

US EPA ARCHIVE DOCUMENT

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement and any additional documentation to: **Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW Washington, DC 20503.**

1. Agency/Subagency originating request EPA/Office of Air and Radiation	2. OMB control number b. <input checked="" type="checkbox"/> None a. _____ - _____ 2060
3. Type of information collection (<i>check one</i>) a. <input checked="" type="checkbox"/> New collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change , of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change , of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number <i>For b-f, note item A2 of Supporting Statement Instructions</i>	4. Type of review requested (<i>check one</i>) a. <input checked="" type="checkbox"/> Regular b. <input type="checkbox"/> Emergency - Approval requested by: ___/___/___ c. <input type="checkbox"/> Delegated 5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	6. Requested expiration date a. <input checked="" type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: ___/___/___

7. Title
 Clean Air Interstate Rule to Reduce Interstate Transport of Fine Particle Matter and Ozone (CAIR)

8. Agency form number(s) (*If applicable*)
 EPA ICR #2152.01

9. Keywords
 sulfur dioxide, nitrogen oxides, emissions trading, emissions reporting, ozone, particulate matter

10. Abstract
 The information to be collected under this ICR is necessary to implement the Clean Air Interstate Rule. The program calls for major reductions of the pollutants that cause particulate matter and ozone pollution. The information collected will be used by EPA to manage an emissions trading program and to track progress in the reduction of emissions resulting from the requirements of CAIR. Respondents include owners of large electric generating units and state and local air pollution control agencies.

11. Affected public (*Mark primary with "P" and all others that apply with "X"*)
 a. Individuals or households d. Farms
 b. Business or other for-profit e. Federal Government
 c. Not-for-profit institutions f. State, Local or Tribal Govt.
 Primary affected public: b. Business or other for-profit

12. Obligation to respond (*Mark primary with "P" and all others that apply with "X"*)
 a. Voluntary
 b. Required to obtain or retain benefits
 c. Mandatory
 Primary obligation to respond: c. Mandatory

13. Annual reporting and recordkeeping hour burden

a. Number of respondents	1854
b. Total annual responses	9555
1. Percentage of these responses collected electronically	
	95 %
c. Total hours requested	607,948
d. Current OMB inventory	0
e. Difference	607,948
f. Explanation of difference	
1. Program Change	607,948
2. Adjustment	0

14. Annual reporting and recordkeeping cost burden (*in thousands of dollars*)

a. Total annualized capital/startup costs	20,753
b. Total annual costs (O&M)	17,582
c. Total annualized cost requested	38,335
d. Current OMB inventory	0
e. Difference	38,335
f. Explanation of difference	
1. Program change	38,335
2. Adjustment	0

15. Purpose of information collection (*Mark Primary With "P" and all others that apply with "X"*)
 a. Application for benefits e. Program planning or management
 b. Program evaluation f. Research
 c. General purpose statistics g. Regulatory or compliance
 d. Audit
 Primary purpose of information collection: e. (States and locals) and g. (industry)

16. Frequency of recordkeeping or reporting (*check all that apply*)
 a. Recordkeeping b. Third party disclosure
 c. Reporting
 1. On occasion 2. Weekly 3. Monthly
 4. Quarterly 5. Semi-annually 6. Annually
 7. Biannually 8. Other (describe) Triennial

17. Statistical methods
 Does this information collection employ statistical methods?
 Yes No

18. Agency contact (*person who can best answer questions regarding the content of this submission*)
 Name: Beth Murray (emissions trading) and Bill Kuykendal (State and local reporting)
 Phone: 202-343-9115/ 919-541-5372

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19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (I) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of the instructions);
- (I) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Program Official

Stephen D. Page, Director
Office of Air Quality Planning and Standards

Date

Signature of Senior Official or designee

Oscar Morales, Director
Collection Strategies Division
Office of Environmental Information

Date