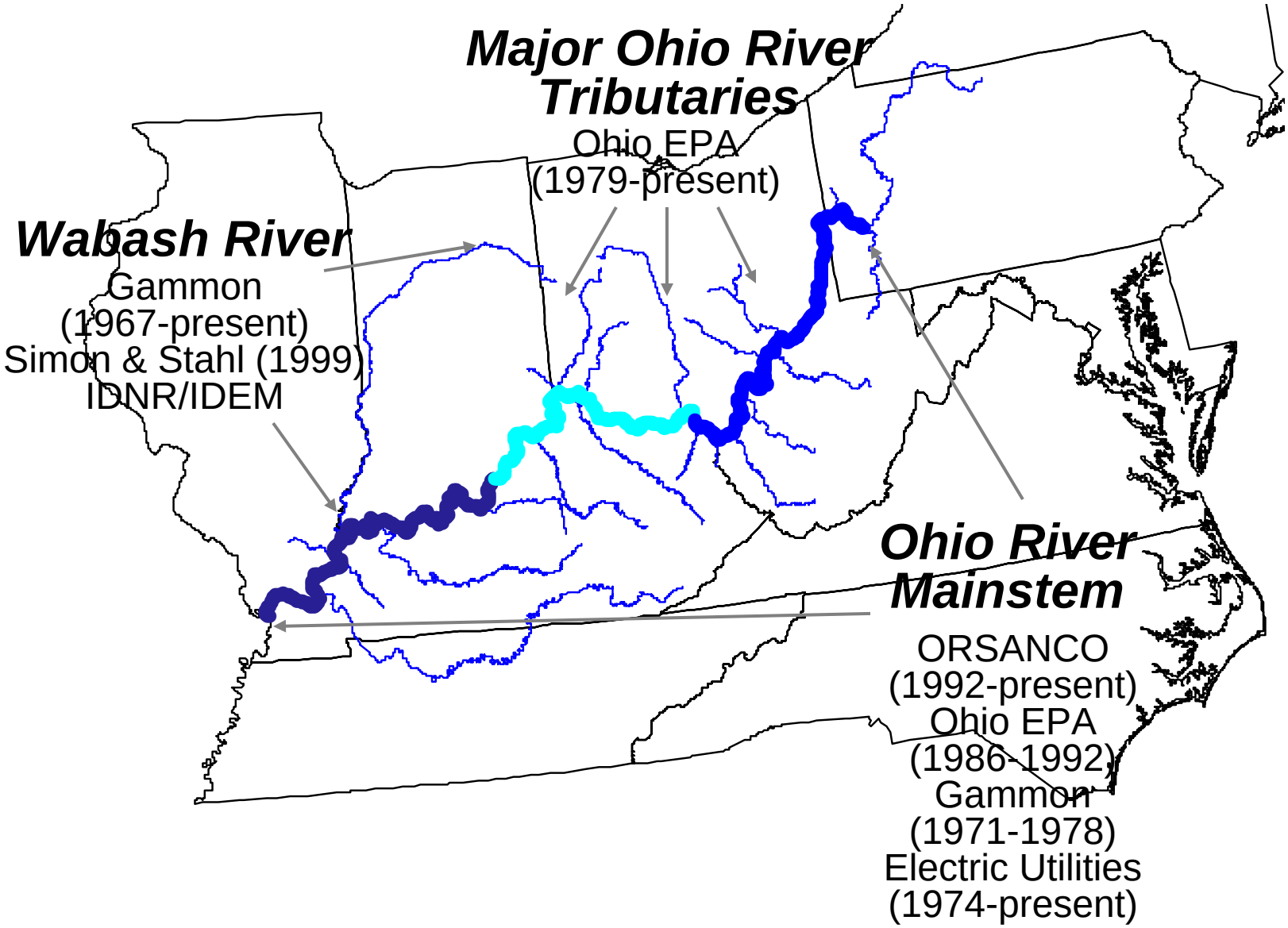
Fish Assemblage Sampling Methods



Single Gear Approach:

- Pulsed D.C. electrofishing
- Smith-Root 5.0 GPP – 5kw, 500-1000v, 4-12A, 120 Hz
- Boat-mounted - 16’ john boat, custom built ($15K complete)
- Gang droppers (+), 8’ cathodes (-) – adjust to conductance
- Standard distance, all macrohabitats
- Daytime in river, nighttime in impoundments

Fish Assemblage Assessments of Large and Great Rivers in the Upper Ohio Basin



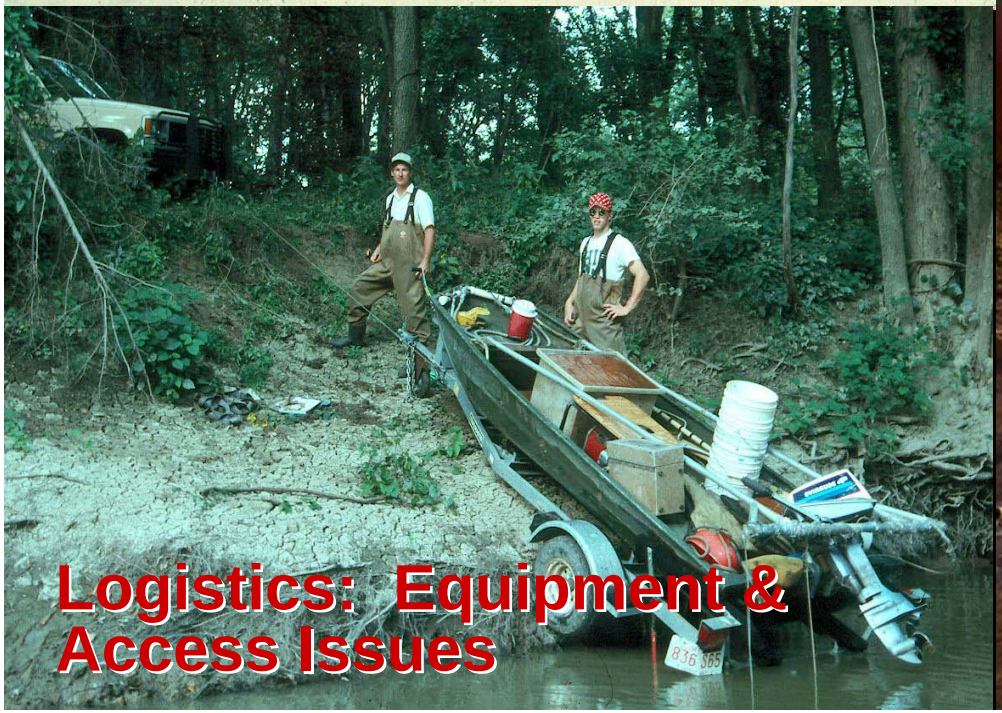
Midwest Large River Programs:

- ORSANCO – night electrofishing, IBI developed; macroinvertebrate method in development; in process of adopting in standards.
- Wisconsin – daytime electrofishing, IBI developed; exploring macroinvertebrate methods; not in WQS.
- Ohio EPA – daytime electrofishing, IBI developed; macroinvertebrate method and index established; adopted in WQS.
- Other Region V States – most have developmental projects underway; some conduct assessments.

Ohio EPA Non-Wadeable Methods

Electrofishing Gear Array:
Wadeable to Non-Wadeable

Effort: Distance Sampled



Logistics: Equipment &
Access Issues

Multiple Habitats Sampled

ELECTROFISHING METHODS

The concern is about the comparability *and* accuracy of the resulting assessment of environmental quality that are produced by the States and others – methods and the execution of the sampling is the genesis of some largely unrealized problems.

Wiscon
One mile
3000 W,
motor in

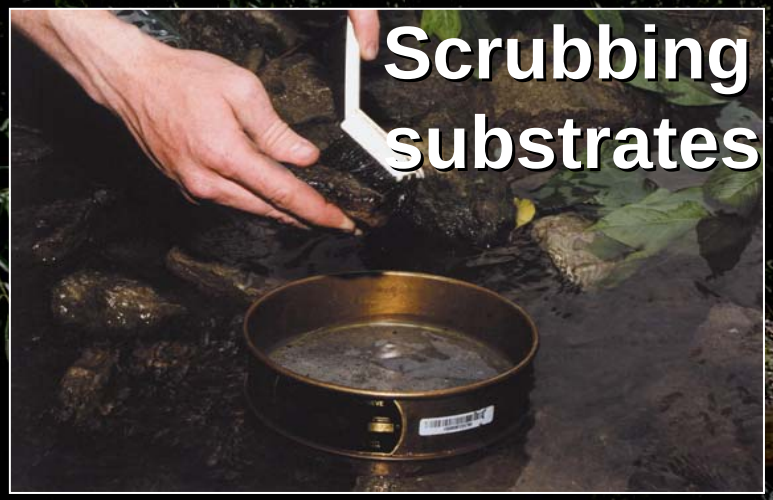
r (1/4”
on

Ohio
500m of shoreline; daytime sampling; 5000 W, 120 Hz; 1 netter (1/4” mesh); motor in downstream direction

ORSANCO (Ohio R.)
500m of shoreline; nighttime sampling; 5000 W, 120 Hz; 1 netter (1/4” mesh); motor in downstream direction

Benthic Macroinvertebrates

Active Sampling Methods Examples



Scrubbing substrates

Picking

Dome Sampler

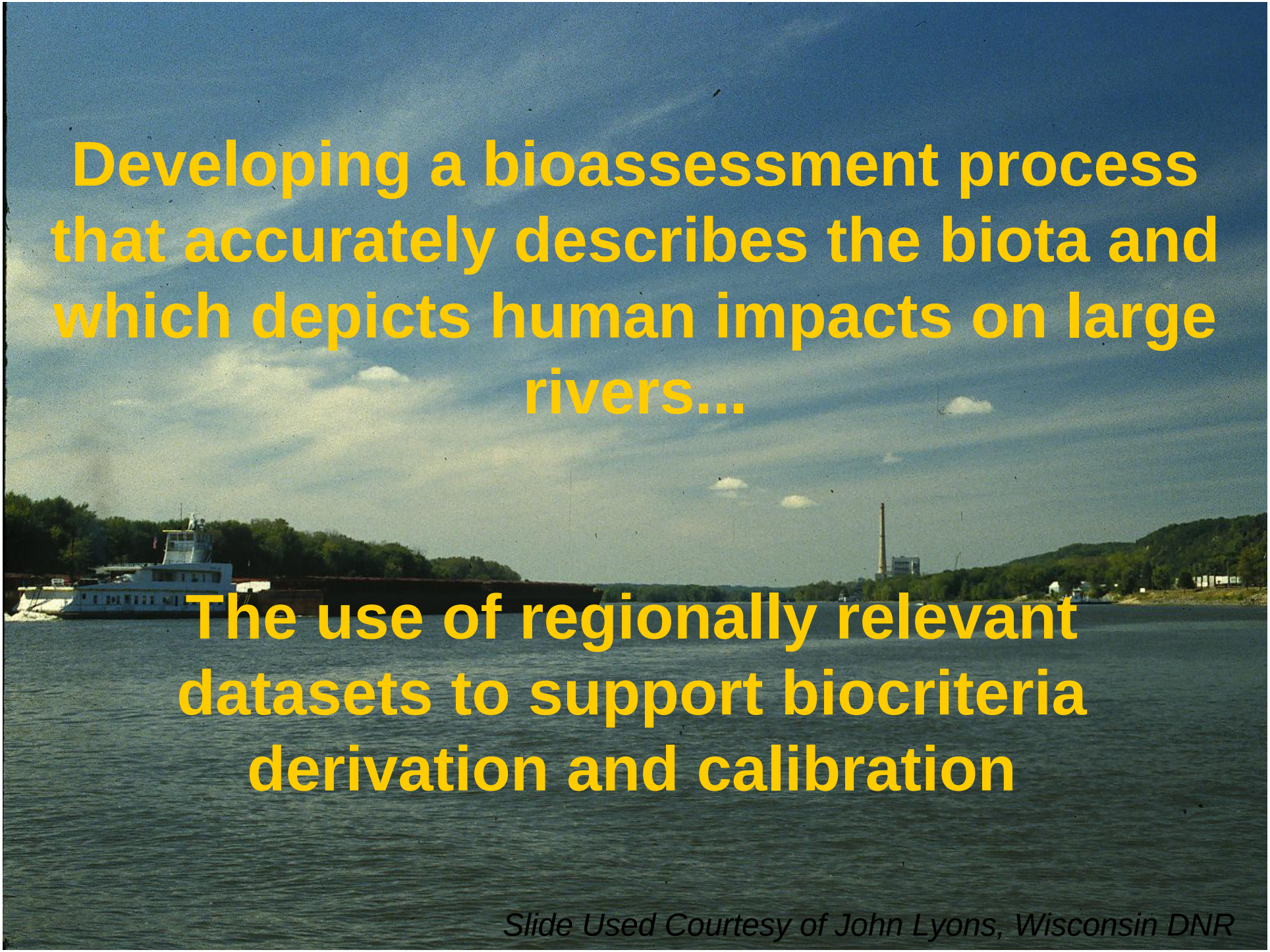
Net-based methods (including kicks, dips, jabs, sweeps, & picks)

Grab samplers

Benthic Macroinvertebrates

Passive Sampling Methods Examples

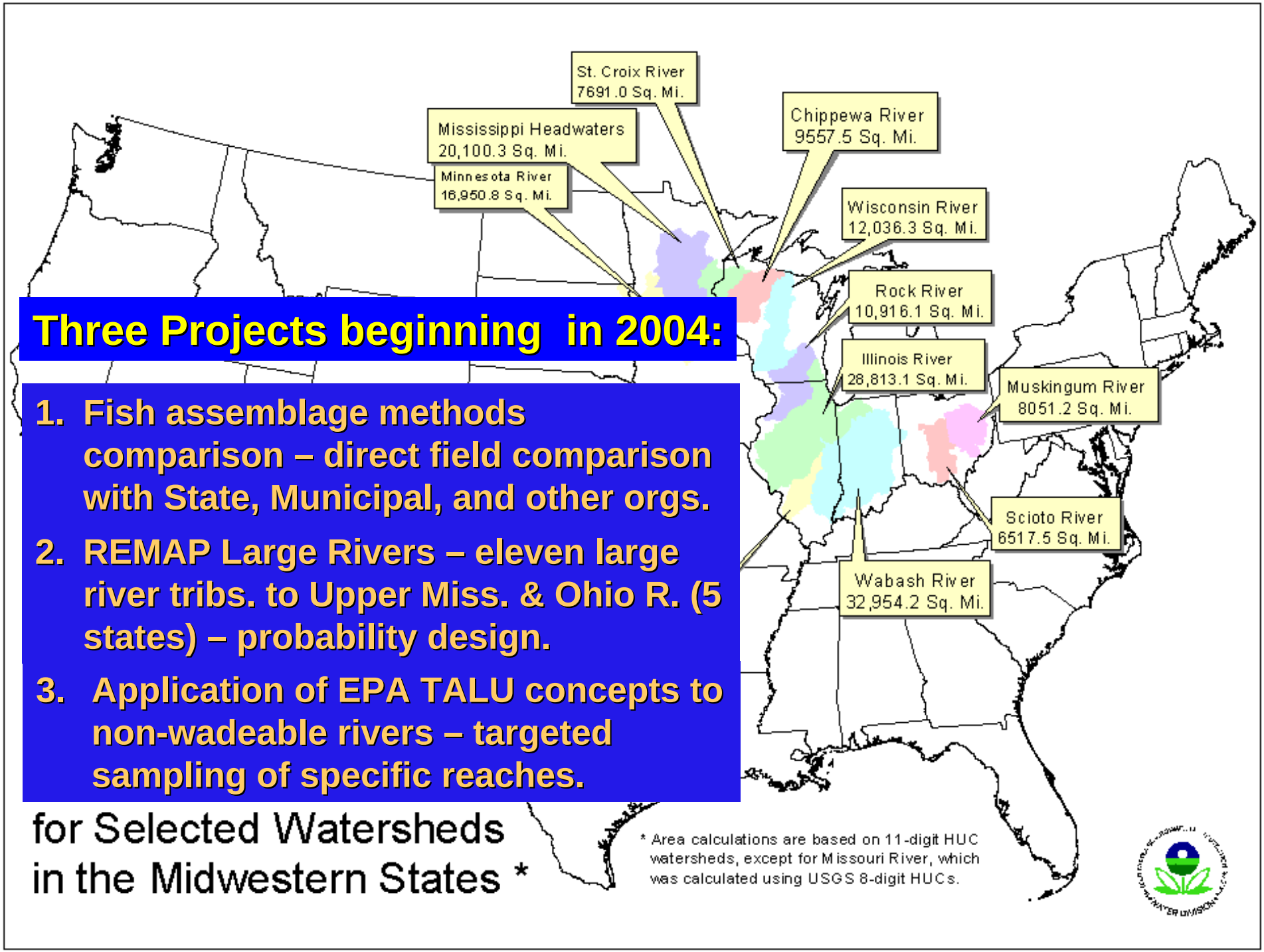
- Quantitative
  - Ohio-EPA
    artificial substrates
  - Maine DEP
    rock baskets
  - **Each state has sampled large rivers for >25 years**



Developing a bioassessment process that accurately describes the biota and which depicts human impacts on large rivers...

The use of regionally relevant datasets to support biocriteria derivation and calibration

Slide Used Courtesy of John Lyons, Wisconsin DNR

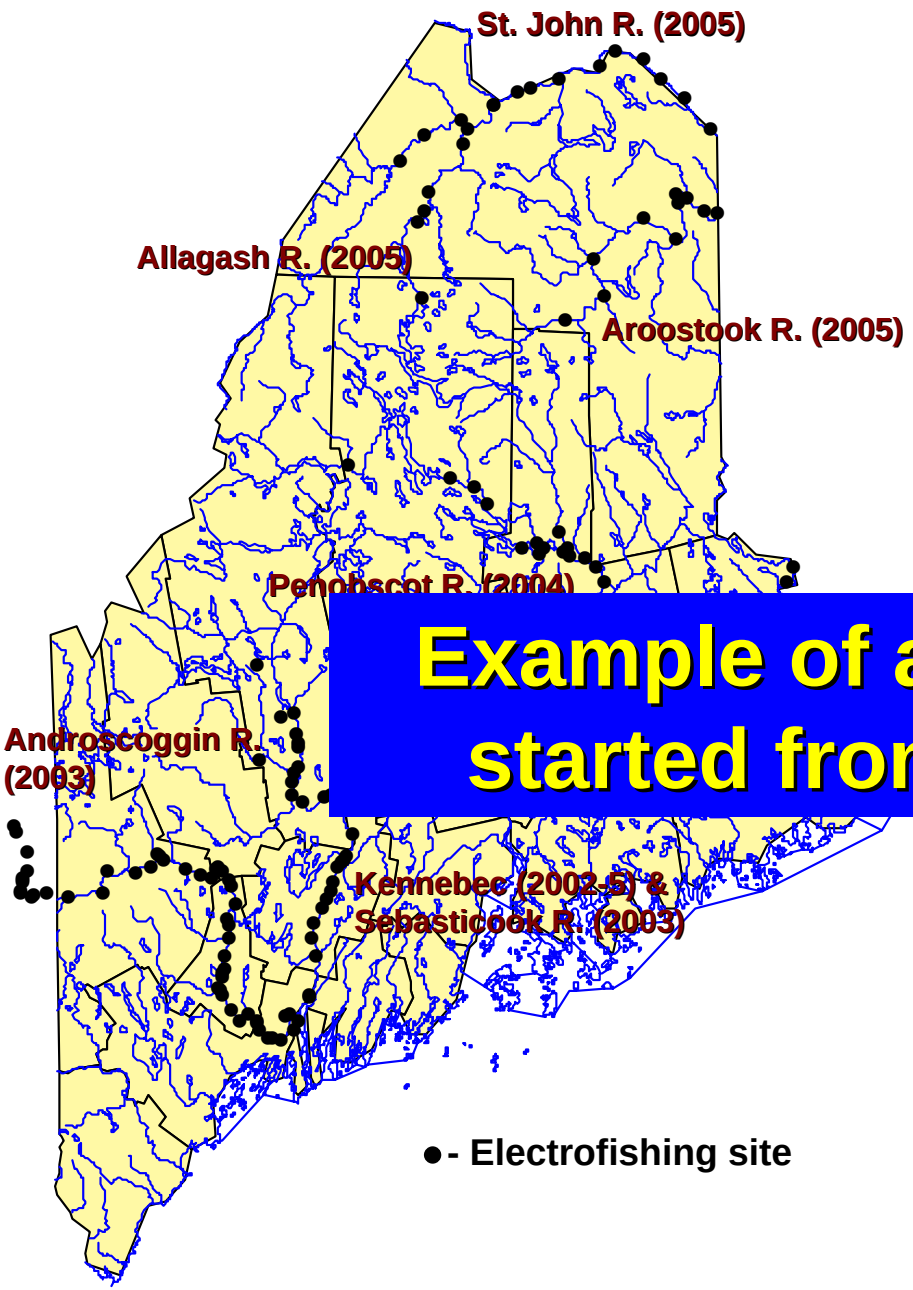


Three Projects beginning in 2004:

1. Fish assemblage methods comparison – direct field comparison with State, Municipal, and other orgs.
2. REMAP Large Rivers – eleven large river tribs. to Upper Miss. & Ohio R. (5 states) – probability design.
3. Application of EPA TALU concepts to non-wadeable rivers – targeted sampling of specific reaches.

for Selected Watersheds
in the Midwestern States *

* Area calculations are based on 11-digit HUC watersheds, except for Missouri River, which was calculated using USGS 8-digit HUCs.



Example of a project that started from "scratch"

Kennebec River (2002-5)

- Wyman Dam to Merrymeeting Bay (30 sites, 2 test areas)
- Follow-up Waterville to Augusta (2002-5)
- Atl. salmon nursery habitat survey (2003)

Androscoggin River (2003)

- Errol, NH to Merrymeeting Bay (51 sites)

Sebasticook River (2003)

- Douglas Pond to Winslow (9 sites)

Penobscot River (2004)

- N. Br. To Hamden (40 sites); included W. tributaries

gash (5 sites),
. Croix (12 sites)

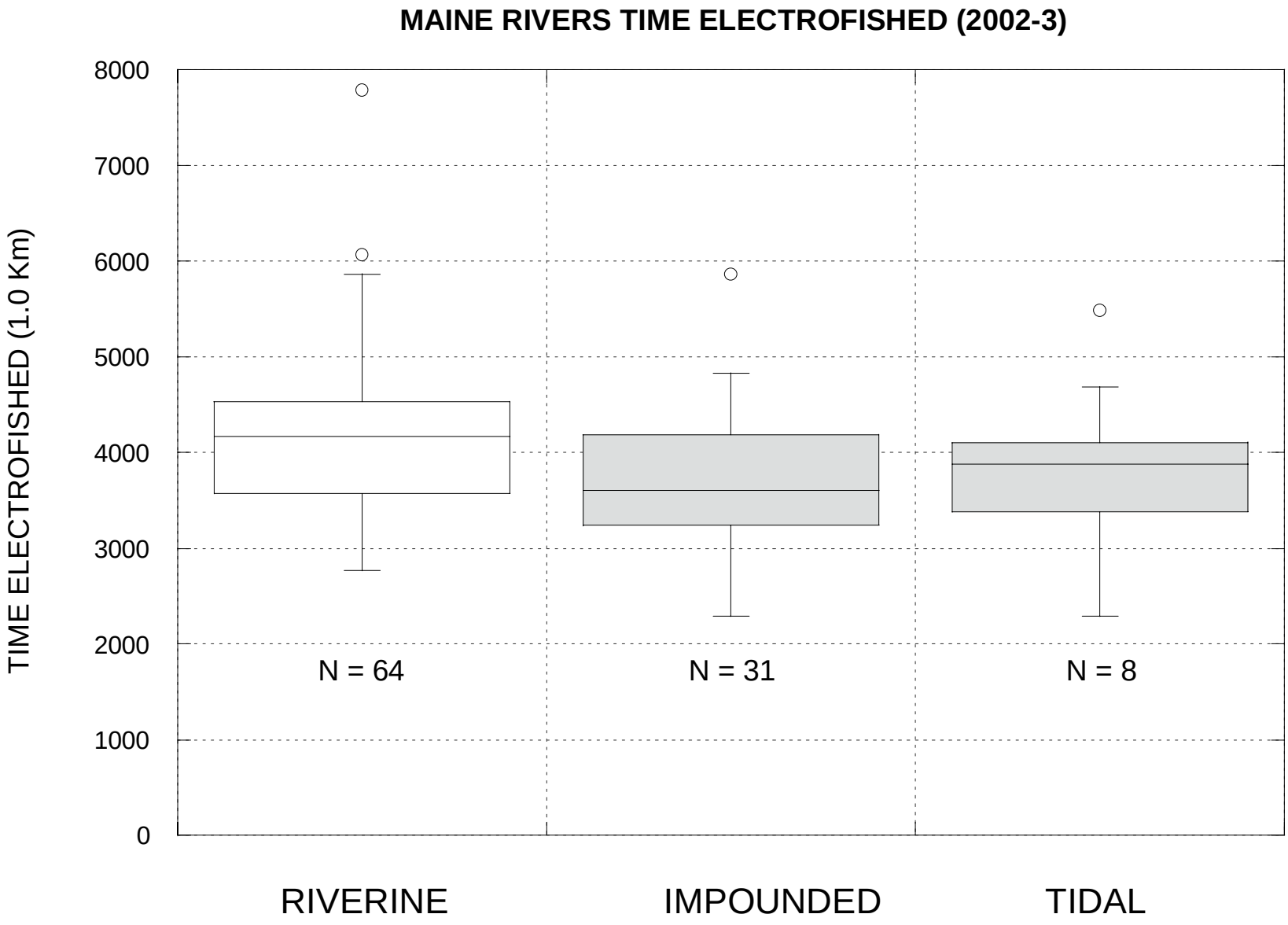
Cooperators

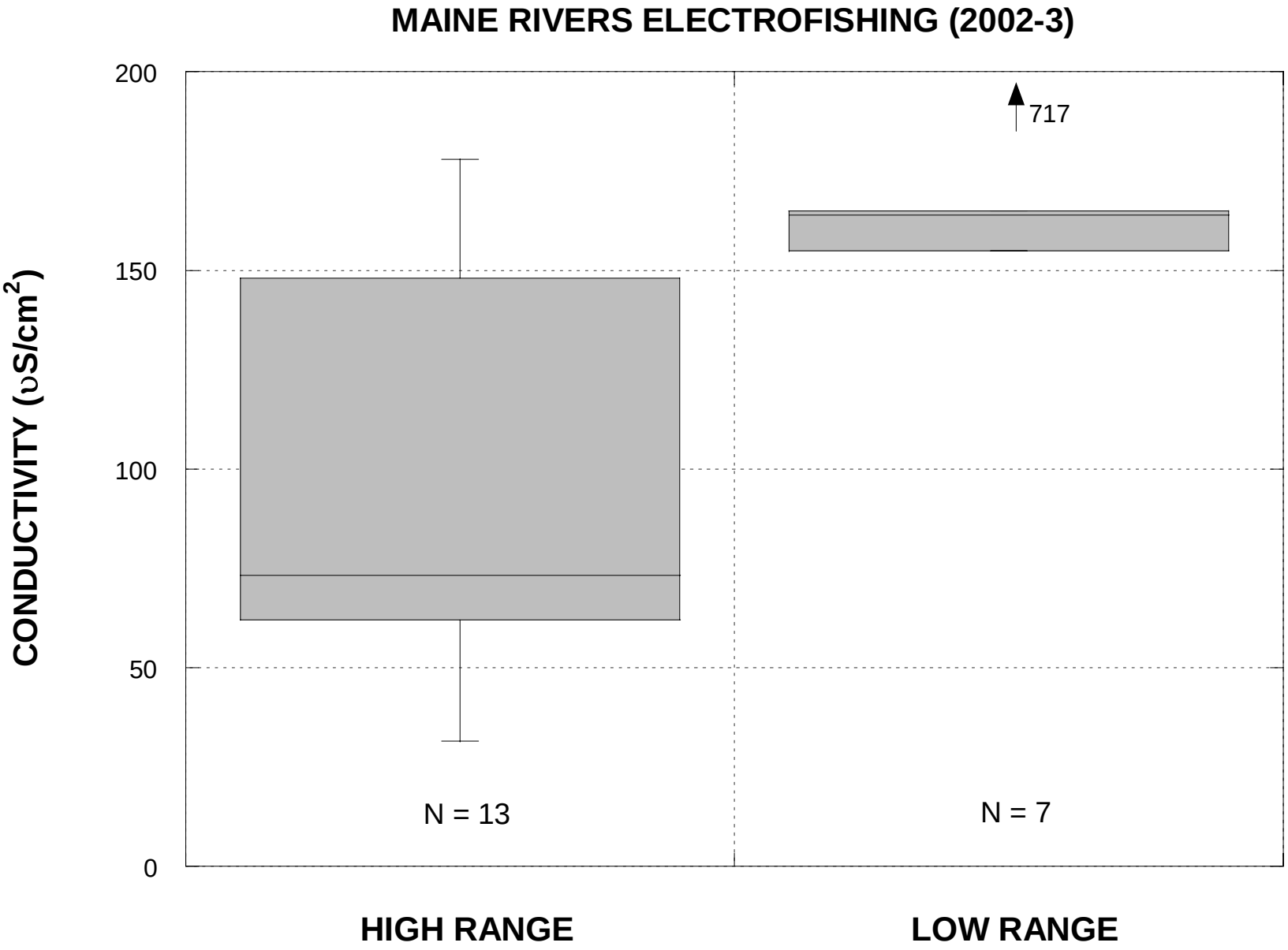
- U.S. EPA, Region I
- U.S. EPA, HECD
- Maine DEP
- Maine DIFW
- Maine DMR
- Maine ASC
- U.S. F&WS
- Penobscot Indian Nation
- Trout Unlimited
- St. Croix IWC
- Maine DOC
- NFWF
- GOMCME
- SRWA

Table 1. Key characteristics of a boat electrofishing protocol applicable to Maine and New England large river habitats.

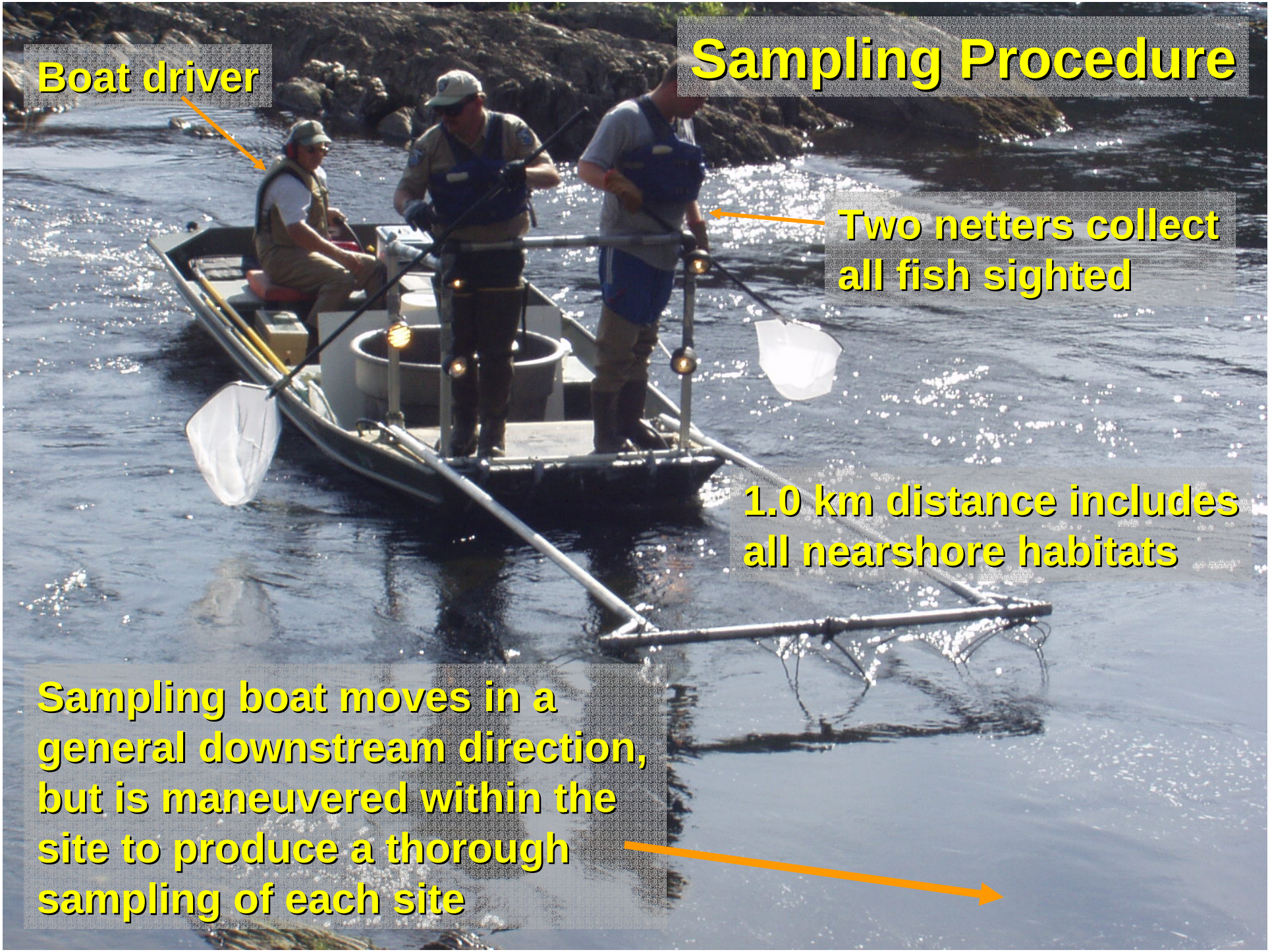
Category/Attribute	Riverine Wadeable[a] (Low-Mod. Cond.[b])	Riverine High Gradient (Low Cond.)	Riverine Mod. Gradient (Low Cond.)	Riverine Low Gradient (Mod. Cond.)	Impounded (Mod. Cond.)	Impounded (Mod. Cond.)	Tidal (High Cond.)
1. Drainage Area	<500 mi^2	<500 mi^2	>500-1000 mi^2	>1000 mi^2	NA	NA	NA
2. Platform	Georator[c] (bank set/towboat)	14' raft[d] or 12' johnboat	16' johnboat or 16' raft[e]	16' johnboat	16' johnboat	16' johnboat	16' johnboat
3. Crew Size	3 persons (2 netters)	2 persons (1 netter)	3 persons (2 netters)	3 persons (2 netters)	3 persons (2 netters)	3 persons (2 netters)	3 persons (2 netters)
4. Electrofishing Unit	GPP 2.5, 5.0[e] or equivalent	GPP 2.5, 5.0 or equivalent	GPP 5.0 or equivalent	GPP 5.0 or equivalent	GPP 5.0 or equivalent	GPP 5.0 or equivalent	GPP 5.0 or
5. Power Source	2500-5000 Watt Alternator	5000 Watt Alternator	5000 Watt Alternator	5000 Watt Alternator	5000 Watt Alternator	5000 Watt Alternator	5000 Watt Alternator
6. Unit Settings[f]	High 120 Hz	High 120 Hz 2-4 Amperes	High 120 Hz 2-4 Amperes	Low or High 120 Hz 4-8 Amperes	High 120 Hz 2-4 Amperes	Low or High 120 Hz 4-8 Amperes	Low 120 Hz >8-15 Amperes
(% of Low or High Range)	NA	(100%)	(100%)	(60-100%)	(100%)	(60-100%)	(50-80%)
7. Anodes[g]	Net Ring	2 gangs	3 gangs	3 gangs	3 gangs	3 gangs	2 gangs
8. Cathodes	rat tail	6'	8'	8'	8'	8'	8'
9. Sampling Direction & Distance	Upstream 0.2-0.5 Km	Downstream 0.5-1.0 Km	Downstream 1.0 Km	Downstream 1.0 Km	Downstream 1.0 Km	Downstream 1.0 Km	Downstream 1.0Km
10. CPUE[h] Basis	Per 0.5 Km	Per Km	Per Km	Per Km	Per Km	Per Km	Per Km
11. Time Sampled[i]	Not tested	3500-4500 s	4000-5500 s	3500-4500 s	3000-4000 s	3000-4000 s	3500-4500 s
12. Time of Day	Day	Day	Day	Day	Day or Night	Day or Night	Day

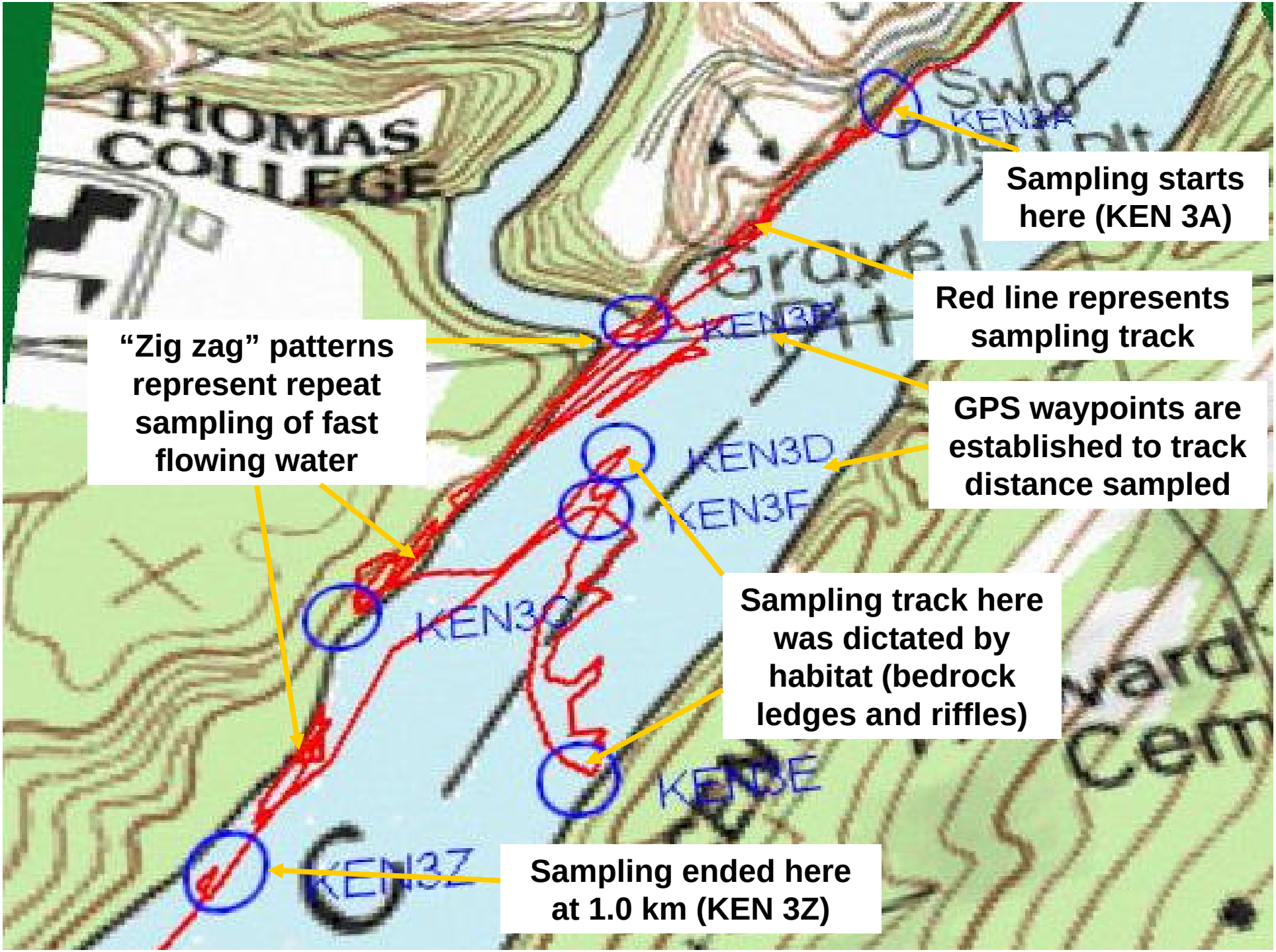
[a] Wadeable defined as sites where a raft or boat mounted apparatus cannot be used due to shallowness of depth – accessibility is not a criterion.
[b] Typical relative conductivity ranges: Low (15-40 $\mu s/m^2$); Moderate (40 – 200 $\mu s/m^2$); High (>200 $\mu s/m^2$).
[c] Employs a primary net ring as the anode that is operated by the primary netter backed by an assist netter - the unit is either bank set or towed on a small skiff (towboat).
[d] This platform was extensively tested in 2005.
[e] This platform has not been tested in Maine, but it has worked well elsewhere and in similar conditions.
[f] This does not constitute an endorsement of a particular brand or product name and is for methodological identification only.
[g] Unit settings are selected to produce the highest voltage and amperage output; these are what typically worked in each conductivity range and habitat type.
[h] Anodes consist of gangs or multiple strands of wire as described under Equipment Specifications.





Sampling Procedure





"Intermediate" Size River Equipment

14 ft. Electrofishing Raft

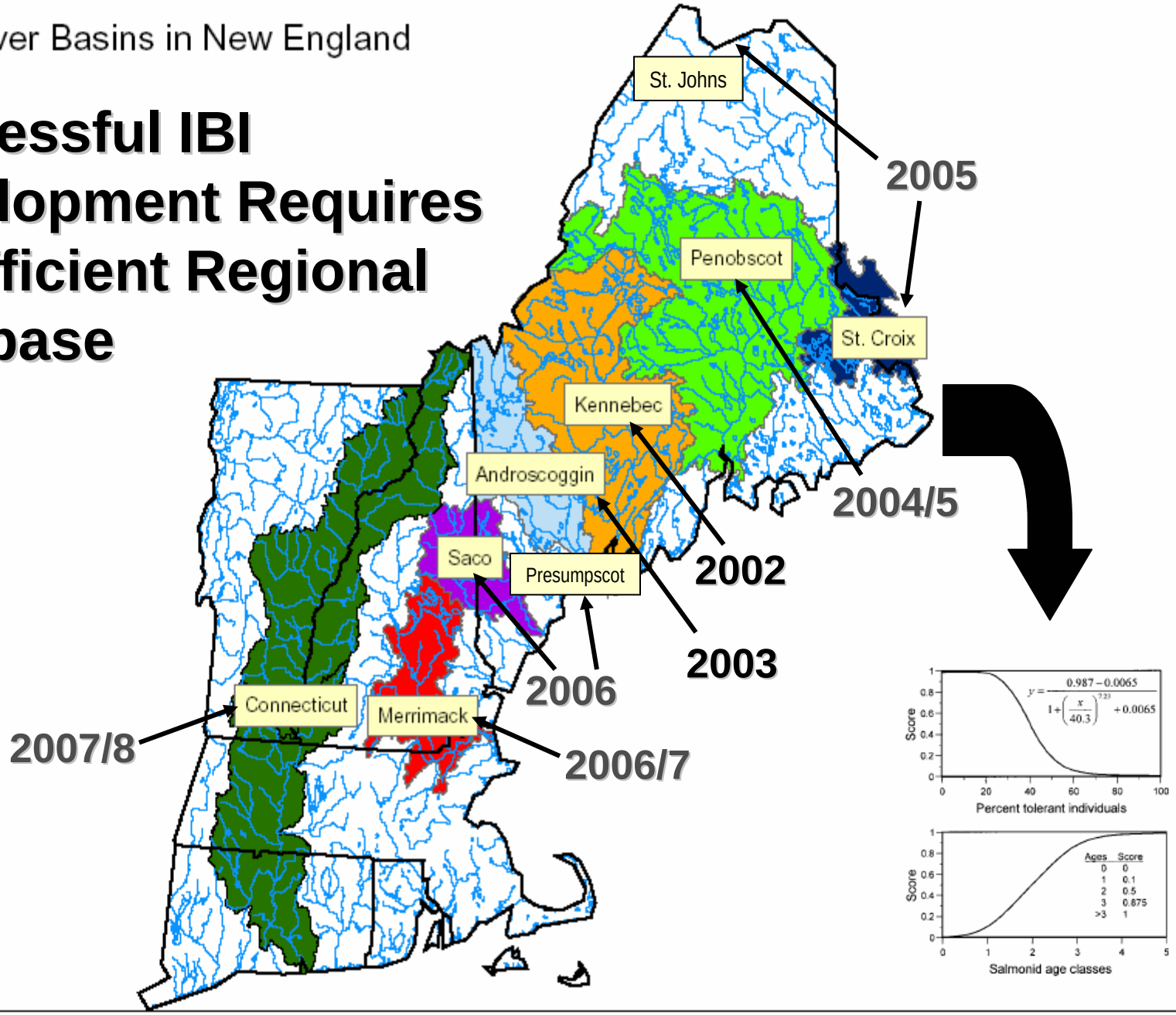
Two Person Crew

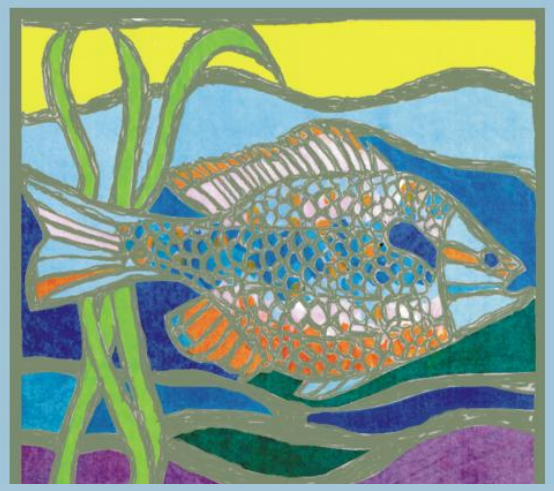
Launching & Retrieving

Smith-Root 2.5 GPP Unit

Major River Basins in New England

Successful IBI Development Requires a Sufficient Regional Database





Tiered Aquatic Life Uses: A Tool for Ecosystem Management

Hydrologic Alteration and Ecological Communities in the East

Amherst, MA, UMASS and TNC

October 20, 2005

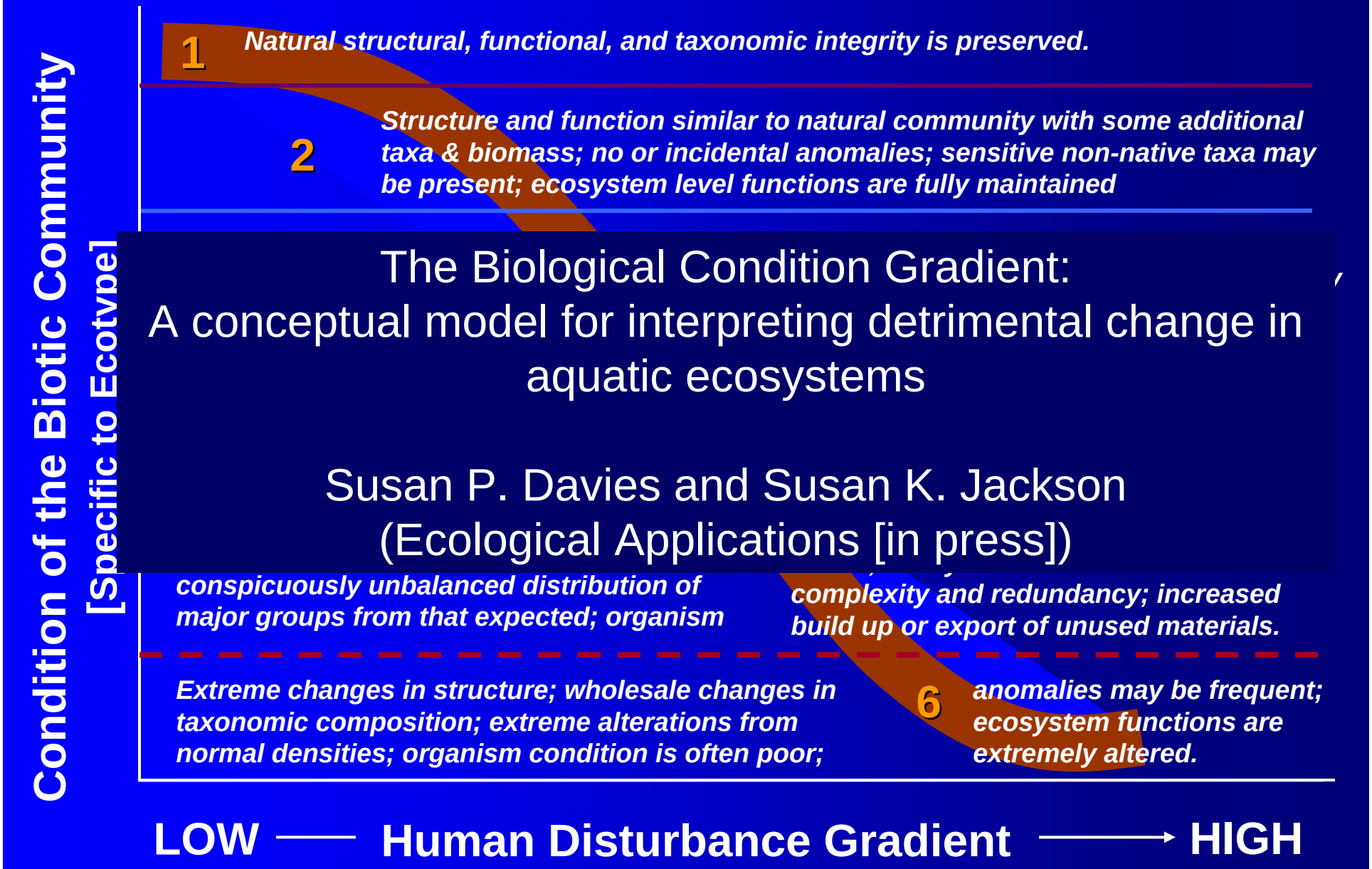
Susan Davies, State of Maine, and Susan Jackson, U.S. EPA

United States
Environmental Protection
Agency

Use of Biological Information to Tier Designated Aquatic Life Uses in State and Tribal Water Quality Standards

August 2005

Tiered Aquatic Life Use Conceptual Model: Draft Biological Tiers



U.S. EPA Aquatic Life Uses Working Group

Biological Criteria: I

- Narrative ratings or numerical values which are based on the numbers and kinds of aquatic organisms (i.e., assemblage) which are found to inhabit a particular stream or river sampling location.

Biological Criteria: II

- Biological criteria are indexed to the reference assemblage of aquatic organisms within a particular geographical region (i.e., ecoregion) and with respect to stream and river size.

Establishing Reference Condition

I. Reference Sites

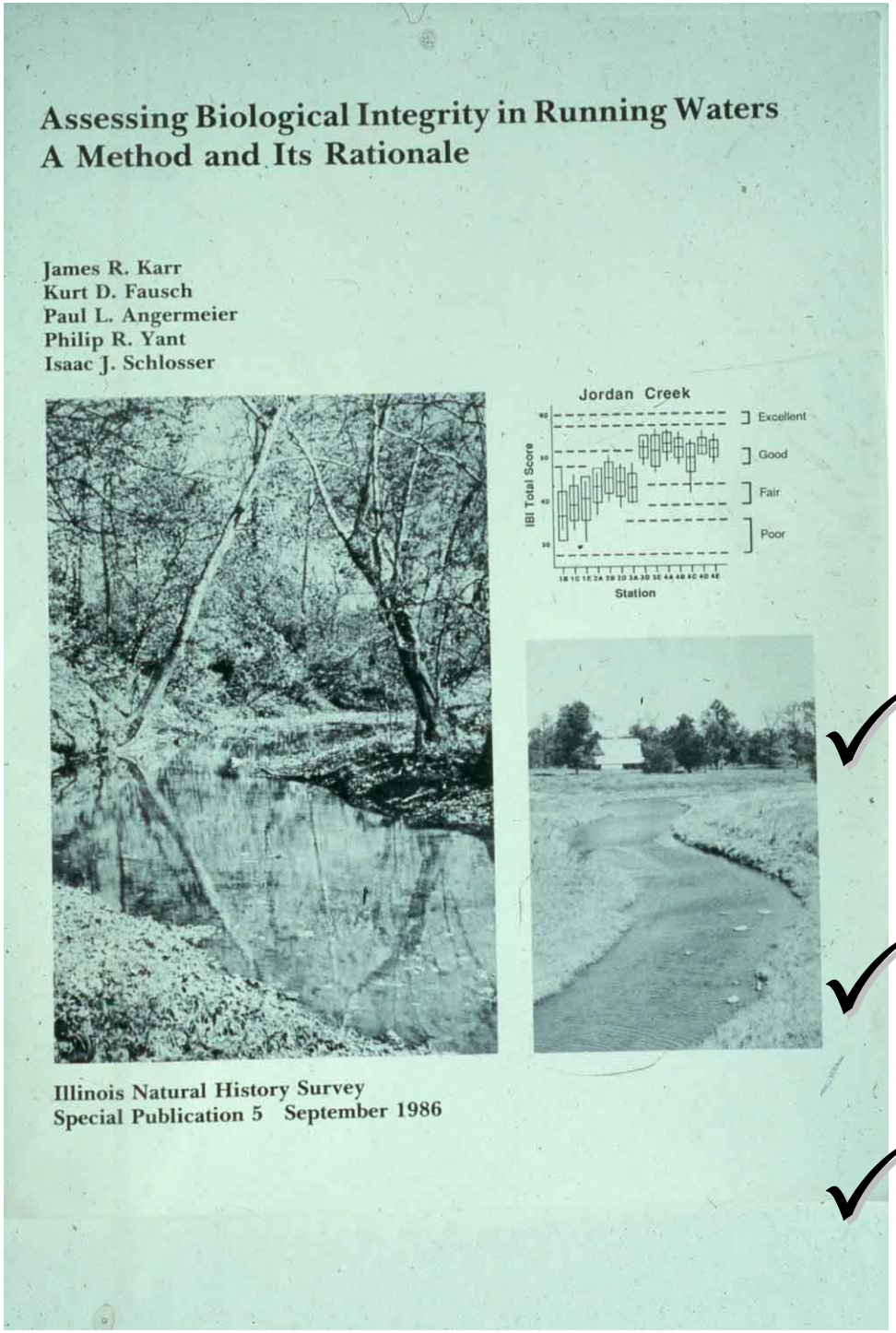
- Minimally to least impacted sites.
- Cultural setting & abiotic criteria – qualitative process used in 1980s.
- Subsets of sites needed for different ecotypes, water body types, and regions.
- Part of routine monitoring – ***resampling over a 10 yr. time interval****).

*** - a complete set of re-sampled reference data (1990-1999) is now available for Ohio.**

Establishing Reference Condition

II. Reference Condition

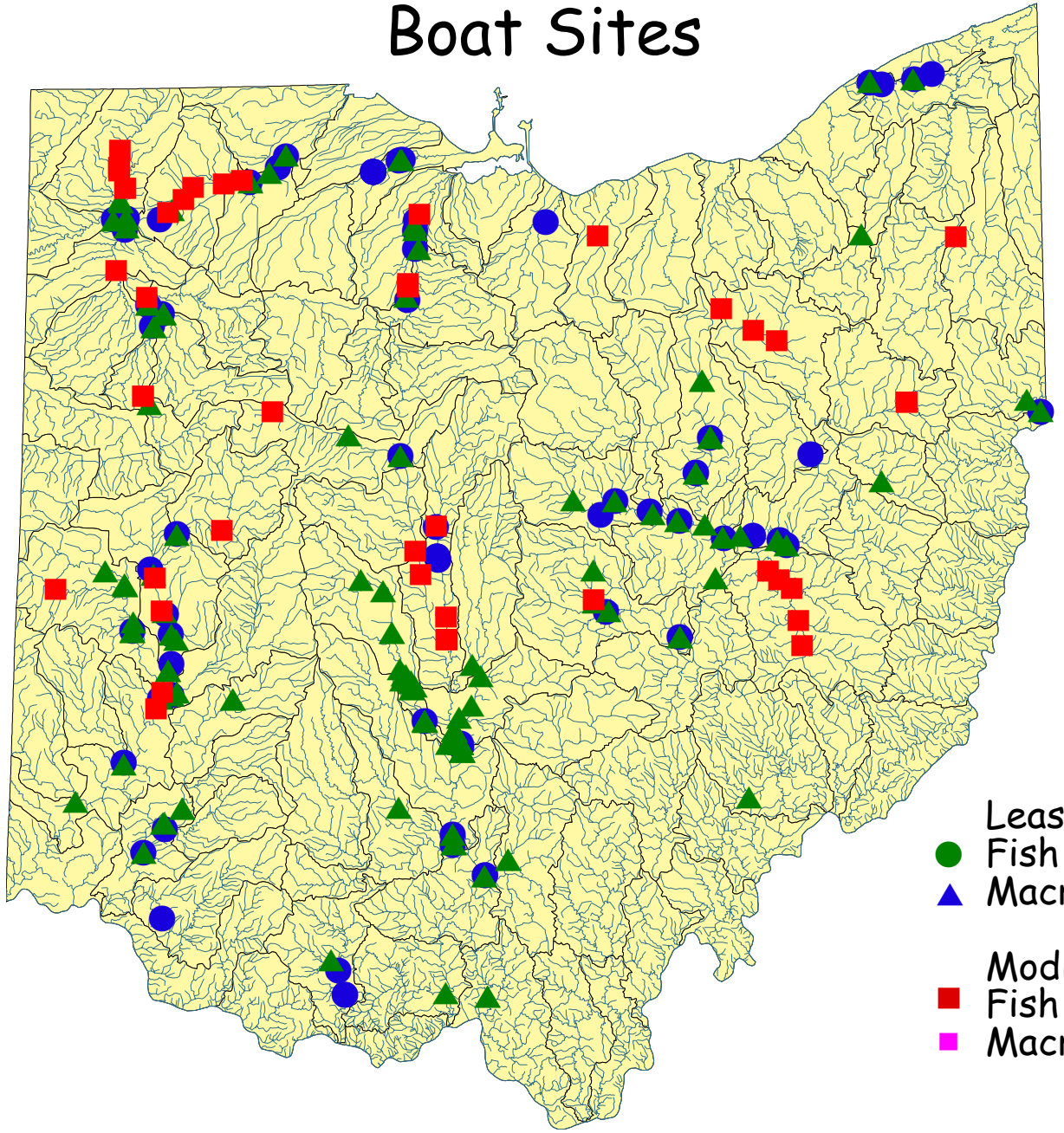
- Data collected at reference sites.
- A distribution of data, not a single fixed data point.
- Should include upper tiers of Biological Condition Gradient.
- Alternative approaches can be used when empirical data is lacking (historical archives, expert panels).
- Used first to *calibrate* metrics, then to *set biocriteria*.



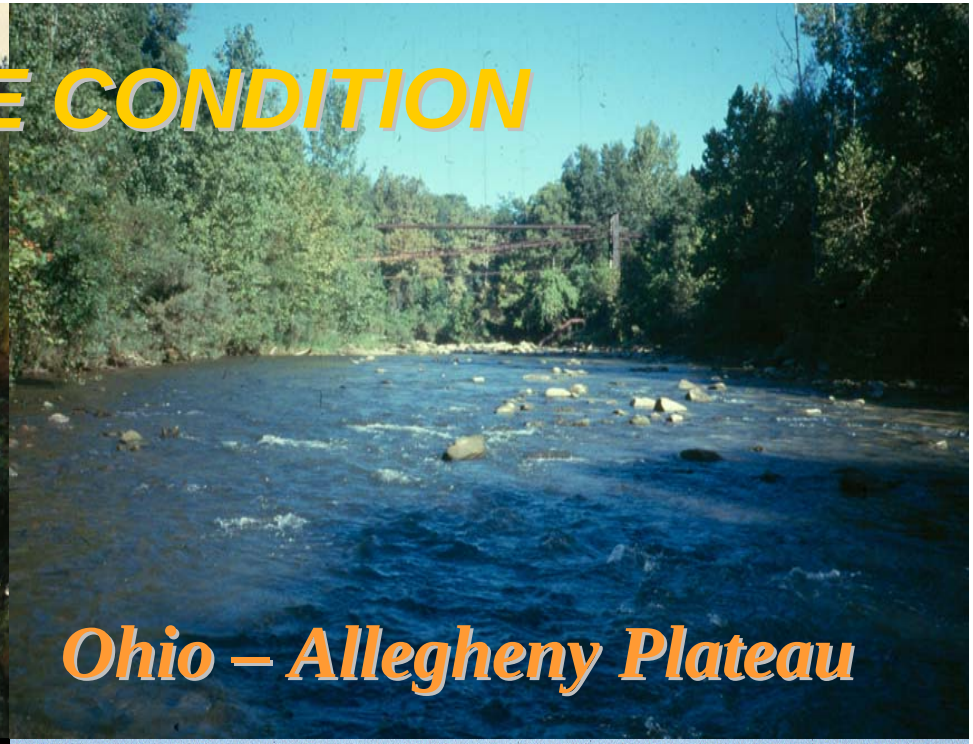
Guidelines for Deriving Regionally Relevant "IBI Type" Assessment Tools

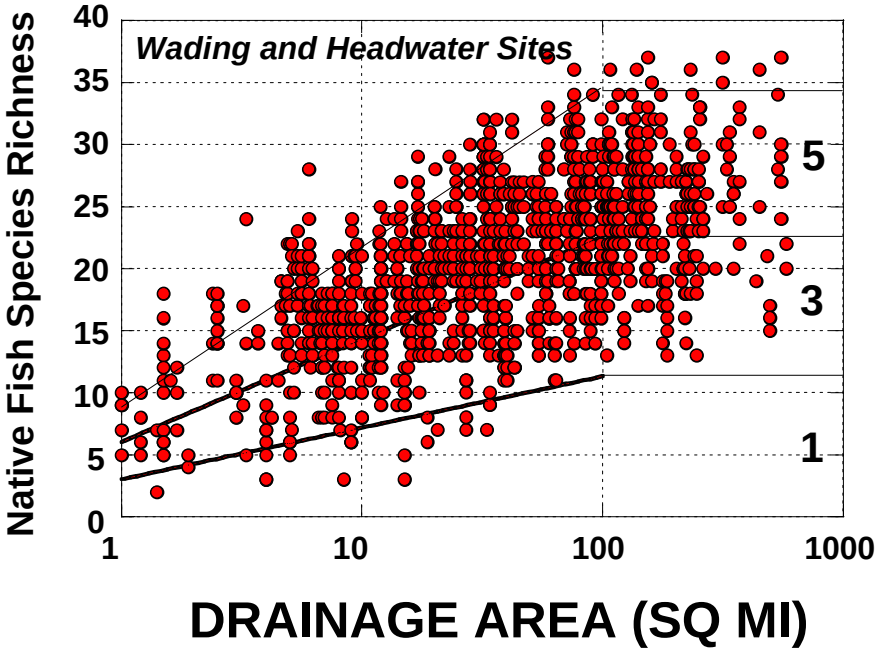
- Karr et al. (1986) provides guidance for metric development, substitution, and modification.
- Requires detailed knowledge of the regional fauna including life history, taxonomy, zoogeography, and natural history. ✓
- Requires an extensive database from consistent sampling of both reference condition and a gradient of human disturbance. ✓
- Requires extensive testing of candidate metrics and aggregate indices. ✓

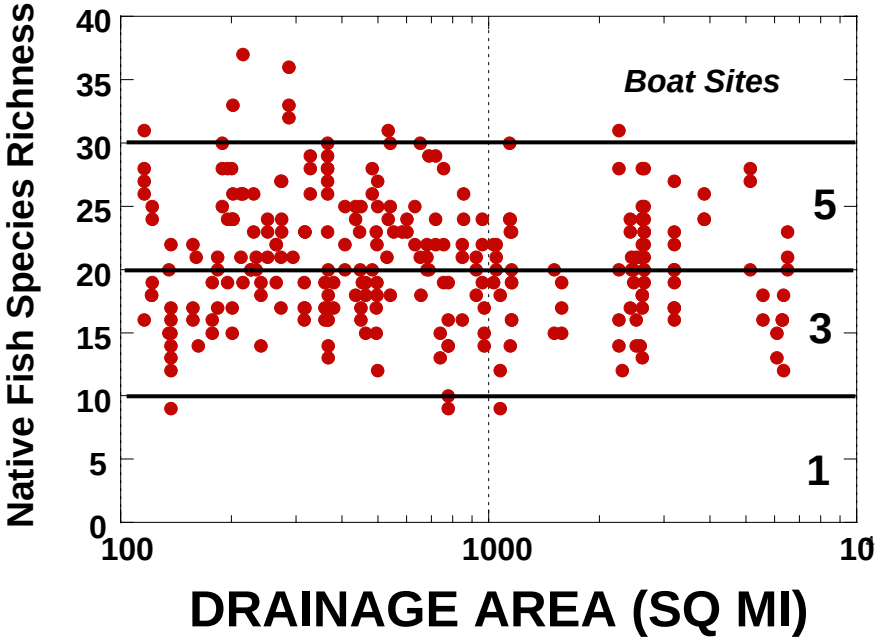
Ohio Biocriteria Reference Sites
Boat Sites



REFERENCE CONDITION



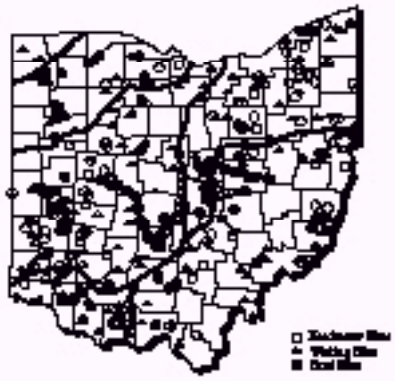




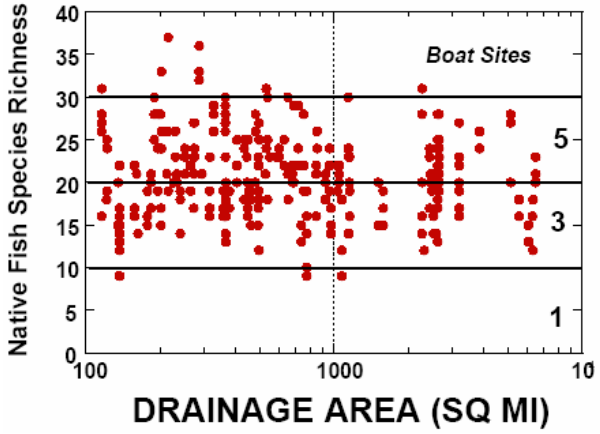
Calibration of Metrics Using Regional Reference Sites

- Scatter plot of metric value by appropriate calibration vector (e.g., watershed area).
- Determine 95% maximum line of best fit across surface of scatterplot; *driven by best reference sites.*
- Area beneath 95% line is subdivided (e.g., trisection) to determine metric scores - most data points should occur in upper ranges.
- This method reduces the influence of slightly degraded sites that may not biologically reflect the intent of reference condition.
- Slope of 95% line conservatively assumed to be zero for boat sites.

Ohio IBI Calibration & Biocriteria Derivation Process

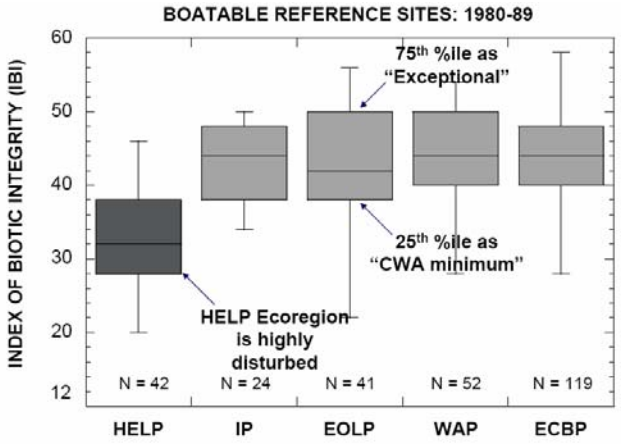


I. Select & sample reference sites

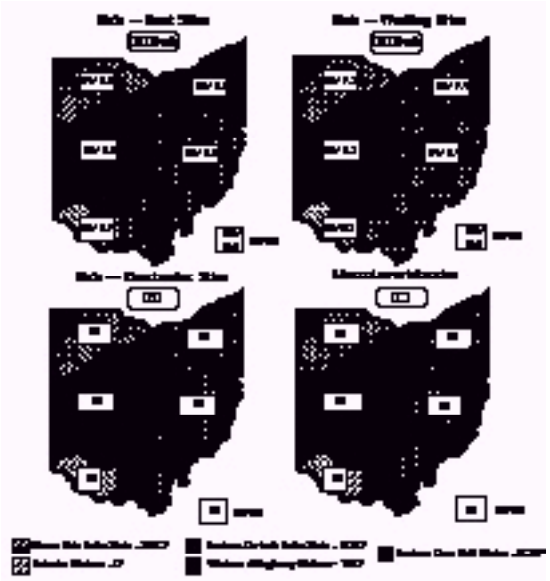


II. Calibration of IBI metrics

Metric	5	3	1
Number of Species	>20	10-20	<10
%Rnd-bodied sucker	>38	19-38	<19
No. of Sunfish Spp.	>3	2-3	<2
No. of Sucker Spp.	>5	3-5	<3
Intolerant Species	>3	2-3	<2
%Tolerant Species	<15	15-27	>27
%Omnivores	<16	16-28	>28
%Insectivores	>54	27-54	<27
%Top Carnivores	>10	5-10	<5
%Simple Lithophils			
<600 sq. mi.	>50	25-50	<50
>600 sq. mi.	Varies x Drainage Area		
%DELT Anomalies	<0.5	0.5-3.0	>3.0
Relative Abundance	>450	200-450	<200

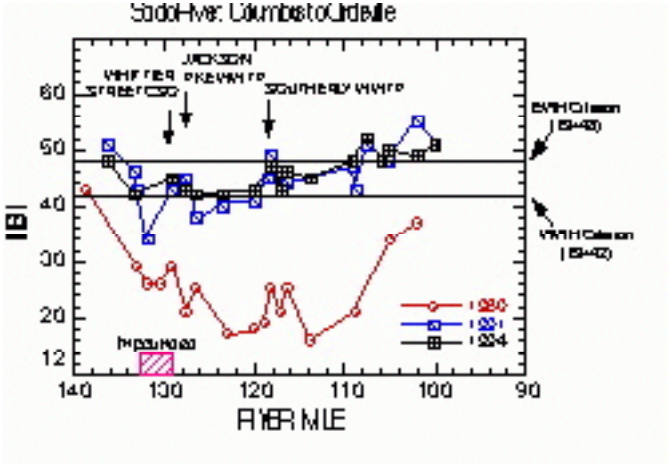


IV. Establish ecoregional patterns/expectations



V. Derive numeric bio-criteria: Codify in WQS

III. Calibrated IBI modified for Ohio waters



VI. Numeric biocriteria are used in bioassessments

Ohio Biological Criteria: Adopted May 1990 (OAC 3745-1-07; Table 7-14)

Huron Erie Lake Plain (HELP)

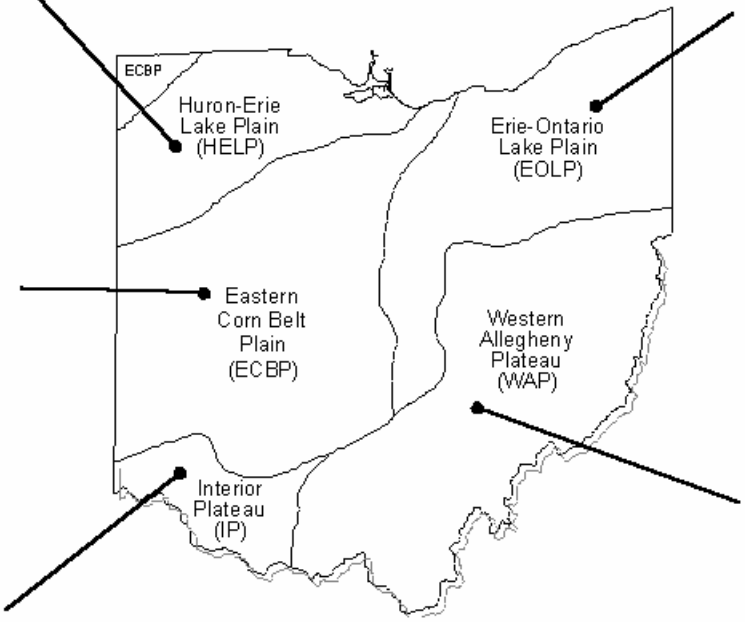
Use	Size	IBI	MIwb	ICI
WWH	H	28	NA	34
	W	32	7.3	34
	B	34	8.6	34
MWH-C	H	20	NA	22
	W	22	5.6	22
	B	20	5.7	22
MWH-I	B	30	5.7	NA

Eastern Corn Belt Plains (ECBP)

Use	Size	IBI	MIwb	ICI
WWH	H	40	NA	36
	W	40	8.3	36
	B	42	8.5	36
MWH-C	H	24	NA	22
	W	24	6.2	22
	B	24	5.8	22
MWH-I	B	30	6.6	NA

Interior Plateau (IP)

Use	Size	IBI	MIwb	ICI
WWH	H	40	NA	30
	W	40	8.1	30
	B	38	8.7	30
MWH-C	H	24	NA	22
	W	24	6.2	22
	B	24	5.8	22
MWH-I	B	30	6.6	NA



Erie Ontario Lake Plain (EOLP)

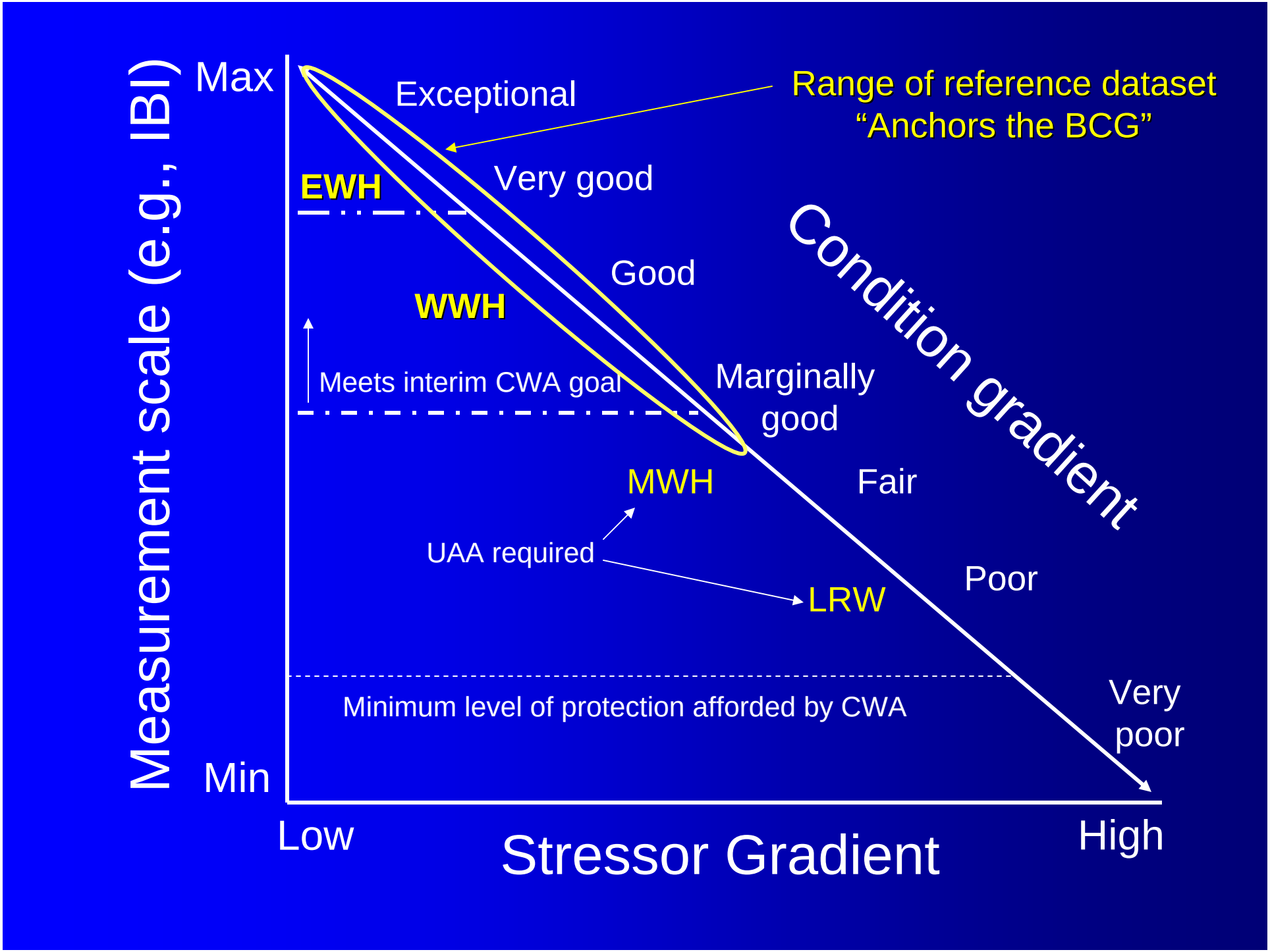
Use	Size	IBI	MIwb	ICI
WWH	H	40	NA	34
	W	38	7.9	34
	B	40	8.7	34
MWH-C	H	24	NA	22
	W	24	6.2	22
	B	24	5.8	22
MWH-I	B	30	6.6	NA

Western Allegheny Plateau (WAP)

Use	Size	IBI	MIwb	ICI
WWH	H	44	NA	34
	W	44	8.4	34
	B	40	8.6	34
MWH-C	H	24	NA	22
	W	24	6.2	22
	B	24	5.8	22
MWH-A	H	24	NA	30
	W	24	5.5	30
	B	24	5.5	30
MWH-I	B	30	6.6	NA

Statewide Exceptional Criteria

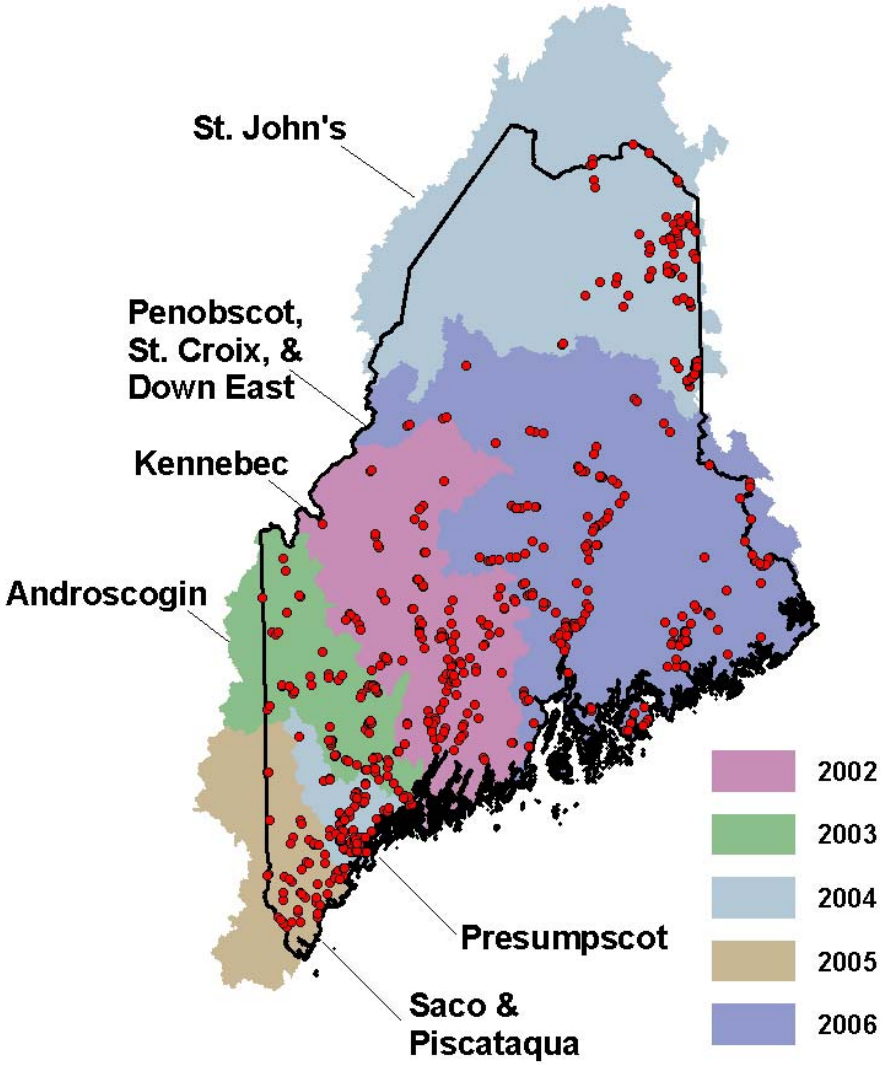
Use	Size	IBI	MIwb	ICI
EWH	H	50	NA	46
	W	50	9.4	46
	B	48	9.6	46



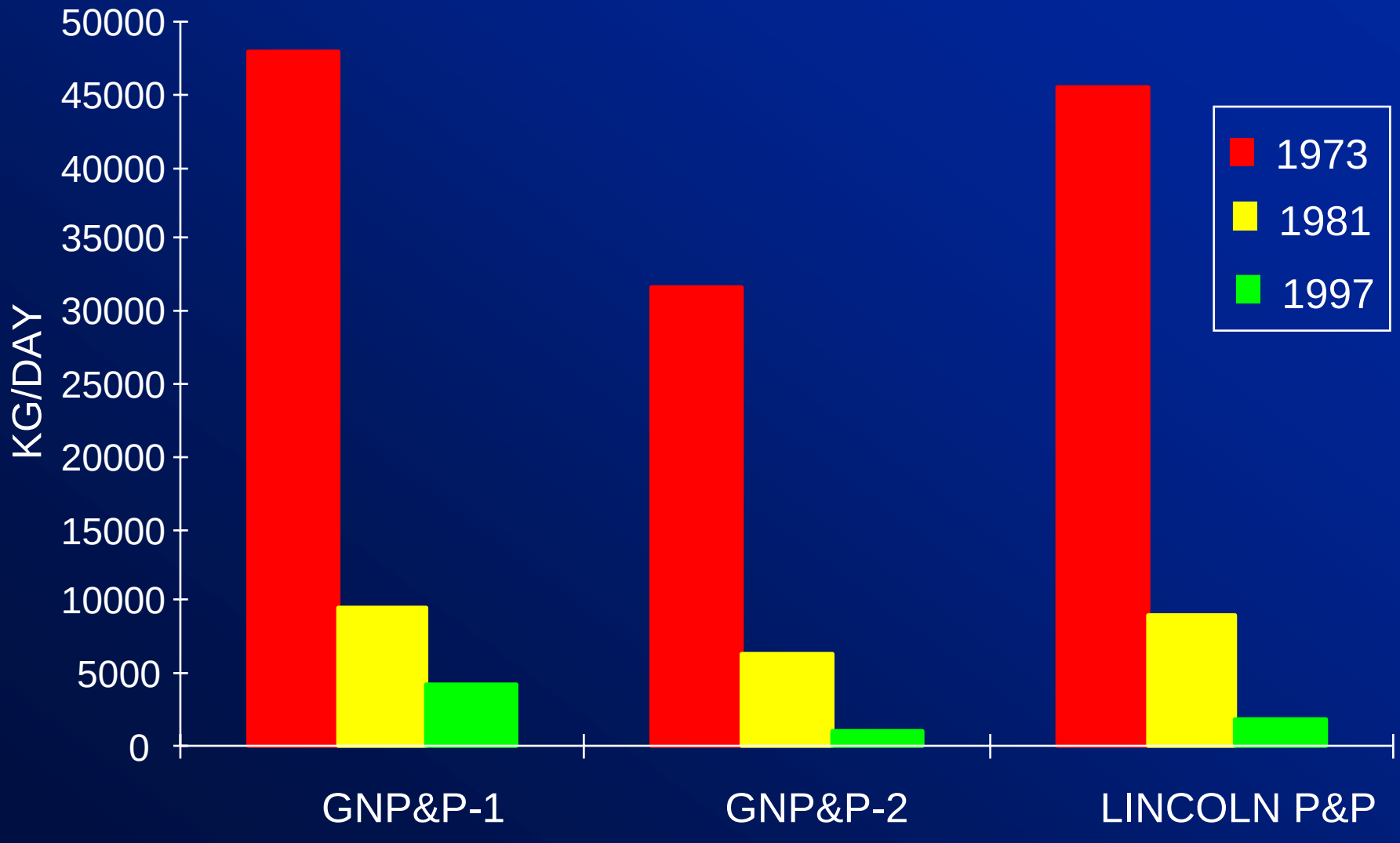
Biological Criteria: III

- Biological criteria represent a calibrated assessment tool which fosters an organized goal setting process in an effort to reconcile human impacts and guide restoration efforts.

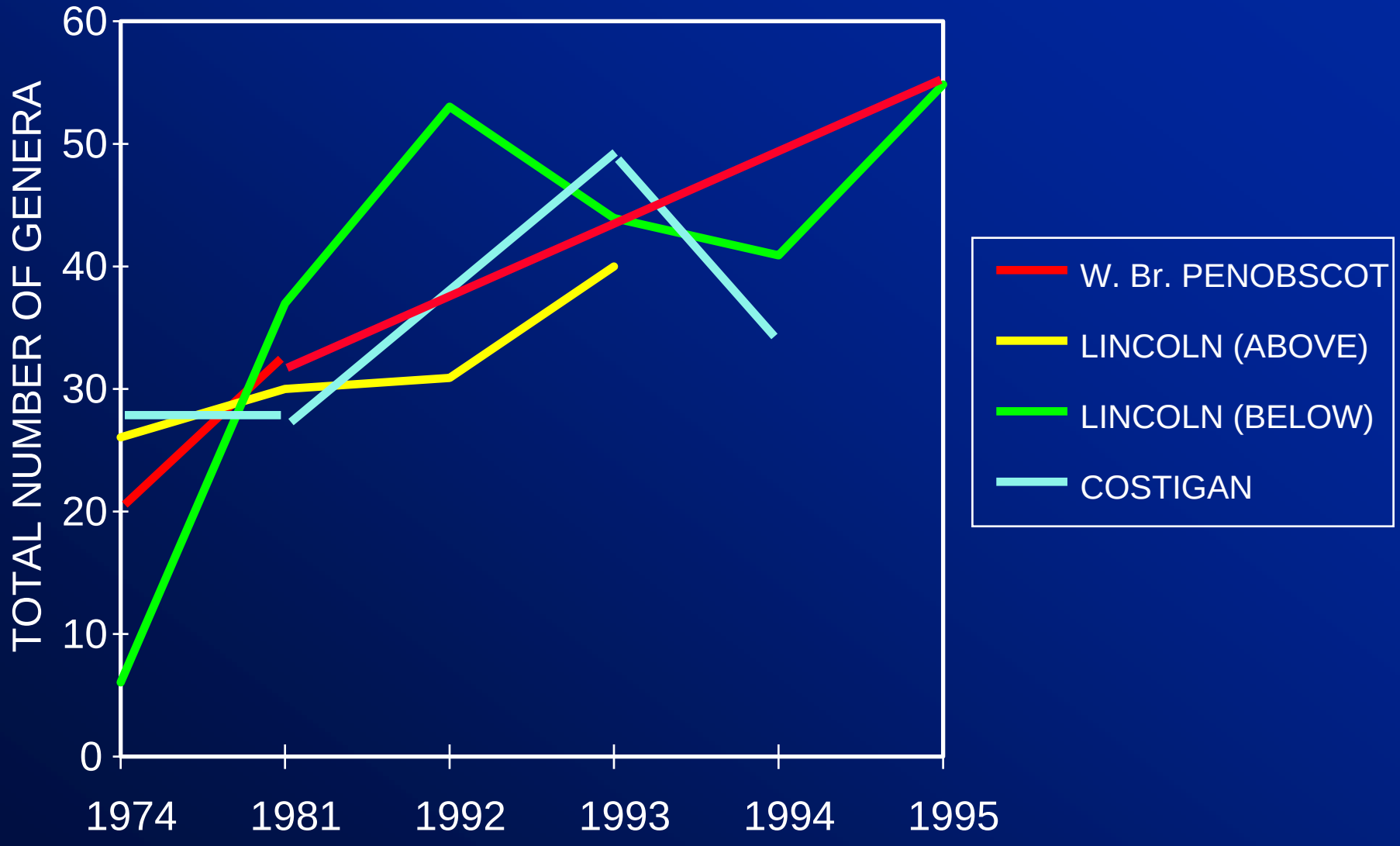
Maine DEP Bioassessments



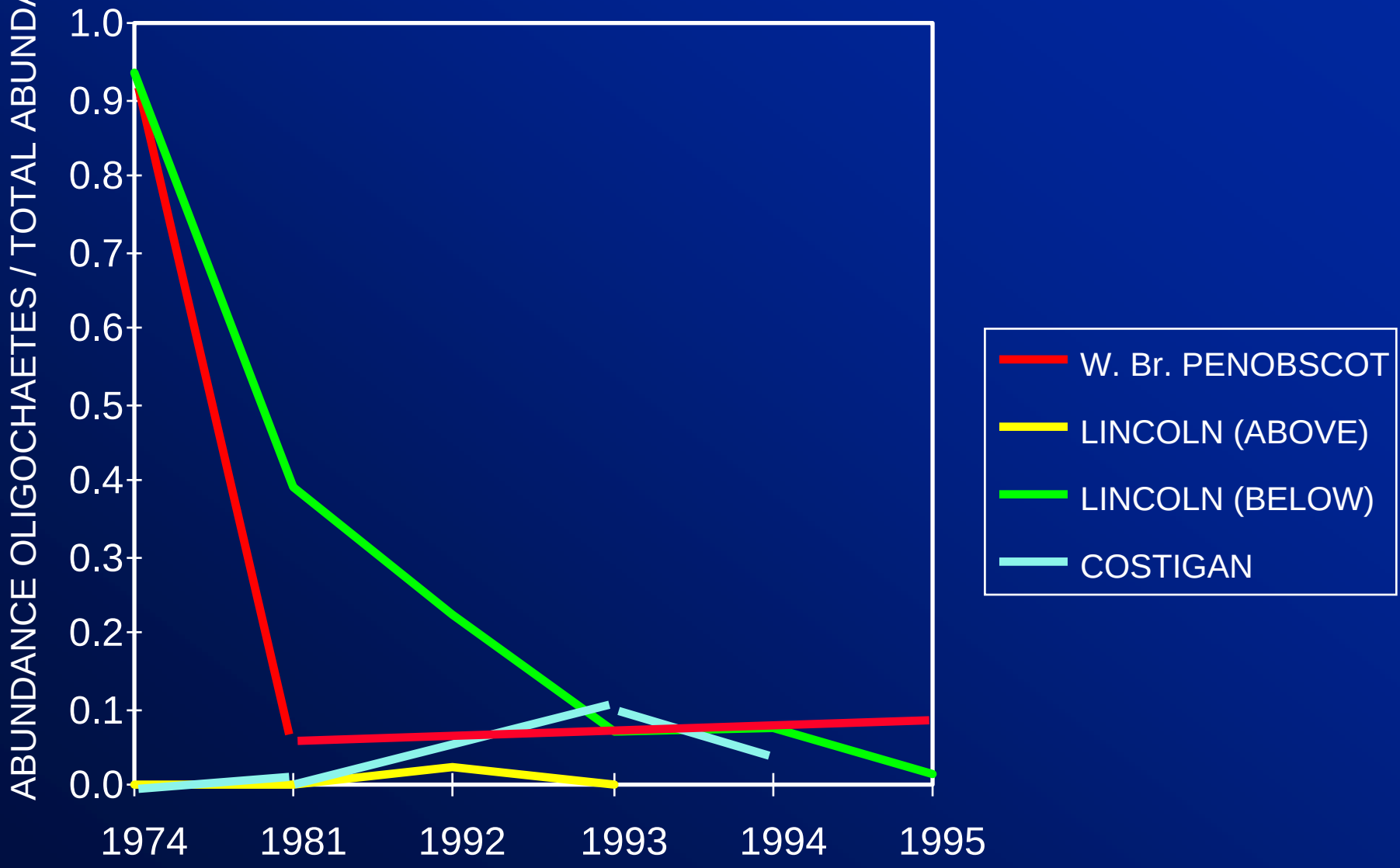
ESTIMATED TSS (KG/DAY) FOR THREE PULP AND PAPER MILLS ON THE PENOBSCOT RIVER



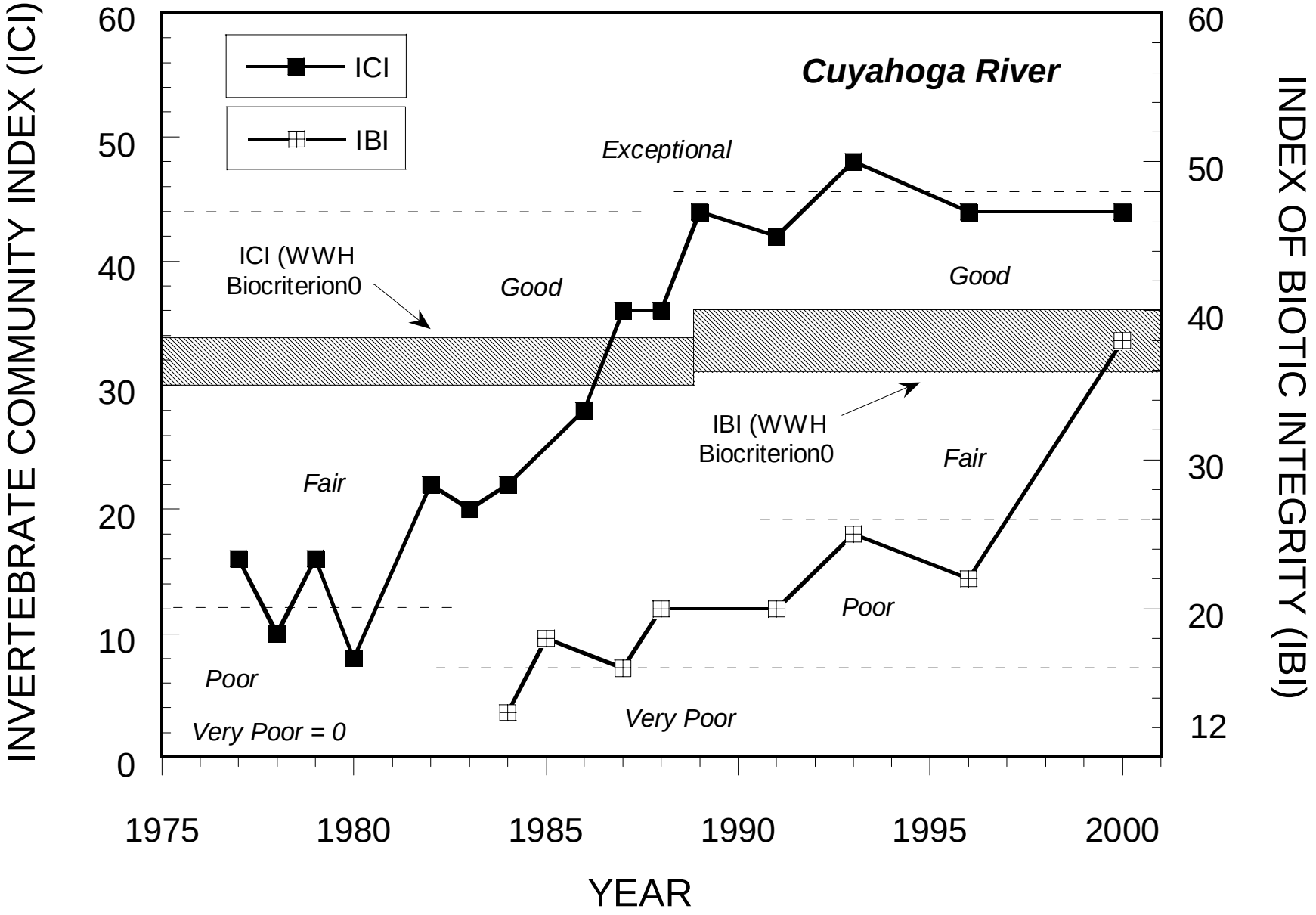
GENERIC RICHNESS

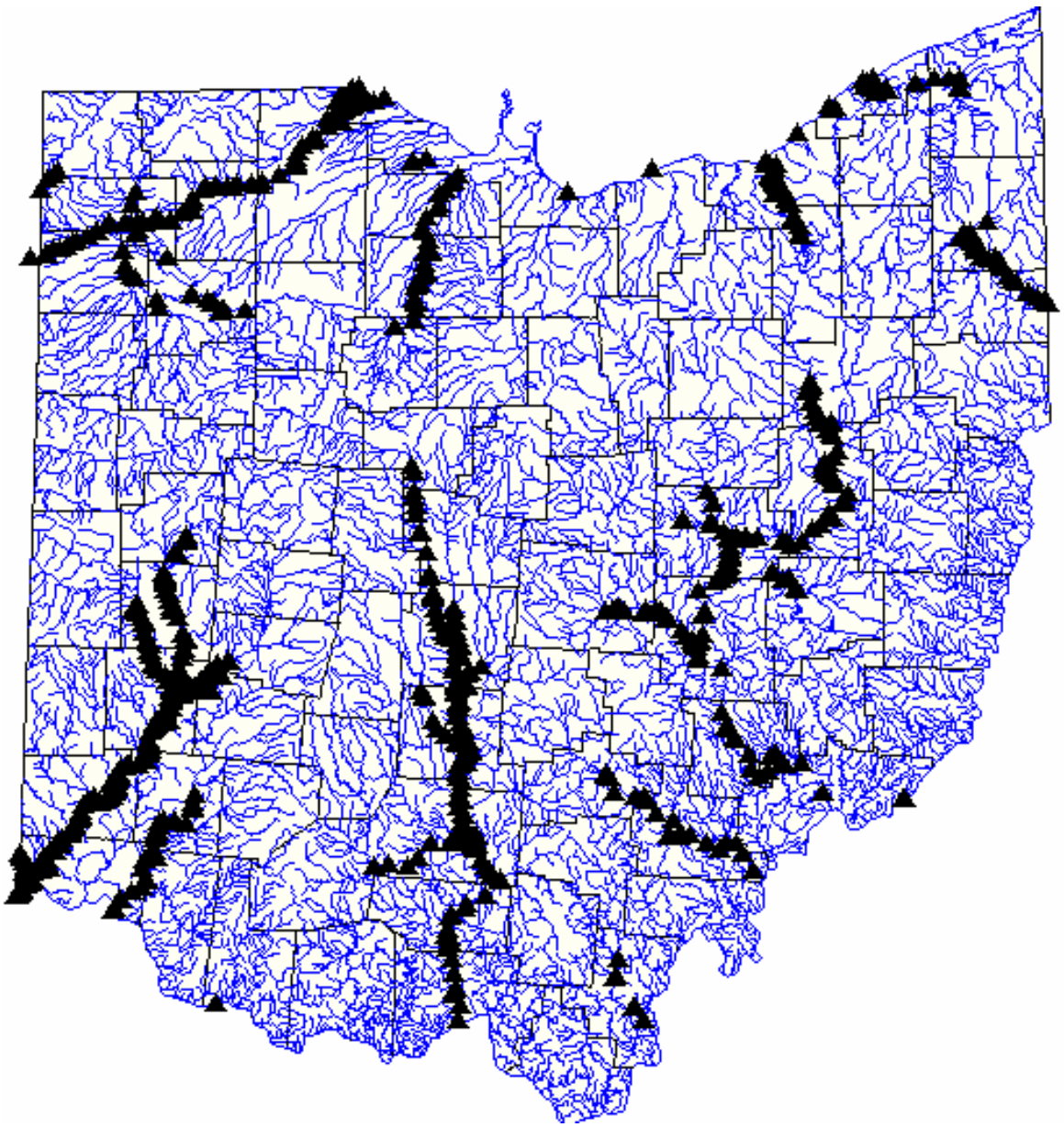


RELATIVE ABUNDANCE OLIGOCHAETA



Hillside Road (RM 15.6) Fish and Macroinvertebrate Trends

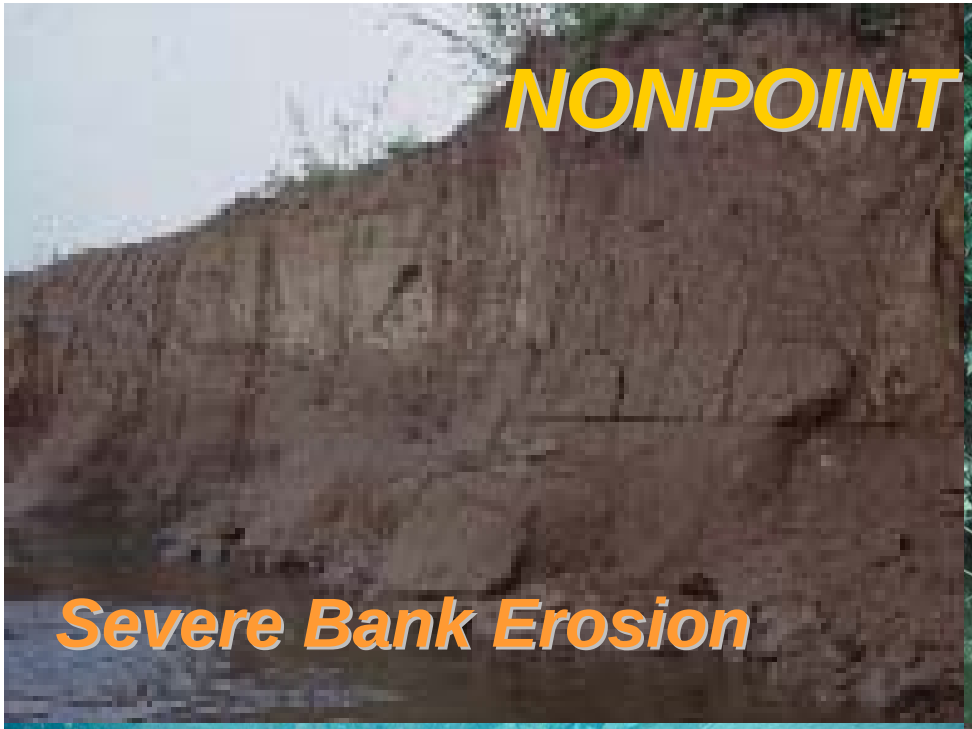




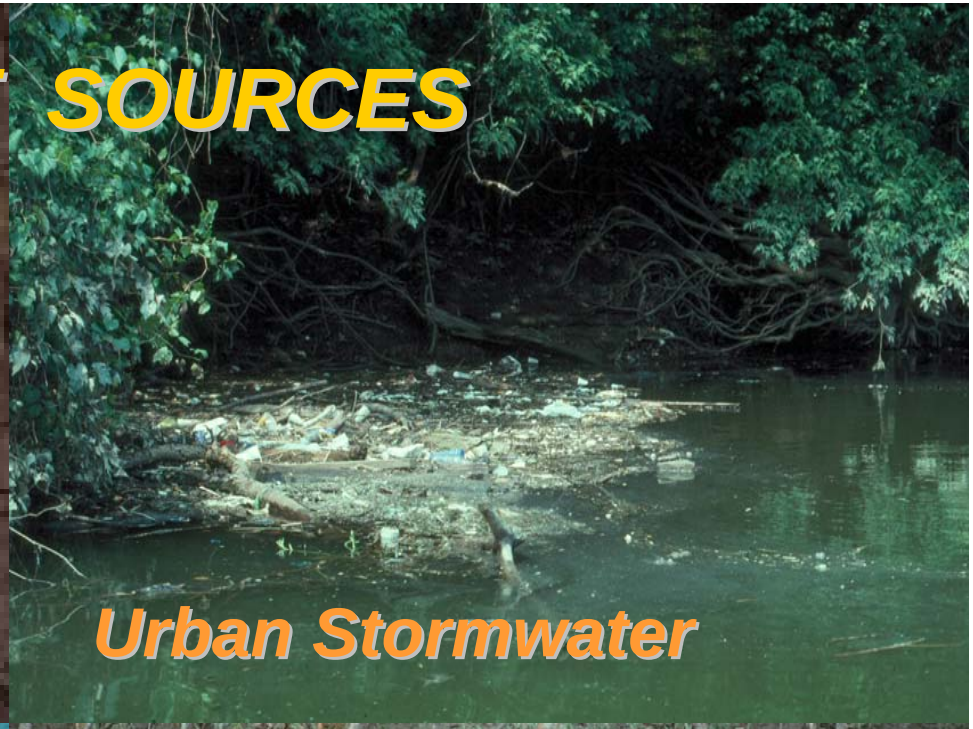
Ohio Large Rivers Bioassessment: 1979 - present

- Multiple stressors (point & nonpoint sources, habitat, hydromodification)
- Intensive survey design
- Repeat samplings >1 to 5-10 years; supports before & after assessments
- Aggregate assessment for waterbody subclass (>150-500 $mi.^2$)

NONPOINT SOURCES

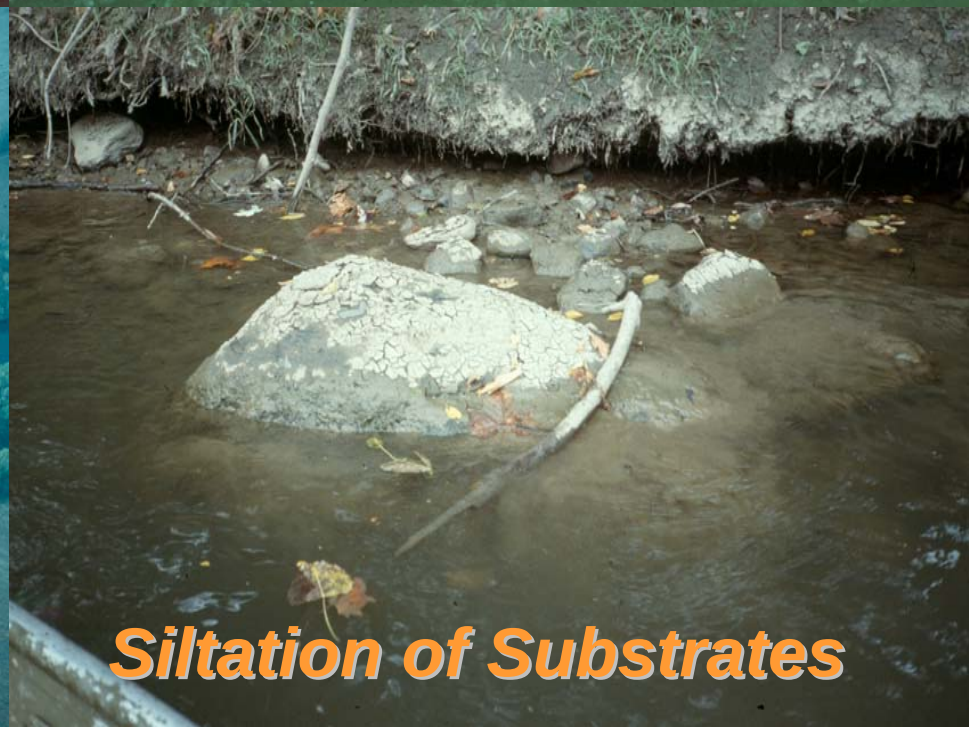


Severe Bank Erosion



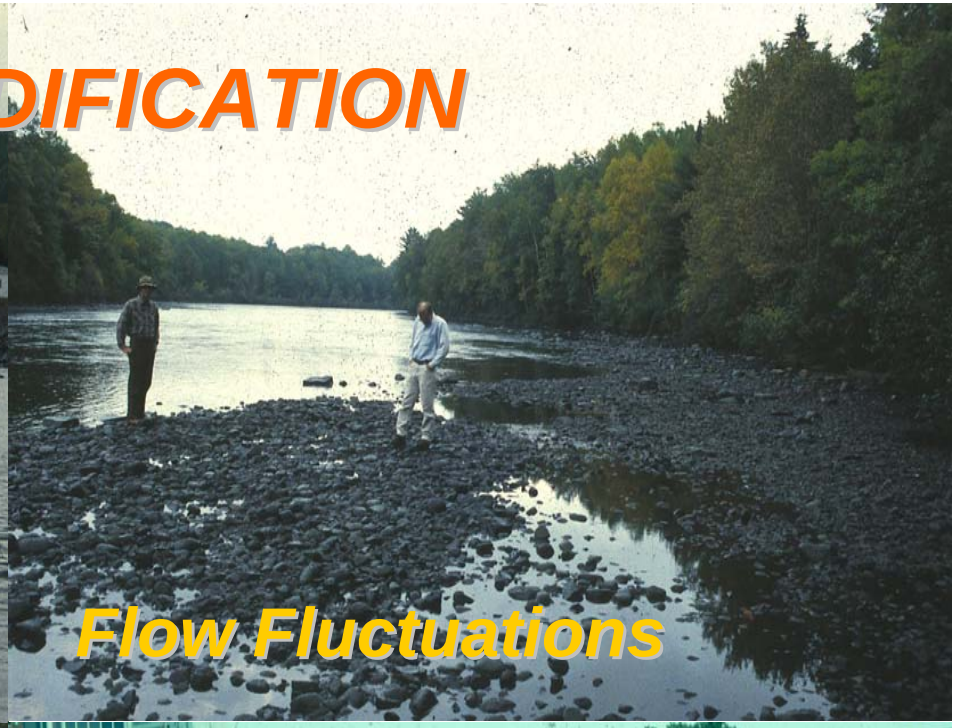
Urban Stormwater

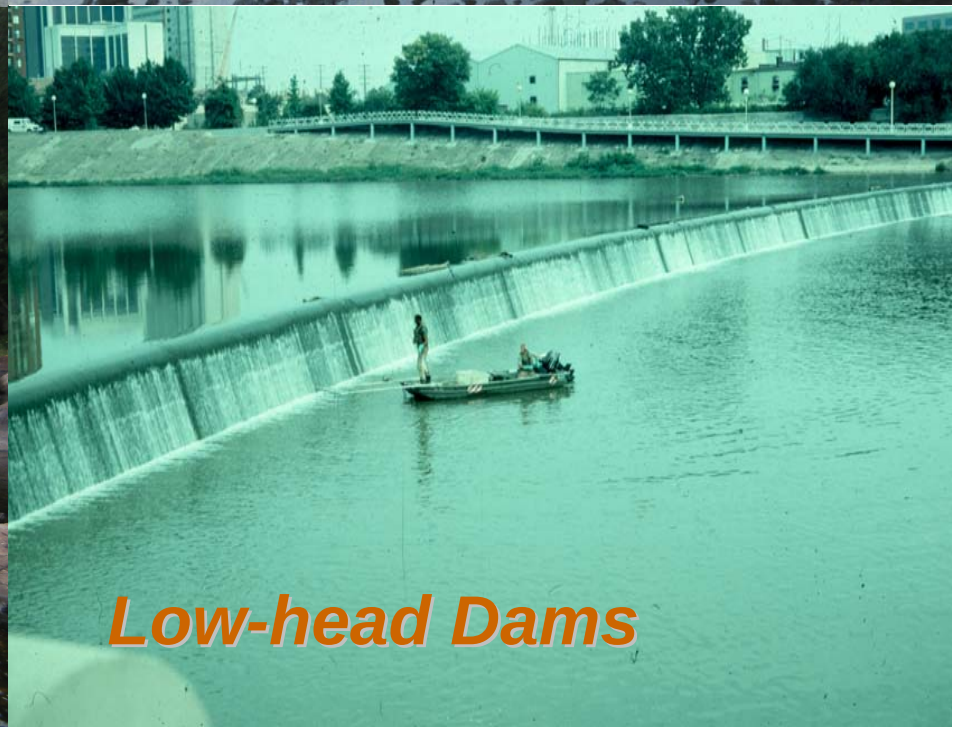
Riparian Encroachment



Siltation of Substrates

HYDROMODIFICATION





American Fisheries Society Symposium 45:000–000, 2005

Changes in Fish Assemblage Status in Ohio's Nonwadeable Rivers and Streams over Two Decades

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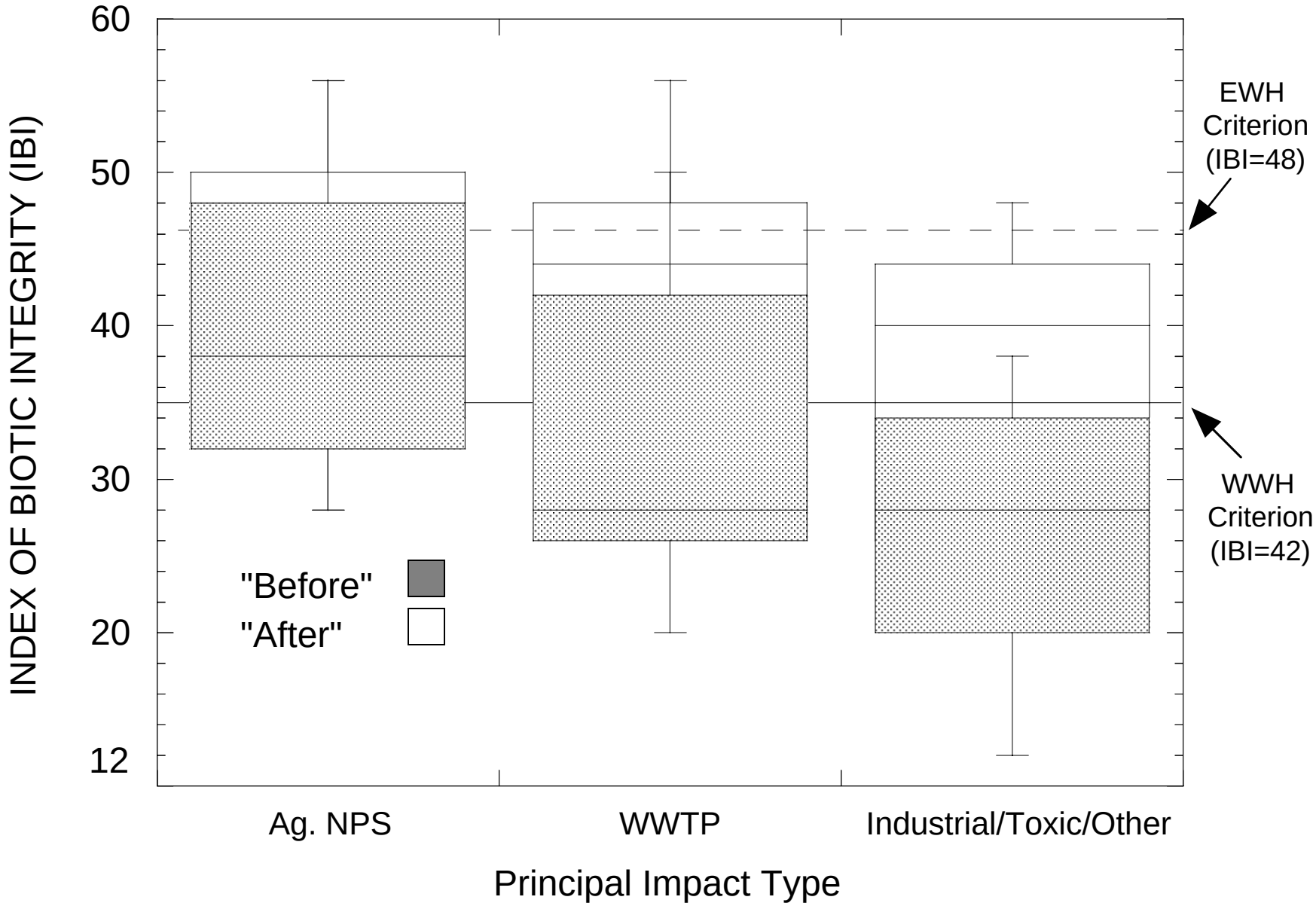
Ohio Environmental Protection Agency, 4675 Homer Ohio Lane, Groveport, Ohio 43125, USA

Abstract.—A systematic, standardized approach to monitor fish assemblages has been applied in Ohio's rivers since 1979. A primary objective is the assessment of changes in response to water pollution abatement and other water quality management programs. All major, nonwadeable rivers were intensively sampled using standardized electrofishing methods and a summer–early fall index period. Most rivers were sampled two or three times, before and after implementation of pollution controls at major point source discharges and best management practices for nonpoint sources. A modified and calibrated index of biotic integrity (IBI) was used to demonstrate and evaluate changes at multiple



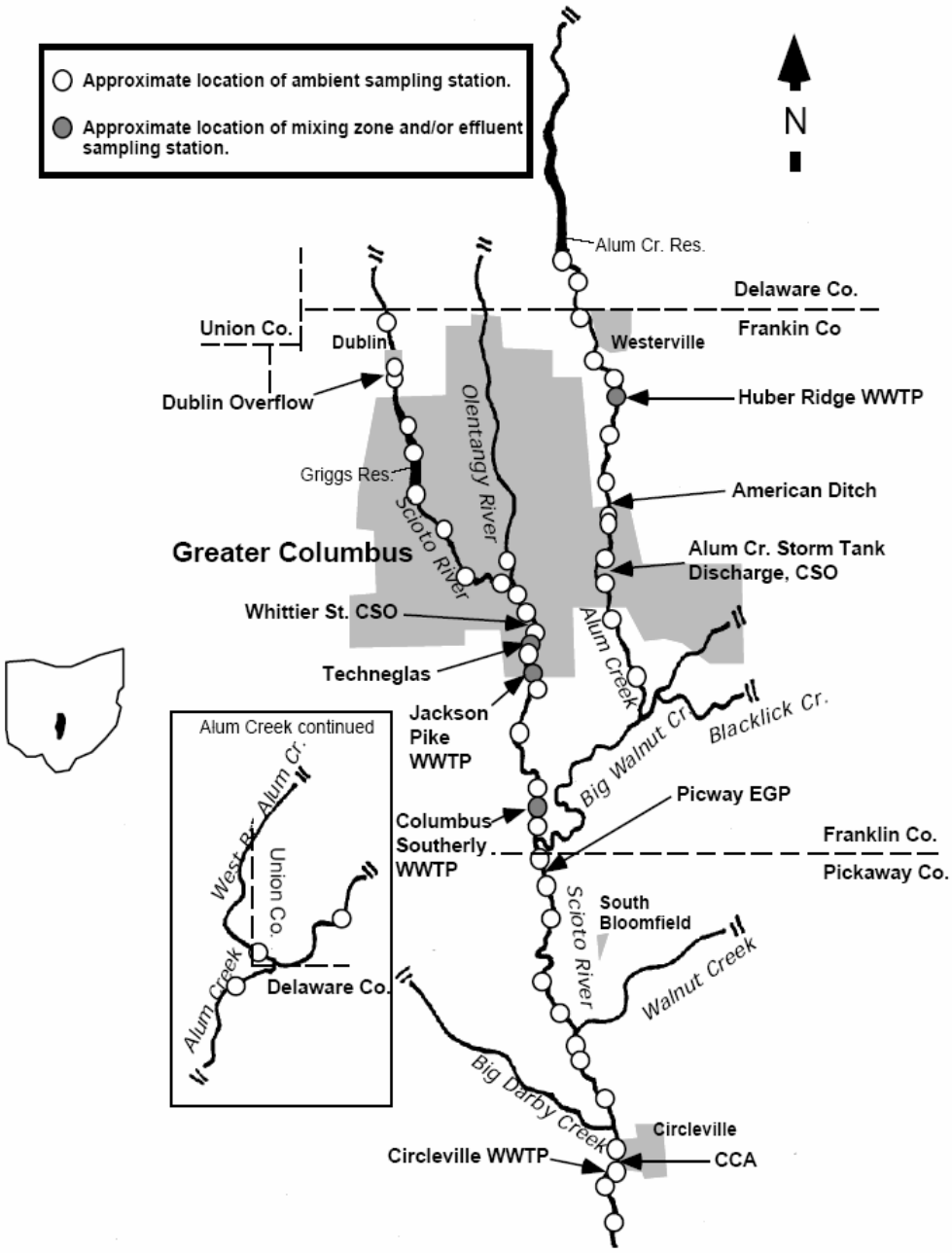


Figure 4.. The 1996 middle Scioto River study area showing principal streams and tributaries, population centers, major pollution sources and environmental monitoring stations.

Application of Biocriteria in Complex Settings

1. Free-flowing river (**WWH** use designation):
Upstream from urban area ECBP Ecoregion - Wading site type:

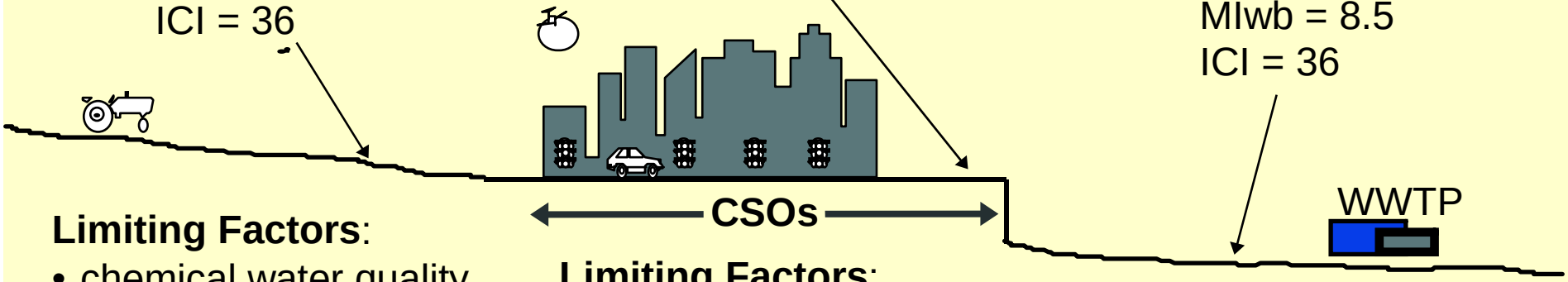
IBI = 40
MIwb = 8.3
ICI = 36

2. Impounded river (**MWH** use designation):
Within urban area ECBP Ecoregion - Boat site type:

IBI = 30
MIwb = 6.6
ICI = N/A

3. Free-flowing river (**WWH** use designation):
Downstream from urban area
ECBP Ecoregion - Boat site type:

IBI = 42
MIwb = 8.5
ICI = 36



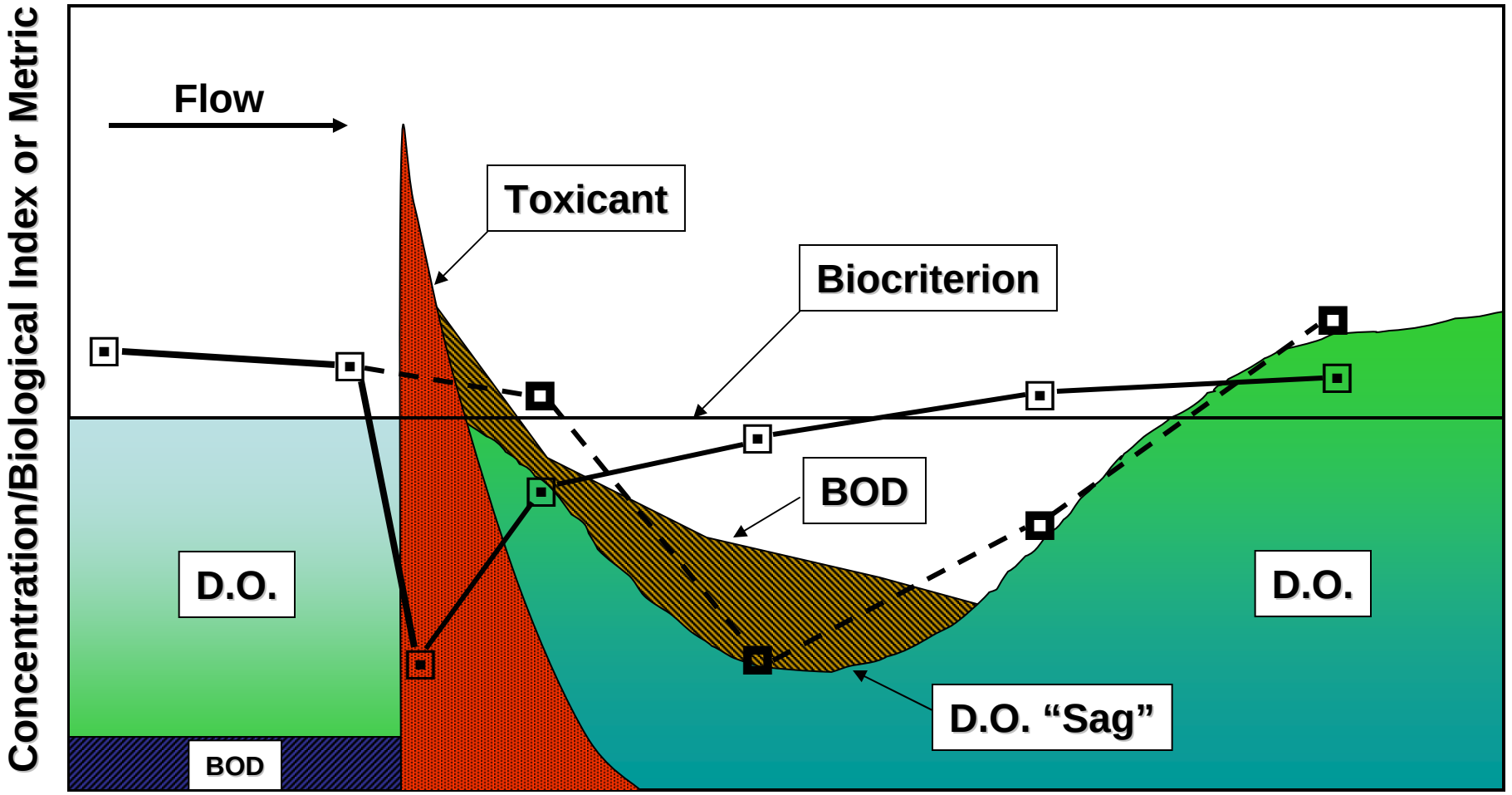
Limiting Factors:
- chemical water quality
- physical habitat
- flow/energy dynamics

Limiting Factors:
- physical habitat
- energy/flow dynamics
- chemical water quality

Limiting Factors:
- chemical water quality
- energy/flow dynamics
- physical habitat

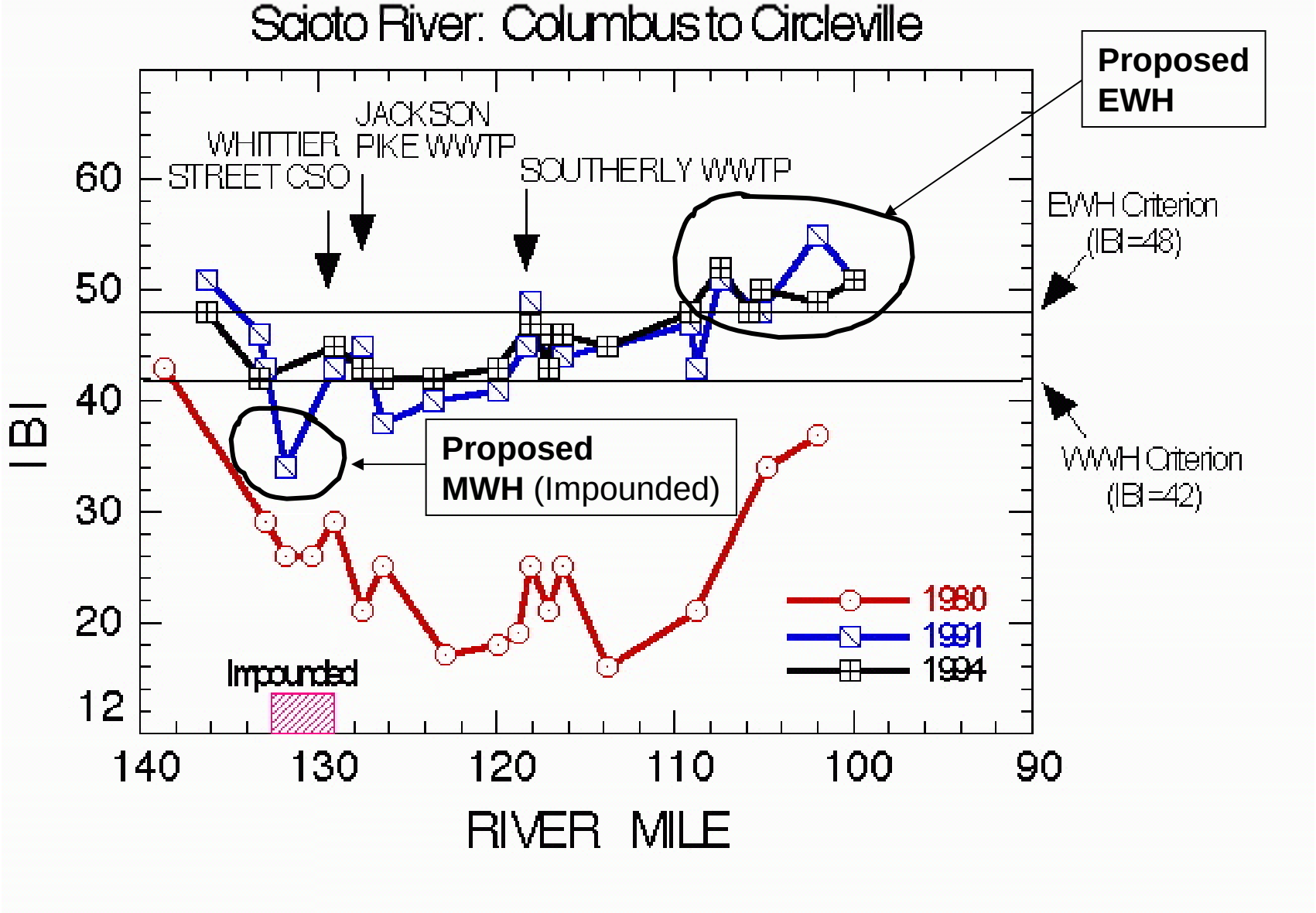
Flow Direction →

Resurrecting the Concept of the Pollution Impact Continuum in Rivers: It Still Exists

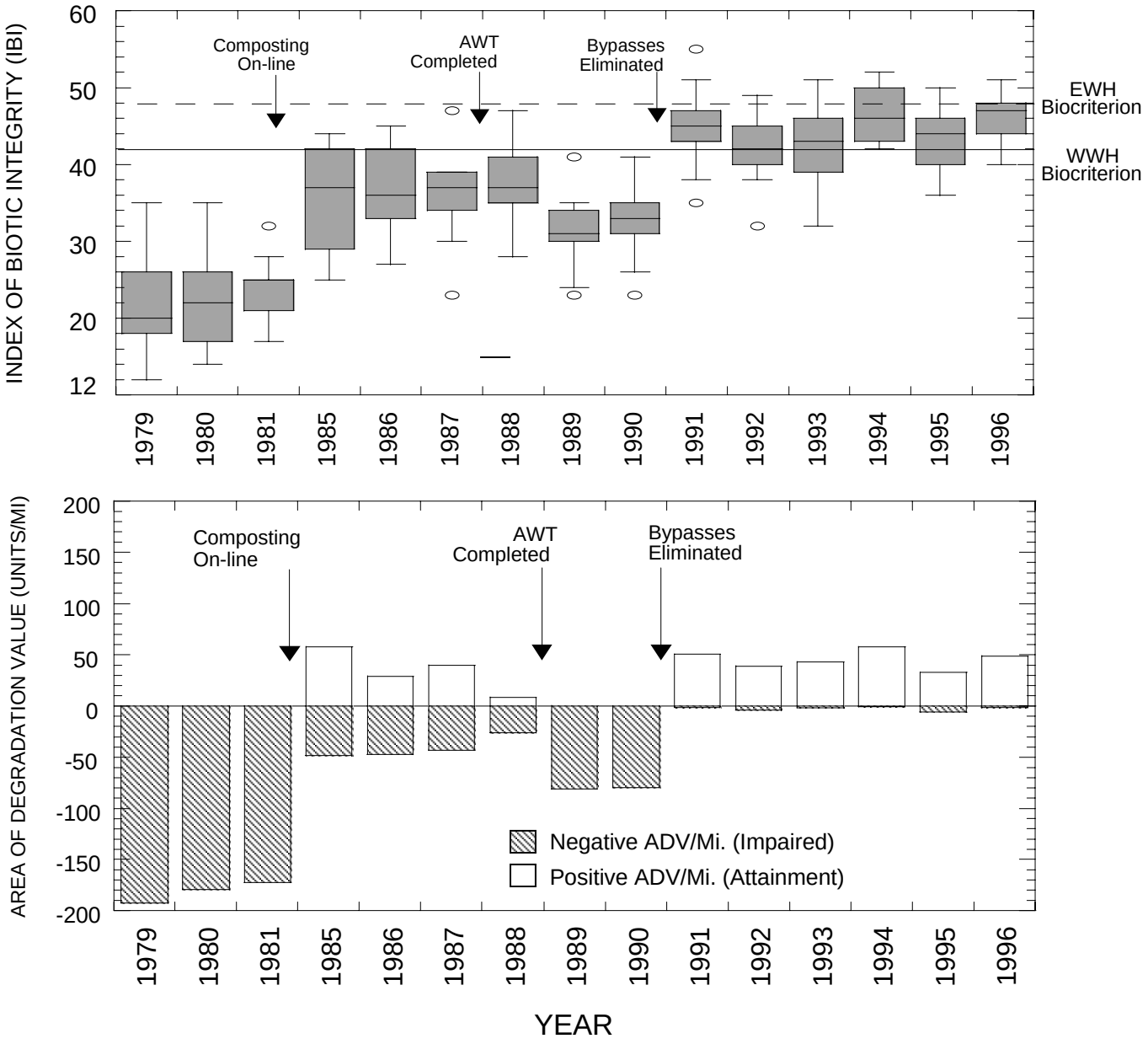


After Bartsch and Ingram (1967)

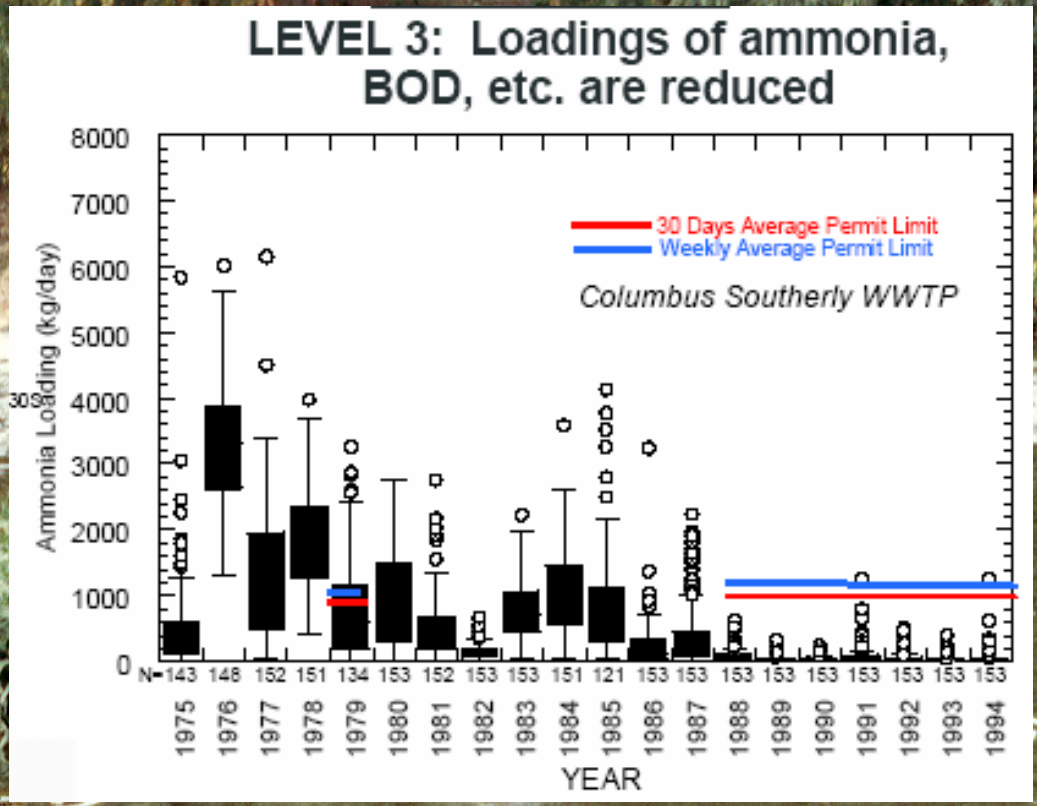
Demonstrating Changes Through Time: Scioto River 1980 - 1994



Demonstrating Changes Through Time: Scioto River (1979 – 1996)



Jackson Pike WWTP – 75 MGD
Columbus Southerly WWTP – 125 MGD
Combined 200 MGD = 90-95% of summer base flow



Measuring and Managing Environmental Progress: Hierarchy of Indicators

1. Management actions
2. Response to management

Administrative indicators
[permits, plans, grants, enforcement, [technologies used, BMPs installed]

3. Stressor abatement

Stressor indicators
[effluent reduction, changes in land-use practices]

4. Ambient conditions
5. Direct exposure to effects of pollution

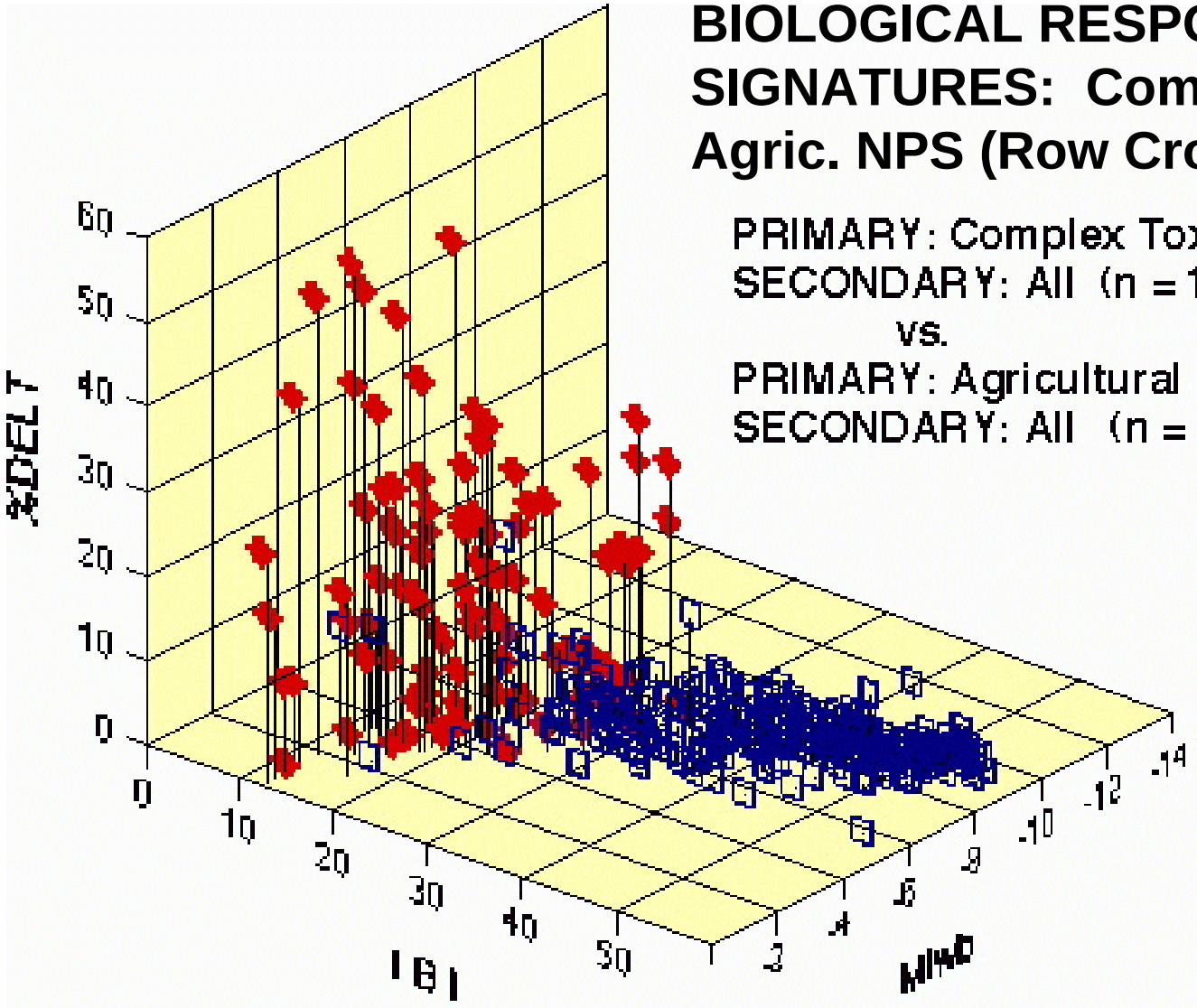
Exposure indicators
[pollutant conc., flow or physical habitat alteration, assimilation and uptake of pollutants, reduced spawning habitat, nutrient dynamics changes, sedimentation effects, etc.]

6. Biological response

Response indicators
[biological metrics, multimetric indexes, target species, other biological measures]

Endpoint of Concern: "ecological health"

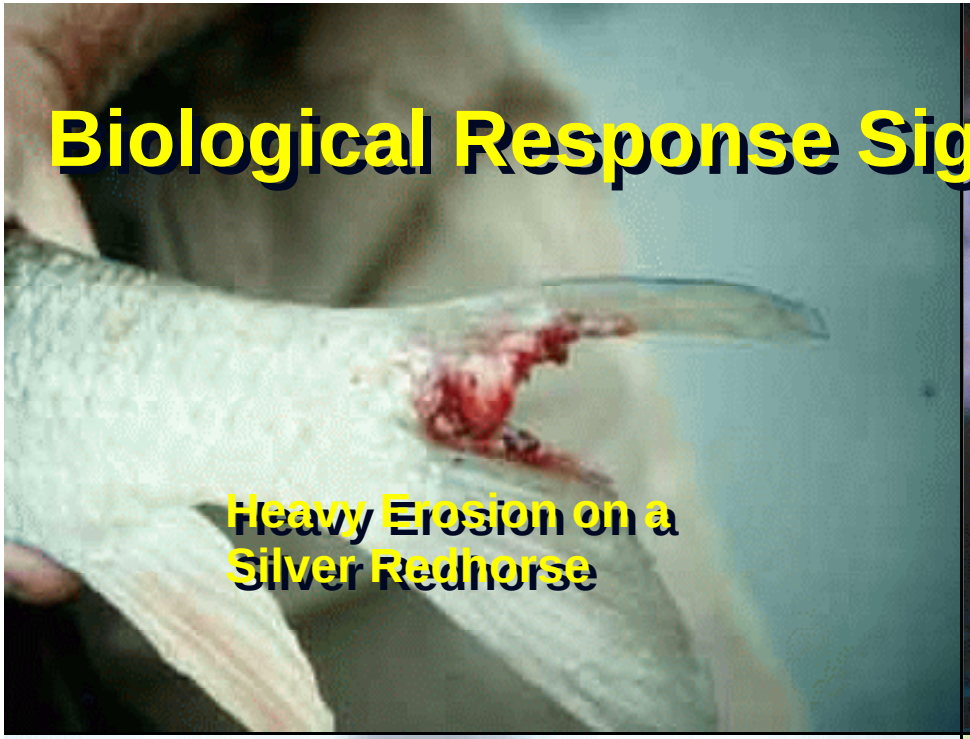
BIOLOGICAL RESPONSE SIGNATURES: Complex Toxic vs. Agric. NPS (Row Crop)



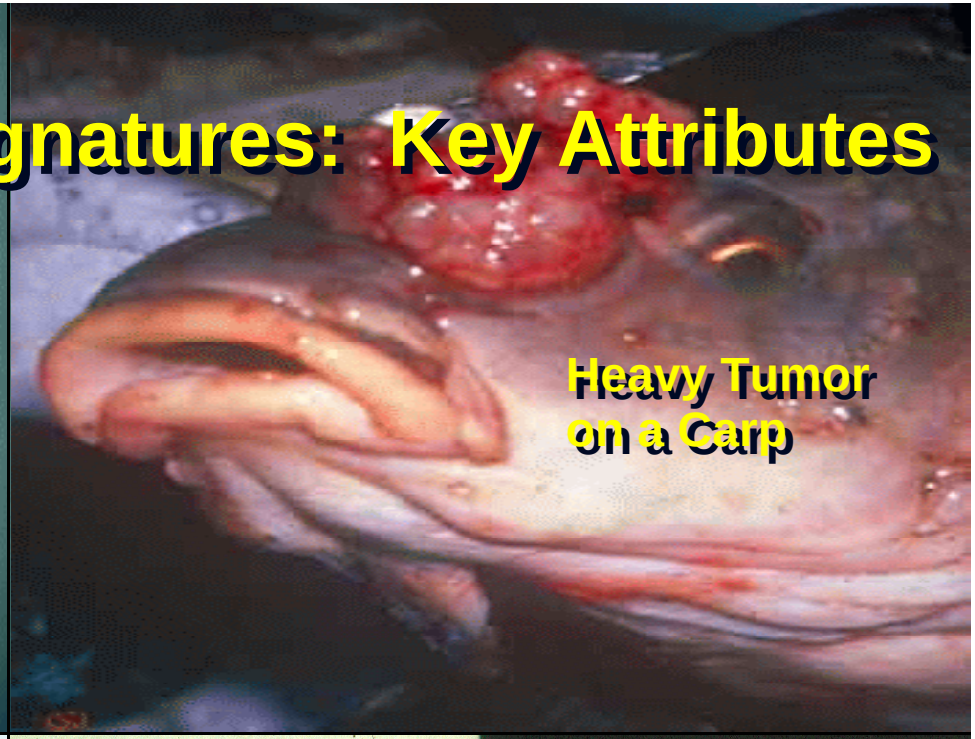
HELP/ECBP Ecoregions

after Yoder and Rankin (1995)

Biological Response Signatures: Key Attributes



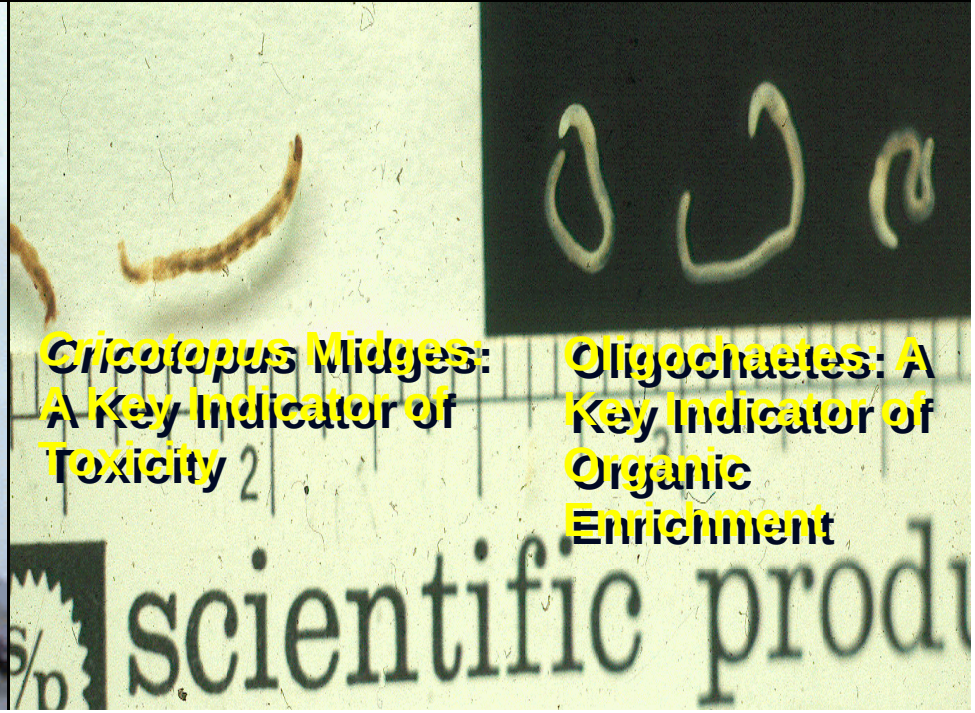
Heavy Erosion on a Silver Redhorse



Heavy Tumor on a Carp

Heavily Eroded Barbels & Deformities on a Yellow Bullhead

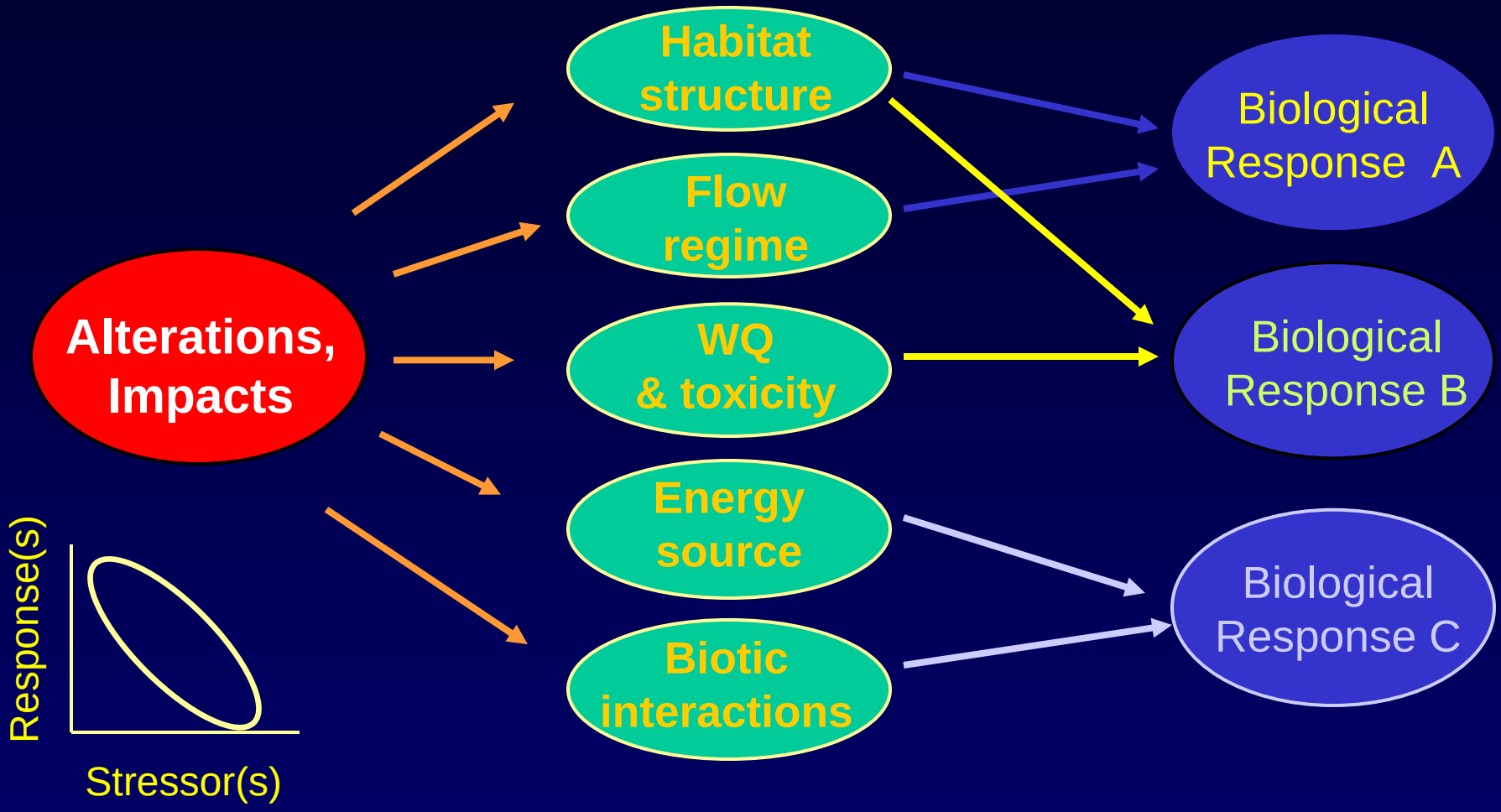
Normal Barbles on a Yellow Bullhead



Cricotopus Midges: A Key Indicator of Toxicity

Oligochaetes: A Key Indicator of Organic Enrichment

Linking Biological Responses to Stressors



Human activity: "the drivers" → **Altered water resource features "stress & exposure"** → **Biological endpoint**

Good quality biological data *and a process for using it* is essential for improving the management of aquatic resources and bringing policy and legislation into the 21st Century